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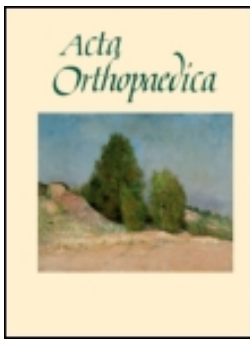
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## A proposed set of metrics for standardized outcome reporting in the management of low back pain

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**Background and purpose** — Outcome measurement has been shown to improve performance in several fields of healthcare. This understanding has driven a growing interest in value-based healthcare, where value is defined as outcomes achieved per money spent. While low back pain (LBP) constitutes an enormous burden of disease, no universal set of metrics has yet been accepted to measure and compare outcomes. Here, we aim to define such a set.

**Patients and methods** — An international group of 22 specialists in several disciplines of spine care was assembled to review literature and select LBP outcome metrics through a 6-round modified Delphi process. The scope of the outcome set was degenerative lumbar conditions.

**Results** — Patient-reported metrics include numerical pain scales, lumbar-related function using the Oswestry disability index, health-related quality of life using the EQ-5D-3L questionnaire, and questions assessing work status and analgesic use. Specific common and serious complications are included. Recommended follow-up intervals include 6, 12, and 24 months after initiating treatment, with optional follow-up at 3 months and 5

years. Metrics for risk stratification are selected based on pre-existing tools.

**Interpretation** — The outcome measures recommended here are structured around specific etiologies of LBP, span a patient's entire cycle of care, and allow for risk adjustment. Thus, when implemented, this set can be expected to facilitate meaningful comparisons and ultimately provide a continuous feedback loop, enabling ongoing improvements in quality of care. Much work lies ahead in implementation, revision, and validation of this set, but it is an essential first step toward establishing a community of LBP providers focused on maximizing the value of the care we deliver. ■

Measurement of outcomes in healthcare has well documented benefits as well as challenges (Porter 2005, Institute of Medicine 2006). Simply asking providers to report their outcomes has been shown to improve performance (Porter et al. 2010). Additionally, understanding one's results empow-

ers a provider to continuously learn from and refine the care he or she delivers (Porter and Teisberg 2004). On a broad scale, outcome reporting also facilitates dissemination of best practices between physicians and makes it possible to compare the quality delivered by different providers, allowing patients to make intelligent choices about where to seek care (Porter and Teisberg 2004). This type of continuous improvement and informed decision making could be an important driving force in improving healthcare delivery by refocusing the system on value (defined as the outcomes of care divided by the cost). The concept of “value-based healthcare” has been gaining attention both throughout the medical field (Porter and Teisberg 2005, Porter 2009) and specifically within the realm of spine care (McGirt et al. 2014a, 2014b). With evolving reimbursement systems in many countries, it is also conceivable that there will be growing interest in “value-based reimbursement” in the future, with payment levels adjusted based on outcomes. This type of scheme will only be fair with a broadly-accepted and risk-adjusted set of outcome metrics.

Low back pain (LBP) is a growing problem and constitutes a major component of the global burden of disease (Murray et al. 2012). Measuring outcomes in the field of low back pain is challenging. Numerous disease states affect the lower back, resulting in low back pain, leg pain, or both; to compare outcomes, patients must be accurately stratified by both diagnosis and severity. Moreover, existing treatment algorithms are complex and often controversial, including both operative and nonoperative options and frequently requiring multidisciplinary provider teams. Additionally, low back pain rarely causes death or other objective endpoints, so outcomes are best measured with patient-reported metrics, which are inherently subjective and require thorough psychometric testing.

A substantial amount of work on the design of outcome metrics has already been done in the field of low back pain, and there are several well-validated tools for measuring disease-specific outcomes (Longo et al. 2010). Similarly, several large registries are already in existence, collecting outcomes along with many other data points (Röder et al. 2005, McGirt et al. 2013, Strömqvist et al. 2013). Previous consensus-based efforts have been made to define sets of outcome measures or domains for research purposes (Deyo et al. 1998, Pincus et al. 2008, Chiarotto et al. 2014, Deyo et al. 2014). Still, the field of low back pain care has not yet developed a universal international set of outcomes to be measured and compared as a part of standard clinical practice. This type of outcome set requires availability and validity in many languages, requires capacity for case-mix adjustment to ensure that comparisons are made fairly, and should focus on the outcomes that matter most to patients. The purpose of this study was to define such a set based on international and interdisciplinary expert and patient opinion.

## Methods

The set of outcomes we present, referred to as the standard set, was developed by consensus among a 22-member “working group” mostly comprised of surgical, rehabilitation, and medical experts in the field of low back pain, many of whom are active in spine registries (all members are listed as authors). The group also included a former spine patient involved in patient support groups (MD). The working group was convened and organized by the International Consortium for Health Outcomes Measurement (ICHOM), a non-profit organization focused on the development of standard sets of outcomes and risk factors for multiple medical conditions (ICHOM Website 2014b). The working group’s efforts were coordinated by a core “project team” consisting of a working group leader (PF), a project leader (AW), a research fellow (RC), and the ICHOM vice president of research and development (CS).

The project was structured as a modified Delphi process (Pill 1971) involving 6 teleconferences held between June and November of 2013. The goals of these calls were choosing inclusion and exclusion criteria for the relevant patient population, selecting and defining outcome metrics, and identifying initial disease conditions and risk factors that would allow patient stratification and case-mix adjusted comparisons between providers. Teleconferences were structured around proposals by the project team regarding how best to meet the goals of the group. These proposals were based on review of academic literature, review of existing practices in spine registries, and in some cases, direct input from working group members and other experts in the field.

Decisions were made by surveys, which were designed based on the project team’s proposals and the relevant discussions held during the teleconference. Surveys were circulated to all working group members by e-mail after teleconferences, along with detailed minutes. In a small number of cases, live votes were orchestrated during a call. For surveys and votes with less than a two-thirds majority or with a particularly vigorous debate, the issue was revisited by the project team and a new proposal was presented to the working group for consideration.

Several recurrent themes emerged throughout this process, and developed into guiding principles for the group’s collaboration. Firstly, we aimed to identify outcome metrics that are most important to patients, which often resulted in favoring subjective information reported by patients rather than objective clinical information traditionally followed by physicians. Secondly, we sought genuine outcome metrics to gauge quality, not process metrics—which are often used as inexact proxies for quality, as they are frequently easier to track. Third, a consistent effort was made to simplify the set of outcomes and associated data, especially the information requested from physicians in order to boost compliance. As such, we acknowledge that the goal of the standard set should

be to allow comparisons of clinical outcomes and, while it will be sufficient to answer certain research questions, many academic pursuits will require collection of additional data points. Fourth, when possible, existing tools with proven validity and reliability such as the Oswestry disability index (ODI) and EQ-5D were selected in their original format to preserve their proven psychometric properties. Finally, a conscious effort was made to be continually aware of potential bias favoring surgical patients, given the predominance of surgeons in the working group, which reflects the predominant focus on surgical patients in the existing spine registries.

ICHOM had access to all data during the project, but neither ICHOM nor its funders had editorial control over the final publication. The manuscript was drafted by the project team's research fellow (RC) and subsequently edited based on input from all the experts and co-authors.

## Results

Response rates to the 5 surveys among the 22 working group members were 21, 20, 21, 20, and 21, respectively. Two original working group members participated in less than half of the teleconferences and surveys and are not included either in these response rates or in the final list of members.

### Scope: Degenerative lumbar conditions

The standard set targets degenerative lumbar conditions, which comprise by far the greatest part of all lumbar pathology (Andersson 1997). Other areas of spine care involve different patient populations, treatment approaches, and outcomes—and should be addressed in the future with analogous condition-specific outcome sets. Formal inclusion criteria selected by the working group consisted of lumbar spinal stenosis, lumbar spondylolisthesis, degenerative disc disorders including disc herniation, degenerative scoliosis, other degenerative lumbar disorders, and acute and chronic lumbar back pain and back-related leg pain without a clear etiology (often colloquially termed mechanical or nonspecific pain). The relevant corresponding exclusion criteria included spinal infection, tumor, fracture, traumatic dislocation, congenital or idiopathic scoliosis, and age under 18 years.

### Outcome domains (Table 1)

Traditionally, the 6 domains most commonly used to study outcomes among patients with degenerative lumbar conditions have been function, pain, health-related quality of life (HRQOL), work status, treatment complications, and medication requirements (Chapman et al. 2011). This pattern suggests that historically, spine providers have felt that these domains most accurately reflect success rather than failure in this field. Furthermore, after careful consideration including discussion with the group's patient representative, the working group considered that these are the factors that matter most to

patients. The group also agreed that the combination of these factors provides adequate domain coverage for comprehensive assessment of treatment outcomes in this population. Other metrics that have been used to study LBP care—including psychosocial factors such as depression and “global effect” (Chapman et al. 2011)—were excluded from the set, as historically they have been studied with inconsistent definitions (Chapman et al. 2011) and they are probably reflected in other domains such as HRQOL.

### Patient-reported outcome measures (PROMs)

The core component of the standard set is a constellation of PROMs covering the 6 domains listed above, collected at the time of enrollment for treatment and then at regular time points. (As detailed below, some information on clinical complications also requires clinician reporting). PROM instruments were chosen by the working group on the basis of clinical interpretability, feasibility of implementation, and psychometric properties (validity, reliability, and responsiveness) (Cleland et al. 2012).

Common and well-validated methods for measuring pain include the numeric rating scale (NRS) and the visual analog scale (VAS) (Jensen et al. 2008, Chapman et al. 2011), and the major existing spine registries are divided between those options (Röder et al. 2005, McGirt et al. 2013, Strömqvist et al. 2013). While there is no gold standard, a VAS allows patients to provide a more specific response while an NRS is usually easier to use as it can be performed verbally and does not require exact size calibration when reprinted or generated on a monitor. The common 0–10 horizontal version asking for average pain over the last week has been shown to be valid, reliable, and to allow adequately specific responses among spine patients (Andersson 1997, Jamison et al. 2006, Jensen et al. 2008, Bolton et al. 2010). This option was chosen by the working group (with 21 of 22 members in agreement) for inclusion in the standard set, for both back and leg pain individually.

Numerous tools have been studied for measuring lumbar-related function in patients with low back pathology (Longo et al. 2010, Chapman et al. 2011). ODI is the most commonly used and cited tool for this purpose, followed by the Roland Morris disability questionnaire (RMDQ) (Chapman et al. 2011) and the core outcome measures index (COMI) (PubMed Search for “COMI, Back Pain, 2001–2011” in 2013). While all of these have been shown to be valid, reliable, and responsive in this population, the ODI is the most heavily studied, providing superior clinical interpretability (Chapman et al. 2011). We also felt that the ODI is the most feasible to implement, as it has been validated in 14 languages (as opposed to 9 for each the RMDQ (Chapman et al. 2011) and COMI (Jamison et al. 2006)) and is relatively short (10 items as opposed to 24 in the RMDQ (Roland and Morris 1983) and 7 in the COMI (Mannion et al. 2009)). All are free, with online registration being required for use of the ODI (Chapman et al. 2011). For these

Table 1. Patient-reported outcome measures

Outcome	Measurement tool	Definition/wording	Answer options	Time frame for capturing
<b>Pain</b>	Numeric pain rating scale	<ul style="list-style-type: none"> <li>• How would you rate your average back pain over the last week?</li> <li>• How would you rate your average leg pain over the last week?</li> </ul>	0 (no pain) – 10 (worst pain imaginable) 0 (no pain) – 10 (worst pain imaginable), verbal or visual (horizontal)	Baseline, index event(s), 6 months, 1 year, 2 years
<b>Disability</b>	Oswestry disability index	<ul style="list-style-type: none"> <li>• Pain intensity</li> <li>• Personal care (washing, dressing, etc.)</li> <li>• Lifting</li> <li>• Walking</li> <li>• Sitting</li> <li>• Standing</li> <li>• Sleeping</li> <li>• Sex life (if applicable)</li> <li>• Social life</li> <li>• Traveling</li> </ul>	6 options for each domain, ranging from no problem to severe impairment	Baseline, index event(s), 6 months, 1 year, 2 years
<b>Quality of life</b>	EQ5D-3L	<ul style="list-style-type: none"> <li>• Mobility</li> <li>• Self-care</li> <li>• Usual activities</li> <li>• Pain/discomfort</li> <li>• Anxiety/depression</li> </ul>	3 options for each domain, ranging from no problem to severe impairment	Baseline, index event(s), 6 months, 1 year, 2 years
	EQ-VAS	<ul style="list-style-type: none"> <li>• Indicate on this scale how good or bad your health is today</li> </ul>	Vertical visual analog scale: 0 (worst imaginable health state) – 100 (best imaginable health state)	
<b>Work status</b>		<ul style="list-style-type: none"> <li>• What is your current work status?</li> </ul>	Working full time, working part time, seeking employment (I consider myself able to work but can't find a job), not working by choice (retired, student, homemaker, etc.), unable to work due to problem other than my back and/or leg pain, unable to work due to back and/or leg pain Yes, no, N/A	Baseline, index event(s), 6 months, 1 year, 2 years
		<ul style="list-style-type: none"> <li>• Are you working at a physically less demanding job now because of your back and/or leg pain?</li> <li>• How long after you received treatment for low back pain did you return to work? (if applicable)</li> </ul>	< 3 months, 3–6 months, 6–9 months, 9–12 months, 1–2 years, > 2 years	6 months, 1 year, 2 years
<b>Analgesic use</b>		<ul style="list-style-type: none"> <li>• Do you take non-narcotic pain relieving medication or tablets for your back problems?</li> </ul>	Yes regularly, yes sometimes, no	Baseline, index event(s), 6 months, 1 year, 2 years
		<ul style="list-style-type: none"> <li>• Do you take narcotic pain relieving medication or tablets for your back problems?</li> </ul>	Yes regularly, yes sometimes, no	

reasons, the working group unanimously chose the ODI 2.1a for inclusion in the standard set.

There are several tools for measurement of HRQOL in LBP patients (Bergner et al. 1976, Hunt et al. 1980, Ware and Sherbourne 1992, Ware et al. 1996, Brooks 1996, Hung et al. 2014), with the most common and heavily studied being the SF-36 followed by the EQ-5D and accompanying EQ-VAS, Nottingham health profile (NHP), and SF-12 (Chapman et al. 2011). The SF-36 has been shown to be valid, reliable, and responsive in this population, while the NHP and SF-12 have proven to be valid and reliable (Chapman et al. 2011). To our knowledge, these have not been studied for responsiveness and none of the psychometric properties of the EQ-5D have

yet been examined in LBP patients. However, the EQ-5D tool has an excellent track record among other demographics as well as in the general population (Hinz et al. 2013, Kim et al. 2013), and it has been shown to correlate well with the ODI in LBP patients (Mueller et al. 2013). Additionally, the volume of recent citations suggests a relatively rapid increase in the use and dissemination of this tool, which is consistent with the anecdotal experience of working group members. The EQ-5D and EQ-VAS also has the advantage of being relatively brief (6 items as opposed to 36 in the SF-36, 38 in the NHP, and 12 in the SF-12) and has proven psychometric properties in over 160 languages (in comparison to 155 for the SF-36, 2 for the NHP, and 134 for the SF-12) (Chapman et al. 2011).

The EuroQol tool is also inexpensive (EuroQol Website 2013) relative to the SF tools (Ware and Sherbourne 1992, SF36 Official Website 2013), while use of the NHP is free. Lastly, the EQ-5D is superior for health economics evaluations as it is a preference-based tool that allows utility calculations and cost effectiveness analysis (Chapman et al. 2011). For these reasons, the working group chose the EQ-5D for inclusion in the standard set, with 21 of 22 members in favor.

Existing practices used by current registries for questioning of patients about analgesic use and working status were reviewed, and the approach used by the international Spine Tango registry was felt to be the most concise and thorough (Röder et al. 2005); the wording was modified slightly by the working group.

### Complications and adverse events

Adverse consequences of treatment, e.g. invasive procedures, make up another category of outcomes. While no objective criteria were used, the working group aimed to include complications and adverse events that are relatively frequent, severe, avoidable, and feasible to capture. Careful attention was paid to the balance between gathering sufficient data to allow comparisons between providers and keeping the collection process simple enough to facilitate a high level of compliance. The decision was made to request that providers report complications/adverse effects recognized at the time of an initial procedure or during the associated hospitalization, which is considered the index period. Subsequently, when completing PROMs questionnaires 6 months after an index period, patients should be asked to report specified complications that occurred after this period. The interventions of interest are operations and injection therapy, and for convenience the same list of complications and time frame for collection should be used for both.

Early provider-reported complications selected for inclusion during the index period include death, nerve injury, dural tear, vascular injury, deep infection, and pulmonary embolus (PE) (Table 2). In practices where reliable administrative data reflecting death records are readily accessible, the working group recommends the use of such data to more accurately track out-of-hospital mortality within the first 30 days. At the time of follow-up PROM questionnaires, patients should be asked if they experienced a deep wound infection or PE, as these can be particularly detrimental complications but may only occur or be recognized after the index period. As providers may not be made aware of unplanned re-hospitalizations within 30 days of the index period, which have become a popular healthcare quality metric, patients should also be asked to report such events (Report to Congress 2007, Axon and Williams 2011). In countries and practices with reliable administrative documentation of re-hospitalization such as electronic medical records or insurance databases, the working group recommends using these administrative data to record such events. Reoperations after an index procedure, and the underlying cause, should be reported by providers (Table 2).

### Baseline characteristics and risk factors for case-mix adjustment

In order to statistically adjust analyses for fair and meaningful calculations, relevant data on patients' risk factors and initial conditions must be collected. The working group tried to balance the time and financial cost of collecting data with the need for accurate comparisons, while seeking internationally comparable data points. This information was addressed in 4 categories: demographics, baseline clinical status, baseline functional status, and previous treatments (Table 3). Common demographics currently in use in international registries were reviewed and age, sex, and socioeconomic status were chosen, with education level being used as an internationally acceptable proxy for the latter. Specifically, the United Nations Educational, Scientific, and Cultural Organization (UNESCO) definitions of education levels, which allow for international and cross-cultural comparisons, were selected for use (United Nations Educational, Scientific and Cultural Organization 2013). Race and ethnicity were discussed but they were ultimately felt to be of limited value as risk adjusters.

To define a patient's baseline clinical status, the lumbar pathology criteria defined and studied by Glassman et al. (2011) were selected, primarily for their applicability to both operative and conservatively treated patients (Table 3). To our knowledge, no single tool has been validated to define the diagnoses of patients across the entire realm of degenerative lumbar pathology, and the Glassman criteria are the only such tool that has been shown to be reliable between providers. Additionally, our review suggests that providers will rapidly be able to learn and use these criteria. In addition to these clinical data, indications for surgery should be recorded to facilitate risk stratification. After review of the literature and the current registries, the set of operative indications used by the Swespine Registry (Strömqvist et al. 2013) was felt to be the most complete yet concise example of such a list, and was chosen for inclusion in the standard set, to be completed by providers at the time of surgery (Table 3). Also, the American Society of Anesthesiologists (ASA) Physical Status Classification System has been shown to be prognostic for many surgical procedures (Bo et al. 2007, Schoenfeld et al. 2013, Tabouret et al. 2013) and the working group felt that it should be reported before surgery.

In addition to data related directly to the lumbar spine and surgical risk, a patient's baseline clinical status also encompasses other comorbidities, which have historically served as a basis for risk adjustment in large patient populations. Patient-reported responses to the Charlson comorbidity index have been proven to be predictive of both mortality and various PROMs (Bayliss et al. 2005, Chaudhry et al. 2005). To our knowledge, no comorbidity list has been validated for risk adjustment in LBP patients. For this purpose, we chose the collection of 13 conditions used by the UK National Health Service for risk stratification in total hip replacement (Department of Health 2012). This set was augmented with 2 condi-

Table 2. Adverse outcomes of treatment

Outcome	Definition/wording <sup>a</sup>	Answer options	Time frame for capture	Reported by
<b>Mortality</b>	Death in hospital (all-cause mortality)	Yes/no	While in-house for procedure	Provider
<b>Nerve root injury</b> (including cauda equina syndrome)	Iatrogenic nerve root damage	Yes/no	– ” –	– ” –
<b>Vascular injury</b>	Clinically significant iatrogenic damage to a vessel	Yes/no	– ” –	– ” –
<b>Dural tear</b>	Iatrogenic damage of the dura with liquor emission	Yes/no	– ” –	– ” –
<b>Other<sup>b</sup></b>	(e.g. hematoma, malpositioned implant, DVT without PE, device failure, persistent donor-site pain, other)	Yes/no	– ” –	– ” –
<b>Deep wound infection<sup>b</sup></b>	Post-intervention deep/subfascial wound infection	Yes/no	While in-house for procedure and again on next patient follow-up questionnaire	Provider reports if occurring in-house, otherwise patient reports at next follow-up
<b>Pulmonary embolus<sup>b</sup></b>	PE diagnosed by radiological study after the intervention	Yes/no	– ” –	– ” –
<b>Re-hospitalization</b>	Were you admitted to an acute care facility as an inpatient within 30 days from the date of your intervention for ANY reason? (Do not include admissions to rehabilitation hospital or nursing home)	Yes/no, date(s)	Next patient follow-up questionnaire	Patient
<b>Need for reoperation</b> (if yes, specify cause) <sup>c</sup>	Second or multiple performed interventions caused by complications after index surgery, not planned in advance		At time of reoperation	Provider
<b>Hardware removal</b>	Removal of implants, e.g. screws, rods	Yes/no	– ” –	– ” –
<b>Symptomatic non-union</b>	Pain related to failure of bony consolidation of bridge/union at least 12 months after surgery	Yes/no	– ” –	– ” –
<b>Neuro-compression</b>	Compression of neural structures with or without neurological deficits	Yes/no	– ” –	– ” –
<b>Postoperative infection</b>	Superficial or deep (subfascial) wound/tissue infection after surgery	Yes/no	– ” –	– ” –
<b>Implant malposition</b>	Incorrect position of the implant	Yes/no	– ” –	– ” –
<b>Implant failure</b>	Problem due to an implant, e.g. loosening, breakage	Yes/no	– ” –	– ” –
<b>Wrong site surgery</b>	Unintentional intervention at the wrong level/site, not at level of main pathology	Yes/no	– ” –	– ” –
<b>Sagittal imbalance</b>	Sagittal malalignment of the spine	Yes/no	– ” –	– ” –
<b>CSF leakage</b>	Including CSF fistula, pseudomeningocele, etc.	Yes/no	– ” –	– ” –
<b>Epidural hematoma</b>	Bleeding hematoma outside dural sac but inside bony spinal canal (with or without neuro-compression)	Yes/no	– ” –	– ” –
<b>Other</b>	State reason for reoperation			

<sup>a</sup> Complication definitions modified from the Spine Tango Registry.  
<sup>b</sup> Definition provided is designed for providers; a modified definition will be included on patient questionnaires.  
<sup>c</sup> Reoperation definition and definitions for causes of reoperation modified from the Spine Tango Registry.

tions included in the Charlson index that the working group considered particularly prescient in the LBP population: paraplegia/hemiplegia and HIV/AIDS (Table 3). Smoking habits (Jenkins et al. 1994, Shimia et al. 2013) and BMI (Papavero et al. 2009, Rihn et al. 2012, 2013) have been shown to provide prognostic value in lumbar patients and were therefore also designated for collection at baseline. It should be noted that depression—which is included among the patient-reported comorbidities described above and which has been shown to be predictive of outcomes among spine patients (Trief et al.

2006, Celestin et al. 2009, Daubs et al. 2011)—was discussed at length, and the working group concluded that this information should be collected by patient report rather than formal depression screening or physician report, both for the sake of efficiency and because depression is probably reflected in other PROMs such as HRQOL. Lastly, some PROMs collected at baseline provide relevant information about a patient's baseline clinical status and should be used for risk adjustment analyses—namely pain level, duration of symptoms, and current analgesic use.



Table 3. Risk factors and initial conditions

Categories and metrics	Definition/wording	Answer options	Time frame for capture	Reported by
<b>Demographics</b>				
Age	Date of birth	dd/mm/yyyy	Baseline	Patient
Gender		Male/female	Baseline	Patient
Education level	Please indicate your highest level of schooling completed <sup>a</sup>	None, primary, secondary, tertiary	Baseline	Patient
<b>Baseline clinical status</b>				
Glassman criteria	Symptoms	Back pain dominant (acute), leg pain dominant (acute), back pain = leg pain (acute), back pain dominant (chronic), leg pain dominant (chronic), back pain = leg pain (chronic), neurogenic claudication, cauda equina syndrome	Baseline and at time of any intervention	Provider
	Structural pathology	No study or interpretation available, age appropriate, disc pathology with normal height, disc space collapse, spondylolysis/spondylolisthesis, scoliosis/kyphosis, facet pathology, non-union		
	Compressive pathology	No study or interpretation available, no clinically relevant compression, central compression, lateral compression, combined central and lateral compression, recurrent compression following surgery at the same level		
Pain	How would you rate your average back pain over the last week?	1–10, as per Table 1	Baseline	Patient
	How would you rate your average leg pain over the last week?	1–10, as per Table 1	Baseline	Patient
Duration of symptoms	How long have you had your current back pain?	I don't have back pain, < 3 months, 3–12 months, 1–2 years, > 2 years	Baseline	Patient
	How long have you had pain radiating to your leg(s)?	I don't have pain radiating to my legs, < 3 months, 3–12 months, 1–2 years, > 2 years		
Smoking habits	Do you smoke?	Yes/no	Baseline	Patient
BMI	Indicate the patient's height	Measured in cm	Baseline	Provider
	Indicate the patient's mass	Measured in kg		
Comorbidities	Indicate whether you have been diagnosed with each of the following conditions	Heart disease, hypertension, poor circulation, lung disease, diabetes, kidney disease, liver disease, nervous system disease, cancer, depression, arthritis, peptic ulcer disease, hemiplegia/paraplegia, AIDS	Baseline	Patient
ASA score (surgical patients only)	Physical Status Classification System	1. healthy, 2. mild/moderate, 3. severe, 4. life threatening, 5. moribund, unknown	At time of operation	Provider
Surgical indication		Paramedian disc herniation, central disc herniation, central spinal stenosis with degenerative listhesis, central spinal stenosis without degenerative listhesis, lateral spinal stenosis, isthmic spondylolysis/spondylolisthesis, segmental pain (with or without degenerative listhesis, degenerative scoliosis, other	At time of operation	Provider
<b>Baseline functional status</b>				
Disability	ODI	As per Table 1	Baseline	Patient
Quality of life	EQ-5D-3L	As per Table 1	Baseline	Patient
Work status	What is your current work status?	As per Table 1	Baseline	Patient
	Are you working at a physically less demanding job now because of your back and/or leg pain?	As per Table 1		
Duration of sick leave (if applicable)	Are you currently on sick leave from work?	Yes, full time for my back problems; yes, part time for my back problems; yes, due to another disease; no	Baseline	Patient
	If yes, for how long?	1 week or less, 1–4 weeks, 1–3 months, 3–6 months, 6–9 months, 9–12 months, 1–2 years, > 2 years		
<b>Previous treatment</b>				
Surgery	Specify any previous procedure(s) and the level(s) by ticking one or several of the boxes below	See Figure 3	Baseline	Patient
Injection therapy	Have you previously received spinal injections for your current symptoms? (e.g. epidurals, specific nerve root injections, facet injections, or discograms)	Yes/no	Baseline	Patient

<sup>a</sup> Level of schooling using culture-specific definitions per ISCED (International Standard of Schooling Classification, UNESCO).

A. Prior surgical interventions						
"Indicate those that apply by entering month/year of surgery in boxes below:"						
Procedure type	T12-L1	L1-L2	L2-L3	L3-L4	L4-L5	L5-S1
Discectomy						
Decompression (laminectomy)						
Fusion						

B. Interventions						
"Indicate type and level of current procedural intervention:"						
Procedure type	T12-L1	L1-L2	L2-L3	L3-L4	L4-L5	L5-S1
Discectomy						
Decompression (laminectomy)						
Fusion						
Other back surgery						
Injection therapy						

Figure 1. A. A tool for recording the date and type of prior treatment. B. A tool for recording interventions performed on an ongoing basis.

Similarly, a patient's baseline functional status is delineated through initial PROM collection, i.e. by measuring disability, HRQOL, work status, and (when applicable) duration of sick leave.

Finally, the working group felt strongly that information on previous treatments is essential for accurate risk adjustment, and selected previous surgery and injection therapy for collection at baseline (Table 3 and Figure 1A), as history of each of these has been shown to be prognostic of subsequent treatment outcomes (Herno 1995, Lee et al. 2010, MacVicar et al. 2013, Mandel et al. 2013). Stratification of previous operations as either discectomy, decompression, or fusion was deemed to be adequately simple for data collection purposes while being sufficiently detailed for risk adjustment. Additionally, while technically a process metric, the working group recommends that providers record the types and levels of surgeries and injections performed at the time of intervention to further facilitate risk stratification (Figure 1B). Again, this level of detail is intended to be as brief as possible in order to streamline data collection while simultaneously allowing meaningful risk adjustment.

### "Index events" and time frame of follow-up

Regarding the timing of data collection, we elected to establish follow-up at 6 months, 1 year, and 2 years after initiating treatment (Table 1 and Figure 2). Additional follow-up points at 3 months and 5 years were recommended, though not mandatory, as the former is probably meaningful in the management of nonoperatively-treated patients but less so for surgical patients; and for the latter, the contrary is usually true. To simplify data collection and improve compliance, we decided to record complications only following index operations and not after reoperations, which would complicate the follow-up process substantially.

Index events, a term adopted from the SweSpine Registry (Strömqvist et al. 2013), are points in the course of care that

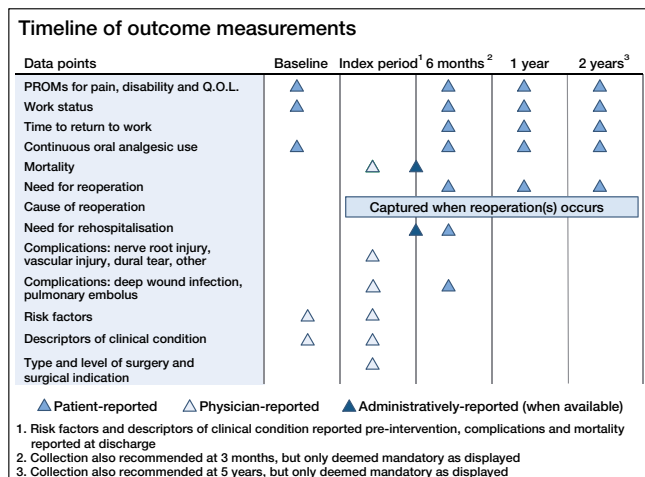


Figure 2. The recommended timeline for collection of each outcome measure.

should trigger the follow-up schedule to be reset. The initiation of treatment for any new condition, whether managed surgically or not, clearly constitutes an index event. Reoperation for management of a complication or failure to attain the therapeutic goals of an initial surgery is not an index event. However, surgery for a new diagnosis or at a new vertebral level is considered to be a new index event and should cause follow-up, including all measurement of PROMs, to be reset (Figure 3). At that point, the follow-up schedule started after the initial index event is discontinued, as it is not practical to simultaneously conduct 2 follow-up schedules for a single patient.

## Discussion

We present a standard set of outcome metrics for use in clinical practice for assessing the management of degenerative low back conditions based on the existing literature and on international expert opinion. The set includes patient-reported information on physical function, HRQOL, pain, and work status as well as complications of treatment and baseline characteristics to facilitate risk adjustment.

Several registries of spine patients already exist, tracking tens of thousands of patients in numerous countries (Röder et al. 2005, McGirt et al. 2013, Strömqvist et al. 2013). While these undertakings have been beneficial in many regards, including providing descriptive information about spine care at the population level and answering research questions involving comparisons of various interventions, broader international comparisons have been limited because each existing registry has developed its own metrics for gauging outcomes and definitions for categorizing specific diseases and associated risk factors. Furthermore, registries often do not capture the complete patient population for various diseases because

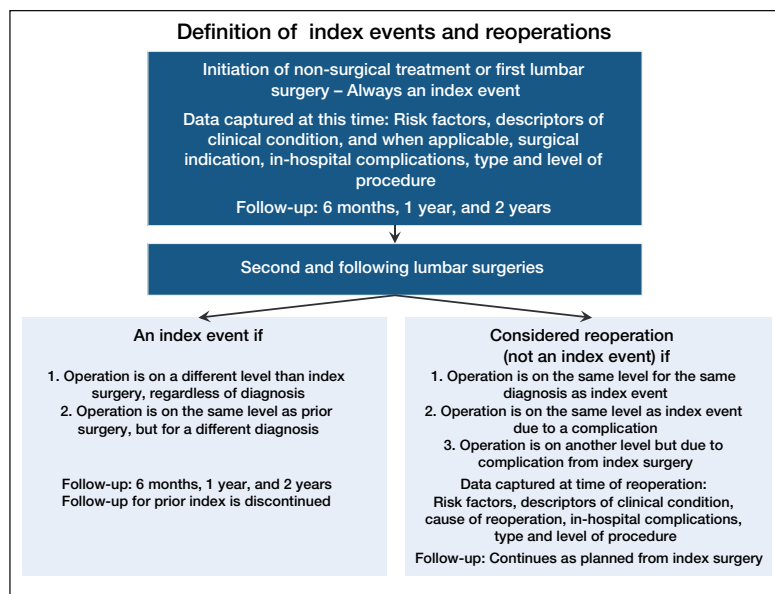


Figure 3. A classification scheme to define interventions as either index events or reoperations.

most, but not all (Kessler et al. 2011), spine registries do not follow LBP patients who are managed nonoperatively. This limitation precludes complete comparisons of all available treatment options. Moreover, existing registries often do not capture the entire cycle of care but instead tend to focus on the course of surgical care.

The proposal we present aims to overcome these shortcomings by establishing a standard terminology for measuring outcomes in LBP patients, largely based on well-validated tools that are available in numerous languages. This specific outcome set is particularly well suited to facilitating meaningful comparisons between providers, because it stratifies patients by disease and includes the entire patient population associated with a given diagnosis throughout the full course of their care.

Several recommendations have previously been published for standardized outcome measurement in low back pain research, but not specifically for use in everyday clinical practice (Deyo et al. 1998, Pincus et al. 2008, Deyo et al. 2014). Most recently, a research task force chartered by the National Institutes of Health (NIH) Pain Consortium described an outcome set for use in chronic LBP research centered on patient-reported outcomes and largely relying on the patient-reported outcome measurement information system (PROMIS) instrument (Deyo et al. 2014). While there is substantial overlap in the domains chosen by our working group and those selected by the NIH task force, the work of the latter is not sufficiently comprehensive to launch into clinical practice, as it leaves several decisions to the discretion of future researchers—such as the timeline of patient follow-up, the specific adverse events to be recorded, and even which PROM tools should be used. Furthermore, while the PROMIS instrument offers great

potential efficiency through computerized adaptive testing and may eventually become favorable to the PROM tools recommended here, it is not yet broadly translated and validated beyond English (NIH PROMIS Website 2014) and is not therefore ready for international use.

A similar effort is currently being conducted by the “International Steering Committee for the Core Outcome Set for Low Back Pain” (Chiarotto et al. 2014). Initial findings presented at the “Core Outcome Measures in Effectiveness Trials” (COMET) meeting in November, 2014 prioritized 3 domains identical to those chosen by our working group: physical function, pain intensity, and HRQOL, with work ability ranked fourth. While useful for guiding researchers who are developing LBP outcome measures, these recommendations are not detailed enough for use in clinical practice. Another commendable effort was previously described as part of the Multinational Musculoskeletal Inception Cohort Study Collaboration (MMICS), which again showed substantial overlap with the domains

and variables that we have chosen (Pincus et al. 2008). While reasonable for research purposes, the MMICS outcome set and especially the associated timeline for collecting data would be overly burdensome for ongoing use in non-research settings.

With the working group’s goal fulfilled, including a complete set of outcomes and associated data defined, the focus can be shifted to implementation. For providers, group practices, and registries that ascribe to the benefits of outcome measurement, this set will be available for voluntary adoption. Practices with existing data collection processes may be able to incorporate the standard set into ongoing workflows in ways that will minimize additional work after an initial learning curve. Other organizations may need to begin by establishing infrastructure for prospective data collection. ICHOM is committed to facilitating broad adoption of this set and has made the full recommendations of this group freely available on its website, along with a reference guide to assist with technical aspects of implementation (ICHOM Website 2014a).

Looking forward, revisions to this outcome set will be needed. For example, computerized adaptive testing may provide efficiency gains in PROM collection as software development progresses (Fries et al. 2005). ICHOM and representatives of the working group plan to actively monitor use of this set through a steering committee comprised of representatives from several existing outcome measurement efforts. Their work will involve communication with users, including collection of direct feedback. The frustrations and innovations of providers using this set will be crucial for its improvement, and structured revisions to the set will be reviewed on an annual basis. The steering committee will also be available to communicate with relevant third parties. For example, some instruments recommended in this outcome set, such as the

EQ-5D, are proprietary and continued inclusion in the set may depend on future negotiated agreements.

Our work has a number of limitations that should be mentioned. Firstly, the proposed outcome set remains untested, and while it is largely based on existing tools and familiar data points, the specifics of survey circulation and the associated time frames for data collection and reporting will inevitably lead to bumps in the road. Secondly, despite our best efforts to generate diverse international consensus, our outcome set is surely not equally applicable to all cultures. Much work in linguistic and cross-cultural validation remains. Still, we feel that our work represents a sufficient and important starting point. Thirdly, much work remains to be done on the practical issues of compiling and analyzing data, ultimately building robust risk-adjustment models with appropriate quality assurance to produce reports that accurately reflect provider performance while simultaneously protecting patient privacy. This will be particularly important if value-based reimbursement does indeed come to fruition. For example, in Sweden there are ongoing efforts in conjunction with the SweSpine Registry to link reimbursement levels to postoperative patient-reported outcomes. ICHOM intends to continue its facilitative role to guide development of such models and their inclusion in quality reporting initiatives.

In summary, the members of the working group feel that the introduction of this set of outcomes for the treatment of degenerative low back pathology is an essential step toward an international spine community that routinely measures and reports its performance in common and meaningful ways. We invite all providers caring for patients with low back pain to join us in measuring this set; the full list of metrics, contact information, and other resources to facilitate implementation are available on the ICHOM website (ICHOM Website 2014a).

RCC: literature review, study design, data collection, data analysis, interpretation of data, writing, preparation of figures and tables, revisions, and approval of final work. AW: literature review, study design, data collection, data analysis, interpretation of data, preparation of figures and tables, revisions, and approval of final work. CS: conception of study, literature review, study design, data collection, data analysis, interpretation of data, revisions, and approval of final work. TDC, JLC, MD, JCF, KTF, PMG, OH, WCJ, RK, SNK, IHL, BM, DDO, WCP, NHS, MWS, TKS, BHS, MLVH, ADW, PCW, and WY: data collection, interpretation of data, revisions, and approval of final work. PF: literature review, study design, data collection, data analysis, interpretation of data, revisions, and approval of final work.

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