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## **Familial aggregation of lymphoplasmacytic lymphoma/Waldenström macroglobulinemia with solid tumors and myeloid malignancies**

**Running title:** Familial LPL/WM

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**Key words:** lymphoplasmacytic lymphoma/Waldenström macroglobulinemia, solid tumors, hematological malignancies, MGUS, familial aggregation, susceptibility, autoimmunity

## **Abstract**

Lymphoplasmacytic lymphoma (LPL)/Waldenström macroglobulinemia (WM) is a B-cell disorder resulting from the accumulation, predominantly in the bone marrow, of clonally related lymphoplasmacytic cells. LPL/WM is a very rare disease, with an incidence rate of 3 to 4 cases per million people per year. Currently, the causes of LPL/WM are poorly understood, however there are emerging data to support a role for immune-related factors in the pathogenesis of LPL/WM. In addition, data show that genetic factors are of importance in the etiology of LPL/WM. In this paper we will review the current knowledge about familiarity of LPL/WM and provide novel data on solid tumors and myeloid malignancies in first-degree relatives to LPL/WM patients.

## Introduction

Lymphoplasmacytic lymphoma (LPL)/Waldenström macroglobulinemia (WM) is a B-cell disorder resulting from the accumulation, predominantly in the bone marrow, of clonally related lymphoplasmacytic cells.[1,2] WM is a subset of LPL and can be distinguished clinically on the basis of a monoclonal IgM protein in serum.[1] LPL/WM is a very rare disease, with an incidence rate of 3 to 4 cases per million people per year.[3]

Currently, the causes of LPL/WM are poorly understood. The strongest risk factor for WM is the precursor condition monoclonal gammopathy of undetermined significance (MGUS) of the IgM class, which is associated with an average 1.5% annual risk of developing non-Hodgkin lymphomas.[4] There are emerging data to support a role for immune-related factors in the pathogenesis of LPL/WM.[5] In addition, data show that genetic factors are of importance in the etiology of LPL/WM.

## Familiality and LPL/WM

Familial clustering in LPL and WM has been observed in several studies.[6-8] In one study, including 257 WM patients, 19% of the patients had at least one first-degree relative affected with WM or another B-cell disorder, including non-Hodgkin lymphoma, multiple myeloma, chronic lymphocytic leukemia, MGUS, acute lymphoblastic leukemia, and Hodgkin lymphoma.[8] The authors also found patients with a familial history of WM or a plasma cell disorder to have greater bone marrow involvement. In a large study from Sweden, including 2,144 LPL/WM patients, 8,279 population-based matched controls, and linkable first-degree relatives of patients (n=6,177) and controls (n=24,609) we found first-degree relatives of LPL/WM patients to have a significantly increased risk of developing LPL/WM, other subtypes of non-Hodgkin lymphoma and MGUS, but not Hodgkin lymphoma or multiple myeloma, compared to first-degree relatives of controls (Table 1).[9] The excess

risks were similar among parents, siblings, and offspring, which favors the operation of dominant or co-dominant gene effects, rather than recessive genes. Together with previous studies,[10-12] our findings support a role for shared common susceptibility genes that predispose to LPL/WM and certain other lymphoproliferative disorders. Given the implications for future studies aimed at uncovering underlying susceptibility genes, it is important to define the spectrum of tumors associated with LPL/WM. We therefore analyzed risks myeloid hematological malignancies and solid tumors among first-degree relatives of LPL/WM patients, using the database described above. As shown in Table 2, first-degree relatives of LPL/WM patients did not have an increased risk of myeloid malignancies (RR=1.0; 95% CI 0.6-1.7), including acute myeloid leukemia, myelodysplastic syndromes, chronic myeloproliferative neoplasms and chronic myeloid leukemia. In addition, first-degree relatives of LPL/WM patients had no increase in risk of “any solid tumor” (RR=1.08 95% CI 0.98-1.19). Among the 28 solid tumors tested, relatives of LPL/WM patients had only a borderline significantly increased risk of pancreas cancer (RR=1.8; 95% CI 1.03-3.1; P=0.047). No significantly increased risk was found for any other solid tumor. Our finding of a significant increase in the risk of pancreas cancer has not been previously described and is of unclear significance. Due to the number of malignancies tested we believe that these results can probably be explained by multiple testing, and need to be confirmed in other series. Also, due to the inherent limitations of the Multigenerational Registry, we only have information on approximately 75% of first-degree relatives. However as the approach and completeness is the same in patients and controls, the risk of bias is minimal. Furthermore, Altieri et al found that a parental history of cancer of the stomach increased the familial risk of LPL, however we did not observe and increased risk of stomach cancer in our study.[13] Our findings suggest that there are differences in genetic susceptibility to LPL/WM and to myeloid malignancies or solid tumors.

## **Familiality, autoimmune diseases and LPL/WM**

A previous personal history of autoimmune disease has been shown to increase the risk of LPL/WM.[14] Recently, this also been investigated in family members of LPL and WM patients. In a study including 103 WM patients and 272 unaffected relatives from 35 multiple-case WM and 46 mixed WM/related B-cell disorders kindred as well as 28 sporadic WM patients, familial WM patients were more likely than unaffected relatives to report a history of autoimmune disease and infections.[15] Familial WM patients were also more likely to report exposure to farming, pesticides, wood dust, and organic solvents compared with unaffected family members. In a recent study based on 2,470 LPL/WM patients, 9,698 matched controls, and almost 30,000 first-degree relatives of cases and controls from Sweden, we analyzed whether personal or family history of a wide range of autoimmune, infectious, allergic, and inflammatory conditions were associated with LPL/WM.[14] A personal history of several autoimmune and other immune related disorders was associated with an increased risk of LPL/WM. Interestingly, a family history of Sjögren's syndrome, autoimmune hemolytic anemia, Guillain-Barré syndrome, cytomegalovirus, gingivitis and periodontitis, and chronic prostatitis was associated with an increased risk of LPL/WM.[14] Our findings that both personal and family history of Sjögren's syndrome or autoimmune hemolytic anemia were associated with increased risk of LPL/WM indicate that there might be some shared (genetic, environmental, or both) susceptibility for these conditions. Future work is needed to uncover underlying mechanisms of the observed associations. These studies provide novel information supporting the application of gene mapping and candidate gene approaches in high risk families and case-control studies.

## **Genetic studies and LPL/WM**

There are a number of gene candidates that could contribute to a susceptibility to LPL/WM and related conditions. For example, polymorphisms in lymphomagenesis, immune function and DNA repair genes have been found to be associated with an elevated risk of chronic lymphocytic leukemia[16], Hodgkin lymphoma[17], and non-Hodgkin lymphoma.[18] In a genomewide linkage analysis of 11 high-risk WM families (a total of 122 individuals, including 34 WM patients and 10 IgM MGUS patients) the strongest evidence of linkage was found on chromosomes 1q and 4q.[7] Other locations suggestive of linkage were found on chromosomes 3 and 6. Future work is needed to identify gene(s) that modulate susceptibility to LPL/WM.

## **Genetic anticipation**

Genetic anticipation refers to an earlier age at onset or increasing severity of a disease in successive generations. Trinucleotide repeat expansions explain anticipation in some diseases and epigenetic changes and abnormalities in telomeres have also been suggested as possible mechanisms that may contribute to anticipation. [19,20] In their study, Treon et al. showed that WM patients with a family history of WM or a plasma cell disorder were diagnosed at a younger age than sporadic WM cases.[8] In our large population-based study, we found that offspring of LPL/WM patients were diagnosed with LPL/WM at an earlier age than the parent group. However, the age at diagnosis of LPL/WM was similar in offspring of controls and of cases indicating that this difference is likely due to differences in follow-up time between generations and not explained by earlier diagnosis in children of parents with LPL/WM. This needs to be studied in more detail.

## **Summary and conclusions**

In summary, first-degree relatives to LPL/WM patients have an increased risk of several lymphoproliferative disorders, but not other malignancies. In addition, there is familial aggregation of some immune-related conditions. These results support the hypothesis that there are shared susceptibility genes that predispose to LPL/WM and other lymphoproliferative disorders. Future studies are needed to identify susceptibility genes and define the role of immune-related conditions, and their interaction, in the etiology of LPL/WM.



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## **AUTHOR CONTRIBUTIONS**

SY Kristinsson, M Björkholm, LR Goldin, and O Landgren designed the study. SY Kristinsson, M Björkholm, I Turesson, and O Landgren obtained data. LR Goldin performed all statistical analyses. All the authors were involved analyses and the interpretation of the results. SY Kristinsson, M Björkholm, I Turesson, and O Landgren initiated this work. SY Kristinsson wrote the report. All authors read, gave comments, and approved the final version of the manuscript. All the authors had full access to the data in the study and take responsibility for the integrity of the data and the accuracy of the data analysis.

**Table 1. Relative risk of lymphoproliferative disorders among first-degree relatives of LPL/WM patients[9]**

	<b>Relatives of LPL/WM patients (n=6177)</b>	<b>Relatives of LPL/WM controls (n=24609)</b>	<b>RR (95%CI)</b>
LPL/WM	10	2	20.0 (4.1-98.4)
Non-Hodgkin lymphoma	43	58	3.0 (2.0-4.4)
Chronic lymphocytic leukemia	16	19	3.4 (1.7-6.6)
Hodgkin lymphoma	4	21	0.8 (0.3-2.2)
Multiple myeloma	11	27	1.6 (0.8-3.2)
MGUS	5	4	5.0 (1.3-18.9)

Abbreviations: LPL=lymphoplasmacytic lymphoma, WM=Waldenström macroglobulinemia, MGUS=monoclonal gammopathy of undetermined significance, RR=relative risk, CI=confidence interval

**Table 2. Relative risk of solid tumors and myeloid malignancies among first-degree relatives of LPL/WM patients**

Tumor site	Risk among first-degree relatives of LPL/WM patients			
	Relatives of	Relatives of	RR (95%CI)*	P-value
	LPL/WM patients (n=6177)	LPL/WM controls (n=24609)		
Myeloid malignancies	18	71	1.0 (0.6-1.7)	1.00
Acute myeloid leukemia/ Myelodysplastic syndromes	9	21	1.7 (0.8-3.7)	0.17
Chronic myeloproliferative neoplasm	6	38	0.6 (0.3-1.5)	0.35
Chronic myeloid leukemia	3	12	1.0 (0.3-3.5)	1.00
Any solid tumor	581	2150	1.08 (0.98-1.19)	0.06
Buccal	6	34	0.7 (0.3-1.7)	0.55
Salivary gland	0	9	0	0.21
Esophageal	3	14	0.9 (0.2-3.0)	1.00
Stomach	18	49	1.5 (0.9-2.5)	0.17
Small intestines	4	6	2.7 (0.7-9.4)	0.12
Colon	31	129	1.0 (0.6-1.4)	0.92
Rectal	24	69	1.4 (0.9-2.2)	0.19
Liver	4	24	0.7 (0.2-1.9)	0.63
Gallbladder	3	10	1.2 (0.3-4.3)	0.73
Pancreas	18	40	1.8 (1.03-3.1)	0.047
Larynx	4	5	3.2 (0.9-11.9)	0.09
Lung	27	109	1.0 (0.6-1.5)	1.00
Renal	12	48	1.0 (0.5-1.9)	1.00
Bladder	13	72	0.7 (0.4-1.3)	0.34
Melanoma skin	41	126	1.3 (0.9-1.8)	0.15
Non-melanoma skin	36	130	1.1 (0.8-1.6)	0.62
Brain	20	59	1.4 (0.8-2.2)	0.26
Spinal cord	0	8	0	0.37
Thyroid	9	36	1.0 (0.5-2.1)	1.00
Bone	3	12	1.0 (0.3-3.5)	1.00
Connective tissue	4	14	1.1 (0.4-3.5)	0.77
Breast	86	338	1.0 (0.8-1.3)	0.85
Cervix	35	115	1.2 (0.8-1.8)	0.17
Uterus	13	80	0.7 (0.4-1.3)	0.87
Ovary	15	70	0.9 (0.5-1.5)	0.10
Vulva	2	10	0.8 (0.17-3.7)	0.72
Prostate	55	239	0.9 (0.7-1.2)	0.56
Testicular	11	28	1.6 (0.8-1.8)	0.17

\*All estimates were adjusted for sex of first-degree relative.

Abbreviations: LPL=lymphoplasmacytic lymphoma, WM=Waldenström macroglobulinemia, RR=relative risk, CI=confidence interval

Details of the study population have been described previously.[9] In brief, Sweden provides universal medical health care for the entire population, which is currently approximately 9 million people. Since 1958, all physicians, pathologists, and cytologists in Sweden have been obliged by law to report each case of cancer they diagnose or treat to the centralized nationwide Swedish Cancer Registry. In a validation study that focused on lymphoproliferative malignancies diagnosed from January 1, 1964, through December 31, 2003, we found the overall completeness and diagnostic accuracy of the registry to be greater than 90%. For WM, the diagnostic accuracy was 93%, but the completeness was 68%.[21] To compensate for the low completeness, we used parallel approaches to establish a nationwide LPL/WM cohort. First, we identified all LPL/WM patients who were diagnosed from January 1, 1958, through December 31, 2005, in the nationwide Swedish Cancer Registry. Second, we retrieved information on patients with incident LPL/WM through our national network including all major hematology or oncology units in Sweden. Third, we identified all patients reported in the Swedish Inpatient Registry, which captures information on individual patient-based discharge diagnosis and discharge listings from all inpatient care, with a very high coverage. For each LPL/WM patient, 4 population-based controls (matched by sex, year of birth, and county of residence) were chosen randomly from the Swedish

population database. All controls had to be alive at the time of LPL/WM diagnosis for the corresponding case and with no previous hematological malignancy at the date of the corresponding case's diagnosis.

Using the Swedish Multigenerational Registry[22], we obtained information on all first-degree relatives (parents, siblings, and offspring) of LPL/WM patients and controls. All LPL/WM patients and controls without linkable relatives were removed from the study. As a final step, we conducted record-linkages with the Swedish Cancer Registry, and a nationwide myeloproliferative disorders (MPN) cohort (described elsewhere[23]) to obtain information on myeloid hematological malignancies and solid tumors among first-degree relatives of LPL/WM patients and controls. The statistical methods have been described in detail previously.[24,25]

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