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Performance of the AGATA γ -ray Spectrometer in the PreSPEC Set-up at GSI

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Abstract

In contemporary nuclear physics, the European Advanced GAmma Tracking Array (AGATA) represents a crucial detection system for cutting-edge nuclear 2 structure studies. AGATA consists of highly segmented high-purity germanium 3 crystals and uses the pulse-shape analysis technique to determine both the position and the energy of the γ -ray interaction points in the crystals. It is the tracking algorithms that deploy this information and enable insight into the sequence of interactions, providing information on the full or partial absorption 7 of the γ ray. A series of dedicated performance measurements for an AGATA 8 set-up comprising 21 crystals is described. This set-up was used within the recent PreSPEC-AGATA experimental campaign at the GSI Helmholtzzentrum 10 für Schwerionenforschung. Using the radioactive sources ⁵⁶Co, ⁶⁰Co and ¹⁵²Eu, 11 absolute and normalized efficiencies and the peak-to-total of the array were mea-12 sured. These quantities are discussed using different data analysis procedures. 13

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- ¹⁴ The quality of the pulse-shape analysis and the tracking algorithm are evaluated.
- ¹⁵ The agreement between the experimental data and the Geant4 simulations is
- ¹⁶ also investigated.

Keywords: AGATA, gamma-ray spectroscopy, gamma-ray tracking, nuclear structure, pulse shape analysis, HPGe detectors

17 **1. Introduction**

Numerous exciting nuclear-structure phenomena can be probed by in-beam 18 γ -ray spectroscopy experiments. Innovative approaches in design of dedicated 19 detection systems during the past decades led to significant advances in position 20 sensitivity, photopeak efficiency and peak-to-total ratio (P/T) in γ -ray spec-21 troscopy. Moreover, the most recent γ -ray spectrometers, such as AGATA [1] 22 and GRETA [2], brought about the new concept of high-resolution germanium 23 tracking arrays. This paper starts out with a retrospective overview of large 24 γ -ray arrays (Sec. 2) in order to introduce the developments and requirements 25 of the new tracking arrays. 26

Here, the focus is the performance of AGATA in the framework of the recent PreSPEC-AGATA campaign at the GSI Helmholtzzentrum für Schwerionenforschung, Darmstadt, Germany [3, 4]. Incoming particle identification is done event by event by Fragment Separator (FRS) detector systems [5]. Details of the AGATA subarray configured for the PreSPEC-AGATA campaign are presented in Sec. 3.

Using Monte Carlo simulations based on the Geant4 toolkit [6], extensive 33 characterization studies of AGATA were performed [7, 8]. Nevertheless, it is im-34 portant for the feasibility and the success of the present and future experiments 35 to check experimentally the validity and reliability of this simulation tool, as 36 well as the calculated performance figures. Therefore, a dedicated source mea-37 surement was performed and is described in detail in Sec. 4. Furthermore, the 38 quantities such as photopeak efficiency, normalized efficiency as a function of 39 the γ -ray energy and P/T were investigated following the procedure outlined in 40

41 Sec. 5. The results of the analysis performed on the data alongside their inter42 pretation and effect on other measurements are presented in Sec. 6. Moreover,
43 these results were confronted to the output of the Geant4 simulation and their
44 agreement is presented in Sec. 7.

Finally, the paper concludes with a short summary and an outlook for furtherinvestigations of performance of AGATA at GSI.

47 2. Concept of γ -ray Detection with AGATA

The strength of AGATA is the ability to obtain positions and deposited energies of individual γ-ray interactions. Applying γ-ray tracking makes it possible
to determine the sequence of the interactions.

The sophisticated design of AGATA came about only after a series of ad-51 vancements of large γ -ray detector arrays [9, 10]. At a very early stage of HPGe 52 detectors' development, studies of nuclear structure could benefit from larger 53 individual detectors, in comparison with Li-drifted Ge detectors. Further im-54 provements focused on the increase of both the number of detectors and the solid 55 angle covered by an array. This led to an enhancement of detection properties, 56 mainly efficiency and energy resolution, and to some extent P/T. Additionally, 57 a technique of background reduction was developed by means of Compton sup-58 pression. These efforts gave rise to the first arrays of HPGe detectors actively 59 shielded by scintillating materials, which provided a substantial improvement 60 of P/T. 61

⁶² Once a γ ray interacts with the detector medium, the energy recorded by ⁶³ those conventional arrays is the signal of any individual Ge-detector crystal. ⁶⁴ Typically, the absolute photopeak efficiency here depends on the intrinsic effi-⁶⁵ ciency of the detector and its distance to the source. The P/T is determined ⁶⁶ by the intrinsic P/T of the individual detector elements, i.e. Ge detector plus ⁶⁷ surrounding Compton-suppression shield, and its geometry.

The next generation of Ge arrays relied on the novel idea of producing composite detectors, in particular the clover [11] and the cluster [12, 13] detectors.

Such detectors overcame the size limitation of the germanium crystals, while 70 maintaining high granularity. This is important for the detection of long cas-71 cades of coincident γ rays. Arrays based on composite detectors increased effi-72 ciency over a large energy range and showed excellent P/T performance, thanks 73 to the 'add back' concept [14], that uses signals from neighbouring Ge-detector 74 crystals. Not only are the events originating in individual detectors summed to 75 generate the total energy signal, but also the fraction of energies is recorded in 76 cases of scattering between the crystals. 77

However, those detectors cover relatively large solid angles. This implies an 78 uncertainty in γ -ray detection angle and quickly leads to Doppler-broadened 79 peaks when studying γ -ray decays of fast-moving sources [15]. Secondly, it is 80 difficult to distinguish two (or more) γ rays interacting at the same time in the 81 same detector. This can lead to summing effects of coincident γ -ray transitions. 82 The fact that those two γ rays are counted as one reduces the gain in efficiency 83 and P/T provided by the advancement of composite detectors. Therefore, in 84 the next generation of large γ -ray arrays the granularity was increased by means 85 of additional contact segmentation [16, 17]. 86

The innovative concept of segmentation ensured smaller opening angles of 87 the individual granuli, which allowed for shorter detector-to-source distance, 88 without deteriorating energy resolution due to Doppler broadening. As a con-89 sequence, the efficiency improved significantly [8]. The first arrays had longitu-90 dinal segmentation and made the localisation of the first interaction point in a 91 two-dimensional plane possible [16, 17]. In this generation of detector arrays it 92 was not the opening angle of the crystal as a unity that affected the Doppler 93 broadening, but that of an individual segment instead. The above mentioned 94 summing effects are also significantly reduced. Finally, the P/T of such detector 95 arrangements can be enhanced. 96

The most recent developments followed the line of segmentation introduced above, and the idea of γ -ray tracking was realized through the three-dimensional segmentation (longitudinal and azimuthal) of HPGe crystals of specific tapered shape. The prerequisite to tracking are the determined interaction points pro¹⁰¹ vided by the pulse-shape analysis (PSA). As a consequence, Compton-suppression ¹⁰² shields can be excluded. This allows to fill significantly more solid angle with ¹⁰³ Ge detectors. Currently two systems based on this principle are operational, ¹⁰⁴ one being in the U.S.A., GRETINA [2], and one in Europe, AGATA [1, 18–20]. ¹⁰⁵ The present work provides the feedback on the application of PSA algo-¹⁰⁶ rithms and helps to evaluate the reconstruction quality with respect to all three ¹⁰⁷ coordinates, x, y and z.

There are two types of algorithms dealing with the tracking of the subsequent interactions of a γ -ray in a Ge crystal. The first one, which is called backtracking [21, 22], is based on the reconstruction of the γ -ray path by starting the tracking procedure from the final interaction point. The second one is called forward-tracking [23–25] and starts by first recognizing clusters of interaction points. In this work, the forward-tracking algorithm is used and the results of the optimization are presented in Sec. 6.

115 3. AGATA Detector Configuration at GSI

In preparation for the HISPEC experiment at the FAIR-NuSTAR facility 116 [26], the PreSPEC-AGATA campaign [3, 4] was conducted at GSI in 2012 and 117 2014. Here, secondary radioactive beams are produced by fission or fragmenta-118 tion of a primary stable beam delivered by GSI accelerator complex and selected 119 by the FRS [5]. These beams are directed to a secondary target at relativistic 120 energies of several hundred MeV/u. The in-flight emitted γ rays coming from 121 the secondary reactions are therefore affected by a significant Doppler shift: 122 the sources are moving with velocities of about 50 % of the speed of light. The 123 products of secondary nuclear reactions were discriminated using the Lund York 124 Cologne CAlorimeter (LYCCA) [27]. 125

The AGATA subarray, composed of 21 encapsulated detectors was placed at its nominal distance of 23.5 cm to the centre of the secondary target. Such a configuration ensured optimal energy resolution of Doppler-corrected γ -ray spectra, alongside the improved efficiency of the array compared with the earlier RISING fast-beam set-up [15]. However, compared with the full AGATA array, this geometrical configuration results in only about 60 % of the crystal surfaces in contact with neighbouring ones. Thus the probability of γ rays escaping the active Ge volume is rather large, which limits the tracking performance compared to a full 4π tracking array.

According to the original design [1], AGATA consists of triple clusters of Ge crystals (cf. Fig. 1). Hosting AGATA at the final focal plane of the FRS required a modified arrangement. Because of the rather large beam-spot size, the most inner ring of five triple clusters needed to be replaced. Newly developed double clusters were then put in place to guarantee angular coverage at forward angles. This is due to the Lorentz boost, which has to be considered in case of γ rays emitted from nuclei moving at relativistic energies.

The arrangement of AGATA detectors in doubles and triples is shown in Fig. 1. The triples are enclosed by blue lines and the doubles by green lines. Dashed lines refer to missing crystals in two triple clusters, as well as one crystal from an AGATA double. Its electronics was used for the EUROBALL reference capsule (see Sec. 4).



Figure 1: Configuration of AGATA at GSI during the PreSPEC-AGATA campaign. AGATA triples are enclosed by blue lines and AGATA doubles by green lines. Dashed lines indicate missing crystals. The \odot symbol marks the beam direction.

147 4. Source Measurements

In order to analyze the in-beam experimental data, it is necessary to determine the response of the spectrometer by measuring efficiency and P/T. As mentioned before, simulations can be an excellent way to characterize, in a broad energy range, the performance figures for the campaigns employing AGATA. Nevertheless, simulated figures need to be checked thoroughly and, therefore, source measurements are required.

Early measurements at both LNL and GSI were severely hampered by factors such as the reduced number of encapsulated detectors present in the set-up, the uncertainties about the source position, the radiation background, the data acquisition dead time, to name but a few. Hence, a series of dedicated source measurements focusing on the determination of the absolute efficiency was performed within the scope of the PreSPEC-AGATA campaign at GSI in 2014.

The principal set-up comprised 21 36-fold segmented AGATA crystals po-160 sitioned at the nominal target-array distance of 23.5 cm and one external non-161 segmented and electrically cooled detector [28], based on an EUROBALL cap-162 sule [12] as a reference (cf. Fig.2). It was intended to extract the absolute 163 quantities, such as photopeak efficiency and P/T, in the most reliable manner. 164 This was ensured by an approach, which is based on prompt coincidences of 165 cascading γ rays between the external reference detector, i.e. the EUROBALL 166 capsule, and all AGATA crystals. 167

Each of the AGATA crystals provides 38 signals: 36 for the segments and 168 two for the core, namely two gains corresponding to a 5-MeV and a 30-MeV 169 full range. The output of the respective preamplifier is digitized by means of 170 a 100-MHz 14-bit ADC. This information is then sent via optical links to pre-17 processing cards, which perform the task of extracting the energy and time of a 172 particular detector element [1]. To access the energy and time information, the 173 Moving-Window Deconvolution (MWD) technique [29] and a leading-edge algo-174 rithm have been used, respectively. The outputs of this stage are transmitted 175 to a computer farm performing further data processing, the overview of which 176

¹⁷⁷ is given in Ref. [30]. For more details on the complete data acquisition system ¹⁷⁸ employed in the PreSPEC-AGATA campaign, see Ref. [31].

For the source measurements, the electrically cooled EUROBALL capsule was integrated into the system in such a way that the signal from its preamplifier was sent to one of the AGATA digitizers. This ensured the same treatment of all crystals used for this measurement during data-taking. However, the fact that not all AGATA-tailored processing algorithms can be applied to or are relevant for the EUROBALL capsule led to further differentiation between these two detector types in the offline analysis. Data has been taken with standard



Figure 2: Part of the experimental set-up with the EUROBALL capsule, target station, and some AGATA clusters visible in the back. The EUROBALL capsule is located in the lower right corner.

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 $_{^{186}}$ $\gamma\text{-ray sources:}$ $^{56}\mathrm{Co},~^{60}\mathrm{Co}$ and $^{152}\mathrm{Eu}.$ Each source was placed at the target

position in the center of the PreSPEC-AGATA scattering chamber. During the in-beam experiments, this chamber holds the secondary target, so that the γ rays emitted from the target are to be detected by the surrounding array. For the measurements described here, the side parts of the scattering chamber were dismounted, whereas the holding ring structure was left in place. This can be seen in Fig. 2. The self-triggered data acquisition was handling the data generated by event rates up to 4 - 5 kHz per crystal.

In order to make a reliable efficiency estimate of direct use for the anal-194 ysis of the stopped-beam experiments, the 60 Co and 152 Eu sources were also 195 placed in front of and behind the plastic stopper. This 1 cm thick stopper 196 was located 15 cm downstream from the focal point of the AGATA subarray. 197 Then, averaging measurements of these two source positions, the efficiency val-198 ues are extracted for the center of the plastic stopper. This position is denoted 199 'close position'. However, since these measurements were performed in between 200 two in-beam experiments, additional material was present around the scattering 201 chamber, namely its side parts and a 2 mm thick lead shielding. This has to be 202 taken into account when interpreting particularly the low energy region of the 203 spectra recorded under these conditions. 204

205 5. Analysis

²⁰⁶ 5.1. Fine Tuning Prior to the Analysis

The processing of the signals from individual AGATA crystals and the essential calibration aspects are detailed in Ref. [30]. The processing takes place on two levels: on the *local* level all crystals are handled separately; on the *global* level the streams of processed data from individually treated crystals are assembled on the basis of time-stamp and processed further as events. The sequence of processing stages and a schematic overview are outlined in Appendix A.

In order to derive the interaction positions a number of tests with several PSA algorithms was performed. Although different, those algorithms had no apparent effect on the results and the analysis was conducted with the standard PSA algorithm, Adaptive Grid Search [33], considering single interaction in a
segment.

Since the EUROBALL capsule was integrated as if it were one of the AGATA
crystals, its data was processed in the same way as an AGATA crystal.

In this measurement events were constructed using all the data from the crystals within a time window of 100 ns. Thereafter, the tracking algorithm was applied on the AGATA data exclusively, which is discussed thoroughly in Sec. 5.2.

224 5.2. Absolute Efficiency and Peak-to-Total

One of the main tasks of the data analysis was to determine the absolute effi-225 ciency of the AGATA array, depending on data treatment and parametrization. 226 Thereby, two different approaches have been employed. The data taken with a 227 60 Co source utilizes its cascade of two coincident γ rays at 1332 and 1173 keV. 228 In the first approach, the so-called *external trigger method*, the coincidences be-229 tween AGATA crystals and the EUROBALL capsule as a reference are studied. 230 The second approach is the *sum-peak method*, focusing on AGATA crystals only 231 where no coincidences were used. In the external trigger method, a $\gamma\gamma$ angular 232 correlation correction of 0.981(5) is applied for the ⁶⁰Co cascade, corresponding 233 to the average angle between the AGATA crystals and the EUROBALL capsule. 234

235 5.2.1. External Trigger Method

Events which fulfilled the trigger requirement from the reference detector within a 100 ns time window were selected for this approach. The energy spectra representative for the whole array were created, depending on the modes in which AGATA can be operated at the data-analysis stage:

- core common: takes into account individual energies registered by the central contacts;
- calorimetric: total sum of energies recorded by all central contacts of all
 AGATA crystals;

- tracked: uses the reconstructed energy, which is subject to the tracking performance and thus choice of tracking parameters.
- tracked, excluding single interaction: same as the previous mode except
 that it discards events with only a single interaction point up to the energy
 of 800 keV.
- add-back: selectively sums single hits in an event found within a sphere
 of 100 mm radius. The reference point for this approach was the hit with
 maximum energy deposition.

The absolute efficiency at 1173 keV in all five analysis modes is extracted from the ratio of the intensity in the 1173 keV peak measured by AGATA crystals over the intensity of the 1332 keV peak measured by the EUROBALL capsule. In this case, P/T was calculated as a ratio of the yield of the peak at 1173 keV and the total number of counts in the spectrum.

Furthermore, in case of the tracking mode of analysis, the impact of the AGATA tracking algorithms on the performance was studied. This is explained in more detail in Sec. 6.3.

260 5.2.2. Sum-Peak Method

In this approach, the absolute efficiency was determined using the sum-peak 261 method [34, 35]. Data collected by the reference detector was not used in this 262 case. AGATA was treated as a calorimeter, resulting in a total spectrum where 263 the energies from all central contacts have been summed up. Thus, the absolute 264 efficiency at 1173 keV was measured from the ratio of the intensity in the sum-265 peak at 2505 keV over the intensity of the 1332 keV peak. In this case, P/T was 266 calculated as a ratio of the sum of the ⁶⁰Co peaks intensities and the total counts 267 in the spectra up to 1350 keV. For a reliable efficiency estimate, a correction 268 for random coincidences was performed, quantifying it from the activity of the 269 source used in the measurement. Additionally, rare cases of multiple cascades 270 have also been accounted for. 271

The use of the external trigger method was motivated in Sec. 4 as the most reliable method to extract the absolute efficiency, hence the thorough consideration of different analysis modes. In contrast, for the sum-peak method only the calorimetric mode of analysis was used to simply cross check the values obtained with the external trigger method.

277 5.3. Normalized Efficiency

Data taken with the ⁵⁶Co and ¹⁵²Eu sources provide the energy dependence of the efficiency in the γ -ray energy range from 120 to 3300 keV. To combine the two data sets collected with the two aforementioned sources separately, the spectrum of the former was normalized with respect to the 867-keV line of the latter, since the ⁵⁶Co source emits a γ ray of similar energy, namely 847 keV. For this method, calorimetric, core common and the tracked mode of analysis were used.

Data taken with the ¹⁵²Eu source alone has also been analyzed by means of the add-back routine. To normalize the yields obtained in this way, the absolute efficiency from the external trigger method was utilized (see Sec. 5.2.1). Furthermore, performance of the tracking has been tested on the data taken with the ¹⁵²Eu source only (see Sec. 6.3).

In order to obtain the normalized efficiency curve for the stopped-beam data from the PreSPEC-AGATA campaign, data collected with the ¹⁵²Eu source at the so-called 'close position' (see Sec. 4) has been analyzed. Thereby, the energy information from the central contact of all crystals was employed. Finally, the yields of standard γ lines recorded at two different positions were averaged and normalized to the absolute efficiency.

²⁹⁶ 6. Results

297 6.1. Absolute Efficiency and Peak-to-Total

The values obtained for the absolute efficiency and P/T values at 1173 keV are shown in Table 1.

Table 1: Efficiency and P/T at 1173 keV obtained for different modes of data treatment. The statistical uncertainties are indicated in parenthesis. Tracking refers to default parameters (cf. Sec. 6.3). See text for details.

Input	Efficiency (%)	P/T (%)					
AGATA (external trigger method)							
Core Common	2.38(2)	18.3(2)					
Calorimetric	3.30(2)	32.2(3)					
Tracked with single interactions	2.55(3)	37.5(4)					
Tracked without single interactions	2.53(3)	42.3(5)					
Add-back 100 mm	2.86(4)	24.6(2)					
Geant4 simulations (external trigger method)							
Core Common	2.84(9)	22.5(6)					
Calorimetric	4.21(8)	42.5(10)					
Tracked with single interactions	2.53(8)	58.2(19)					
AGATA only							
Sum-peak calorimetric	3.25(4)	30.0(5)					

As seen in the table, the values derived for the absolute efficiency, ϵ , differ 300 significantly for the various modes of extracting the energies from the AGATA 301 detectors. In the conventional approach, the efficiency was determined only 302 taking into account energy information from the central contact of each single 303 crystal. This core-common treatment results in the lowest value of $\epsilon = 2.38(2)$ % 304 and the poorest P/T = 18.3(2) %. Since AGATA has no Compton-supression 305 shields, about 60 % of the Compton-scattered events escaping the crystals will 306 increase the background of the spectra by producing counts in both neighbouring 307 crystals. Therefore, such low value of the P/T is understood. A pronounced 308 increase in both efficiency and P/T is observed when referring to AGATA as 309 a calorimeter, namely $\epsilon = 3.30(2)$ % and P/T = 32.2(3) %, respectively. The 310 calorimetric mode takes into account not only full-absorption in a crystal, but 311 also Compton-scattering into neighbouring crystals. Therefore, more events are 312 registered in the full-energy peak, simply because energy portions, which the 313 core-common mode predominantly interprets as background, are summed up. 314 In general, the calorimetric mode is sensitive to summing up multiple γ rays, 315

particularly in case of high-fold cascading γ rays.

In order to apply tracking algorithms on the present data sets, an adjustment 317 in the data processing was implemented. The absolute efficiency measurement 318 relies on coincidences between AGATA and the reference EUROBALL capsule, 319 but only AGATA crystals are included in the tracking routine. Therefore, two 320 classes of detectors have been defined in the analysis procedure: one for the 321 EUROBALL capsule alone and the other one for all AGATA crystals, which 322 registered a signal in a coincident event. This allowed for a separate treatment 323 of different detectors taking part in coincident events, yet being implemented 324 in the same DAQ system. Finally, this approach led to an efficiency of $\epsilon =$ 325 2.55(3) % and P/T = 37.5(4) %. The efficiency is obviously lower than the one 326 in calorimetric mode of analysis, but P/T shows a significant improvement. 327

The results of the calorimetric mode suggest that summing up all energies recorded by all crystals could enhance lower-energy contributions, leading to somewhat deteriorated P/T. Additionally, this approach does not allow for rejection of partially absorbed γ rays and, as stated in Sec. 3, around 40 % of the detector surface is not covered by other neighbouring detectors. Therefore, all partially absorbed γ rays are included in the calorimetric spectrum.

As compared to the calorimetric mode, the tracked mode results in better P/T. Tracking relies on properly extracted sequences of γ -ray energies and points and rejection of the γ rays that could not be reconstructed. Hence, it replaces the Compton suppression shields to some extent. If performed successfully, it suffers less from background contributions.

As explained in Sec. 5.2, the single-interaction contributions, being clusters 339 with single hits in a detector, could be excluded from the spectrum obtained 340 after tracking. This modification yields an efficiency of $\epsilon = 2.53(3)$ % and P/T =341 42.3(5) %. The single interactions are largely responsible for the low-energy part 342 of the spectrum, hence the better P/T values as seen in Tab. 1. Fig. 3 depicts 343 this property of the spectra obtained with and without single interactions. Due 344 to a hard-coded limit, the spectral response of single interactions extends up 345 to 800 keV. Recent work [36] suggests that those events account for ~ 20 % of 346

³⁴⁷ the photopeak yield at 1173 keV. Therefore, the efficiency value reported here

³⁴⁸ might show a corresponding increase if setting the energy acceptance limit for

the single interactions as high as the γ rays of ⁶⁰Co.

The sum-peak method (see Sec. 5.2.2) yields results similar to the calorimetric mode, namely $\epsilon = 3.25(4)$ % and P/T = 30.0(5) %.



Figure 3: Spectra obtained with the MGT tracking algorithm [24] including (upper panel) and excluding single interaction points up to 800 keV (lower panel).

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350

352 6.2. Normalized Efficiency

Different in-beam experiments performed with AGATA at GSI focused on 353 different γ -ray energy regions. Therefore, a reliable reference in terms of an 354 energy-dependent efficiency curve is needed. In this work, the energy extends 355 up to ~ 3.3 MeV, i.e. one of the γ -ray transitions originating from the ⁵⁶Co 356 source measurement. Three modes of operating AGATA at the data-analysis 357 stage have been considered for the combined data set of 56 Co and 152 Eu: core 358 common, calorimetric, and tracked with default parameter values (Figure of 359 Merit FOM = 10, see Sec. 6.3). For the analysis of the three respective cases, two 360

spectra-analysis programs were used: tv [37] and TkT [38]. All γ -ray lines were 361 least-squares fitted several times with a convolution of a Gaussian, a function 362 that accounts for eventual tails on either right or left side of the centroid and 363 another set of functions used to estimate the background. These fit results, 364 including systematic uncertainties, were then sent to the code EFFIT, included 365 in the Radware software package, which is using the parametrization detailed 366 in [39] to extract the efficiency values from the measured peak intensities. The 367 function used to fit the data points from the 56 Co and 152 Eu data sets is [39]: 368

$$\ln \epsilon(E_{\gamma}) = \{ (A + B * x + C * x^2)^{-G} + (D + E * y + F * y^2)^{-G} \}^{-1/G}$$
(1)

with $x = \ln(E_{\gamma}/100)$, $y = \ln(E_{\gamma}/1000)$, E_{γ} in units of keV and A, B, C, D, E, F, G as fit parameters. Provided the absolute values of efficiency at 1173 keV (see Sec. 6.1 and Table 1), the aforementioned efficiencies can be readily normalized to the absolute efficiencies of the respective mode:

$$\epsilon_{\rm abs}(E_{\gamma}) = N \cdot \epsilon(E_{\gamma}) \tag{2}$$

The efficiency curves according to Eq. 1 for different modes of analysis, alongside the experimental values for the calibration sources, are shown in Figs. 4, 5 and 7. The values of the fit and normalization parameters for all the curves are listed in Table 2.

Table 2: Fit parameters using the program EFFIT [39]. In all cases the parameters C = 0and G = 12 were kept fixed. See text for details.

		Parameters					
Dataset	Mode	A	В	D	E	F	N
$^{152}\mathrm{Eu} \text{ and } ^{56}\mathrm{Co}$	Core Common	8.42(19)	2.66(21)	6.410(3)	-0.573(6)	-0.071(6)	0.00454(3)
	Calorimetric	7.43(4)	1.69(5)	6.579(2)	-0.391(5)	-	0.00513(3)
	Tracked	6.80(5)	5.60(11)	6.3882(25)	-0.452(5)	-	0.00478(4)
$^{152}\mathrm{Eu}$	Tracked FOM $= 1.0$	6.89(6)	5.73(12)	6.374(3)	-0.438(5)	-	0.00460(4)
	Tracked FOM $= 0.1$	7.7(3)	6.7(4)	6.274(4)	-0.421(6)	-	0.00440(5)
$^{152}\mathrm{Eu}$	Add-back 100 mm	7.77(5)	1.86(6)	6.5653(24)	-0.413(5)	-	0.00423(5)
	Close Position	3.11(7)	2.9(3)	4.375(5)	-0.377(20)	-0.272(20)	0.038(2)

In case of the calorimetric spectrum, it is obvious that certain data points lie somewhat away from the least-squares fit (green stars in Fig. 4). Comparison of the γ -ray spectra has shown enhanced yields or slight modification in peak shapes. These differences in the shape of the peak in the calorimetric spectrum can arise from another process resulting in very similar energy deposition, i.e. summing of either two coincident γ rays or a γ ray and an X ray.

The drop in tracking efficiency below 100 keV is in part related to the approximation made to compute effective distances in Ge. The approximation of a Ge sphere leads to an overestimation of the distance traveled by photons into the detector by up to a few mm. This overestimation is extremely penalizing for low-energy photons, which have very small ranges in Ge and are therefore awarded a poor figure of merit.



Figure 4: Efficiency curves obtained with spectra collected with 56 Co and 152 Eu normalized to the absolute efficiency determined at 1173 keV and confirmed by an external trigger method with the 152 Eu source data.

The results with the 152 Eu source at 'close position' (cf. Sec. 4) as well as the 388 add-back treatment in case of the nominal position of the source are shown in 389 Fig. 5. The two mentioned curves are compared to the core-common efficiency 390 derived from the data collected with the same source at nominal position. In case 391 of the core common at the close position the low-energy part of the spectrum is 392 strongly affected by the lead shielding around the scattering chamber. Another 393 cause of the attenuated yields is that this curve was derived by placing the 152 Eu 394 source both in front and behind the plastic stopper. Consequently, in the first 305 case the γ rays had to travel through the plastic medium, which reduced the low-396 energy contributions. In contrast to low energies, in the region of $E_{\gamma} \gtrsim 500 \text{ keV}$ 397 the enhancement in the efficiency is ensured by the vicinity of the source. 398

³⁹⁹ 6.3. Influence of the tracking algorithms

Two codes based on the forward-tracking algorithm mentioned in Sec. 2, both used by the AGATA community, have been employed to further investigate the effect of tracking on the performance. The details of the OFT performance are discussed in Ref. [36], whereas this work focuses on the MGT performance. The details of its implementation are, however, not subject of this work. They can be found in Ref. [24].

MGT and OFT tracking algorithms start by grouping certain interaction points which may be a part of the same physical event, resulting in one *track*. These groups of candidates are called *clusters*. The interaction points in each cluster are thus accepted in a given sequence or eventually rejected based on the conditions demanded by the algorithm.

In general, for the so-called FOM only one MGT parameter is varied, which defines how restrictive the algorithm is to the data sent as an input [24]. It quantifies divergence from the accepted χ^2 value, which is calculated between the ideal angle-energy sequence and the measured one. The higher the FOM value, the more data satisfy the MGT criteria, because the clusters are evaluated with greater 'tolerance', and vice versa. Consequently, for very high values of



Figure 5: Efficiency curves obtained with spectra collected with ¹⁵²Eu normalized to the absolute efficiency determined at 1173 keV. The green curve (triangle up) and the red curve (circle) both represent the results when utilizing core common energy information but at two different positions: the green curve being closer to the array and the red at the nominal position. The purple curve (diamond) is obtained after adding back all hits in an event, which occurred within 100 mm radius from the reference point (highest energy release).

the FOM, more data has been interpreted as 'good'. But it also happens that the algorithm considers more events as background or it simply, due to the possible surplus of lower-energy contributions, does not classify the events in clusters well enough as a part of a real Compton scattering sequence.

The behaviour of tracking efficiency and P/T with respect to the absolute tracking efficiency has been tested in MGT [24] and OFT [25, 36], respectively. This was done by 'tuning' the FOM by changing the tracking parameters which are left free for the user to modify.

The effect of changing the FOM can be seen in Fig. 6. The curves show



Figure 6: Influence of the FOM on the efficiency and P/T. FOM values range from 0.01 (left) to 1000 (right). All curves are obtained after applying the MGT tracking algorithm on ⁶⁰Co data. The blue curve (squares) represents the tracked efficiency trend for varying FOM. The magenta curve (pentagons) is a result of the same procedure, only without single interactions being treated. The orange curve (octagon) shows how the tracked P/T is affected by different values of the FOM. Similarly, the turquoise curve (triangle down) shows the behaviour of the same quantity, only referring to the tracked data without single interactions.

how the efficiency at 1173 keV and P/T change as the FOM varies. The ef-426 ficiency is increasing with higher FOM, unlike the P/T. For higher values of 427 the FOM, more events have fulfilled the requirement of the algorithm. Hence, 428 one can expect enhancement in the intensity of the full-energy peak, thus in 429 the absolute efficiency. This increase comes about at the cost of deteriorated 430 P/T. However, after subtracting single-interaction contributions in the tracked 431 spectra (see Sec. 6.1), a significant enhancement in the P/T is obtained (see 432 Fig. 6). In the range of the tested FOM values the absolute efficiency exhibits 433 an increasing trend for the lower values of the FOM. This behavoiur is less 434

⁴³⁵ pronounced for the rest of the range, as the absolute efficiency could not raise ⁴³⁶ infinitely. Additionally, the further decrease of the P/T and the interplay of ⁴³⁷ the two quantities suggest that the overall sensitivity of the system might not ⁴³⁸ continue to improve significantly as the FOM increases. Therefore, the optimum ⁴³⁹ value of the FOM should be decided by the user, in such a way to benefit from ⁴⁴⁰ the changes in the values of the absolute efficiency and P/T. The MGT default ⁴⁴¹ value is set to FOM = 10 [24].

Moreover, consideration of the optimum FOM value is essential when ap-442 plying tracking algorithms to different in-beam data sets. Beside Fig. 6, which 443 shows that there is practically no increase in efficiency for FOM $\gtrsim 10,$ there are 444 several criteria to be considered. Firstly, how the value of the FOM might affect 445 the results in an energy region of interest for a certain experiment. Secondly, if 446 choosing the tracked spectrum with or without single interactions could serve 447 as a reference alone, again depending on the energy region of interest. Finally, 448 the selection of the best FOM might also depend on γ -ray multiplicity. 449

Additionally, the analysis of the ¹⁵²Eu data after tracking provides decisive 450 input for treatment of the in-beam data. This implies the consideration of 451 the ¹⁵²Eu dataset in the tracked mode alone, whilst varying the FOM. As in 452 Section 6.2, the measured values of efficiency were normalized with respect to 453 the absolute efficiency for different values of FOM and the fitting routine [39] 454 generated the corresponding curves. Figure 7 shows that the general trend of 455 the efficiency curve is independent of the variation in FOM. Instead, only the 456 absolute value of efficiency is affected by changes of the FOM. As in case of 457 ⁶⁰Co data, efficiency increases as the FOM increases. Following the analysis 458 with different values of the FOM (see Fig. 6), the three values of the FOM 459 were selected and displayed in Fig. 7, since further increase of the FOM does 460 not affect the values of absolute efficiency significantly. This property is, as 461 expected, in accordance with the analysis performed on the ⁶⁰Co data, which 462 strengthens the argument of choosing the appropriate FOM value. 463



Figure 7: Efficiency curves obtained with a 152 Eu source by varying the FOM in the MGT tracking algorithm.

464 7. Geant4 Simulations

The developed Geant4 simulation comprises a realistic implementation of 465 the set-up used during the source measurement including the scattering station 466 with the holding ring structure as seen in Fig. 8. The evaluated results suggest 467 the absolute efficiency for the core-common treatment of $\epsilon = 2.84(9)$ % and 468 $P/T=22.5(6)\%,\,\epsilon=4.21(8)$ % and P/T=42.5(10) % for operating AGATA 469 in calorimetric mode and $\epsilon = 2.53(8)$ % and P/T = 58.2(19)% for the tracking 470 approach. The results from the simulation are somewhat higher than the ex-471 perimental ones (see Table 1). They are also free from random coincidences. To 472 first order, this can be associated to the difference between ideal detectors in 473 the simulation and real detectors used for the experimental campaign at GSI. 474 Despite these small discrepancies, detailed Geant4 simulations are a valuable 475

tool in optimizing the tracking parameters for (in-beam) data analysis.



Figure 8: Geant4 visualization of the set-up. All AGATA crystals placed around the scattering chamber and the holding structure and the EUROBALL capsule are depicted solid. When used in the full PreSPEC-AGATA set-up, the beam enters from the front side. The EUROBALL capsule, shown in red, is located in the lower right corner.

476

477 8. Summary

The performance of the AGATA subarray at GSI has been presented, with 478 the main figures absolute efficiency and P/T being evaluated. Twenty one 479 AGATA crystals were employed in the experimental campaign at GSI, after 480 which the characterization measurements using calibration sources were per-481 formed. Several practical aspects of applying the tracking algorithms on the 482 source data have been described, as well as some issues which need to be con-483 sidered in case of in-beam data taken during the PreSPEC-AGATA campaign 484 at GSI. Additionally, the same data has been analyzed by exploiting only the 485

energy recorded by the central contact of all crystals, in the so-called corecommon mode, as well as summing up energies recorded by all crystals, in the calorimetric mode. The measured values of the absolute efficiency do vary, but they do so in a predictable manner, as shown by the calorimetric efficiency being larger than the core-common. This consideration affects the in-beam data in such a way that the optimal treatment should be found for each experiment individually.

⁴⁹³ Moreover, further studies should focus on high γ multiplicity effects by ⁴⁹⁴ both adding events recorded during measurements with sources and in in-beam ⁴⁹⁵ events. This aspect should help understand the properties of γ -ray spectra taken ⁴⁹⁶ in in-beam conditions.

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⁵⁰⁶ Appendix A. Overview of Data Processing

All the operations on the data are performed with dedicated Narval [32] chains - the so-called actors on the data - implemented via C++ classes.

The data from the EUROBALL capsule was processed in the same way as from an AGATA crystal but with one exception, namely the *Tracking* actor. Furthermore, the EUROBALL capsule is a single non-segmented HPGe detector and the PSA was only formally performed on it. In practice, the algorithm



Figure A.1: Structure of AGATA Data Processing; here N = 21. Each box corresponds to a Narval actor. The EUROBALL capsule is also integrated in the system. The PSA associated to it was marked with an asterisk due to the fact that it was applied only formally. See text for details.

⁵¹³ applied to it differs significantly from the sophisticated AGATA-tailored algo⁵¹⁴ rithms. Basically, every interaction is treated as if it had happened in the center
⁵¹⁵ of the crystal.

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