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Grip strength is a representative measure of muscle weakness in the upper extremity after stroke

9 **Introduction**

10 Stroke is a major cause of long term disability in the adult population worldwide ¹.
11 About half of the individuals who suffer a stroke have remaining upper extremity
12 impairments,^{2, 3} such as muscle weakness, reduced somatosensation, spasticity and synergistic
13 movements which can affect the motor control.⁴ Muscle weakness is the most common
14 impairment in the upper extremity after stroke and thereby an important contributing factor to
15 the reduced ability to use the arm and hand in daily activities.^{5, 6}

16 To be able to assess muscle strength in the upper extremity, valid and reliable outcome
17 measures are important. In a previous study, we have showed that isometric and isokinetic
18 muscle strength measurements in the upper extremity can be reliably measured in persons
19 with chronic stroke.⁷ Isometric grip strength was measured with a modern computerized grip
20 dynamometer and arm strength (isometric shoulder abduction, elbow flexion and isokinetic
21 elbow extension/flexion) was measured with a gold standard isokinetic dynamometer.^{8, 9}
22 However, as isokinetic equipment is expensive, stationary, and the measurement procedure
23 time-consuming, it is less practical in the clinical setting. Modern computerized grip strength
24 dynamometers are, on the other hand, precise, portable and easy to use and therefore more
25 time-efficient.

26 As grip strength is easy to measure it would be advantageous if grip strength could be
27 used as a proxy for muscle strength in the entire upper extremity after stroke. However, very
28 few studies have investigated the association between grip strength and arm strength after
29 stroke. One small study (n=12) showed strong correlations between grip strength and
30 isokinetic muscle strength in the shoulder stabilizers.¹⁰ Another study found strong
31 correlations between grip strength and isometric arm strength, measured by a hand-held
32 dynamometer in the acute phase after stroke.¹¹ To the best of our knowledge, no study has

33 thoroughly investigated the association between grip strength and isometric and isokinetic
34 shoulder and elbow muscle strength in a stable phase after stroke.

35 The aim of this study was therefore to investigate the association between grip strength
36 and arm muscle strength in persons with chronic stroke.

37

38 **Methods**

39 This study is a secondary analysis of data from our previous methodological study of
40 upper extremity muscle strength measurements after stroke.⁷ In that study the test-retest
41 reliability of isometric arm strength (shoulder abduction and elbow flexion) and isokinetic
42 arm strength (elbow extension/flexion) and isometric grip strength were evaluated in 45
43 persons with mild to moderate impairments in upper extremity. The participants were
44 measured twice (on two occasions), one week apart. In the present study, data from the
45 second test occasion were used, as the performance was slightly better during the second test
46 occasions than the first, indicating a small learning effect.

47

48 **Participants**

49 Forty-five persons with a clinically and neuroradiologically verified ischemic or
50 hemorrhagic stroke were recruited from Skåne University Hospital in southern Sweden from
51 April to December 2013. Inclusion criteria were: i) at least six months post stroke; ii) mild to
52 moderate paresis in the more affected upper extremity (i.e., preserved ability to take the hand
53 to the forehead and to grasp and release a small object); iii) ability to understand and follow
54 test instructions, and iv) no other disorder or disease affecting muscle strength in the upper
55 extremity.

56 Before inclusion each person was informed about the study and gave his/her written
57 consent to participate. The principles of the Declaration of Helsinki were followed and
58 approval was attained from the Regional Ethical Review Board, Lund, Sweden (Dnr
59 2012/591).

60

61 **Procedures**

62 To characterize the participants' upper extremity function, the following assessments
63 were performed: (i) light touch (arm and hand) and proprioception (wrist and thumb) by the
64 Fugl-Meyer Assessment of Sensorimotor Recovery After Stroke (FM-UE)¹² and (ii)
65 spasticity (elbow, wrist or fingers) by the Modified Ashworth Scale (MAS)¹³ (classified as
66 present if the MAS score was ≥ 1).

67 Grip strength and arm muscle strength were measured in a quiet adjoining room by an
68 experienced physiotherapist (first author). Prior to testing, the dynamometers were calibrated
69 according to the manufacturer's instructions and the test positions were standardized
70 according to the test protocol.⁷ The less affected upper extremity was measured first, and then
71 the more affected.

72

73 **Grip strength measurements**

74 Grip strength was measured with the computerized dynamometer Grippit (Catell AB,
75 <http://www.catell.se>, Hägersten, Sweden). Grippit consists of a vertical cylinder on a foot, and
76 has a wireless computer connection (Figure 1). Grip strength was measured with the
77 participants seated with the forearm resting in a semi-pronated position on a foam cushion on
78 a table with the shoulder at 30° abduction, the elbow at 90° flexion and the wrist at 0° to 15°
79 dorsiflexion. The grip strength measurements were repeated three times (each contraction
80 lasting 3 seconds with 60 seconds rest interval). The highest voluntary contraction was

81 recorded as the maximal grip strength (isometric) in Newtons (N). In our previous test-retest
82 reliability study,⁷ high Intra-class Correlation Coefficients (ICC_{2,1}) were found for both the
83 less affected and the more affected hand (0.95 to 0.96) with acceptable measurement errors
84 (Standard Error of Measurement, SEM%, 7.2% to 9.2%).



85
86 *Figure 1: The grip-strength dynamometer Grippit.*

87

88 **Arm strength measurements**

89 Arm strength (isometric shoulder abduction and elbow flexion and isokinetic elbow
90 extension/flexion) was measured using a Biodex System 3 PRO dynamometer (Biodex
91 Medical Systems Inc., NY, USA; <http://www.biodex.com>) (Figure 2). The arm strength was
92 tested with the participants seated in the Biodex chair (hip flexion 85°) with foot support and
93 trunk stabilization with straps across the shoulders and waist. The Biodex chair and
94 dynamometer were adjusted (for height, rotation and tilt) so the joint axis of the participants
95 were aligned with the dynamometer's movement axis. For measurement of the abductor
96 strength the shoulder was positioned in 15° abduction in the scapular plane, the elbow was
97 extended and the forearm in a neutral position. For isokinetic elbow extensor and flexor
98 strength the shoulder was positioned in 30° flexion and slight abduction, the elbow supported
99 and the forearm supinated (see Figure 2). The isometric elbow flexor strength was measured

100 in 90° elbow flexion with the same position for the shoulder and forearm as during the
101 isokinetic measurement.

102 Prior to each measurement the participants practiced the movement about 5 times and
103 then performed 1 or 2 submaximal contractions to warm-up and to become familiar with the
104 procedures. The isometric strength measurements were performed twice (each contraction
105 lasting 3 to 5 seconds with 60 seconds rest interval) and the isokinetic strength measurements
106 included three trials (reciprocal extension and flexion at 60°/s). The highest maximal
107 voluntary contraction (isometric and isokinetic) from the Biodex measurements was recorded
108 as the highest peak torque in Newton meters (Nm). In our test-retest reliability study,⁷ high
109 ICCs were found for both upper extremities (isometric shoulder abduction 0.97; isometric
110 elbow flexion 0.97; isokinetic elbow extension 0.92; isokinetic elbow flexion 0.95) together
111 with acceptable measurement errors (SEM% 5.6% to 12.6%).



112

113

Figure 2: The isokinetic dynamometer Biodex System 3 PRO.

114 **Statistical methods**

115 The characteristics of the sample are presented as frequencies, means and standard
116 deviations (SD). All muscle strength measurements are presented as means and SD as they
117 were symmetrically distributed, as well as ratios between the more affected and the less
118 affected upper extremity. The associations between grip strength and arm muscle strength
119 measurements were evaluated with the Pearson's correlation coefficient (r) and interpreted as
120 $< 0.3 =$ poor, 0.3 to $0.6 =$ fair, > 0.61 to $0.8 =$ moderately strong, and $> 0.8 =$ very strong.¹⁴
121 IBM SPSS Statistics version 22 (IBM Corporation, Armonk, New York, United States) was
122 used to analyze the data. P-values less than 0.05 were considered statistically significant.

123

124 **Results**

125 In Table 1, the demographic and clinical characteristics of the 45 participants (82%
126 men) are presented. Their mean age was 65 years (SD 7) and the mean time since stroke onset
127 was 44 months (SD 28). Seventy-one percent had suffered an ischemic stroke. Most
128 participants were right handed (93%) and the dominant hand was affected in 58% of the
129 participants. Somatosensory impairments in the more affected upper extremity were present in
130 38% (assessed by the Fugl-Meyer Assessment of Sensorimotor Recovery After Stroke¹²) and
131 spasticity in 33% (assessed by the Modified Ashworth Scale¹³) of the participants.

132 The strength measurements were completed by all participants (n=45) except for one
133 who could not perform the isometric shoulder abduction and another participant who could
134 not perform the isokinetic elbow extension and flexion in the more affected upper extremity
135 (n=44).

136

137

Table 1. Characteristics of the participants with chronic stroke (n=45)

Gender, n (%)	
Male	37 (82)
Female	8 (18)
Age, mean years (SD; min - max)	65 (7; 44 to 76)
Type of stroke, n (%)	
Ischemic	32 (71)
Hemorrhagic	13 (29)
Months from stroke onset to first test occasion, mean (SD; min-max)	44 (28; 10 to 116)
Paretic side, n (%)	
Right	25 (56)
Left	20 (44)
Handedness, n (%)	
Right handedness	42 (93)
Left handedness	3 (7)
Spasticity in the more affected UE ≥ 1 , n (%) ^a	15 (33)
Light touch absent or diminished in the more affected UE, n (%) ^b	17 (38)
Proprioception absent or diminished in the more affected UE, n (%) ^b	9 (20)
n: number of participants; SD: standard deviation; UE: upper extremity; ^a Modified Ashworth Scale; ^b Fugl-Meyer Assessment of Sensorimotor Recovery After Stroke.	

138

139 The mean values (SD) and ratios (more affected/less affected) for the grip and arm
140 strength measurements are presented in Table 2. The ratios ranged from 0.70 to 0.78 for all
141 strength measurements.

142

143

Table 2. Maximal isometric and isokinetic muscle strength measurements of the upper extremity in the participants with chronic stroke (n=45)

Strength Measures	Mean (SD)
Grip strength (N)	
Less affected hand	351.5 (122.0)
More affected hand	244.3 (113.9)
Ratio (more affected/less affected)	0.71 (0.28)
Isometric shoulder abduction (Nm)	
Less affected arm	46.5 (15.7)
More affected arm ^a	32.0 (17.5)
Ratio (more affected/less affected) ^a	0.70 (0.32)
Isometric elbow flexion (Nm)	
Less affected arm	51.9 (17.3)
More affected arm	40.1 (17.2)
Ratio (more affected/less affected)	0.78 (0.24)
Isokinetic elbow extension at 60°/s (Nm)	
Less affected arm	31.9 (10.7)
More affected arm ^a	22.9 (10.7)
Ratio (more affected/less affected) ^a	0.72 (0.25)
Isokinetic elbow flexion at 60°/s (Nm)	
Less affected arm	37.3 (12.9)
More affected arm ^a	28.5 (12.1)
Ratio (more affected/less affected) ^a	0.76 (0.22)

SD: standard deviation; Nm: Newton meter; N: Newton; ^anumber of participants=44.

144

145 The correlations between the grip strength and the arm strength measurements were
 146 significant ($P < .0001$) for both the more affected upper extremity ($r = 0.77$ to 0.82) and the
 147 less affected upper extremity ($r = 0.65$ to 0.82) (Table 3).

148

Table 3. Pearson correlations (*r*) (95% CI) between grip strength and arm strength measures in the more and less affected arm (n=45)

Arm strength	Grip strength	
	More affected hand <i>Pearson's r (95% CI)</i>	Less affected hand <i>Pearson's r (95% CI)</i>
Isometric shoulder abduction	0.80** (0.66 to 0.89) ^a	0.82** (0.69 to 0.90)
Isometric elbow flexion	0.82** (0.69 to 0.90)	0.77** (0.62 to 0.87)
Isokinetic elbow extension	0.77** (0.61 to 0.87) ^a	0.65** (0.44 to 0.79)
Isokinetic elbow flexion	0.81** (0.68 to 0.89) ^a	0.76** (0.60 to 0.86)

^anumber of participants=44; CI: confidence interval; ** correlation is significant at the 0.01 level

149

150 Discussion

151 In this secondary analysis of data from our previous test-retest reliability study⁷, we
 152 investigated the association between grip strength and isometric and isokinetic arm strength in
 153 the shoulder and elbow muscles in persons with mild to moderate paresis in the chronic phase
 154 after stroke. There were moderately strong to very strong correlations between the grip
 155 strength and the arm strength measurements for both the more affected and the less affected
 156 upper extremity.

157 The ratios between the more affected and the less affected upper extremity were similar
 158 for the shoulder, elbow and hand muscles (0.70 to 0.78). This underscores that the participants
 159 in our study were mildly to moderately affected in their upper extremity and that the weakness
 160 was approximately equally distributed in the muscles measured between the upper
 161 extremities. Our findings are thereby in agreement with other studies that have reported
 162 similar strength ratios and distribution of weakness in the shoulder, elbow and hand in the
 163 upper extremity after stroke.^{5, 15, 16}

164 Among our participants, grip strength was strongly correlated (r) with arm strength
165 (0.65 to 0.82). To the best of our knowledge only two studies have previously investigated the
166 association between grip strength and arm muscle strength after stroke. Nascimento et al.¹⁰
167 evaluated the association between grip strength and isokinetic muscle strength in the shoulder
168 stabilizers (shoulder rotation, protraction and retraction). They found correlations (r) from
169 0.60 to 0.82, but their sample was very small (12 persons). Bohannon et al.¹¹ evaluated the
170 association between grip strength and isometric arm strength measured by hand-held
171 dynamometry in 26 persons with stroke in the acute phase. They reported correlations (r)
172 from 0.74 to 0.86. Our results are in agreement with these results even if they differ with
173 regard to which muscle groups that have been measured, the mode (isometric versus
174 isokinetic) the sample size and time after stroke.

175 Measures of muscle strength in the upper extremity after stroke can be influenced by
176 other common impairments, for example reduced somatosensory function, spasticity and
177 synergistic movements, which can affect motor control. In particular, this applies to the
178 isokinetic measurements as they are often more demanding to perform. In our previous test-
179 retest reliability study we found that isokinetic arm strength measurements had somewhat
180 larger measurement errors than the isometric strength measurements.⁷ This suggests that it
181 might be preferable to measure isometric strength as such measurements are more stable and
182 easier to perform. Moreover, isometric grip strength measurements have the advantage of
183 being simpler to obtain and less time consuming compared to arm strength measurements. In
184 this study, we used a modern, wireless computerized dynamometer that has been found to be
185 reliable when measuring isometric grip strength in persons with chronic stroke.⁷ Hydraulic
186 dynamometers have also been reported to be reliable, but computerized dynamometers give
187 more stable measurements for persons with weak hands.^{7, 17, 18}

188 Grip strength has been suggested as an important variable to measure after stroke.
189 Boissy et al.¹⁹ investigated grip strength in 15 persons with chronic stroke and demonstrated
190 that the strength in the more affected hand was significantly associated with the degree of
191 disability of the upper extremity. They also showed that persons with equal grip strength in
192 the more affected hand had almost normal upper extremity function. Moreover, in
193 longitudinal studies grip strength has been show to predict motor function in the upper
194 extremity, in a short-term as well as a long-term perspective.^{20, 21}

195 Taken together, as isometric grip strength is a stable measure, easy to perform and
196 strongly associated with the arm strength, this indicates that grip strength could be a proxy for
197 muscle weakness of the entire upper extremity in the chronic phase after stroke. However,
198 future studies are needed to investigate the association between grip strength and arm strength
199 in different phases after stroke and over time in order to establish if grip strength can be used
200 to follow recovery and changes of upper extremity muscle strength after stroke.

201 A limitation of the present study was that only individuals with mild to moderate paresis
202 in the upper extremity after stroke were included. In addition, we did not include persons with
203 major cognitive impairments or difficulties to communicate, and more men than women
204 volunteered to participate. Therefore, the results cannot be generalized to the entire stroke
205 population. On the other hand, measurements of grip strength may not be applicable to all
206 persons after stroke, for example those with excessive spasticity or severe paresis of the hand.
207 One of the strengths of this study is that we measured 45 participants who were in a stable
208 phase after stroke and that care was taken to standardize the entire test situation.

209

210 **Conclusions**

211 This cross sectional study showed that grip strength is strongly associated with muscle
212 strength in the arm in persons in the chronic phase after stroke. As grip strength is easy to
213 measure and less time consuming than arm muscle strength measurements, this implies that
214 grip strength can be a representative measure of muscle weakness of the entire upper
215 extremity in the chronic phase after stroke. However, future studies are needed to investigate
216 the association between grip strength and arm strength in different phases and over time to
217 determine if grip strength can be used as a proxy to follow upper extremity muscle strength
218 after stroke.

219

220 **Conflict of interest**

221 No part of this work has been published elsewhere and is not under consideration for
222 publication in any other journal. No conflict of interest exists. All authors approved the
223 manuscript and its submission to the journal.

224

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