

# LUND UNIVERSITY

### Determinants of Falls and Fear of Falling in Ambulatory Persons With Late Effects of Polio

Brogårdh, Christina; Flansbjer, Ulla Britt; Lexell, Jan

Published in: PM and R

DOI: 10.1016/j.pmrj.2016.08.006

2017

Document Version: Peer reviewed version (aka post-print)

Link to publication

Citation for published version (APA): Brogårdh, C., Flansbjer, U. B., & Léxell, J. (2017). Determinants of Falls and Fear of Falling in Ambulatory Persons With Late Effects of Polio. PM and R, 9(5), 455-463. https://doi.org/10.1016/j.pmrj.2016.08.006

Total number of authors: 3

Creative Commons License: CC BY-NC-ND

#### **General rights**

Unless other specific re-use rights are stated the following general rights apply:

- Copyright and moral rights for the publications made accessible in the public portal are retained by the authors and/or other copyright owners and it is a condition of accessing publications that users recognise and abide by the
- legal requirements associated with these rights

· Users may download and print one copy of any publication from the public portal for the purpose of private study or research.
You may not further distribute the material or use it for any profit-making activity or commercial gain
You may freely distribute the URL identifying the publication in the public portal

Read more about Creative commons licenses: https://creativecommons.org/licenses/

#### Take down policy

If you believe that this document breaches copyright please contact us providing details, and we will remove access to the work immediately and investigate your claim.

#### LUND UNIVERSITY

**PO Box 117** 221 00 Lund +46 46-222 00 00

2	Determinants of falls and fear of falling in
3	ambulatory persons with late effects of polio
Л	
4	
6	Christina Brogårdh, RPT, PhD <sup>1, 2</sup> , Ulla-Britt Flansbjer <sup>1</sup> and Jan Lexell, MD, PhD <sup>1, 2,3</sup>
7	<b>o</b> , , , , <b>v</b> , , ,
8	<sup>1</sup> Department of Health Sciences, Lund University, Lund, Sweden, and
9	<sup>2</sup> Department of Rehabilitation Medicine, Skåne University Hospital, Lund, Sweden
10	<sup>3</sup> Department of Health Science, Luleå University of Technology, Luleå, Sweden
11	
12	
13	Running title: Determinants of falls and fear of falling in persons with prior polio
14	
15	
16	Corresponding address: Christina Brogårdh, RPT, PhD, Physiotherapy Research Group,
17	Department of Health Sciences, Box 157, Lund University, SE-221 00 Lund, Sweden.
18	Phone: (+46) 766 48 63 20. E-mail: christina.brogardh@med.lu.se
19	
20	Acknowledgements
21	The authors are grateful to the persons who volunteered to participate. Valuable statistical
22	advice was given by Jonas Björk, Professor in Epidemiology, Department of Occupational
23	and Environmental Medicine, Lund University. The study was prepared within the context of
24	the Centre for Ageing and Supportive Environments (CASE) at Lund University, supported
25	by grants from Skane county council's research and development foundation, Alfred
26	Österlunds Foundation, Stiftelsen för bistånd åt rörelsehindrade i Skåne, Norrbacka-Eugenia
27	Foundation and Promobilia Foundation.
28	
29	
30	

31

#### 32 Abstract

Background: Falls and fear of falling (FOF) are common in persons with late effects of polio
but there is limited knowledge of associated factors.

35 **Objective:** To determine how knee muscle strength, dynamic balance and gait performance

36 (adjusted for gender, age and BMI) are associated with falls and FOF in persons with late

- 37 effects of polio.
- **Design:** A cross-sectional study.
- 39 Setting: A university hospital outpatient clinic.

40 **Participants:** Eighty-one ambulatory persons with verified late effects of polio (43 men;

41 mean age 67 years).

42 Main Outcome Measurements: Number of falls the past year, Falls Efficacy Scale –

43 International (FES-I) to assess FOF, a Biodex dynamometer to measure knee muscle strength,

the Timed Up and Go (TUG) test to assess dynamic balance and the Six Minute Walk test

45 (6MWT) to assess gait performance. Univariate and multivariate logistic regression analyses

were used for falls (categorical data) and linear regression analyses for FOF (continuous data)
as dependent variables.

48 **Results:** Fifty-nine % reported at least one fall during the past year and 79% experienced

49 FOF. Reduced knee muscle strength in the more affected limb and gait performance were

50 determinants of falls. An increase of 10 Nm in knee flexor and knee extensor strength

reduced the OR between 0.70 and 0.83 (P=.01), and an increase of 100 meter in 6MWT

reduced the OR to 0.41 (*P*=.001). All factors were determinants of FOF; reduced knee muscle

strength in the more and less affected limbs explained 17% to 25% of the variance in FOF,

54 dynamic balance 30% and gait performance 41%. Gender, age and BMI only marginally

55 influenced the results.

56 Conclusions: Reduced gait performance, knee muscle strength and dynamic balance are to a 57 varying degree determinants of falls and FOF in ambulatory persons with late effects of 58 polio. Future studies need to evaluate if rehabilitation programs targeting these factors can 59 reduce falls and FOF in this population.

60

Key words: Accidental falls; postural balance; muscle strength; postpoliomyelitis syndrome;
walking

#### 64 Introduction

65 Decades after an acute poliomyelitis infection, many people experience new symptoms and

66 impairments, referred to as late effects of polio or post-polio syndrome [1]. These

67 impairments, i.e. reduced muscle strength, muscle fatigue, general fatigue and musculo-

skeletal pain [2-5], can lead to decreased balance, walking limitations [6-9] and increase the

risk of falls [10]. Studies have shown that between 50% and 84% of persons affected by late

ro effects of polio report at least one fall during a year [10-16]. This is considerably higher than

in non-disabled elderly people, where the fall frequency is about 20% to 40% [17]. The

occurrence of falls in persons with late effects of polio is most commonly reported outdoors

73 [10, 16] and when walking [10, 12, 16]. Many sustain an injury because of the falls and up to

74 35% a limb fracture [10, 11, 13, 14, 16].

The experience of falls can also lead to fear of falling (FOF). It has been shown that up to 95% of persons with late effects of polio express FOF when performing daily activities [10, 11, 14, 15, 18], especially activities related to walking [10, 15]. This, in turn, can affect their quality of life negatively [18].

Even if falls and FOF are common in persons with late effects of polio, there is 79 overall limited knowledge which factors are associated with falls and FOF in this population. 80 Factors shown to be associated with falls are reduced ankle dorsiflexor muscle strength [11, 81 82 19], decreased postural control [14, 19], FOF [14] and leg-length discrepancy [16]. Muscle weakness in the knee extensors in the most affected leg was associated with falls in one study 83 [14], but this could not be confirmed in another [16]. Thus, the limited knowledge of how 84 knee muscle strength and gait performance are associated with falls in persons with late 85 86 effects of polio underscore the need for further studies. Moreover, to the best of our knowledge, no study has comprehensively evaluated factors that are determinants of FOF in 87 this population. 88

In a previous study of 325 persons with late effects of polio [10] we showed 89 that self-reported impairments, self-perceived walking limitations and FOF were greater 90 among the fallers than the non-fallers. A subgroup of 81 participants from that study [10] was 91 92 also assessed with regard to knee muscle strength, dynamic balance and gait performance. The aim of the present study is to determine the association between these factors. Our 93 94 hypothesis was that reduced muscle strength, dynamic balance and gait performance 95 (adjusted for gender, age and BMI) are determinants of falls and FOF in ambulatory persons with late effects of polio. 96

#### 97 Materials and Methods

#### 98 *Participants*

From our previous cohort of 325 participants with late effects of polio [10], 102 persons were 99 randomly invited to participate in the present study. Eighty-one persons accepted the 100 invitation (response rate 79%) and met the following inclusion criteria: (i) a confirmed 101 history of acute poliomyelitis affecting their lower limbs; (ii) a period of recovery and 102 functional stability of at least 15 years; (iii) clinically verified post-polio including new 103 symptoms that had persisted for at least a year, such as muscle weakness and/or loss of 104 105 functioning, in one or both lower limbs; (iv) between 50-80 years of age; and (v) ability to walk 300 meters with or without an assistant device. The exclusion criteria were: (i) other 106 diseases (such as stroke, Parkinson's disease, severe osteoarthritis, or cardiovascular or 107 pulmonary diseases due to late effects of polio) that could impact on their mobility and/or 108 risk of falling. The participants had previously participated in another study about the 109 relationship between physical activity and self-reported impairments, walking limitations, 110 fear of falling, and incidence of falls [15]. 111

All participants had undergone an electromyogram (EMG) examination of their upper and lower limbs, as part of the initial routine clinical examination and verification of prior polio. They all had EMG findings indicative of prior polio in at least one lower limb and no other neurological disorders or medical reasons that could explain their increased or new problems. Following the individuals' own perception, one lower limb was defined as the "less affected" and the other as the "more affected".

118

### 119 *Ethics*

Before inclusion, oral and written information about the purpose of the study was provided
and each participant gave their written informed consent. The principles of the Declaration of
Helsinki were followed and the study was approved by the Ethics Research Committee of
Lund University, Lund, Sweden (Dnr 2011/582).

124

#### 125 *Questionnaires*

The participants responded to questions about their current medical and physical situation,living situation, use of mobility aids and orthotic devices, incidence of falls during the past

128 year and FOF.

A fall was defined as an event which results in a person coming to rest inadvertently on the ground or floor or other lower level [20]. Fall incidence was dichotomized as "yes" (one or more falls during the past year) or "no" (no falls during the past year).

Fear of falling (i.e. an ongoing concern about falling that ultimately limits the 133 performance of daily living) [21] was assessed with the Falls Efficacy Scale-International 134 (FES-I). The scale was developed by the Prevention of Falls Network Europe (ProFaNE) 135 group and asks how concerned persons are about falling [22] when performing the following 136 137 16 daily activities: cleaning the house, getting dressed or undressed, preparing simple meals, 138 taking a bath or shower, going to the shop, getting in or out of a chair, going up or down stairs, walking around in the neighborhood, reaching for something above the head or on the 139 140 ground, going to answer the telephone before it stops ringing, walking up or down a slope, on uneven or slippery surface, visiting a friend or relative or going out to a social event. The 141 142 response options in FES-I range from 1 (not at all concerned) to 4 (very concerned). The score for each item is summarized, yielding a total score of 16 to 64 points; a greater score 143 144 indicates that the person is more concerned about falling. The FES-I score can also be categorized as low FOF (16-19 points), moderate FOF (20-27 points) or high FOF (28-64 145 146 points) [23, 24]. The FES-I has shown good psychometric properties [25] and is commonly used in persons with different neurological and neuromuscular diseases [10, 14, 15, 24, 26, 147 27]. 148

149

#### 150 Assessments of knee muscle strength

Isokinetic knee extensor and flexor muscle strength was measured with a Biodex® Multi-151 Joint System 3 PRO dynamometer using a standard protocol shown to be reliable for persons 152 with late effects of polio [28]. The participants were seated without shoes or orthotics in an 153 adjustable chair, firmly stabilized with straps across the shoulders, waist and thigh. The ankle 154 155 cuff of the lever arm was strapped 3 cm proximal to the malleoli of the tested leg. After a 156 structured warm-up, each subject performed, in successions, three maximal concentric knee extensor and flexor contractions at 60°/s and the highest peak torques were recorded (Newton 157 meter; Nm). Consistent verbal encouragement was given throughout. Before each 158 measurement, the range of motion was set and the Biodex software applied the gravity 159 160 correction. All measurements started with the less affected lower limb followed by the more affected lower limb. 161

162

Because of muscle weakness in the more affected lower limb, five participants

were unable to perform isokinetic knee extension and six were unable to perform isokinetic
knee flexion. Muscle strength for these measurements was recorded as "0" to allow for a
complete statistical analysis.

166

### 167 Assessments of dynamic balance and gait performance

Dynamic balance was assessed by the Timed "Up & Go" test (TUG) [9, 29] and gait
performance by the 6-Minute Walk Test (6MWT), according to a standardized test protocol
[7]. Both tests are shown to be reliable in ambulatory persons with late effects of polio [7].

For the TUG, the participants sat in a chair placed at the end of a marked 3-m walkway. They were instructed to sit with their back against the chair, and on the word "go", stand up, walk at a comfortable speed and pass the 3-m mark, turn around, walk back and sit down in the chair. Each participant did one trial to become familiar with the test, and then performed the TUG twice with a one-minute rest between each trial. The time from the start until the participant sat down in the chair with back support was measured and the mean of the two tests was recorded.

For the 6MWT, the participants were instructed to walk 30 meters between two marks on the floor. After passing the mark, they were told to turn and walk back. They were instructed to cover as much ground as possible and to walk as far as possible during six minutes. The 6MWT was performed once and the number of 30 m-lengths was counted. Every meter was marked on a wall so the distance walked could be measured.

183

#### 184 Data analysis

185 Demographic data and clinical characteristics are presented as mean  $\pm$  SD (range) or

186 proportions (%). Differences between fallers and non-fallers were analyzed with the

187 independent sample t-test or the Mann-Whitney U test. Associations between fear of falling

188 (categorized as low, moderate or high FOF) and demographics and clinical characteristics

189 were analyzed with the non-parametric Jonckheere-Terpstra Test.

To determine how falls and FOF were related to knee muscle strength of the more and less affected limb, dynamic balance and gait performance (adjusted for gender, age and BMI), we conducted univariable and multivariable regression analyses. In these analyses knee muscle strength was calculated in intervals of 10 Nm and gait performance in intervals of 100 meters. A logistic regression analysis was used for falls (categorical data) as the dependent variable, whereas a linear regression analysis was used for FOF as the dependent

variable (continuous data). As an initial step in the model building, correlations between the 196

- independent variables were analyzed using the Spearman rank correlation coefficient (rho). 197
- As knee muscle strength (knee extension and knee flexion) in both the more and the less 198
- affected lower limbs as well as dynamic balance and gait performance were highly correlated 199
- (rho: -0.74 to 0.74), four regression models were established (see Table 3 and 4). In the 200
- 201 univariable analyses, each of the six variables was entered separately. Thereafter, in the

multivariable regression analyses gender, age and BMI were added together. Additionally, in 202 the linear regression analysis with FOF as dependent variable, we adjusted for falls (yes/no). 203

- 204 The fits of the linear regressions were checked by graphic presentations of the residuals. Data were analyzed using the IBM SPSS Statistics version 22 (IBM Corporation, 205 Armonk, New York, United States). Significance level was set at P < .05. 206
- 207

#### Results 208

Of the 81 participants, 43 were men and 38 were women. Their mean age was  $67 \pm 6$  years 209 (range 54 to 80 years), their mean BMI  $27 \pm 4$  (18 to 38) and the mean time since onset of 210 211 new symptoms was  $16 \pm 9$  years (range 1 to 46 years). A total of 33% lived alone, 20% used a mobility device (such as a cane, a crutch or a rollator) and 21% used an ankle foot orthosis 212 213 (AFO) when walking. Forty-eight of the participants (59%) reported at least one fall during the past year and 79% experienced moderate or high FOF (>20 points on FES-I). 214 215

#### Differences between fallers and non-fallers 216

In Table 1, the demographics and clinical characteristics of the fallers and non-fallers are 217 presented. There were no significant differences between the groups regarding demographics, 218 but in clinical characteristics. The fallers had significantly lower knee extensor and knee 219 flexor muscle strength (P=.002) of the more affected limb than the non-fallers and 220 significantly reduced dynamic balance (P < .05) and gait performance (P = .001). The fallers 221 were also significantly more afraid of falling when performing daily activities than the non-222 fallers (*P*<.001). 223 224

- 225

#### Insert Table 1 about here

## 228 Differences with regard to fear of falling

229	In Table 2, the demographics and clinical characteristics of the participants with low,
230	moderate and high FOF are presented (n=81). There were no significant differences between
231	the three groups in demographics, but for all clinical characteristics ( $P$ <.001). Higher FOF
232	was associated with significantly lower muscle strength in the knee extensors and knee
233	flexors in both lower limbs, and significantly more reduced balance and gait performance.
234	The proportion of fallers was also significantly higher among those with higher FOF.
235	
236	Insert Table 2 about here
237	
238	Factors associated with falls
239	In Table 3, the results from the univariable and multivariable logistic regression analyses are
240	presented. Knee muscle strength in the more affected limb was significantly associated with
241	falls. An increase of 10 Nm in knee extensor muscle strength decreased the odds of falling
242	(OR) to 0.83 (95% CI: 0.74 to 0.94; $P$ =.003), and an increase of 10 Nm in knee flexor muscle
243	strength decreased the OR to 0.70 (95% CI: 0.56 to 0.88; $P$ =.002). When we adjusted for
244	gender, age, BMI in the multivariable analyses the results only changed marginally.
245	A decreased dynamic balance tended to increase the odds of falling, but the
246	association was not significant ( $P$ =.07). Gait performance was significantly associated with
247	falls; an increase of 100 meter in 6MWT decreased the OR to 0.41 (95% CI: 0.24 to 0.71;
248	P=.001). When we adjusted for gender, age, BMI in the multivariable analyses for both
249	dynamic balance and gait performance the results only changed marginally.
250	
251	Insert Table 3 about here
252	
253	Factors associated with fear of falling
254	In Table 4, the results from the univariable and multivariable linear regression analyses are
255	presented. Muscle strength in both the more and less affected limbs was significantly
256	associated with FOF. For the more affected limb, an increase of 10 Nm in knee extensor
257	muscle strength decreased the B coefficient to -1.12 (95% CI: -1.55 to -0.70; P=.001), and an
258	increase of 10 Nm in knee flexor muscle strength decreased B to -1.68 (95% CI: -2.49 to -
259	0.87; $P$ =.001). For the less affected limb, an increase of 10 Nm in knee extensor muscle
260	strength decreased B to -1.08 (95% CI: -1.48 to -0.67; P=.001), and an increase of 10 Nm in

knee flexor muscle strength reduced B to -1.90 (95% CI: -2.64 to -1.17; P=.001). The knee 261 muscle strength in the more and less affected limb, respectively explained 17% to 25% of the 262 263 variance in FOF. When we adjusted for falls, gender, age and BMI in the multivariate analyses, the variance increased with 5% to 12% (where falls represented 5% to 11%). 264 A decreased dynamic balance significantly increased B to 1.44 (95% CI: 0.96 to 265 266 1.92; P=.001). Dynamic balance explained 30% of the variance in FOF and when we adjusted for falls, gender, age and BMI the variance increased with an additional 6% (where 267 falls represented the increase alone). 268 269 Gait performance was also significantly associated with FOF; an increase of 100 meter in 6MWT decreased B to -5.84 (95% CI: -7.39 to -4.29; P=.001). Gait 270 performance explained 41% of the variance in FOF. When we adjusted for falls, gender, age 271 272 and BMI the variance was unchanged. 273 Insert Table 4 about here 274 275 276 Discussion This is, to the best of our knowledge, the first study that has determined how knee muscle 277 278 strength, dynamic balance and gait performance, adjusted for gender, age and BMI, are 279 associated with both falls and FOF in ambulatory persons with late effects of polio. Our hypothesis that these factors are determinants of falls and FOF was partly confirmed. We 280 found that reduced knee muscle strength in the more affected limb and gait performance were 281 282 determinants of falls, whereas all factors were determinants of FOF. 283 284 Falls More than half of the participants (59%) had experienced falls during the past year, which is 285 in agreement with other studies in persons with late effects of polio [10-14, 16]. When 286 287 analyzing differences in clinical characteristics between the fallers and the non-fallers, we 288 found that the fallers were more disabled and more afraid of falling compared to the non-289 fallers (see Table 1). In the logistic regression analyses, reduced knee muscle strength in the more affected limb (both knee extensors and knee flexors) and gait performance were 290 291 determinants of falls. Somewhat unexpectedly, decreased dynamic balance was not associated and the influence of gender, age, and BMI was very limited. Our findings are 292 partly in agreement with other studies. It has been shown that muscle weakness in the knee 293 extensors in the more affected limb [14] and difficulties to maintain balance [14, 19] are 294

associated with falls in persons with late effects of polio. However, Bickerstaffe et al [14], 295 did not find that walking ability was a predictor of single nor recurrent falls, and younger age 296 297 was only associated with recurrent falls. Moreover, Nam et al [16] did not find that balance, 298 knee muscle strength, gender or age were associated with falls. Plausible explanations for the 299 divergent results could be differences in the outcome measures used or in the study 300 populations. However, our result that reduced gait performance is a determinant of falls has been found in elderly non-disabled persons [30] and in persons with other neurological 301 conditions [10, 31, 32]. 302

303

### 304 Fear of falling

A majority of the participants in our study (79%) experienced FOF, which is in agreement 305 with other studies [10, 11, 14, 18]. We found that those with a high FOF had greater 306 307 disability, and the proportion of fallers was also highest among those with higher FOF (cf 308 Table 2). We have previously described that persons with late effects of polio are most concerned about falling when performing activities related to walking [10]. This is also 309 310 reported in the study by Bickerstaffe et al [14], who found that the participants had reduced their walking distance because of FOF. Moreover, Legters et al [18] described that their 311 312 participants were most afraid of falling when being tired (34%) and outdoors (21%).

313 In our linear regression analyses we found that reduced knee muscle strength in both lower limbs, dynamic balance and gait performance were determinants of FOF. Of the 314 factors included, gait performance had the strongest explanation to the variance in FOF 315 (41%), followed by dynamic balance (30%). Furthermore, not only reduced knee extensor 316 strength but also reduced knee flexor strength was a determinant of FOF, explaining up to 317 24% of the variance. The multivariable analyses also revealed that experience of falling 318 contributed up to 11% of the variance in FOF, whereas gender, age and BMI influenced the 319 results only marginally. As this is the first study using multivariable analyses to investigate 320 determinants of FOF in persons with late effects of polio, our results are difficult to compare 321 322 with others. However, in persons with other neurological diseases, such as stroke and 323 Parkinson's disease (PD), decreased balance [33], muscle weakness in the lower limbs [27] and walking limitations [24, 34] have been shown to be associated with FOF. 324

325

#### 326 Clinical implications

Our study contributes with new and important knowledge about factors associated with fallsand FOF in persons with late effects of polio. However, our results together with previous

studies raise the question if and how the incidence of falls and FOF can be reduced. As many 329 persons in this population experience falls, are concerned about falling, have osteoporosis and 330 thereby an increased risk for fractures [13], targeted rehabilitation intervention or falls 331 management programs are needed. In elderly persons, there is evidence that individually 332 333 tailored exercise training and multifactorial interventions (such as reducing home hazards, vision impairment, inappropriate footwear, use of drugs, cognitive impairments and 334 education about risk factors) can reduce falls [17, 35]. Moreover, in persons with stroke [31] 335 and PD [36] task-specific exercise programs aiming to improve balance and walking ability 336 337 seem to reduce the number of falls. However, much less is known about the effects of 338 interventions to reduce FOF. A Cochrane review in elderly [37] concluded that exercise training may, to some extent, reduce FOF immediately after the intervention, but further 339 340 studies are warranted to make conclusions about the long-term effects of such interventions.

There are reasons to believe that exercise training and other multifactorial 341 342 interventions may also reduce the number of falls and FOF in persons with late effects of polio. Focused interventions, such as balance training and strength training for the less 343 344 affected limb, may be feasible and beneficial for persons that are mildly to moderately affected by their prior polio. In a previous study [38] we showed that knee muscle strength, in 345 346 particular knee flexor strength, was associated with gait performance (especially the 6MWT) 347 in persons with late effects of polio. Therefore, interventions aiming at maintaining, or even increasing, muscle strength and improving gait performance can potentially decrease the 348 incidence of falls and FOF. However, as falls are of multifactorial nature that can lead to 349 physical and psychosocial consequences, interdisciplinary interventions should also target 350 self-efficacy, activity limitations and participation restrictions as well as environmental 351 factors in order to increase a person's overall functioning and life satisfaction. Future 352 randomized controlled studies should therefore focus on evaluating the effects of a 353 comprehensive interdisciplinary goal-oriented falls management program in persons with late 354 effects of polio, both in a short-term and long-term perspective. Qualitative studies are also 355 356 warranted to obtain a deeper understanding how the experiences of falls can influence a person's everyday life. 357

358

#### 359 Strengths and Limitations

A strength of the present study is that new determinants of falls and FOF were evaluated for ambulatory persons with late effects of polio. However, as the measurements of knee muscle

strength (knee extension and knee flexion for both lower limbs) as well as dynamic balance 362 and gait performance were highly correlated they could not be included in one regression 363 model. Instead, we had to build different regression models in which the variables were 364 entered separately. The analyses revealed that all variables contributed to falls and FOF to a 365 varying extent and that not only knee extensor strength but also knee flexor strength and gait 366 performance are important to consider in the rehabilitation of these persons. Furthermore, 367 another strength of the present study is that we used reliable outcome measures for the 368 targeted study population. By using the 6MWT, which is shown to be highly correlated with 369 370 outdoor walking in persons with late effects of polio [39], it may also be possible to estimate if a person has an increased risk for falls 'in real life'. We included a relatively large study 371 population given that the measurements of muscle strength, balance and gait performance are 372 quite time-consuming. However, despite the relatively large sample size, the number of 373 potentially associated factors to falls and FOF had to be limited. Therefore, it cannot be 374 375 excluded that other factors also may be of importance, for example poor vision, fatigue, reduced self-efficacy, depression, poor concentration, bladder incontinence as well as 376 377 weakness in other muscle groups in the lower limbs. Moreover, as only ambulatory persons with mild to moderate late effects of polio were included in the study the results cannot be 378 379 generalized to the entire population of polio survivors.

380

#### 381 Conclusion

This study showed that reduced gait performance, knee muscle strength and dynamic balance are, to a varying degree, determinants of falls and fear of falling in ambulatory persons with late effects of polio. Future studies need to evaluate if rehabilitation programs targeting these factors can reduce falls and fear of falling in this population.

386

### 387 **References**

1. Lexell J. Postpoliomyelitis Syndrome. In: Frontera, W, Silver, J, Rizzo, J, editors.

- Essentials of physical medicine and rehabilitation Third ed. Philadelphia: Elsevier Saunders;
  2014. p. 775-781.
- 2. Trojan DA, Cashman NR. Post-poliomyelitis syndrome. Muscle & Nerve 2005;31:6-19.
- 392 3. Farbu E, Gilhus NE, Barnes MP, Borg K, De Visser M, Driessen A. EFNS guideline on
- 393 diagnosis and management of post-polio syndrome. Report of an EFNS task force. Eur J
- 394 Neurol 2006;13:795-801.

- 4. Meldrum D, Cahalane E, Conroy R, Guthrie R, Hardiman O. Quantitative assessment of
- motor fatigue: normative values and comparison with prior-polio patients. Amyotroph Lateral
- 397 Scler 2007;8:170-176.
- 5. Jensen MP, Alschuler KN, Smith AE, Verrall AM, Goetz MC, Molton IR. Pain and
- 399 Fatigue in Persons With Postpolio Syndrome: Independent Effects on Functioning. Arch Phys
- 400 Med Rehabil 2011;92:1796-1801.
- 401 6. Willén C, Stibrant Sunnerhagen K, Ekman C, Grimby G. How Is Walking Speed Related
- to Muscle Strength? A Study of Healthy Persons and Persons With Late Effects of Polio.
- 403 Arch Phys Med Rehabil 2004;85:1923-1928.
- 404 7. Flansbjer U-B, Lexell J. Reliability of gait performance tests in individuals with late
- 405 effects of polio. PM R 2010;2:125-131.
- 8. Brogårdh C, Lexell J. How various self-reported impairments influence walking ability in
  persons with late effects of polio. NeuroRehabilitation 2015;37:291-298.
- 408 9. Lehmann K, Sunnerhagen KS, Willen C. Postural control in persons with late effects of
- 409 polio. Acta Neurol Scand 2006;113:55-61.
- 410 10. Brogårdh C, Lexell J. Falls, fear of falling, self-reported impairments, and walking
- 411 limitations in persons with late effects of polio. PM R 2014;6:900-907.
- 412 11. Silver JK, Aiello DD. Polio survivors: Falls and subsequent injuries. Am J Phys Med
- 413 Rehabil 2002;81:567-570.
- 414 12. Hill KD, Stinson AT. A pilot study of falls, fear of falling, activity levels and fall
- prevention actions in older people with polio. Aging Clin Exp Res 2004;16:126-131.
- 416 13. Mohammad AF, Khan KA, Galvin L, Hardiman O, O'Connell PG. High incidence of
- 417 osteoporosis and fractures in an aging post-polio population. Eur Neurol 2009;62:369-374.
- 418 14. Bickerstaffe A, Beelen A, Nollet F. Circumstances and consequences of falls in polio
- 419 survivors. J Rehabil Med 2010;42:908-915.
- 420 15. Winberg C, Brogårdh C, Flansbjer UB, Carlsson G, Rimmer J, Lexell J. Physical Activity
- 421 and the Association With Self-Reported Impairments, Walking Limitations, Fear of Falling,
- 422 and Incidence of Falls in Persons With Late Effects of Polio. J Aging Phys Act 2015;23:425-
- 423 432.
- 424 16. Nam KY, Lee S, Yang EJ, Kim K, Jung SH, Jang SN, et al. Falls in Korean Polio
- 425 Survivors: Incidence, Consequences, and Risk Factors. J Korean Med Sci 2016;31:301-309.
- 426 17. Gillespie LD, Robertson MC, Gillespie WJ, Sherrington C, Gates S, Clemson LM, et al.
- 427 Interventions for preventing falls in older people living in the community. Cochrane Database
- 428 Syst Rev 2012;9:CD007146.

- 429 18. Legters K, Verbus NB, Kitchen S, Tomecsko J, Urban N. Fear of falling, balance
- 430 confidence and health-related quality of life in individuals with postpolio syndrome.
- 431 Physiother Theory Pract 2006;22:127-135.
- 432 19. Lord SR, Allen GM, Williams P, Gandevia SC. Risk of falling: predictors based on
- reduced strength in persons previously affected by polio. Arch Phys Med Rehabil2002;83:757-763.
- 435 20. World Health Organization. Retrieved May 17, 2016 from http://www.who.int/en/. 2012.
- 436 21. Tinetti ME, Richman D, Powell L. Falls efficacy as a measure of fear of falling. J
- 437 Gerontol 1990;45:P239-243.
- 438 22. Yardley L, Beyer N, Hauer K, Kempen G, Piot-Ziegler C, Todd C. Development and
- 439 initial validation of the Falls Efficacy Scale-International (FES-I). Age Ageing 2005;34:614-
- 440 619.
- 441 23. Delbaere K, Close JCT, Mikolaizak AS, Sachdev PS, Brodaty H, Lord SR. The Falls
- 442 Efficacy Scale International (FES-I). A comprehensive longitudinal validation study. Age
- 443 Ageing 2010;39:210-216.
- 444 24. Jonasson SB, Ullén S, Iwarsson S, Lexell J, Nilsson MH. Concerns about falling in
- 445 Parkinson's disease: association with disabilities and personal and environmental factors. J
- 446 Parkinsons Dis 2015;5:341-349.
- 447 25. Kempen GI, Todd CJ, Van Haastregt JC, Zijlstra GA, Beyer N, Freiberger E, et al. Cross-
- 448 cultural validation of the Falls Efficacy Scale International (FES-I) in older people: results
- from Germany, the Netherlands and the UK were satisfactory. Disabil Rehabil 2007;29:155-
- 450 162.
- 451 26. van Vliet R, Hoang P, Lord S, Gandevia S, Delbaere K. Falls Efficacy Scale-
- 452 International: a cross-sectional validation in people with multiple sclerosis. Arch Phys Med
- 453 Rehabil 2013;94:883-889.
- 454 27. Kim EJ, Kim DY, Kim WH, Lee KL, Yoon YH, Park JM, et al. Fear of falling in
- subacute hemiplegic stroke patients: associating factors and correlations with quality of life.
- 456 Ann Rehabil Med 2012;36:797-803.
- 457 28. Flansbjer U-B, Lexell J. Reliability of knee extensor and flexor muscle strength
- 458 measurements in persons with late effects of polio. J Rehabil Med 2010;42:588-592.
- 459 29. Podsiadlo D, Richardson S. The timed "Up & Go": a test of basic functional mobility for
- 460 frail elderly persons. Am J Geriatr Soc 1991;39:142-148.

- 461 30. Stalenhoef PA, Diederiks JP, de Witte LP, Schiricke KH, Crebolder HF. Impact of gait
- 462 problems and falls on functioning in independent living persons of 55 years and over: a
- 463 community survey. Patient Educ Couns 1999;36:23-31.
- 464 31. Weerdesteyn V, de Niet M, van Duijnhoven HJ, Geurts AC. Falls in individuals with
- 465 stroke. J Rehabil Res Dev 2008;45:1195-1213.
- 466 32. Matsuda PN, Verrall AM, Finlayson ML, Molton IR, Jensen MP. Falls among adults
- 467 aging with disability. Arch Phys Med Rehabil 2015;96:464-471.
- 468 33. Schmid AA, Arnold SE, Jones VA, Ritter MJ, Sapp SA, Van Puymbroeck M. Fear of
- 469 falling in people with chronic stroke. Am J Occup Ther 2015;69:doi:
- 470 10.5014/ajot.2015.016253.
- 471 34. Nilsson MH, Hariz GM, Iwarsson S, Hagell P. Walking ability is a major contributor to
- 472 fear of falling in people with Parkinson's disease: implications for rehabilitation. Parkinsons473 Dis 2012;2012;713236.
- 474 35. Stubbs B, Brefka S, Denkinger MD. What Works to Prevent Falls in Community-
- 475 Dwelling Older Adults? Umbrella Review of Meta-analyses of Randomized Controlled
- 476 Trials. Physical Therapy 2015;95:1095-1110.
- 477 36. Shen X, Wong-Yu IS, Mak MK. Effects of Exercise on Falls, Balance, and Gait Ability in
- 478 Parkinson's Disease: A Meta-analysis. Neurorehabil Neural Repair 2015;21:pii:
- 479 1545968315613447. [Epub ahead of print].
- 480 37. Kendrick D, Kumar A, Carpenter H, Zijlstra GA, Skelton DA, Cook JR. Exercise for
- 481 reducing fear of falling in older people living in the community. Cochrane Database Syst Rev
- 482 2014;28:doi: 10.1002/14651858.CD14009848.pub14651852.
- 483 38. Flansbjer UB, Brogårdh C, Lexell J. Muscle strength is only a weak to moderate predictor
- 484 of gait performance in persons with late effects of polio. NeuroRehabilitation 2013;33:457-
- 485 464.
- 486 39. Brogårdh C, Flansbjer UB, Espelund C, Lexell J. The 6-Minute Walk test indoors is
- 487 strongly related to walking ability outdoors in persons with late effects of polio. European
- 488 Journal of Physiotherapy 2013;15:181–184.

489

	Fallers (n=48)	Non-fallers (n=33)	Р-
			value
Age: mean years ± SD (range)	$67.5 \pm 6.0 \; (56\text{-}80)$	65.1 ± 7.5 (35-74)	.13
Gender: (men/women), n	22/26	19/14	.30
Body Mass Index: mean $\pm$ SD (range)	$27.5 \pm 4.1 \ (18-38)$	$26.0 \pm 3.3 \ (21-35)$	.08
Strength measurements (60°/s)			
More affected limb			
Knee extension (Nm): mean $\pm$ SD (range)	$54.2\pm37.6\ (0\text{-}168.5)$	84.5 ± 44.4 (0-161.8)	.25
Knee flexion (Nm): mean $\pm$ SD (range)	$28.4 \pm 18.0 \; (0\text{-}61.7)$	$45.9 \pm 26.9 \; (0103.2)$	.002
Less affected limb			
Knee extension: mean $\pm$ SD (range)	$98.2 \pm 46.1 \; (5.1  196.5)$	$109.8 \pm 42.8 \ (23.2\text{-}210.5)$	.15
Knee flexion: mean ± SD (range)	$53.5 \pm 25.2 \; (0.8 \text{-} 131.0)$	61.6 ± 24.0 (7.1-112.2)	.002
Dynamic balance			
Timed Up & Go (sec): mean $\pm$ SD (range)	11.3 ± 4.3 (6.9-32.8)	9.7 ± 2.2 (7.2-17.9)	.03
Gait performance test			
6-Minute Walk test (m): mean $\pm$ SD (range)	396 ± 93 (140-590)	477 ± 103 (250-720)	.001
Fear of falling			
FES-I (points): mean ± SD (range)	$30.9 \pm 8.8 \; (18-54)$	23.4 ± 8.6 (16-46)	<.001

**Table 1**. Demographics and clinical characteristics of the 81 participants with late effects of polio, divided into fallers and non-fallers.

Fallers= those that reported at least one fall during the last year; Non-fallers= those that did not report any falls during the last year. Continuous variables were analyzed with the independent sample t-test and categorical variables with the Mann-Whitney's U-test test. Nm=Newton meters; sec=seconds; m=meters

	Low FOF (n=17)	Moderate FOF (n=26)	High FOF (n=38)	<i>P</i> -value
Age: mean years ± SD (range)	64.9 ± 8.9 (35-74)	$68.0 \pm 5.0 \ (59-78)$	66.3 ± 6.5 (54-80)	.66
Gender: (men/women), n	11/6	14/12	16/22	.11
Body Mass Index: mean $\pm$ SD (range)	25.7 ± 2.5 (22-30)	$26.2 \pm 3.9$ (18-36)	27.8 ± 4.1 (21-38)	.70
Strength measurements (60°/s)				
More affected limb				
Knee extension (Nm); mean $\pm$ SD (range)	100.3 ± 46.7 (11.9- 168.5)	77.4 ± 35.9 (0-139.6)	43.9 ± 32.2 (0- 121.4)	<.001
Knee flexion (Nm); mean $\pm$ SD (range)	55.3 ± 29.1 (7.0- 103.2)	$37.8 \pm 19.2 \ (0-78.3)$	$25.1 \pm 17.0 \; (0\text{-}63.2)$	<.001
Less affected limb				
Knee extension (Nm); mean $\pm$ SD (range)	$136.9 \pm 24.8 \; (82.0 \text{-}\; 210.5)$	112.7 ± 41.5 (46.6- 196.5)	81.0 ± 39.7 (5.1- 167.0)	<.001
Knee flexion (Nm); mean $\pm$ SD (range)	73.4 ± 22.8 (37.9- 112.2)	63.5 ± 23.9 (31.0-131.0)	$44.7\pm20.5\;(0.8\text{-}\;99.4)$	<.001
Dynamic balance				
Timed Up & Go (sec); mean ± SD (range)	8.6 ± 1.4 (6.9- 11.5)	9.9 ± 1.9 (7.5- 17.5)	12.1 ± 4.6 (8.4- 32.8)	<.001
Gait performance test				
6-Minute Walk test (m); mean ± SD (range)	$532 \pm 88$ (376-720)	452 ± 77 (261-590)	368 ± 84 (140- 518)	<.001
<b>Proportion of fallers (%)</b>	18	61	76	<.001

**Table 2**. Demographics and clinical characteristics of the 81 participants with late effects of polio, divided into those with low, moderate or high fear of falling (FOF).

Low FOF= 16-19 points; Moderate FOF= 20-27 points; High FOF= 28-64 points. Nm=Newton meters; sec=seconds; m=meters. Differences between the groups were analyzed with the non-parametric Jonckheere-Terpstra Test.

Regression model		Unadjusted			Adjust	Adjusted for gender, age, BMI		
		OR	95% CI	<i>P</i> -value	OR	95% CI	<i>P</i> -value	
1.	Strength more affected limb							
	Knee extension 60°/s (10 Nm)	0.83	0.74 to 0.94	.003	0.80	0.70 to 0.92	.002	
	Knee flexion 60°/s (10 Nm)	0.70	0.56 to 0.88	.002	0.66	0.51 to 0.87	.003	
2.	Strength less affected limb							
	Knee extension 60°/s (10 Nm)	0.94	0.85 to 1.04	.25	0.96	0.85 to 1.08	.51	
	Knee flexion 60°/s (10 Nm)	0.87	0.73 to 1.05	.15	0.92	0.72 to 1.18	.53	
3.	Dynamic balance							
	Timed Up & Go (sec)	1.22	0.98 to 1.51	.07	1.14	0.92 to 1.40	.23	
4.	Gait performance							
	6-Minute Walk test (100 m)	0.41	0.24 to 0.71	.001	0.46	0.26 to 0.82	.009	

**Table 3.** The association between falls, knee muscle strength, dynamic balance and gait performance for the81 participants with late effects of polio.

Results were obtained by univariable and multivariable logistic regression analyses. OR=Odds Ratio; 95% CI= 95% Confidence Interval; BMI= Body Mass Index. Nm= Newton meter. Muscle strength is calculated in intervals of 10 Nm and gait performance in intervals of 100 meter. Nm=Newton meters; sec=seconds; m=meters. An OR above 1.0 indicates an increased likelihood of falling whereas an OR below 1.0 indicates a reduced likelihood of falling.

**Table 4**. The association between fear of falling (FOF), knee muscle strength, dynamic balance and gait performance for the 81 participants with late effects of polio.

Regression model	FOF				FOF			
	Unadjusted			Adjusted for falls, gender, age, BMI				MI
	В	95% CI	<i>P</i> -value	R <sup>2</sup>	В	95% CI	<i>P</i> -value	$\mathbb{R}^2$
1. Strength more affected limb								
Knee extension 60% (10 Nm)	-1.12	-1.55 to -0.70	.001	0.25	-1.07	-1.53 to -0.60	.001	0.32
Knee flexion 60°/s (10 Nm)	-1.68	-2.49 to -0.87	.001	0.17	-1.40	-2.36 to -0.45	.005	0.22
2. Strength less affected limb								
Knee extension 60% (10 Nm)	-1.08	-1.48 to -0.67	.001	0.25	-1.12	-1.55 to -0.69	.001	0.36
Knee flexion 60°/s (10 Nm)	-1.90	-2.64 to -1.17	.001	0.24	-2.34	-3.23 to -1.44	.001	0.36
3. Dynamic balance								
Timed Up & Go test (sec)	1.44	0.96 to 1.92	.001	0.30	1.27	0.77 to 1.76	.001	0.36
4. Gait performance								
6-Minute Walk test (100 m)	-5.84	-7.39 to -4.29	.001	0.41	-5.21	-6.98 to -3.45	.001	0.41

Results were obtained by univariable and multivariable linear regression analyses. B= Unstandardized Beta Coefficients; 95% CI= 95% Confidence Interval; BMI= Body Mass Index. Knee muscle strength is calculated in intervals of 10 Nm and gait performance in intervals of 100 meters. Nm=Newton meters; sec=seconds; m=meters