InAs MOS capacitors; Fabrication & Characterization

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Abstract

The down scaling of metal-oxide-semiconductor based devices has been halted by the shortcomings of silicon. To enable further miniaturization new materials are required. The proposed replacements include compound III-V semiconductors and high-κ oxides, the implementation of which has been difficult. This work investigates metal-oxide-semiconductor capacitors fabricated on InAs substrate. The oxide layer was formed by atomic layer deposition of high permittivity materials, ZrO$_2$ and Al$_2$O$_3$. The quality of the InAs-oxide interface was to be investigated after in situ surface treatment method including cyclic nitrogen plasma and trimethylaluminum pulses. The measurements showed a large dispersion in capacitance-voltage (C-V) data and a high leakage current through the oxide layer. Possible sources of the defects leading to poor C-V behavior of the MOS capacitors are subsequently investigated.
Acronyms

ALD    Atomic Layer Deposition
C-V    Capacitance-Voltage
I-V    Current-Voltage
MOS    Metal-Oxide-Semiconductor
MOSFET Metal-Oxide-Semiconductor Field-Effect-Transistor
TMA    Trimethylaluminum
TEMAZ  Tetrakis(ethyimethylamino)zirconium
1 Introduction

The invention of the metal-oxide-semiconductor (MOS) field-effect-transistor (FET) in 1960 by Khang and Atalla at Bell Laboratories enabled the creation of integrated circuits, which are ubiquitous in today’s society [1, p. 3]. Since then the industry has striven to miniaturize the technology in order to achieve higher computing power, reduce cost per operation and allow for lower operating current [2]. Moore’s law famously predicted that the number of devices on an integrated circuit doubles every 2-3 years and the size of each component decreases exponentially [3].

The integral part of the many modern devices is a MOS. As the name suggests it consists of a metal and a semiconductor separated by an oxide. Its function is to act as a on/off switch, giving the device two states which can be used in logic operations. The MOS based devices are advantageous compared to other devices because they are exceptionally good at switching between states fast and efficiently. In addition, decreasing their size improves on these characteristics [4].

The further down scaling has in recent years become complicated. silicon and its native oxide has traditionally been used because of their outstanding performance, availability and ease in manufacturing. However, the silicon oxide used as dielectric in a MOS is now so thin that the leakage current due to direct tunneling is exceedingly high [3]. The high leakage current results in higher power consumption, which is the greatest obstacle in the field today [5].

Addressing this issue requires the introduction of new materials that have better properties such as III-V semiconductors with higher carrier mobility and oxides with higher dielectric constant (κ). Promising oxides that have been tested include zirconium oxide (ZrO₂) and hafnium oxide (HfO₂) [3]. Promising semiconductors include indium arsenide (InAs) and indium gallium arsenide (InGaAs) [5].

The new materials brings another challenge in manufacturing. silicon dioxide is grown directly on silicon and they have a good interface with each other. High-κ oxides have to use more expensive deposition methods, form worse interfaces with other materials and contain more overall defects [3]. The research today investigates procedures to overcome these problems.

This work aims to investigate the properties of MOS capacitors with InAs semiconductor, ZrO₂ oxide and nickel gate metal. Conditions and methods of manufacture are varied to find one that overcomes the inherent flaws of the new materials.
2 Theory

2.1 Metal- Oxide- Semiconductor

The advantageous physical attributes of a MOS is derived from its composition. Figure 1 presents the basic MOS structure. As the name suggests it consists of a semiconductor and a metal separated by a dielectric oxide.

![Figure 1: MOS diode schematic, where V is applied voltage.](image)

The composition generates a beneficial band structure. The band structure and how it is affected when voltage is applied is a defining characteristic of a MOS diode. Figure 2 shows a MOS during flat-band condition, in this condition no charge is present at the semiconductor-oxide interface.

![Figure 2: Band structure of ideal MOS at flat band condition](image)

In Fig. 2 $E_F$ is the Fermi level, $E_V$ the valence band of the semiconductor, $E_i$ the intrinsic Fermi level and $E_C$ represents the conduction band. The work functions $\phi_M$ and $\phi_S$ are the differences between the Fermi level and the vacuum level on respective side. When $\phi_M - \phi_S = 0$ the device is in flat band condition [1]. For an ideal MOS this occurs when there is no voltage applied. Applying voltage to the metal plate results in three general cases. The three cases are seen in Figure 3 where $E_f$ is the Fermi level under bias and $E_F$ the original Fermi level of the metal.
Figure 3 shows the accumulation phase of a MOS with a n-doped semiconductor. The positive bias bends the bands near the semiconductor surface which causes electrons to gather. The electron density is exponentially dependent on the energy difference $E_F - E_i$. As the intrinsic Fermi level dips more electrons accumulate, hence the name \[1, p. 173\].

When a small negative voltage is applied, as in Figure 3b, there are no charge carriers at the surface. The electrons are pushed away by the negative potential and a depletion region is formed. The depletion region grows with increased negative voltage until the third phase, inversion, is reached. \[1, p. 173\].

Eventually the potential is negative enough to attract minority carriers in the semiconductor to the surface, seen in Figure 3c. The density of holes is exponentially dependent on $E_i - E_F$ and as the bands bend upwards the difference increases, leading to a higher concentration. The n-doped semiconductor now has an area where the concentration of holes exceed that of electrons, hence the name inversion. This area is called inversion layer \[1, p. 173\].

Inversion is divided into two sub-categories, weak- and strong- inversion. To define them let the difference between the intrinsic Fermi level and the original metal Fermi level be $E_i - E_F = E_D$ (see Figure 2). When no band bending is present let the $E_D = E_{D0}$. Weak inversion is then the period between $E_D = 0$ and $E_D = -E_{D0}$. Beyond that level of band bending is the strong inversion \[1, p. 175\].

### 2.2 Ideal Capacitance-Voltage

The capacitance of a MOS depends on the voltage applied. In order to properly evaluate the data obtained in the experiment it is vital to understand how this relation would be expressed in a well behaved MOS. This is explained by how charges in the semiconductor react to the bias.

The MOS structure is modeled as two capacitors in series, one over the oxide ($C_{ox}$) and the other over the depletion region ($C_s$). $C_{ox}$ is a fixed capacitor, whereas $C_s$ is variable and changes with the semiconductor charge. $C_{ox}$ is vital to the function of a MOS, however how $C_s$ behaves is of more interest.
Charges in the semiconductor induce an electrostatic potential. At the surface this potential is called $\Psi_s$. Since the amount of charge at the surface ($Q_s$) depends on the bias, so does the surface potential. $C_s$ can be described by the differential equation seen in Eq. 1. $C_s$ can thus be seen as a function of $\Psi_s$ [1, p. 174].

$$C_s = \frac{dQ_s}{dV}$$  \hspace{1cm} (1)

Given that a MOS is two capacitors the voltage is split over the dielectric and the semiconductor. This relation is described in Eq. 2 where $V_0$ is the potential over the oxide [1, p. 176].

$$V = V_0 + \Psi_s$$ \hspace{1cm} (2)

The total capacitance ($C$) at a given voltage is then described by the usual series relation in Eq. 3.

$$\frac{1}{C} = \frac{1}{C_{ox}} + \frac{1}{C_s(\Psi_s)}$$ \hspace{1cm} (3)

With this the relation between voltage and capacitance can be understood. When a MOS is in the accumulation phase there is an abundance of charges at the surface so $C_s(\Psi_s)$ is high, thus $1/C_s(\Psi_s) \to 0$ as $C_s(\Psi_s) \to \infty$. The total capacitance ($C$) is consequently equal to $C_{ox}$. As the voltage sweeps towards zero a depletion region forms. Charge carriers leave the surface and $C_s(\Psi_s)$ decreases until it reaches a minimum. Towards inversion minority carriers are gathered and $C_s(\Psi_s)$ increases again. The effect on $C$ is seen in Figure 4.

![Figure 4: C-V curve of an ideal MOS](image)

In Figure 4 the curve splits after it reaches the minimum. As noted in the figure this is due to the frequency ($f$) of the measurement. In a low frequency measurement the generation-recombination of carriers in the depletion region is equal or faster than
the frequency. This leads to charge exchange with the inversion layer and the total capacitance reaches $C_{ox}$ again. However in a high frequency measurement the minority carrier response is not seen and thus the capacitance stays at the minimum \[1\] {p. 178}.

Figure 4 displays the capacitance-voltage (C-V) curve of an ideal MOS, however in real measurements the C-V plot deviates from the ideal. This discrepancy stem from the materials used. The cause and effect on the C-V curve is discussed in the remainder of the Theory section.

2.3 Defects

Performance of a MOS is effected by the defects in the oxide and in the interface with the semiconductor. There are two main types of defects, oxide- and interface-traps \[6\]. The names refer to the location of the trap and is visualized in Figure 5.

![Figure 5: Locations of oxide and interface traps](image)

The defects are further categorized into whether or not they communicate electrically with the semiconductor. Defects that exchanges charges with the semiconductor layer are called switching states, those that do not are fixed states. Both oxide and interface traps can be switching states \[6\].

Interface traps are defects that lie precisely at the oxide-semiconductor boundary, they are mainly switching states. Border traps are near-interfacial oxide traps that electrically functions as switching states on the time scale of the measurement. The ability to electrically communicate decreases exponentially with the distance to the semiconductor.

The ability of the switching states to communicate with the semiconductor is dependent on the bias condition and frequency of the measurement. Oxide traps have a higher time constant associated with them than interface traps. Thus the higher the frequency of measurement the fewer oxide traps will exchange charge with the semiconductor \[6\].

Defects caused by the presence of a foreign atom (impurities) or a structural defect at semiconductor-oxide interface can create energy levels within the semiconductor band
gap that can trap charge carriers. Defects within the oxide band gap are generally sites of extra or deficit oxygen atoms [3].

In addition to oxide and interface traps there are mobile charges inside the oxide. These are ions that contaminate the oxide during fabrication. During high temperature or in powerful electric fields these can move around in the oxide [1, p. 182].

The multitude of defects distorts the ideal C-V curve (Figure 4) in different ways. First of all, a fixed state has a charge. That charge creates an electric potential that bends the semiconductor bands. The bands are thus bent even when there is no voltage applied to the metal. To achieve flat band condition some potential is consequently required. The required amount is called flat band voltage and it shifts the C-V curve by a horizontal translation [1, p. 182].

Secondly, charges that occupy the interface states contributes to the flat band voltage. These trapped charges also adds to the capacitance, however the amount of occupied states varies with voltage. In accumulation of a MOS with n-doped semiconductor there is an abundance of electrons that can fill the interface states. As the voltage goes to zero and reverses the electrons leave the interface states, however they require more voltage than if they were free in the semiconductor. This leads to a less abrupt drop off in capacitance as a function of voltage, called voltage stretch out [1, p. 184].

Thirdly, the mobile charges in the oxide. They also contribute to the flat band voltage. However, when the voltage varies these can move within the oxide layer. For example, a positive ion is drawn to the metal when a negative voltage is applied and pushed away from it when the bias is positive. This is expressed in the C-V curve as a horizontal shift. The shift will differ depending on bias sweep direction and is seen as a hysteresis when plotting both directions [1, p. 182].

Lastly is the effect of the border traps. Border traps have been suggested to communicate with the semiconductor via quantum tunneling. The time associated with such tunneling is exponentially dependent on the distance from the semiconductor. Thus, a low frequency measurement allows for deeper tunneling into the oxide than a high frequency one [7].

The number of occupied border traps also depends on the bias. An n-doped semiconductor in accumulation has plenty of electrons near the surface that can tunnel through. As the bias is reversed the number of electrons decrease and consequently so does the number of occupied states. This causes stretch out the same way as the interface states does. When inversion sets in the holes can occupy border traps in the same way [7].

When the C-V curves are measured at different frequencies, often a dispersion is observed at accumulation region. This frequency dispersion is attributed to tunneling of electrons into the border traps. The occupied border traps also require stronger voltage
than interface states to leave the oxide. The effect is a raised minimum capacitance and a generally higher capacitance throughout the C-V curve.

To summarize, the switching-, fixed states and mobile carriers raises the flat band voltage. The switching states creates a stretch out of the C-V curve and adds to the capacitance. While the capacitance dispersion for different measurement frequencies in accumulation region is usually attributed to the border trap response.

2.4 Materials

The materials used in a MOS are chosen based on their physical attributes. The requirements on the semiconductor, oxide and metal are all important for the MOS based devices to function. However, one of the critical challenges is the quality of the oxide-semiconductor interface.

As mentioned in the introduction silicon and its native oxide (SiO$_2$) has been the traditional choice of materials. Potential replacement oxides should outperform SiO$_2$ in terms of capacitance and leakage current. In order to do so they must, among other things, have a sufficiently large band gap and a high $\kappa$ value [3].

$\kappa$ refers to the dielectric constant. The dielectric constant quantifies the relative permittivity of the material. The capacitance of a material is dependent on the relative permittivity and it is described by Eq. 4. Here $\epsilon_0$ is the permittivity of vacuum, $A$ the area and $t$ the thickness of the material [3].

$$ C = \frac{\epsilon_0 \kappa A}{t} $$

(4)

It is clear that if a material has a higher $\kappa$ value it can achieve the same capacitance as SiO$_2$ but with a thicker layer. It also enables further down-scaling to achieve higher capacitance. Table 1 lists $\kappa$ values and band gaps for some oxides.

<table>
<thead>
<tr>
<th></th>
<th>SiO$_2$</th>
<th>HfO$_2$</th>
<th>ZrO$_2$</th>
</tr>
</thead>
<tbody>
<tr>
<td>$\kappa$</td>
<td>3.9</td>
<td>25</td>
<td>25</td>
</tr>
<tr>
<td>Gap (eV)</td>
<td>9</td>
<td>5.8</td>
<td>5.8</td>
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Although ZrO$_2$ and HfO$_2$ seem promising, one disadvantage is that they crystallize at relatively low temperatures. Crystallization forms pathways for charges to travel through the material, increasing leakage current.

Another requirement on the oxide is a high band gap to function as an insulator, preferably over 5 eV. However it is also necessary to have a band offset to the semicon-
ductor of over 1 eV to prevent leakage current. The band offset is one of the criterion’s used to choose the semiconductor [3].

Compound III-V semiconductors have been used in other devices for many years[5]. Recently they are considered for implementation in MOSFET technology due to improvements in production. The main advantage of III-V semiconductors is their high carrier mobility. The increased mobility lowers power consumption and raises drive current [5].

The increased mobility also means that charge carriers have a low effective mass. The low effective mass in turn results in a small density of states in the semiconductor conduction band. Hence fewer electrons can gather at the surface in accumulation. Consequently there is a decrease in the measured accumulation capacitance compared the expected $C_{ox}$ values. This makes the interpretation of C-V data less straightforward compared to Si-based devices. [8].

A major factor in implementation of III-Vs is the high-κ oxide semiconductor interface. The limiting factor is the high density of interface defects. The main contributor to the defects are the native oxides of the compound semiconductor. Recent studies investigates methods of improving the interface by new production methods that remove the native oxides before deposition of the high-κ dielectric [5, 9].

Growing oxide with atomic layer deposition (ALD) and treating the surface with hydrogen/nitrogen plasma as well as trimethylaluminum (TMA) before deposition has been proven to improve the interface and reduce impurities [9, 10].

3 Experiments

3.1 Fabrication of samples

Initially four samples were produced at the Nano Lab, Lund University. Substrates with 100 nm grown n-doped InAs were cleaned in an ultrasonic bath in three stages, three minutes per stage. First stage was acetone, second isopropyl alcohol and third distilled water. They were then bathed in buffered hydrofluoric acid for 90 seconds to remove native oxides.

Atomic Layer Deposition method was used to grow the oxide on the semiconductor substrates. ALD uses cycles of gases to grow the material one layer at a time. The chamber is evacuated until satisfactory vacuum is achieved. The first reactant is pumped into the chamber and bonds with the surface. Excess material is then flushed out with a purge gas. The second reactant, usually an oxidizer, is introduced and bonds with the existing atoms on the surface, byproducts are then purged again. The process is repeated until the desired thickness has been reached. ALD allows for a precise control of oxide
thickness and the reactions are self-limiting. Once all reaction sites are filled up the waste can easily be removed, reducing the amount of impurities [10].

The substrates were placed in a Cambridge Fiji nano-tech ALD reactor. Before the oxide was deposited two of the samples were exposed to an *in situ* surface treatment of 10 cycles of nitrogen plasma and TMA pulses, a process that previously has proven to further reduce native oxides [11]. One sample was not given any *in situ* pretreatment as a reference sample. The last one was exposed to 6 cycles of hydrogen and nitrogen plasma. Subsequently 4 nm of ZrO$_2$ were deposited during 57 cycles with tetrakis(ethylmethylamino)zirconium (TEMAZ) as precursor and H$_2$O as oxidizer at 300 °C. See Table 2 for a complete list of samples with deposition conditions.

Samples were then taken to a metal evaporation chamber. Where nickel (Ni) was placed at the bottom of the chamber in a boat. The boat is a metal container that can withstand high temperatures. Samples were fixed facing down above the Ni fitted with stencil masks. The chamber is closed and vacuum pumped. The Ni was then heated in until it evaporated. A detector by the sample measured the rate of Ni atoms. With this setup 85 nm of Ni was deposited onto the samples with masks.

The stencil had six sizes of circular holes. The six sizes were: 25-, 35-, 50-, 60-, 75- and 100 µm in diameter. With this mask circa 50 MOS capacitors were formed on each sample. About a quarter of each sample were unshielded by the mask to form a metal back contact.

Due to unforeseen circumstances (see Section 4.1) further samples had to be produced. Table 2 list the name, thickness of oxide, eventual pre-treatments and temperature of oxide deposition. If otherwise unstated the oxide was ZrO$_2$ and deposited in the Cambridge Fiji nano tech reactor. All of the samples had the same wet-chemical pre-treatment before transferring to ALD chamber.
3.2 Measurements

The capacitance was measured in a Lake Shore probe station connected to a Agilent 4294A impedance analyzer. A Lake Shore station is a vacuum chamber with transparent top and two thin conducting tungsten tips that can be maneuvered with controls on the outside. A high resolution digital camera facing down through the top is connected to a T-V screen. The screen provides vision when performing measurements.

The setup was first calibrated with known premeasured capacitors. Then one tip was connected to the back plate of the sample and the other moved to one of MOS capacitors. The voltage was swept from -2 to 2 volt for four frequencies between 1 kHz and 1 MHz. The same procedure was repeated for several devices on each sample and the data was stored on a computer.

To investigate the leakage current in the samples, current-voltage (I-V) data was collected. The voltage was swept from -1 to 1 while measuring the amount of current that were registered at each tip.

InAs substrates were also inspected with atomic force microscopy (AFM) due to malfunctioning MOS capacitors (see Section 4.1). AFM is a method similar to scanning tunneling microscopy, however AFM can be used on non conductive materials. In AFM a minuscule mass on a tip is moved very close to the material. The tip is kept at a height above the surface such that the force on it is constant. By measuring the movement of the tip as it is moved across the surface, the structure is inferred [12].
4 Results & Discussion

4.1 Malfunctioning MOS capacitors

The initial C-V measurements on ZR31-ZR34 were unstable. The C-V data did not resemble any sensible plot that was expected. The analyzer was re calibrated several times to make sure the measurement on the calibration sample was stable. However it did not improve the outcome of the C-V measurements on the fabricated MOS capacitors.

Old samples, that had worked before, were brought in. Even with these no sensible C-V data could be collected. It was then suspected that either the analyzer or the station was at fault. Both were successively replaced with no improvements for either sample set. This prompted I-V measurements to determine the leakage current.

I-V is used to analyze how much current is flowing through the device with varying voltage. In these capacitors some leakage current is expected, normally in the range of a few nA. The curve that is produced should be smooth and be the same irrespective of sweep direction. Figure 6 displays the I-V plots for the four samples ZR31-ZR34.

The current in Figure 6 is largest at the fringes. This is since at high positive voltage the MOS is in accumulation and there are plenty of electrons at the surface that can go through the oxide. The same is true for high negative voltage when the MOS is inverted and there are holes at the semiconductor surface.

The two devices chosen from each sample are located in different areas. If the two graphs are close together it implies a homogeneous sample. Large variation in leakage current was observed for the plasma treated samples, while the reference sample without any plasma treatment shows lower leakage current. The dispersion of the other graphs, especially in Figure 6c & 6d, implies that the sample has an uneven distribution of impurities.

Generally, the current measured is abnormally high for these types of capacitors. At these potentials it should be at most some nA. The only sample that have acceptable leakage current is ZR31. It is possible that the pre-treatment to remove native oxides was not effective enough. If some native oxides are left on the surface before deposition it introduces impurities that enable tunneling of carriers. Another possibility is that the pre-treatments introduced additional impurities, since the reference sample performs best.
Figure 6: I-V plots of the four samples with 4 nm ZrO$_2$ on InAs. There are curves from two capacitors in each figure, one dashed and one solid. The curves are produced by sweeping the voltage up and down while measuring the current. By sweeping in both directions the hysteresis is seen.

The pre-treatment and ALD growth process were done at 300 °C for most samples. At this temperature it is possible that the ZrO$_2$ has crystallized. A crystallized structure forms pathways for carriers to travel through the material as discussed in the defects section. Such pathways enables high leakage current.

Leakage current drains the capacitor of its charge and given enough current it does not function as a capacitor. To investigate whether the high current was the sole cause of the flawed data new samples with thicker oxide were produced. A thicker oxide should in theory reduce leakage current.

Two samples with 6 nm and one with 4 nm thick ZrO$_2$ were produced using the same setup as the original ones (see Table 2). These samples exhibited the same behavior as their predecessors and did not provide any further explanation to the odd results.

Another yet uninvestigated step of the production chain was the ALD reactor. To
examine it, two samples with 7 nm aluminium oxide (Al$_2$O$_3$) were produced in two different ALDs. One in the Cambridge Fiji system and the other in a Savannah ALD system. The samples were not given any \textit{in situ} pre treatment. Al$_2$O$_3$ usually has lower leakage current than other high-\( \kappa \) oxides, it was used to further rule out the role of high leakage current.

The Al$_2$O$_3$ capacitor produced in the Fiji system displayed the same erroneous behavior as its predecessors. The Al$_2$O$_3$ capacitor fabricated in Savannah ALD, however, worked. This points to yet another possible source of the malfunctioning samples, see Section 4.2 for more analysis on these samples.

Finally the substrate was examined with AFM. The InAs was grown at the Lund Nano Lab by fellow researchers and they are produced in batches. The substrate examined by AFM was from the same batch as the ones used in production of the MOS capacitors. The result is seen in Figure 7.

In Figure 7 there are defects visible. It seems that the substrate has holes of roughly 80 nms depth scattered across the material. Such holes could impair the deposition of oxide in the ALD and thus introduce large defects. Whether or not this is the case is hard to determine. The functioning Al$_2$O$_3$ sample was also produced from the same substrates.

Altogether there are several possible sources of the defects leading to poor C-V behavior of the MOS capacitors. High leakage current could stem from ineffective pre-treatments, some anomaly in the ALD process, holes in the substrate, crystallization of the oxide or some combination of all four. However since the sample produced in the
Savannah ALD machine functioned it is likely that the deposition condition in the Fiji ALD machine is not stable compared to previous experiments.

4.2 C-V data of Al₂O₃ samples

As mentioned the sample with Al₂O₃ oxide grown in the Savannah machine functioned whereas the Fiji manufactured one did not. In Figure 8 C-V curves of the two are plotted side by side to illustrate the difference.

Figure 8: C-V plots of the two samples with 7 nm Al₂O₃ on InAs. Arrows indicate sweep direction. The frequencies not seen in the plot for ZR38 have values beyond the chosen axis.

The curves in Figure 8a bare no resemblance to the ideal curve in Figure 4, this is also generally how the Zr0₂ high leakage current capacitors behaved. Figure 8b, however, displays typical C-V curves for a InAs-high-κ MOS capacitor. The deviation from an ideal curve is explained by defects and material properties.

The dispersion of capacitance in accumulation is explained by border traps. In the 1 kHz measurement more carriers have time to tunnel into the border traps. More time also means that they can tunnel further into the oxide, thus there is an increased amount of traps put into play. Consequently the lower frequency has a higher accumulation capacitance.

The capacitance in accumulation is also well below the expected $C_{ox}$ value due to the low density of states in the InAs conduction band. The expected $C_{ox}$ for 7 nm Al₂O₃ is $1.14 \mu F/cm²$, calculated with E.q 4 where $\kappa = 9$ and the area excluded since it is given in $\mu F/cm²$.

The transition in capacitance as the voltage goes to zero is less abrupt compared to the ideal case. This voltage stretch-out is attributed to the presence of interface and border traps. In an ideal MOS capacitor the applied voltage is changing the charges
in the semiconductor, whereas in a non-ideal case the same voltage has to change both the trapped- and semiconductor charges. Thus the change in band bending is less in a non-ideal MOS capacitor. Consequently a larger range of voltage is needed to drive the capacitance from accumulation to inversion. The minimum of the curves is also shifted horizontally by the amount of flat band voltage induced by the occupied traps and fixed states.

In inversion the effect of high and low frequency measurements is visible. The high frequency measurement displays the lowest capacitance due to the diminished visibility of the minority carrier response, as discussed in Section 2.2.

The 1 MHz curve is used to determine the capacitance-voltage hysteresis. By measuring the capacitance in two sweep direction the hysteresis of the MOS is detected. The hysteresis is related to the amount of traps and mobile charges present in the device. Usually 1MHz C-V curve is used since at this high frequency the capacitance is less affected by interface trap response. A measure of C-V hysteresis is defined as $Q$ and is calculated by Eq. 5, where $C_{acc} = C_{ox}$ in normal capacitors.

$$Q = \frac{C_{acc}\Delta V}{q}$$  

Where $q$ is the elementary charge and $\Delta V$ the dispersion in voltage between the up and down sweep halfway between $C_{acc}$ and $C_{min}$. The hysteresis curve is seen in Figure 9.

![Figure 9: 1 MHz C-V curve for ZR39 with both sweep directions.](image)

The calculated value of $Q$ is $0.203 \cdot 10^{12} / \text{cm}^2$. The value was calculated with $\Delta V = 0.04V$, $C_{acc} = 0.811 \mu \text{F/cm}^2$. The obtained $Q$ value is good [11]. Normal values for the traps in a III-V semiconductor MOS capacitor lie around $10 \cdot 10^{12} / \text{cm}^2$. The low density of defects in the Savannah sample yet again points towards the Fiji ALD reactor as the source of the malfunctioning MOS capacitors.
5 Summary & Outlook

Four samples of MOS capacitors on InAs substrate with ZrO$_2$ deposited using a Cambridge Fiji Nano-Tech ALD reactor were produced. C-V and I-V measurements showed a high dispersion in capacitance and high leakage current through the oxide. This launched an investigation into the possible sources of the defective capacitors.

Three new samples of MOS capacitors on InAs substrate with thicker ZrO$_2$ were produced using the Fiji ALD reactor. These showed no improvement in performance. The lack of improvement prompted the production of two new samples with MOS capacitors on InAs with Al$_2$O$_3$ oxide. One sample used the Cambridge Fiji Nano-Tech ALD reactor and the other a Savannah system ALD.

C-V measurements on the Savannah system sample showed good characteristics and a low density of defects. Measurements on the Fiji system sample displayed the same behavior as its predecessors. This lead to the conclusion that the Cambridge Fiji Nano-Tech reactor was probably the source of the defective capacitors. The precise fault in the reactor is still unclear.

To properly assess the InAs/ZrO$_2$ capacitor, future experiments should take measures to control for anomalies in the in-situ pre-treatments and oxide deposition in the Cambridge Fiji Nano-Tech ALD reactor. The hope is that such a capacitor could be implemented in MOS based devices and thus allow for further advancements in the information technology industry.
References


