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A B S T R A C T

Current research on Parkinson’s disease (PD) pathogenesis requires relevant animal models that mimic the gradual and progressive development of neuronal dysfunction and degeneration that characterizes the disease. Polymorphisms in engrailed 1 (En1), a homeobox transcription factor that is crucial for both the development and survival of mesencephalic dopaminergic neurons, are associated with sporadic PD. This suggests that En1 mutant mice might be a promising candidate PD model. Indeed, a mouse that lacks one En1 allele exhibits decreased mitochondrial complex I activity and progressive midbrain dopamine neuron degeneration in adulthood, both features associated with PD. We aimed to further characterize the disease-like phenotype of these En1+/− mice with a focus on early neurodegenerative changes that can be utilized to score efficacy of future disease modifying studies. We observed early terminal defects in the dopaminergic nigrostriatal pathway in En1+/− mice. Several weeks before a significant loss of dopaminergic neurons in the substantia nigra could be detected, we found that striatal terminals expressing high levels of dopaminergic neuron markers TH, VMAT2, and DAT were dystrophic and swollen. Using transmission electron microscopy, we identified electron dense bodies consistent with abnormal autophagic vacuoles in these terminal swellings. In line with these findings, we detected an up-regulation of the mTOR pathway, concurrent with a down-regulation of the autophagic marker LC3B, in ventral midbrain and nigral dopaminergic neurons of the En1+/− mice. This supports the notion that autophagic protein degradation is reduced in the absence of one En1 allele. We imaged the nigrostriatal pathway using the CLARITY technique and observed many fragmented axons in the medial forebrain bundle of the En1+/− mice, consistent with axonal maintenance failure. Using in vivo electrochemistry, we found that nigrostriatal terminals in the dorsal striatum were severely deficient in dopamine release and reuptake. Our findings support a progressive retrograde degeneration of En1+/− nigrostriatal neurons, akin to what is suggested to occur in PD. We suggest that using the En1+/− mice as a model will provide further key insights into PD pathogenesis, and propose that axon terminal integrity and function can be utilized to estimate dopaminergic neuron health and efficacy of experimental PD therapies.

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Introduction

Parkinson’s disease (PD) is a progressive neurodegenerative disorder affecting multiple neuronal systems. Mitochondrial dysfunction, altered autophagy, and aggregation of α-synuclein are among the pathogenic events suggested to play key roles in the disorder (Sanchez-Perez et al., 2014).
2012; Schapira, 2011). Failure of nigrostriatal dopamine neurotransmission and degeneration of dopaminergic neurons in the substantia nigra are particularly prominent in PD and are believed to underlie the classic motor dysfunctions. Recent studies of patient autopsy material have suggested that the disease process is initiated at the level of the dopamine terminals in the striatum and that the neuronal death, i.e. loss of cell bodies in the substantia nigra occurs later (Burke and O’Malley, 2013; Kordower et al, 2013). Several rodent PD models have been used to explore different aspects of dopamine neuron degeneration (Bezard et al., 2013; Blesa et al., 2012). Chiefly among them are the toxin-based models, e.g. injections of 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine (MPTP) or 6-hydroxydopamine (6-OHDA), which cause failure of mitochondrial respiration and relatively rapid death of the dopaminergic neurons (Bezard et al., 2013). However, these models do not faithfully recreate the protracted degeneration of dopaminergic neurons suggested to occur in PD, which seems to start in the dopaminergic striatal terminals and culminate in the death of nigral neurons months to years later. We expect the models that mimic these features to be more relevant to PD.

Recent genetic analyses have implicated a human gene called engrailed1 (En1) in the pathogenesis of PD, reporting that a polymorphism of En1 might tentatively be associated with increased PD susceptibility (Fuchs et al., 2009). The En1 gene encodes a protein expressed both in developing and mature dopaminergic neurons (Le Pen et al., 2008; Sonnier et al., 2007). En1 is also involved in axon guidance (Brunet et al., 2005).Expression of En1 in the nigrostriatal dopamine system is likely to play a role in the degenerative process. Recent genetic analyses have implicated a human gene called engrailed1 (En1) in the pathogenesis of PD, reporting that a polymorphism of En1 might tentatively be associated with increased PD susceptibility (Fuchs et al., 2009). The En1 gene encodes a protein expressed both in developing and mature dopaminergic neurons (Le Pen et al., 2008; Sonnier et al., 2007). En1 is also involved in axon guidance (Brunet et al., 2005). Expression of En1 in the nigrostriatal dopamine system is likely to play a role in the degenerative process.

Progressive reduction of markers of dopamine neuron function in the nigrostriatal pathway of En1+/- mice

We performed an immunoblot analysis on midbrain tissues to monitor if TH protein levels mirrored the progressive loss of TH-positive neurons. We found that the TH levels in the En1+/- mice at 4, 16, and 24 weeks of age were 17–44% lower than those of the WT mice (Fig. 2D). A two-factor ANOVA analysis revealed significant genotype (F(1,36) = 116.5, p < 0.0001) and age (F(2,36) = 15.90, p < 0.0001) interaction effects (F(2,36) = 24.22, p < 0.0001). Additionally, the TH level in individually-sampled dopaminergic neurons was not different between the WT and En1+/- mice (data not shown, Student’s t-test, p > 0.05) at 4 weeks of age, indicating that TH downregulation is not evident at this young age. These results demonstrate that the En1+/- mice are born with a normal number of dopaminergic neurons, and display an age-dependent, slow, progressive loss of TH-positive cells in the substantia nigra.

We first set out to validate our transgenic mouse lines by analyzing the proper localization of dopamine neuronal markers. Mice heterozygous for En1 expressed the knock-in gene LacZ in the En1 locus. Thus, we used expression of the β-galactosidase (β-gal) protein as a reporter for En1-1acking cells in the En1+/- mutant mice. We found that the En1 locus was still active in adult mice, resulting in β-gal protein expression in the nigral tyrosine hydroxylase (TH)-positive dopaminergic neurons of the En1+/- mice. The wild-type (WT) mice, in contrast, did not express β-gal (Fig. 1A). We also used immunohistochemistry with an antibody that recognizes En1 (and its homolog En2), to confirm that En proteins were expressed in nigral dopaminergic neurons during adulthood (Fig. 1B). We used a double mutant dopamine neuron reporter line to facilitate monitoring of dopamine cell morphology and integrity by crossing WT and En1+/- mice to a reporter mouse expressing green fluorescent protein (GFP) under control of the TH promoter (Sawamoto et al., 2001). As expected, the majority of TH-positive cells in the midbrain expressed GFP in these mice (Fig. 1C).

To evaluate the effect of En1 knockout on the survival of midbrain dopaminergic neurons, we performed stereological counts of TH-immunopositive neurons in the substantia nigra of En1+/- and control WT littersmates at various ages (4, 16, and 24 weeks) (Fig. 2A). In agreement with a previous report (Sonnier et al., 2007), we observed no difference in the number of TH-positive nigral neurons between the groups at 4 weeks, but the number of TH neurons was significantly reduced in the En1+/- mice at 16 weeks (18%) and 24 weeks (40%) (Fig. 2B). To test whether loss of TH neuron number progressively increased with age, we performed a two-factor ANOVA analysis that revealed significant genotype (F(1,36) = 62.03, p < 0.0001), age (F(2,36) = 15.90, p < 0.0001) and interaction effects (F(2,36) = 24.22, p < 0.0001). Additionally, the TH level in individually-sampled dopaminergic neurons was not different between the WT and En1+/- mice (data not shown, Student’s t-test, p > 0.05) at 4 weeks of age, indicating that TH downregulation is not evident at this young age. These results demonstrate that the En1+/- mice are born with a normal number of dopaminergic neurons, and display an age-dependent, slow, progressive loss of TH-positive cells in the substantia nigra.

Progressive degeneration of dopaminergic neurons in En1+/- mice

We performed an immunoblot analysis on midbrain tissues to monitor if TH protein levels mirrored the progressive loss of TH-positive neurons. We found that the TH levels in the En1+/- mice at 4, 16, and 24 weeks of age were 17–44% lower than those of the WT mice (Fig. 2D). A two-factor ANOVA analysis revealed significant genotype (F(1,36) = 116.5, p < 0.0001) and age (F(2,36) = 7.448, p = 0.0024) effects, as well as genotype by age interaction (F(2,36) = 6.874, p = 0.0035). Tukey’s pairwise comparisons revealed significant differences between En1+/- 4 week- and 16 week-groups (p < 0.05), En1+/- 4 week- and 24 week-groups (p < 0.001), but not the En1+/- 16 week- and 24 week-groups. Consistent with this decline, we found that TH was reduced by 19–26% in the striatum at the same ages (Figs. 3B and C). ANOVA results indicated no age effect (F(1,31) = 1.12, p = 0.3392) on striatal TH levels in En1+/- mice. We also assayed the levels of dopamine transporter (DAT) in the striatum by immunoblotting and found that DAT was 22–50% lower in the En1+/- mice at those ages (Figs. 3B and D). A two-factor ANOVA revealed a main effect of genotype, indicating that DAT is decreased in the En1+/- mice (Fig. 3D). We then used HPLC to analyze striatal levels of dopamine and its metabolite 3,4-dihydroxyphenylacetic acid (DOPAC), specifically in the caudate and putamen region (Fig. 3A). At 4 weeks of age, the dopamine level in the striatum of the En1+/- mice was 27% lower than that in WT controls, and it was 46% lower by 24 weeks (Fig. 3E). The levels of DOPAC in the En1+/- mice were not significantly different from those of controls at 4 weeks of age, but by 24 weeks they were reduced by 39% (Fig. 3F). The ratio between the levels of dopamine and DOPAC showed an increase of 1.45-fold in the striatum of 4-week-old En1+/- mice relative to WT animals, indicating an increase in dopamine turnover, but there was no difference between the 24-week-old En1+/- and WT mice (Fig. 3G).

Early signs of nigrostriatal dopaminergic degeneration in axon terminals in the En1+/- mice

As described above, we found no reduction in the number of TH-immunoreactive nigral neurons in the En1+/- mice at 4 weeks of age,
A

β-gal  TH  Merge

WT

En1<sup>−/−</sup>

B

En1/2  TH  Merge

WT (10x)

WT (40x)

C

GFP  TH  Merge

TH-GFP:WT

TH-GFP:En1<sup>−/−</sup>
but TH and dopamine were all decreased in the En1+/− striatum by this time. This led us to consider the possibility that the degeneration of nigrostriatal neurons begins at the level of the striatal terminals in the En1+/− mice. Thus, we fluorescently labeled sections through the striatum of the En1+/− mice and TH–GFP; En1+/− mice and monitored striatal dopamine axon terminals in confocal stacks. In striatum sections from these mice we found abnormal TH and GFP co-labeled profiles that appeared to be spheroidal dystrophic terminals of nigrostriatal neurons. We did not observe such spheroids in WT mice (Fig. 4A). The axonal spheroids were also immunoreactive for vesicular monoamine transporter 2 (VMAT2) and DAT (Figs. 4B and 4C). This suggests that the dystrophic terminals belong to dopamine neurons that still are capable of expressing two key proteins known to eventually be depleted in degenerating dopaminergic neurons (Kordower et al., 2013). We did not detect the spheroids in the striatum of the En1+/− mice at postnatal day 3. A few spheroids could be detected as early as postnatal day 8 and our quantitative analysis revealed that the density of abnormal spheroids in the striatum progressively increased from 8 days, through 4 weeks and to 24 weeks of age (A two-factor ANOVA analysis revealed significant genotype (F(1,23) = 4327, P < 0.0001) and age (F(2,23) = 2164, P < 0.0001) effects, as well as genotype by age interaction (F(2,23) = 924, P < 0.0001) (Fig. 4E). To gain further insight into the nature of the dystrophic En1+/− striatal terminals, we performed ultrastructural analysis on 1 mm3 blocks of dorsal striatum from the 8-week-old mice. We observed enlarged axonal profiles displaying accumulations of mitochondria and electron-dense vacuoles in the En1+/− mice (Figs. 4F–G), suggesting a disturbed autophagic process. We did not observe such axonal profiles in electron micrographs from WT mice. In one En1+/− mouse, we measured the volume of 23 enlarged axonal profiles, which ranged from 1 μm3 to 92 μm3 (mean ± SEM = 25.2 ± 5.2 μm3), which is 2–200 times the size of a normal nigrostriatal dopamine neuron terminal (Pickel et al., 1981). We hypothesized that these large axonal spheroids are indicative of axonal degeneration. To further investigate an ongoing dopamine axonal degeneration in the nigrostriatal pathway of the En1+/− mice, we monitored dopamine axonal integrity in the median forebrain bundle in sagittal sections through 8-week-old brains. Indeed, we found that the TH-immunoreactive axons in the median forebrain bundle were frequently swollen and appeared fragmented (Fig. 4H). By applying the CLARITY technique on 1 mm-thick brain sagittal sections, we obtained a three-dimensional view of the pathological TH-positive fibers in the median forebrain bundle of 32-week-old WT and En1+/− mice. We observed numerous swollen and fragmented axons in the mutant mice (Fig. 4I and Supplementary videos 1–2), indicating ongoing axonal degeneration in the nigrostriatal pathway.
Up-regulation of the mTOR signaling pathway and reduction in the autophagic marker LC3B in the En1+/− mice

Autophagy and mTOR-regulated autophagy pathways have been implicated in numerous models of neurodegeneration (Pan et al., 2008; Son et al., 2012; Yamamoto and Yue, 2014). After observing an accumulation of numerous electron-dense autophagic vacuoles in the terminal spheroids, we hypothesized a possible alteration of mTOR signaling in the En1+/− dopamine neurons. We analyzed protein levels of mTOR signaling markers in the ventral midbrain of 16-week-old WT and the En1+/− mice by immunoblot. We found no difference in mTOR protein levels (Fig. 5A), but we observed a 2-fold increase in the nigral levels of phosphorylated (Ser 2448) mTOR (pmTOR) in the En1+/− mice (Figs. 5A and B). PmTOR, in turn, activates p70 S6 kinase. To monitor pmTOR and pS6 levels specifically in the substantia nigra, we performed immunohistochemistry and densitometric analyses. As expected, we found that pmTOR and pS6 levels were increased in the substantia nigra of the 16-week-old En1+/− mice (Figs. 5C and G). Consistent also with the upregulation of pmTOR, we observed increased (1.6-fold) phosphorylation (Ser 235/236) of S6, a downstream effector of the mTOR pathway (Figs. 5E and F). In contrast, the total S6 level of the En1+/− mice was not altered as compared to WT mice (Fig. 5E).

Double-immunohistochemical labeling for TH and pmTOR revealed an accumulation of pmTOR in nigral dopaminergic neurons in the En1+/− mice (Figs. 5C and D). Similarly, we observed increased expression of pS6 in caudal midbrain sections of the En1+/− mice (Fig. 5G and H). Taken together, these results suggest that the activation of mTOR signaling pathway is upregulated in nigral dopaminergic neurons of the En1+/− mice, implying that alterations in the mTOR-mediated regulation of autophagy might be involved in the degeneration of dopaminergic neurons. In order to determine whether the absence of one En1 allele also affected the expression of autophagic markers in the midbrain, we measured levels of the microtubule-associated protein light chain 3 (LC3B) by immunoblots on protein extracts from ventral midbrain of the 16-week-old mice (Fig. 5I). We found a reduction in both forms of the protein, LC3B-I and LC3B-II, with a significant decrease in LC3B-II/LC3-I ratio in midbrain of the En1+/− mice, indicative of a reduction in autophagosome formation (Fig. 5J). To monitor the presence of autophagosomes in dopaminergic neurons, we performed immunofluorescence co-labeling of LC3B and TH on 16 week WT and the En1+/−
midbrain sections (Fig. 5K). Quantification of the intensity of LC3B fluorescence and the number of LC3B-immunoreactive punctae present inside the nigral TH-positive neurons revealed that LC3B levels were reduced in dopaminergic neurons in the En1+/− mice (Figs. 5L, M).

 impaired prior to the loss of nigral dopaminergic neurons. To test this hypothesis, we used in vivo chronoamperometric recordings of dopamine release and reuptake in the striatum of the WT and En1+/− mice. Using an assembly of a carbon fiber for dopamine detection and a glass capillary for local KCl administration, we measured dopamine release and reuptake at six different positions (separated by 250 or 500 μm) along a tract running from the dorsal to the ventral striatum (Fig. 6A, left panel). We found that the KCl-induced dopamine release (Fig. 6B) and the subsequent dopamine reuptake (Fig. 6C) were similar in the 4-week-old WT and En1+/− mice. In contrast, the 16-week-old

**Impaired striatal dopamine neurotransmission in the En1+/− mice**

In light of the changes in dopamine neuron markers in the striatum of the young En1+/− mice and the early degeneration of nigrostriatal terminals, we hypothesized that nigrostriatal pathway function is impaired prior to the loss of nigral dopaminergic neurons. To test this hypothesis, we used in vivo chronoamperometric recordings of dopamine release and reuptake in the striatum of the WT and En1+/− mice. Using an assembly of a carbon fiber for dopamine detection and a glass capillary for local KCl administration, we measured dopamine release and reuptake at six different positions (separated by 250 or 500 μm) along a tract running from the dorsal to the ventral striatum (Fig. 6A, left panel). We found that the KCl-induced dopamine release (Fig. 6B) and the subsequent dopamine reuptake (Fig. 6C) were similar in the 4-week-old WT and En1+/− mice. In contrast, the 16-week-old
Fig. 5. Increased mTOR activation and reduction of autophagosome marker LC3II in ventral mesencephalon of En1+/− mice. A, Protein extracts from 16-week-old WT and En1+/− mice were analyzed by immunoblot for total mTOR and pmTOR in the ventral midbrain (n = 3 per group). Each lane represents independent samples. B, Densitometric analysis of pmTOR normalized to total mTOR. C, Substantia nigra sections of 16-week-old WT and En1+/− mice immunostained for TH and pmTOR. Inset pictures demonstrate overlap of signal of TH and pmTOR. Scale bar: 50 μm (10×) and 20 μm (40×). D, pS6 staining in the TH-positive cells (arbitrary units). E, Total S6 and pS6 proteins in the ventral midbrain (n = 3 per group). Each lane represents independent samples. F, pS6 densitometry normalized to total S6. Results are mean ± SEM from three mice per group. G, Substantia nigra sections of 16-week-old WT and En1+/− mice immunostained for TH and pS6. Inset pictures demonstrate overlap of signal of TH and pS6. H, Intensity of pS6 in TH neurons, A.U. Results are mean ± SEM from three mice per group. I-J, Immunoblot analysis of LC3B-I and LC3B-II levels in lysates from 16-week-old WT and En1+/− substantia nigra, *p < 0.05, n = 3 for WT and En1+/− groups. K, Images of substantia nigra regions of 16-week WT and En1+/− mice that have been fluorescently colabeled for TH and LC3B. Scale bar, 50 μm (10×) and 20 μm (40×). L, Quantification of the LC3B signal in TH-positive neurons in the substantia nigra, *p < 0.05, n = 3 per group. M, Quantification of distinct LC3B-labeled punctae in TH-positive neurons in the substantia nigra, ***p < 0.001, n = 3 per group.
En1+/− mice had dramatically reduced dopamine release and reuptake in the dorsal striatum. In the two most dorsal recording positions (positions 1 and 2 in Fig. 6A, left panel), the maximum amplitudes of KCl-evoked dopamine release were reduced by 88% and 53%, respectively, in the En1+/− mice relative to WT (Fig. 6D). Furthermore, in those positions, the En1+/− mice displayed a lower capacity to clear extracellular dopamine, 95% lower at position 1 and 68% lower at position 2 (Fig. 6E).
We next examined whether these results were due to an equivalent regional reduction in local dopamine tissue levels. We measured the tissue levels of dopamine and metabolites in four subregions of the striatum: dorso-medial (DM), dorso-lateral (DL), mid (M) and ventral (V), as shown in Fig. 6A, right panel. At 16 weeks of age, the En1+/− mice showed a 26% reduction in the levels of dopamine in the striatum overall. The reduction did not, however, differ significantly between the four subregions of the striatum (Fig. 6H). Thus, the dramatic reduction in dopamine release in the dorsal striatum seen in our in vivo electrochemistry experiments could not be explained by an equivalent regional decrease in tissue levels of dopamine. Similarly, HVA levels in all subregions of the En1+/− mice were reduced relative to WT controls, but there were no differences among subregions within the En1+/− striatum (Fig. 6H). In contrast, the tissue levels of DOPAC did not significantly differ between the mutant and WT mice for any of the striatal subregions (Fig. 6G). Together, our results suggest that the neurotransmission in the dopaminergic terminals is functionally impaired, specifically in the dorsal striatum of the En1+/− mice.

Discussion

We found that early stages of progressive degeneration of nigrostrial system in the En1+/− mice are detectable in the axonal terminals, several weeks before the loss of nigral dopaminergic neurons is evident. In agreement with previous studies (Sonnier et al., 2007), we observed that dopaminergic neurons were not lost during the first 4 postnatal weeks. However, as early as 8 days of age, we identified morphological abnormalities (i.e. swollen axon terminals, so called spheroids) in the nigrostrial pathway consistent with axonal degeneration. These changes persisted in the oldest mice we studied (32 weeks of age). The abnormal axonal spheroids, at 16 weeks, were immunoreactive for TH, VMAT2, and DAT suggesting that the degenerative process is still at an early stage in the particular neurons that exhibit these axonal changes. Analyzes of markers for dopaminergic neurons in striatal tissue samples provide additional evidence for changes occurring in nigrostrial terminals before the nigral neurons die. Thus, we found that the total striatal levels of TH, dopamine, and DAT were decreased before the number of nigral dopaminergic neurons was substantially reduced in the En1+/− mice. Recent reviews on the development of nigrostrial pathology in PD have emphasized that striatal terminal loss is an early neuropathological event in the disease (Burke and O’Malley, 2013; Cheng et al., 2010). The notion that the pathology starts in the terminal region is supported by a recent study in post-mortem PD brains showing that nigrostrial neurons exhibit dramatically reduced TH and DAT immunostaining in the striatum as early as within 4–5 years after onset of motor symptoms (Kordower et al., 2013). In contrast, the loss of melanin-containing dopaminergic neurons in the substantia nigra continues during the first decade following PD diagnosis. In short, those post-mortem findings in PD clearly agree with the idea that a loss of function or degeneration of nigrostrial terminals precedes cell death in the substantia nigra (Kordower et al., 2013).

Earlier literature has proposed that a Wallerian-type axonal degeneration could be a common feature of several progressive neurodegenerative disorders (Coleman and Perry, 2002; Yamamoto and Yue, 2014). Dystrophic axonal spheroids (rich in gamma-synuclein) have been described in the PD hippocampus (Galvin et al., 1999). A recent report suggesting that axonal transport fails in PD brain (Chu et al., 2012), supports the idea that axonal failure is an early feature of PD. Our results using the CLARITY technique in the En1+/− mice clearly demonstrate that individual axons in the nigrostrial pathway undergo fragmentation, which suggests failure of axonal maintenance. Indeed, multiple studies have reported that the En1 protein regulates axon growth and maintenance (Stettler et al., 2012; Wizenmann et al., 2009; Yoon et al., 2012). For example, En1 has been shown to stimulate axon growth and guidance in the retina and tectum by interacting with EphrinA proteins (Brunet et al., 2005; Wizenmann et al., 2009). The proposed molecular mechanism involves the regulation of axonal translation that is activated in the presence of En1 protein. Addition of En1, and its internalization into the axons of cultured retinal ganglion cells, leads to a rapid increase in translation of mitochondrial complex I proteins (Ndufs1, 2, 3) in axons with concomitant increases in ATP levels (Stettler et al., 2012). Another recent study showed that En1 regulates axonal translation of the mRNA of the type V intermediate filament lamin B2. Axonial Lamin B2 promotes mitochondrial function and axonal transport, and reduction in axonal lamin B2 mRNA translation in retinal ganglion cells leads to axonal degeneration (Yoon et al., 2012). Further studies are needed to define if axonal translation and axonal transport are impaired in nigrostrial dopamine neurons in the En1+/− mice.

Mitochondrial dysfunction has been implicated as a major contributor to PD pathogenesis (Exner et al., 2012). Deficiencies in the complex I subunit (both decreased activity and reduced protein levels) have been detected in the PD substantia nigra, linking them to idiopathic PD (Mizuno et al., 1989; Schapira et al., 1989, 1990). The En1+/− mouse exhibits decreased levels of mitochondrial proteins in the midbrain. Furthermore, dopamine neurons from the En1+/− mice exhibit heightened sensitivity to the neurotoxins 6-hydroxydopamine and MPP+, both of which are suggested to act on mitochondria (Alvarez-Fischer et al., 2011). Consistent with these observations, intracerebral infusions of En proteins result in increased mitochondrial protein translation and are neuroprotective in mitochondrial toxin-based models of PD in vivo (Alvarez-Fischer et al., 2011).

Based on the literature and our own findings, we propose that reduced En1 function initially causes energy failure in dopaminergic terminals. Due to the extensive axonal arborisations, nigrostrial neurons have been suggested to be particularly susceptible to partial energy failure (Pissadaki and Bolam, 2013). A reduction in energy production, coupled to impaired axonal transport and the accumulation of defective mitochondria requiring clearance by mitophagy, leads to failure of maintenance of dopaminergic axons, finally resulting in their disintegration. The resulting stress on the cell body, as well as a potential reduction of target-derived trophic support (Jackson-Lewis et al., 2000), lead to the eventual death of the neuron.

Recently, the concept that dopaminergic axons might undergo macroautophagy in PD has been discussed extensively (Burke and O’Malley, 2013). Compelling evidence suggests that neurodegeneration in PD involves dysregulation of autophagy, e.g., autophagosomes and protein aggregates have been observed in PD post-mortem brains (Malagelada et al., 2010). Different models show that impaired autophagy in dopaminergic neurons is coupled to aggregation of α-synuclein (Pan et al., 2008), akin to the Lewy aggregates found in dopamine neuron terminals in PD (Deleidi and Maetzler, 2012).

Upon the disrupted maturation of autophagosomes and the subsequent fusion to lysosomes, there is a buildup of immature autophagic vacuoles (Yang et al., 2013). In our ultrastructural analysis, we found that autophagic vacuoles accumulated in the En1+/− dopaminergic terminals. The protein LC3B exists in a cytosolic form (LC3-I) and a membrane-bound form (LC3-II), with the latter commonly used as a marker for autophagosomes. The abundance of LC3-I protein is indicative of the number of autophagosomes in a cell (Yamamoto and Yue, 2014). We found that LC3B levels are reduced in the ventral midbrain of the En1+/− mice. Furthermore, our immunohistochemical analysis at the cellular level revealed that the soma of the En1+/− dopaminergic neurons display a reduction in LC3B-immunoreactive punctae, suggestive of reduced autophagy in these cells. Using our immunohistochemistry protocol, we did not detect LC3B in the dopaminergic terminals in the striatum. However, our ultrastructural observations suggest that autophagic degradation is defective in the nigrostrial terminals of the En1+/− mice.

The mammalian target of rapamycin (mTOR) pathway, which normally acts by inhibiting autophagy mechanisms responsible for degradation and clearance of proteins, has also been directly implicated in PD (Burke and O’Malley, 2013; Laplante and Sabatini, 2012). Inhibition
of mTOR by the administration of rapamycin is neuroprotective in cell- and animal-models of PD (Malagelada et al., 2010). Rapamycin can also increase lysosomal levels in and attenuate death of dopaminergic neurons in another cell culture model of PD (Dehay et al., 2010). In the present study, we observed an up-regulation of p-mTOR and its target molecule pS6 inside the dopaminergic neurons of the En1+/− mice. This suggests that an imbalance in protein degradation contributes to the degeneration of the nigral En1+/− dopaminergic neurons, adding further validity to the En1+/− mouse as a PD model.

Our findings suggest that the loss of one En1 allele causes a functional decline in the subpopulation of dopaminergic neurons that primarily projects into the dorsal striatum. The recent work using a single cell analysis of gene expression has shown that gene expression patterns vary significantly among midbrain dopaminergic neurons in postnatal mice (Poulin et al., 2013). Interestingly, the transcript levels for En1 and En2 (which encodes a protein that has some overlapping functions with En1) are lower in the ventral substantia nigra relative to several other dopamine neuron populations in the nigra and ventral tegmental area of the WT mice (Poulin et al., in press). En proteins are not present at similar levels throughout the substantia nigra of adult mice. Whereas En1 expression is widespread throughout the substantia nigra, En2 is only expressed in a subset of nigral neurons. However, En2 transcript level was boosted in the substantia nigra of the En1-null mice and seems to compensate for loss of En1 function. To better understand the role of redundancy of En proteins, and selective vulnerability, it will be important to characterize the distribution and expression of En1 and En2 transcripts and proteins in subregions of the substantia nigra of the adult En1−/− mice. It is also possible that different subsets of midbrain dopaminergic neurons differ in their dependence on En1. For example, Veenvliet and colleagues have found that in En1-null embryos, TH and DAT are decreased in the rostrolateral region of the midbrain but are not altered in the caudal midbrain (Veenvliet et al., 2013). Further studies are needed to define the differential vulnerability of subsets of midbrain dopaminergic neurons to degeneration following reductions of En1 protein and to define whether the most susceptible nigral neurons are analogous to those that degenerate first in PD.

Our results also revealed a striking defect in striatal dopamine neurotransmission in the En1+/− mice. In the dorsal striatum of 16-week-old mice (but not 4-week-old mice), we observed a 88% reduction in evoked dopamine release and a 95% reduction in clearance of extracellular dopamine by DAT. Reductions in DAT function are also a feature of early PD, as demonstrated using DAT-SPECT (Kagi et al., 2010). The striatal tissue level of dopamine was also reduced in the En1+/− mice at the same age. This reduction was, however, modest (around 26%) and could not explain the severe changes in dopamine neurotransmission that we observed. The overexpression of α-synuclein in PD mouse models suggests that accumulation of α-synuclein impairs dopamine neurotransmission by reducing vesicle load and reducing dopamine re-lease and reuptake (Hansen et al., 2013; Lundblad et al., 2012). We did not observe any obvious α-synuclein accumulation or aggregates in the En1+/− mice (data not shown). Thus, α-synuclein accumulation and/or aggregation do not seem to explain the deficits in dopamine neurotransmission in this PD model. Indeed, the En1 double-null mice reportedly show decreased α-synuclein levels in midbrain dopaminergic neurons, suggesting that En1 normally would enhance α-synuclein levels,possibly as part of a process regulating axon growth (Simon et al., 2001).

En1 protein is required for the specification and maturation of dopaminergic neurons during development (Simon et al., 2001). The observation that the En1−/− mouse exhibits a full quota of nigral dopaminergic neurons at birth, and initially displays normal dopamine signaling, excludes dopaminergic loss due to a developmental effect. In concordance with other proteins (e.g. Pitx3 and Nurr1) that govern dopaminergic neuron development together with En1, the expression of En1 continues during adulthood (Simon et al, 2001) and is required for the maintenance of axonal integrity and dopaminergic neuron survival. Interestingly, mutations in the Nurr1 and Pitx3 genes also associate to PD (Fuchs et al., 2009; Le et al., 2003). Similarly to the En1+/− mice, conditional knockout mice where Nurr1 is ablated in dopaminergic neurons during adulthood exhibit progressive dopaminergic neuron pathology, show progressive development of TH-positive dystrophic dendrites, and reduction of striatal dopamine (Decressac et al., 2012; Kadkhodaei et al., 2013).

In conclusion, we report that the loss of En1 function in the mice causes axonal degeneration that precedes dopamine cell death. Several features we have observed suggest that the nigrostriatal axons die back slowly, causing eventual nigral cell death in a process involving autophagy. An important additional characteristic of our mouse model is severe impairment in dopamine neurotransmission in the dorsal striatum. The protracted course of axonal degeneration and nigral cell death in the En1+/− mouse already makes it very suitable for studies on potential neuroprotective agents. In the future, it will be useful to explore how the degeneration of the nigrostriatal pathway in the En1+/− mice responds to situations when α-synuclein levels are elevated experimentally.

**Materials and methods**

**Animals**

The mice were treated in accordance with the Guide for the Care and Use of Laboratory animals (US National Institutes of Health) and with approval of the Van Andel Research Institute’s IACUC. The En1 heterozygous mice were generated as described earlier and were maintained on an OF1 genetic background (Somnier et al., 2007). They express the LacZ gene downstream of the En1 promoter, which drives the expression of β-galactosidase (β-gal). The mutant TH–GFP mice were also generated as described above and maintained on a C57BL/6J genetic background (Sawamoto et al., 2001). The TH–GFP mutant mice were backcrossed at least eight generations to OF1 mice, before being crossed with the En1+/− mice to generate the double mutant TH–GFP; En1+/− animals.

**Immunohistochemistry (IHC)/immunofluorescence (IF)**

The mice were anesthetized with pentobarbital and perfused intracardially with 4% paraformaldehyde (PFA). Intact brains were removed and post-fixed overnight in the PFA solution before being equilibrated in 30% sucrose. Brains were serially (6) sectioned into 30- to 40-μm thick coronal and sagittal sections for IF and IHC.

For TH IHC, free-floating sections were washed with 0.1 M PBS (pH 7.4), incubated with 3% H2O2 for 10 min to quench endogenous peroxidase activity, and were blocked for 1 h with 10% normal goat serum and 0.25% Triton X-100. Next, the sections were incubated in 3% H2O2 for 10 min to quench endogenous peroxidase activity, and were blocked for 1 h with 10% normal goat serum and 0.25% Triton X-100. In the TH primary antibody (1:1500, Pel-freez Biologicals, USA). The next, the sections were washed with 0.1% Triton X in PBS and incubated with a biotinylated goat anti-rabbit secondary antibody (1:500, Vector Laboratories, USA) for 1 h at room temperature. The intensity signal was then amplified by incubating the sections with the Avidin/Biotin ABC reagent (Vector Laboratories, USA). Finally, the immunostaining was revealed using the chromogen diaminobenzidine (DAB), producing a brown color visible in bright-field light microscopy. Sections were dehydrated in increasing ethanol concentrations, cleared in xylene, and mounted on slides using DPX medium (Electron Microscopy Sciences, USA).

For double-labeling IF, the H2O2 quenching step was omitted and the sections were directly blocked with normal goat serum before incubation overnight at 4 °C with the following primary antibodies: TH (1:1500, Pel-Freeze Biologicals, USA); GFP (1:500, Abcam, USA); β-gal (1:500, Abcam, USA); TH (1:1500, Chemicon, USA); p-mTOR (1:200, Cell signaling, USA); p-S6 (1:400, Cell signaling, USA); LC3B (1:1500, Cell signaling, USA) or En1/2 (1:1000, produced in Alain Prochiantz’s laboratory). The secondary antibodies goat anti-rabbit and goat anti-mouse coupled to Alexa 488 or 594 (1:500, Jackson ImmunoResearch,
USA) were used. Nuclei were stained by DAPI (Sigma, USA). The sections were mounted with ProLong Gold antifade medium (Life Technologies, USA) and coverslipped. Sections were viewed under a NIKON eclipse Ni-U fluorescence microscope (NIKON, USA); images were captured with a Retiga Exi digital camera using NIS Elements AR 4.00.08 software (NIKON, USA). Confocal imaging was performed with a NIKON Eclipse Ti-E inverted microscope (NIKON, USA), followed by deconvolution techniques using Huygens software (Scientific Volume Imaging, USA).

Measurement of fluorescence intensity and densitometry

A total of two sections per brain containing the substantia nigra region and three mice per group were stained with antibodies directed against TH, pmTOR, pS6, and LC3B as mentioned above. After immunofluorescence or histochemical staining, four 40× images were taken from each section and the mean intensity of fluorescence of pmTOR, pS6, LC3B, and TH in TH-positive neurons, as well as counting of LC3B immunoreactive punctae in TH-positive neurons in substantia nigra were quantified using NIS Elements AR 4.00.08 software (NIKON, USA).

CLARITY

Thirty-two-week-old WT and En1+/− mice were perfused with hydrogel monomers solution, as described earlier (Chung et al., 2013). The brains were extracted and stored in the hydrogel monomers solution for 3 days at 4 °C, followed by hydrogel tissue embedding at 37 °C for 3 h. The brain hemispheres were separated and processed individually. The samples were incubated in a clearing solution (which contained sodium borate buffer [200 mM (pH 8.5) and 4% SDS]), at 37 °C with gentle rotation for 5–6 weeks. Once the samples were clarified, they were washed for 2 days with PBS plus 0.1% Triton X (PBS-T).

For TH immunostaining, clarified brain samples were incubated at 37 °C with gentle rotation for one week with the primary antibody (1:50, Calbiochem, USA) diluted in PBS-T, followed by 3 days of washes with PBS-T at 37 °C. The samples were then incubated with the secondary antibody, goat anti-rabbit coupled to Alexa 594 (1:200, Jackson ImmunoResearch, USA) diluted in PBS-T, at 37 °C for one week; then they were washed with PBS-T at 37 °C for 3 days. Before confocal imaging, samples were mounted on coverglass-bottomed dishes (Willco) with FocusClear (CellExplorer) and allowed to equilibrate overnight at room temperature. The clarified samples were then imaged using an inverted Nikon A1-Rsi confocal microscope with a 10X, 0.3 NA air objective and a 20×, 0.75 NA multi-immersion objective with 85% glycerol lens immersion. Image stacks were acquired with a Z-step spacing of 0.85 μm. The lateral and axial imaging resolution was determined by a Nyquist sampling calculator, and all images were deconvolved using Huygens Pro (Scientific Volume Imaging, Netherlands).

Stereological estimation of dopaminergic neurons in the substantia nigra

The dopaminergic neurons of the WT and En1+/− mice were identified after TH DAB staining of midbrain regions with a 2× objective and the substantia nigra region was outlined based on the Allen mouse brain atlas. Quantitative estimation of TH-positive neurons was performed in every 6th section of the substantia nigra. Briefly, the unbiased sampling and blinded stereological counting were done using the optical fractionator probe in Stereo Investigator software (MBF Bioscience, USA). The parameters used include a 60× oil objective, a counting frame size of 60 × 60, a sampling site of 100 × 100, a dissector height of 12 μm, 2 μm guard zones and the Schmitz–Hof’s second estimated coefficient error less than 0.1. A total of 6 to 7 animals per group were used and 5 to 8 sections per animal were counted.

Quantification of the TH-positive dystrophic terminals

After TH immunostaining of 40-μm-thick striatal sections, one section per mouse (n = 4–5 animals per group) at position bregma 0.7–0.9 mm (Paxinos mouse atlas) was imaged with a 40× objective covering in average 10 fields of view in the striatum. Automated counting of degenerating profiles was performed using the Image J plugin “Analyze particles” for a total of 38–45 sampling sites of 375 μm × 375 μm in size. For morphological criteria of insertion, positive dystrophic terminals were thresholded for a minimum size of 3 μm² and a circularity index of >0.8. By setting the parameters, we ensured that segmented degenerating profiles were counted without counting axons or dendrites.

In vivo electrochemistry

Highspeed chronoamperometric recordings were made using FAST-16mKllr software and hardware (Quanteon, L.L.C. Nicholasville, KY, USA) fitted with carbon fiber electrodes (fiber diameter 30 μm; exposed length 120–150 μm, type SPPF1a, Quanteon, USA) as previously described in (Lundblad et al., 2012). In short, a square wave potential was applied (+0.55 V; resting 0.0 V vs. Ag/AgCl reference) and the resulting oxidation and subsequent reduction currents collected by the carbon fiber electrodes were integrated during the final 80% of each 100-ms pulse. Oxidation and reduction currents were continually recorded and integrated over 0.5 s (2 Hz recording). Electrodes were then dried in dry oven at 200 °C, dipped 1 s in 5% Nafion (Sigma, Sweden), and placed back in oven at 200 °C for 5 min. This procedure was repeated three times. Nafion coating was used to prevent detection of anionic substances and thus increase the sensitivity for dopamine. Each electrode was calibrated individually against fixed 2 μM increments of dopamine in 0.1 M PBS solution (pH 7.4) at room temperature. Selectivity against ascorbic acid was determined as the ratio of oxidation current response to ascorbic acid and dopamine. Limit of detection was less than 47 nM for all electrodes used, linearity of response was r2 > 0.998 and selectivity was greater than 339:1 for dopamine against ascorbic acid.

Each electrode was fitted with a glass capillary (outer diameter 20–25 μm) connected to a micropressure system (Parker Picospritzer III, Aldax, Sweden) for local application of 100–120 nl KCl (120 mM). The distance between the tip of the electrode and the tip of the glass capillary was set to 90–110 μm and the ejected KCl volume was determined by movement of the meniscus in the glass capillary and measured using a stereo microscope fitted with a reticle. An Ag/AgCl electrode used as a reference electrode in vivo was prepared by electroplating a Ag wire in 1 M HCl solution saturated with NaCl for at least 30 min.

Recordings were made bilaterally from striatum from all animals at the coordinates AP: +0.5 mm; L: ±2.0 at 6 different depths. To enable measurement from the most dorsal part of striatum, KCl was ejected at −1.5 mm DV coordinate and moved down 250 μm until dopamine release (peak amplitude >0.2 μM) was obtained. The first dopamine release depth (peak amplitude >0.3 μM) was considered the first in the series of 6 depth coordinates. From the first coordinate in the striatum the electrode was lowered in three 250-μm increments and then two increments of 500 μm.

Recordings were made at 4 or 16 weeks of age for the WT and En1+/− mice. The animals were anesthetized using isoflurane anesthesia (4% in induction chamber, 1.5% in the stereotaxic frame throughout the recording session) and positioned in a stereotaxic frame. Body temperature was maintained at 37 °C with a constant temperature heating pad connected to a thermastically controlled water pump (Micro-Temp LT, USA). The skull and dura were removed bilaterally above the recording sites. The electrode/glass capillary assembly was lowered into the brain to the first recording coordinate using a micromanipulator (Narishige MO-10, Japan) and left for at least 30 min to provide a baseline. A fixed volume of KCl (100 nl ± 10 nl) was ejected at each recording position. Data from the two hemispheres were averaged on each recording point. Due to technical limitations...
issues, for five animals, data is available from only one of the two hemispheres (En1+/−, 4-week group: 3 animals; WT 16-week group: 2 animals).

All parameters of the amperometric data were calculated using FAST analysis version 4.0 for Mac (Quanteon LLC, USA). Peak amplitude was calculated as the difference of (maximum dopamine concentration after KCl injection)−(baseline prior to KCl injection). Clearance (Tc) is defined as the linear rate of concentration decrease (μM/s) between T90 (time when signal amplitude is 60% of peak amplitude) and T40 (time when signal amplitude is 40% of peak amplitude).

ImmunobLOTS

The animals were euthanized by rapid decapitation, and brains were then quickly removed and dissected to collect striata and substantia nigra regions. The tissues were stored at −80 °C until use. Total proteins were extracted in RIPA buffer supplemented with protease inhibitor cocktail (Sigma, USA), phosphatase inhibitors (3 mM sodium pyrophosphate, 10 mM sodium fluoride, 2 mM sodium orthovanadate), and 1 mM PMSF. The lysates were centrifuged at 15,000 × g for 20 min at 4 °C and the supernatants were used in immunoblotting. After protein quantitation (BCA assay kit, Pierce, USA), proteins were separated by SDS-PAGE using 4–15% gradient gels (Biorad, USA) and transferred to nitrocellulose membrane. Blots were blocked with 5% dry milk diluted in 0.1 M TBS/0.1% Tween-20 and were incubated overnight at 4 °C, with primary antibodies [TH, 1:1500, Pel Freez Biologicals, USA; DAT, 1:1000; pmTOR (Ser2448), 1:1000; mTOR, 1:1000; p56 ribosomal protein (Ser235/236), 1:1000; S6 ribosomal protein, 1:2000; LC3B, 1:1000, Cell Signaling, USA] diluted in a solution of 0.1 M TBS, 0.1% Tween-20, 5% BSA. Incubations with secondary antibodies goat-anti-rabbit IgG (H&L) [Cell Signaling, USA] diluted in a solution of 0.1 M TBS, 0.1% Tween-20, 5% BSA were performed using the Licor Odyssey system and quantified with Image J software. Later, blots were stripped with the Restore Western Blot Stripping Buffer (Thermo Scientific, USA) and rebotted for β-Actin (1:10000, Abcam, USA).

Transmission electron microscopy

The mice were perfused with 2.5% glutaraldehyde/4% PFA in 0.1 M sodium cacodylate buffer. Using a vibratome, 1-mm² blocks were cut from the dorsal lateral striatum and post-fixed for at least 24 h. The tissues were then processed through various treatments that revealed contrast between cellular compartments. The sections were first incubated in more specialized fixative solutions. They were then post-fixed with 0.1% tannic acid for 1 h at room temperature. After washes with 0.1 M cacodylate buffer, the samples were incubated with the second post-fixative solution containing osmium tetroxide and potassium ferrocyanide at room temperature for 2 h. Then, the samples were ready to be stained and were first treated for 30 min with a solution of thiocarbohydrazide, which deposited an opaque layer of electrons to increase membrane contrast. The second staining step was incubation with 2% osmium tetroxide for 60 min at room temperature, which also increased contrast of extracellular compartments.

Next, sections were incubated overnight at 4 °C with the primary stain solution of 1% uranyl acetate, a heavy metal salt that stains nucleic acids and proteins. After washing with water, the sections were incubated with secondary antibody, lead aspartate, to increase intracellular contrast. The second staining step was incubation with 2% uranyl acetate for 1 h at room temperature. The staining process consist of mounting of the sections in a mold full with epon and curing at 60 °C for 48 h.

Serial blockface images (80 nm thick slices) were obtained with a Zeiss Sigma VP scanning electron microscope equipped with a Gatan 3View in-chamber ultramicrotome, at a resolution of 5 nm per pixel. The analysis of the images and annotation were performed with ImageJ.

HPLC

Extracellular dopamine and its metabolites were measured by our previously published HPLC technique (Spieles-Engemann et al., 2010) on either the entire striatum tissue (caudate and putamen regions) or on micropunches from different striatal subregions. The micropunches were dissected from the tissue at a 1-mm diameter. Next, the samples to analyze were homogenized in an antioxidant buffer (0.4 N perchlorate, 1.343 mM EDTA and 0.526 mM sodium metabsilulfite). After centrifugation, a fraction of the supernatants containing the cytoplasmic proteins was run on the HPLC machine, while another fraction was used to assess protein concentration. A Microsorb MV C-18 column (5 Am, 4.6 250 mm, Varian, Walnut Creek, CA) was used to separate the neurotransmitter from its metabolites, which were detected using a 12-channel coulometric array detector (CoulArray 5200, ESA, Chelmsford, MA) attached to a Waters 2695 Solvent Delivery System (Waters, Milford, MA) under the following conditions: flow rate of 1 ml/min; detection potentials of 50, 175, 400 and 525 mV; and scrubbing potential of 650 mV. The mobile phase was 10% methanol containing 21 g/L (0.1 M) citric acid, 10.65 g/L (0.075 M) Na2HPO4, 176 mg/L (0.8 M) heptanesulfonic acid, and 36 mg/L (0.097 mM) EDTA at a pH of 4.1. Dopamine, DOPAC, and HVA levels were normalized to the amounts of proteins and reported as “ng/mg protein”.

Statistical analysis

Statistical analyses were calculated using GraphPad Prism, version 6.0d. For comparisons between the WT and En1+/− groups for immunoblot band intensities, stereotooling count, fluorescence intensity, and HPLC data analyses, p values were determined after statistical unpaired t-tests were performed, followed by Welch’s correction. For establishing the effects of genotype and anatomical region on in vivo electrochemistry and HPLC data from striatal punches, as well as genotype and age effects on stereological quantitation of TH-positive neurons, protein levels of TH and DAT, and counting of axonal swellings, we performed two-factor ANOVA analyses, followed by Tukey’s multiple comparison tests.

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