Aspect, tense and mood: Context dependency and the marker LE in Mandarin Chinese

Ljungqvist, Marita

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Aspect, tense and mood:
Context dependency and the marker *le* in Mandarin Chinese

Marita Ljungqvist Arin
To Tobias,
my fellow traveller
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## Abbreviations

<table>
<thead>
<tr>
<th>Abbreviation</th>
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| BA           | 1) Sentence-final “solicit agreement” marker 吧 ba
2) “Disposal” marker 把 ba |
| CL           | Classifier |
| DE           | 1) Nominalizing/genitive/associative marker 的 de
2) Adverbializing marker 地 de
3) Complex stative construction marker 得 de, referring either to manner or extent
4) 得 de, infix in a resultative verb compound, expressing potentiality |
| GUO          | Boundary / Experiential marker 过 guo |
| JIANG        | “Disposal” marker 将 jiang |
| LA           | Combination of 了 le and sentence-final marker 啊 a |
| LE           | Boundary marker 了 le |
| MA           | Sentence-final question marker 吗 ma |
| NEG          | Negative markers 不 bu and 没 (有) mei(you) |
| NEG IMP      | Negative imperative marker 别 bie |
| QUE          | Classical Chinese resultative complement / grammatical marker 却 que |
| TA           | Grammatical marker 达 ta in the Xiang dialect |
| ZAI / ZHENGZAI | Durative marker 在 zheng / 正在 zhengzai |
| ZHE          | 1) Durative marker 着 zhe
2) Classical Chinese grammatical marker 着 |
| ZHI          | Nominalizing/genitive/associative marker 之 zhi |

---

2 In the present study, the semantic essence of only one grammatical marker in Mandarin Chinese, le, will be analysed. In this list, for the sake of convenience, I have (when applicable) adopted Li and Thompson’s (1981) descriptions of other grammatical particles that will occur in the example sentences.

3 It seems probable that the boundary notion expressed by the marker le can also be manifested by the marker guo and by resultative complements, although it is not a goal for this present study to examine this suggestion further.
Introduction

Why do we need another study on le?

Every student of the Chinese language sooner or later realizes that this language, the grammar of which may seem easy enough, does come with its drawbacks. I think I speak for most of us Chinese-learners when I say that the grammatical marker le is definitely one of them. Learning how to use this marker is a difficult, if not impossible, task for learners of Chinese as a second language, at least in a classroom setting. It occurs in two positions in the sentence and is supposed to represent two morphemes expressed by the same sound and the same character but with different functions. We struggle with questions like what le actually does to the sentence, when either marker should and should not be used and how the two morphemes differ from each other. Consulting grammars and articles written on the subject is not necessarily of help. When I started studying the existing literature on aspect in Chinese, I noticed, for example, that while the majority of papers written on aspect in Chinese state that verbal le expresses perfective aspect, since there is no agreement among the authors on what perfective aspect actually means, the reader is still left in confusion. I also found that as sentence-final le could modify a sentence in a range of ways, existent proposals as to its correct label—change of state marker, inchoative marker, perfect marker—could not properly describe all its uses. I was left with the impression that, although a lot of significant and important work has already been done (Li and Thompson (1981); Chan (1980); Huang (1987); Shi (1988); Sybesma (1997) and Zhang (1996, 1998) to mention but a few examples) that have helped a lot of students (including myself) to get a somewhat better understanding of le, there is still uncertainty as to the exact contribution of le to a sentence. I wanted to know if it would be possible to arrive at a better and more exact description of the meaning of le that could in some way explain all its various uses.

Aim and scope

Studying articles and books written on the subject of aspect in Chinese, I was puzzled by the tendency among many authors to define aspectual categories in vague and unprecise ways, while the classifications of the grammatical forms (markers) on the other hand appeared strict and unflexible. Since le seems to have functions of such kinds that they cannot easily be looked upon as variants of the expression of perfective aspect in the case

---

4 Henceforth, I will use only the pinyin transcription le when referring to the morpheme represented in written Chinese by the character 割.
of verbal *le*, or variants of a “change of state” meaning or perfect aspect in the case of sentence-final *le*, it struck me as odd that this was still how they were treated. On the other hand, the principle of Occam’s razor, as modified by Grice (1989), states that “senses are not to be multiplied beyond necessity” (1989:47). Could it be that pragmatics does the hard work in determining how a sentence that contains *le* should be interpreted? I set out to study *le* in different contexts to see if there could be a better way of describing the very essence of this marker than labelling it as a marker of a specific tense, aspect or mood.

As I have already implied, I was primarily interested in the meaning of *le* and its contribution to the sentence and whether or not verbal *le* and sentence-final *le* differed significantly in this respect. The aim was to make an interpretation of *le*, based on its occurrence in sentences that have different temporal and modal meanings, and in particular to find out how the context affects the overall reading of the *le*-sentence. The application of *le* is not treated in this book other than as a support for my theory. Readers who are interested in learning more about when *le* should and should not be used are advised to turn to the excellent guide Mandarin Chinese: A functional reference grammar by Li and Thompson (1981).

**Methodology**

In order to study the functions of *le*, both as a verbal and as a sentence-final marker, and verify that there was a common factor that could unify all its uses, I needed to examine the occurrence of *le* in a context. I used narrative texts in Mandarin Chinese downloaded from the Internet. Oral material has not been studied, mainly for practical reasons. The sentences found in this study are excerpts from modern (the majority of the texts were written during the 1980’s and 1990’s, though one was written in 1937) fiction. The authors were brought up in Mainland China and Taiwan and only Mandarin Chinese is represented in the corpus of texts. Although there may be vocabulary differences between the Mandarin spoken in Mainland China and that spoken on Taiwan, there does not seem to be any major differences in terms of syntax or semantics. Further, the example sentences and their translations have been checked by native speakers from mainland China. A concordance software application, Wordsmith Tools 3.0, was used to search for occurrences of *le* in different environments in these texts and each sentence was then analysed in its context in order to establish the temporal or modal reading of the sentence. Apart from these excerpts I have also used example sentences from grammars and articles in order to illustrate my points, in particular to show the ambiguity of *le* in decontextualized sentences.

Relevance Theory, developed in the 1980’s by Sperber and Wilson, emphasizes the importance of contextual factors in utterance interpretation. I found it well suited as a framework for my ideas on the context dependency of *le*.

In chapter 7, I discuss the results of a small survey conducted by me in Beijing in 1999. Since it involved only six informants, I present the results as further indications—not as evidence—that there is a functional overlapping between verbal and sentence-final *le*.

---

5 Cf. Liu (1990) whose study also shows that Mandarin-speaking people from mainland China and Taiwan use *le* in the same way.
Because of this I have chosen not to include the whole survey in this thesis, especially as it is rather voluminous. I emphasize that the results should only be seen as a footnote. Having said that, both my findings during the putting together of the survey and the results of the survey still support the theory presented in the rest of the thesis. The results may not be surprising, but still conflicts with the traditional treatment of le as two distinct morphemes.

Outline

Chapter 1 and 2 provide the relevant background: terminology and a presentation of existent general and language-specific (Chinese) analyses on temporality and modality, as well as studies on the marker le in Chinese and problems with these. In chapter 3 and 4 I introduce Relevance Theory as a suitable framework for my hypothesis that le is a context dependent marker and give a proposal for the core semantics of le. In chapter 5 and 6 I present more detailed support for my theory; the results of my studies of le in different contexts. I unify the different contributions of le as manifestations of its semantic core feature boundary. I show that the labelling of le as a marker of a specific tense, aspect or modal distinction is unsatisfying as it cannot unify all the possible temporal and modal interpretations of a sentence with le, even if verbal and sentence-final le are considered separately, as two morphemes. In chapter 7 I discuss some further indications that the functions of verbal le and sentence-final le in fact overlap in many cases. Chapter 8 presents a short conclusion.
1. Situation types, tense, aspect and modality

This chapter is intended as a presentation of the terminology used in this book as well as of previous studies of the semantic categories situation type, aspect, tense and modality—both more general studies and language-specific studies focusing on Mandarin Chinese.

1.1. Situation types

Our understanding of experiences in the world is reflected in our use of language. One example is the way verbs or verb phrases (VPs) display temporal structures as part of their inherent meaning. Differences in these temporal structures distinguish to know from to learn and to jump a rope from to jump a fence. On the basis of the ontological entities that they represent, different classes of verbs or VPs can be distinguished. There is no generally accepted term for this linguistic category. In this work, the term situation types (Smith 1997) will be used.

The article “Verbs and Times” by Vendler (1967) is probably one of the first and definitely one of the most influential studies in modern time on situation types. He noticed that some verbs do not occur in the continuous tenses. For example, it is possible to say I am running but *I am knowing is ungrammatical. The verbs that do admit continuous tenses, on the other hand, can be divided into two groups, those that have a set terminal point, or climax, and those that do not. It is true of a person that he did run if he stops running, but it is not true that he did run a mile if he stops running a mile. The difference between run and run a mile is that the former does not have a set terminal point while the latter does. Vendler calls the first group activities and the second accomplishments. In Mandarin Chinese, activities are verbs like 走 zou ‘walk’ and 找 zhao ‘look for’ or verb phrases like 推两辆车 tui liang liang che ‘push two carts’. Examples of accomplishments are 盖一个桥 gai yi ge qiao ‘build a bridge’ and 出版这本书 chuban zhei ben shu ‘publish this book’. The group of verbs or verb phrases that do not admit continuous tenses can be further divided into two groups, states, like know and love, and achievements, like recognize or reach the hilltop. Verbs like 存在 cunzai ‘exist’ and 像 xiang ‘resemble’ are stative and so are generic constellations like 喝酒 he jiu ‘drink liquor’ in its habitual reading. 打破 dapo ‘break’ and 找到 zhaodao ‘find’ are achievement constellations in Chinese. State verbs can be used with durative adverbials like for three years (he loved her for three years) while achievements can only be predicated for single moments of time (he reached the hilltop at noon). Accomplishments and achievements are similar in that they involve definite and unique time instants, while states and activities do not.
Other linguists who have worked on situation types include Verkuyl (1972, 1993) and Smith (1983, 1997). Shen (1995) uses a somewhat different approach, focusing on the binary opposition between bounded (dynamic) and unbounded (stative) situations. In his view, it is the presence vs non-presence of a single feature boundary that determines whether a situation is viewed as dynamic or stative. While the VP 跑到学校 pao dao xuexiao ‘run to school’ implies that the situation has a natural final boundary, 想家 xiang jia ‘miss home’ has no such natural boundary. Bounded situations are called events, unbounded such are called activities. Other examples of events are, according to Shen: 读红楼梦 du Hongloumeng ‘read The dream of the red chamber’, 写几个字 xie ji ge zi ‘write some characters’, 看那场电影 kan nei chang dianying ‘watch that movie’ and of activities: 读书 du shu ‘read books’, 写字 xie zi ‘write characters’, 看电影 kan dianying ‘watch movies’.

Zhang (1995) writes: “an accomplishment can be understood in terms of the source-path [italics mine]-goal schema in which the goal is achieved after traveling the path. For example, in He read a book the activity of reading a book is like a path with many pages symbolizing many stations. When he reached the last page/station – goal, he finished/completed the whole book/path.” (Zhang 1995, p. 33) Verkuyl (1993) describes the same phenomenon when he discusses the semantics of the verb arguments: "It has become clear that the semantic information 'UNSPECIFIED QUANTITY OF X' or 'SPECIFIED QUANTITY OF X' pertains directly or indirectly to the Time axis. That is, the quantities of X involved are expressible in terms of linearly ordered sets of temporal entities." (1993, p. 72) He continues: "[T]he complements of eat and walk [in Judith ate three sandwiches and John walked to three stores] will be treated as providing the 'space' through which Judith and John are 'going'. Still metaphorically, in both cases one may think of the external argument 'going through' a bounded set of indexed entities." (1993, p. 226). It is obvious that the direct object nominal phrase (NP) 两辆车 liang liang che ‘two carts’ in 推两辆车 tui liang liang che ‘push two carts’ though quantified, is an activity and not an event, since it has no natural boundaries. Even if the action of pushing a cart is interrupted, it can still be said of the subject that he/she has pushed a cart. This shows that whether or not a quantified argument is capable of representing such a bounded set of temporal entities depends on the context.

In the present study, the term situation types refers to the distinct semantic categories into which primarily the lexical content of the VP can be classified according to its temporal characteristics. These are characteristics such as the presence vs. non-presence of a natural boundary in the situation described by the VP and duration vs. non-duration of an action. The temporal characteristics of the lexical entities are expressed linguistically through

- The lexical semantics of the verb

---

6 See however chapter 4, in which I propose that the scope of an aspectual marker may have impact on which components in the sentence that form situation type. I argue that for example sentence-final le has a larger scope since it can produce ambiguity in certain decontextualized VPs between an inchoative vs a terminative reading. This means that situation type in those cases must be determined, not only by the semantics of the VP, but also by other factors such as temporal adverbs or even extra-sentential context.
• Path vs. non-path reading of the direct object NP
• Presence vs. non-presence of a durational adverb describing a bounded period of
time during which the action takes place
• Mass/count reading of the subject NP

The four situation types will be characterised thus:

• Accomplishments (durative) and achievements (non-durative) display natural
  boundaries
• Activities have only a potential boundary
• States have no boundaries at all

The situation type of a particular constellation determines for example on what phase
(beginning, middle or end) of the situation described by the constellation the focus should
be in an utterance situation, i.e., as the situation is positioned on a time line. I will discuss
this in detail in chapter 4.

1.2. Tense

“[T]ense is grammaticalised expression of location in time.” (Comrie 1985, p. 9)
This means that languages that have grammatical means to express location of a situation
in time also have tense. What does it mean that a situation is “located in time”?

Reichenbach’s (1947) work on tense has had a tremendous impact on subsequent studies
on tense and to some extent also studies on aspect. It is Reichenbach who introduces, in
his tense semantics, the famous three notions used in most later studies on tense: Speech
Time (S), Event Time (E) and Reference Time (R). While the two former concepts are
both to some extent intuitively clear, the latter is harder to grasp and is not clearly defined
by Reichenbach. The definitions used for these three notions in this present study will be
as follows:

• Speech Time (S)—the time when the utterance is made
• Event Time (E)—the time when the situation described in the utterance obtains. E
  is represented by situation type, which is lexically manifested through the inherent
  temporal properties of the VP if the scope of the marker is verbal and by either the
  VP or the whole sentence if the scope of the marker is sentential.
• Reference Time (R)—the time from which the situation is seen. Implicit or
  explicit temporal reference provides the R for the situation described in a sentence

In this book, which follows the Reichenbachian framework, tenses are defined according
to the temporal structures that they represent. Absolute tense concerns the linear order
between R and S and relative tense concerns the linear order between E and R. The so-

7 Verkuyl (1993) shows that a mass NP in subject position can give a non-bounded reading to the situation
described by the sentence.
called absolute-relative tenses in English manifest morphologically both the relation between E and R and the relation between R and S.\(^8\)

(1)  
(a) At five o’clock, John had (already) left (Pluperfect\(^9\)) \(\text{E–R–S}^{10}\)  
(b) John has left (Present Perfect) \(\text{E–R, S}\)  
(c) At five o’clock, John will (already) have left (Future Perfect) \(\text{S–E–R}\)

Mandarin is known as a tenseless language, since it has no formal means of expressing morphologically if a situation obtains before, simultaneously with or after the time of utterance (Smith 1997; Comrie 1976; Li and Thompson 1981; Fang et al. 1992; among others). Time relative to the time of the utterance is expressed by temporal adverbs like 昨天 `zuotian` ‘yesterday’, 去年 `qunian` ‘last year’, 明天 `mingtian` ‘tomorrow’, etc, but it is generally agreed that there are no grammatical markers or inflectional morphemes that signal where on the time line the reference time of the utterance is positioned relative to the speech time. This means that Chinese grammatical markers such as le do not express absolute tense. It is true that just as 他昨天洗衣服 \(\text{ta zuotian xi yifu}\) means ‘He washed/was washing his clothes yesterday’, i.e. describes a past time situation, 他洗了衣服 \(\text{ta xi le yifu}\) can mean ‘He washed his clothes’, ‘He has washed his clothes’ or ‘He had washed his clothes’, i.e. describe a situation that is past with respect to the present moment. But while for the former sentence, only the absolute past tense interpretation is possible, the latter can have more than one temporal interpretation. 他洗了衣服 \(\text{ta xi le yifu}\) may for example occur in a sentence or subclause describing a situation that will be the case in the future with the readings ‘He will have washed his clothes’\(^{11}\) or ‘When he has washed his clothes…’. Or it can occur in a subclause describing a situation that might be the case in the future: ‘If he washes his clothes…’. In other words, while 昨天 `zuotian` ‘yesterday’ state explicitly that the situation described in the sentence is situated in the past, le makes no claims as to the position of a situation with respect to the speech time. Another important difference between a sentence that contains a temporal adverbial describing past time relative to the present moment and one that contains le is that while 他昨天洗衣服 \(\text{Ta zuotian xi yifu}\) ‘He washed /was washing his clothes yesterday’ does not specify whether the action was concluded or not, 洗了衣服 \(\text{xi le yifu}\) in a past context implies that the action of washing was concluded.

---

\(^8\) Following Reichenbach (1947), in the visual representations of temporal structures, anteriority will be illustrated by a hyphen and simultaneity by a comma. Note that simultaneity does not necessarily imply that one time span is included in another. That is an aspectual distinction with which tense is not concerned.

\(^9\) I will use capital initials to denote grammatical forms, while those terms without capital initials denote the semantic content of a certain aspect, tense or mood. For example, while Pluperfect (or Past Perfect as it is sometimes called) refers to the form commonly called Pluperfect (had v-ed in English), pluperfect refers to a temporal structure (E-R-S) that may be linguistically manifested through grammatical forms and/or a particular context.

\(^10\) Example (1) from Comrie (1985).

\(^11\) Often with 已经 `yijing` ‘already’.
The fact that there are constraints on verbal *le* and another Chinese grammatical marker, 过 *guo*, for occurring with certain temporal expressions (such as 常常 *changchang* ‘often’) or aspect markers (such as 正在 *zheng zai*) is further indication of a difference between the semantics of grammatical markers and temporal adverbs such as 上个月 *shang ge yue* ‘last month’ and 昨天 *zuotian* ‘yesterday’:

(2)

(a) 他 上 个 月 常常 去 美国

Ta *shang ge yue* changchang *qu Mei guo*

Last month he often went to The States\(^\text{12}\)

(b) *他 常常 去 了/过 美国

*Ta changchang *qu le/guo Mei guo*

He often went to The States

(3)

(a) 昨天 小 王 到 家 的 时候，

*Zuotian Xiao Wang dao jia de shihou,*

yesterday Xiao Wang *dao jia de shihou,*

Lili 正在 看 那场 电影

Lili *ZHENGZAI kan na chang dianying*

Lili ZHENGZAI watch that CL movie

When Xiao Wang came home yesterday Lili was (just) watching that movie

(b) *小 王 到 家 的 时候，

*Xiao Wang dao jia de shihou,*

Xiao Wang *dao jia de shihou,*

Lili Zhengzai 看 了/过 那场 电影

Lili ZHENGZAI *kan le/guo na chang dianying*

Lili ZHENGZAI watch LE/GUO that CL movie

When Xiao Wang came home Lili was (just) watching that movie

In this present study, it is assumed that Chinese grammatical markers *zhe*, *le* and 过 *guo* do not express neither absolute nor relative tense in themselves. However, in certain contexts, they can be used to express relative tense (着 *zhe* contribute to relative present, *le* and 过 *guo* to relative past).

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\(^{12}\) All example sentences not marked with a footnote that states the origin of that particular sentence or a number in parenthesis are mine and checked by native speaker as to their grammaticality and correct translation.
1.3. Aspect

The word *aspect* was first introduced into English in the middle of the 18th century and is a loan translation from Russian *vid*, ‘view’. But the phenomenon of aspect has been studied by philosophers, such as for example Aristotle, since ancient times. While tense is a relatively widespread concept among linguists as well as non-linguists around the world, aspect is not as familiar to non-linguists. Russian and other Slavonic languages are known as typical aspectual languages but also French and Spanish, for example, grammaticalise aspect. English, too, expresses an aspectual distinction, although it is not morphologically expressed. The difference between the periphrastic expression *he was reading* and the simple form *he read* is aspectual. Some linguists prefer to group situation type and aspect together under a common term *aspect* or *aspectuality*. Others see them as two categories in close interaction. Situation type is often described as a lexical, objective category, while aspect is defined as a grammatical, subjective category. “[W]hile all languages have lexical-semantic ‘Aktionsart’<sup>13</sup>, a verbal category referring to the temporal structure or the content-oriented aspect of verb meanings through morphological derivation in a narrow sense, not all languages have morphological ‘aspect’, a verbal category referring to the temporal structure or other content-oriented features of verb meanings through grammaticalization in the morphology”. (Zhang 1995, p. 1)

Binnick (1991) criticizes the traditional view on aspect: “The grammatical tradition has generally operated with a rather broad treatment of aspect of just this kind, making minimal assumptions about the nature of aspectual phenomena: aspectual oppositions have to do with the nature of temporal objects (situations, events, episodes, etc.), without deictic considerations, without reference to the speech-act time. The consequence of such broad latitude is considerable confusion” (Binnick 1991, p. 209). He aims in particular at the confusion of aspects and situation types, which, in his eyes, is the result of this broad definition of aspect. The term ‘view’, for example, can allow both subjective and objective interpretations, and thus be used to describe the essence of both aspect and situation type.

In this work, situation type and aspect are viewed as two different categories. Situation type is primarily manifested on the lexical level and aspect primarily on the grammatical level (although some components, that primarily form the situation type of a sentence, can also contribute to the formation of a particular aspect. The resultative predicates in Chinese constitute one such example<sup>14</sup>). However, as we shall see in chapter 4, if the scope of an aspect marker is large, non-lexical components such as other grammatical markers **within** that scope can cause shifts in the situation type. I thus support Huang’s (1987) claim that aspect should be assigned a more extensive domain than the *verb*. Since this is not a typological study of aspect, I will rely on Huang’s evidence from several languages and the domain to which aspect is constrained in those languages that show the

<sup>13</sup> Another term for situation type.

<sup>14</sup> “Both resultative complement and perfective *le* mark the endpoint of a situation, the main difference being that the former is a lexical unit, and the latter a grammatical one.” (Chan 1980, p. 55) See also Sybesma (1997) and Shen (1995) for interesting comparisons between the functions of resultative complements and verbal *le*. 
correctness in her conclusion that the traditional definition of aspect as a verbal category is not correct. This opinion is further based on the fact that the Chinese marker le examined in this study may contribute to the temporal interpretation of a sentence both in cases where the lexical content is expressed by the VP and when it is expressed by the whole sentence. This will be shown in later chapters.

How, then, should the category of aspect be defined? While most linguists15 agree that aspect, just like tense, is connected with time16 not many, surprisingly enough, have attempted to formulate a precise definition of aspect as a category manifesting distinct temporal structures, in the way that tense has been characterized. Instead, most descriptions of what we call aspect in the linguistic literature are “entirely metaphorical in nature” (Klein 1994, p. 27). Typically, terms like “completed vs. non-completed situation” and “outside vs. inside view of the situation” are used.

It is generally accepted that aspect, and not tense, is expressed grammatically in Mandarin Chinese but there is little agreement on what aspectual categories are expressed grammatically in Chinese and which morphemes that are used to express which aspect. Li and Thompson (1981) represent the “main stream” opinion on aspect in Chinese. According to them, four aspectual categories are expressed in Chinese. These categories are

perfective aspect, expressed by the postverbal marker le

(4) 他 在 日本 住 了 四 个 月
Ta zai Riben zhu le si ge yue
He at Japan live LE four CL month
He/She lived in Japan for four months17

imperfective (durative) aspect, expressed by the preverbal marker 在 zai and the postverbal marker 着 zhe

(5) 张三 在 解释 文法
Zhangsan zai jieshi wenfa
Zhangsan ZAI explain grammar
Zhangsan is explaining the grammar

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15 In fact, Huang (1987) constitutes one exception. She claims that time is not the sole substance for the construction of aspectual systems. Such a broad view on what concepts should be included in the category of aspect is not taken in the present study.
16 See for example Comrie (1976).
17 Examples (4), (5), (6), (7), (8) and (9) from Li and Thompson (1981).
He is sitting in the house

experiential aspect, expressed by the postverbal marker 过 guo

I have eaten Japanese food (before)

and delimitative aspect, expressed by reduplication of the verb

You like to sing, so go ahead and sing a little!

Sentence-final le, which is treated separately, is not viewed as an aspect or tense marker at all, even though its function, to signal “Currently Relevant State”, is said to relate a state of affairs to a current situation, i.e. to relate one situation to another.

He can escape now

1.3.1. “Metaphorical” definitions of aspect

One popular definition of aspect is the one used by Comrie: “aspects are different ways of viewing the internal temporal constituency of a situation” (1976, p. 3), another, similar, is Smith’s “camera-metaphor”: “Aspectual viewpoints function like the lens of a camera, making objects visible to the receiver. Situations are the objects on which

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18 The insertion of the morpheme yi ‘one’ between the verb and the reduplicated syllable is optional.
viewpoint lenses are trained.” (1997, p. 61) The difference between aspectual viewpoints, according to Smith’s characterization, is how much of a situation they make visible. Comrie’s and Smith’s descriptions can serve as examples of the conventional definition of aspect. The difference between tense and aspect, claims Comrie (1976, p. 5), is that aspect is concerned with the internal temporal constituency of a situation, i.e. situation-internal time, while tense is concerned with relating a situation to a time-point, i.e. with situation-external time. An unfortunate result of this use of vague definitions for aspect as opposed to the formal definition for tense is that the distinction seems more like one between vagueness and preciseness than one between different temporal concepts.

1.3.2. The time-relational definition of aspect

Comrie describes the aspectual distinction manifested in the two verb forms of the often quoted sentence

(10)

John was reading when I entered

as a distinction in internal constituency, i.e. was reading (Past Progressive) places the listener internally to the situation while entered (Simple Past) presents the situation as a single whole. (10) would then illustrate the distinction between imperfective and perfective aspect. Typically, the perfective is said to indicate a view of a situation from the outside, as a single whole, without paying any attention to the internal complexity of that situation (Comrie 1976) or to signal that a situation is bounded temporally (Bybee 1994; Li and Thompson 1981). According to the same view the imperfective, on the other hand, gives explicit reference to the internal structure of a situation, viewing it from within (Comrie 1976). The present study instead explains the difference between the two verb forms on the basis of the fact that they are manifestations of two distinct temporal structures involving two of Reichenbach’s (1947) times; Event Time and Reference Time. Aspect concerns the inclusive/non-inclusive relationship between the Event Time and the Reference Time.

Binnick (1991, p. 458) identifies aspect as a category involving “the relationship of event time E to the reference frame R; complexive (perfective) aspect has E within R, imperfective has E and R overlapping and perfect has E preceding R”. Klein (1994)

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19 Example (10) from Comrie (1976).
20 English does not use inflectional morphology to make aspectual distinctions. The Simple Past in English is a tense form and not an aspect form. However (as Comrie notes) in the case above, in which the verb in question is non-stative and non-habitual (otherwise the perfective reading would be ruled out automatically), the difference between the two verb forms is that of imperfectivity vs. perfectivity.
21 Binnick recognizes the perfect as an aspect and not as a tense. It should be noted here, that in this work, the perfect is defined as a tense and not as an aspect (see also p. 13). The term “perfect aspect” will only be mentioned with reference to the works of other authors and does not describe the view of the author of this present work.
presents a similar definition of aspect: “The lexical content of a clause has no place on the time axis. Hence, it bears no temporal relation to any other lexical content, nor to a distinguished subsegment of time, like the time of the utterance. It is not part of that structure which we call time. But it can be embedded in time – it can be hooked up to some time span, the topic time TT\textsuperscript{22}, which in its turn stands in a temporal relationship to other time spans.” (Klein 1994, p. 99). This definition clarifies many things that have been left open for interpretation by many previous accounts of aspect. One of them is the relation between aspect and situation type. The lexical content of a clause (its situation type, representing E) is “hooked up to a time span” (R) through the use of an aspectual form. In a time-relational framework, the distinction between the perfective and the imperfective aspect concerns the way the two aspects relate to a temporal reference interval or reference point on a time line. In this present work, it will be assumed that for the expression of the perfective aspect, the situation described must have at least one boundary that is included in the reference time frame. Either the initial boundary, the final boundary, or both the initial and the final boundary of event time E must be included in R. The imperfective situation on the other hand, either has no boundaries at all or its boundaries are not in focus, i.e. they are not included in the reference time frame. While for the perfective aspect, the temporal span of the situation, or the initial or final boundary of that temporal span, is presented as included in this reference interval/point,\textsuperscript{23} which, in effect, means that it is “bounded” temporally, the imperfective signals that the temporal span of a situation includes the reference interval/point,\textsuperscript{24} which means that it is unbounded.

\begin{itemize}
  \item \texttt{[I...I]} She bought three apples \hspace{1cm} \texttt{(perfective)}
  \item \texttt{[I…]} She suddenly knew the answer \hspace{1cm} \texttt{(perfective)}
  \item \texttt{[...I]} She reached the hilltop \hspace{1cm} \texttt{(perfective)}
  \item \texttt{[…][…].} She was buying apples  \hspace{1cm} \texttt{(imperfective)}
\end{itemize}

\texttt{Figure 1}

The square brackets (\texttt{[ ]}) in Figure 1 above represents the boundaries of the reference time frame R. The pillars (I) represents the boundaries of E. Zhang (1995) explains the concept of boundaries: “Boundedness is characterized as establishing a boundary showing a change between two different situations…. From the perspective of boundary, bounded and unbounded situations can be understood in terms of source-path-goal in a spatial domain and in terms of beginning-middle phase-end in a temporal domain. Movement in space has a starting point as a source symbolizing a “left boundary”, and an end-point as a goal or destination symbolizing a “right boundary” (Lys 1988) as well as a path between the two endpoints or boundaries. Attaining an endpoint is establishing a boundary. Since a given path of moving may have one boundary, both, or neither, motion through space can be scanned with or without a boundary.” (Zhang 1995, p. 30)

\textsuperscript{22} Topic Time is Klein’s term. It is roughly the same as the Reichenbachian Reference Time.
\textsuperscript{23} This temporal structure will also be abbreviated as E incl in R
\textsuperscript{24} This temporal structure will also be abbreviated as R incl in E
Basically all this means that the metaphorical view on aspect and the view of aspect as a category that concerns the relationship between temporal spans lead to the same conclusion; the imperfective aspect presents a situation as unbounded while the perfective aspect presents it as bounded in some way. However, there are several advantages with the introduction of the concept of Reference Time R and temporal structures in the discussion on aspect. Not only is the abstract made more concrete (metaphors like “viewing from the outside/inside” are exchanged for a description of the position of R, i.e. the topic time of the utterance, as either outside or inside E, the temporal span of the situation) but also the relationship between aspect and tense becomes clearer since both can be explained as manifestations of temporal structures in which Reference Time is a core concept. The introduction of R is particularly useful when distinguishing between the semantics of the perfective and the perfect, one an aspect and the other a tense.

1.3.3. The perfect and the perfective

In many languages, such as English, different forms are used to express different perfect tenses. The perfects are relative because they relate E to R and they are absolute in that they relate R to S. In Tense (1985) Comrie advocates against a uniform treatment of the Present Perfect and the absolute-relative tenses, since the present perfect contains the element current relevance, which distinguishes it from the pluperfect and the future perfect. In fact, it is assigned a whole chapter in his earlier work Aspect (1976), though he admits that the Present Perfect is different from the other aspects. Salkie (1989), on the other hand, is of the opinion, like Elsness (1991), that current relevance is a "natural inference from the basic temporal meaning of the perfect." (Salkie 1989, p. 6) Salkie claims that the perfects differ from each other for the same reasons that present and past tenses are different. This means that the pluperfect can describe an event both as a past state and a past event, while the present perfect cannot because it is an instance of the present tense and, according to Salkie, the present tense in English cannot be used for events but only for states. Hedin (1987) seems to be of a similar opinion. She claims that the primary function of the Pluperfect in Modern Greek is to be a retrospective counterpart to the (present) perfect and as such, it often expresses current relevance in the same way. "The perfect, being marked for non-retrospectivity, locates the situation referred to within a present frame, the PRESENT ..., that is, within a time frame still relevant at the time of utterance. The relevance, then, is not one of the previous situation (or its result) but of the time frame within which the situation is located." (Hedin 1987, p. 62) According to Hedin, the present point serves as a point of reference within the PRESENT in the Present Perfect sentence (11) (a) below, while its parallel in the past is a

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25 (1) shows the three perfect tenses in English.
26 Comrie's sentence Bill had arrived at six o'clock, that contains the Pluperfect, can have two readings:

a) At six o’clock, Bill had already arrived (he was there)
b) Bill arrived at six o’clock

This is not true of the present perfect, which is practically ungrammatical with temporal expressions denoting past time: *Bill has arrived yesterday.
PAST frame including a point of reference and, as expected, the Pluperfect form is then used in English, as exemplified by (11) (b):

(11)
(a) Now we have given exams five times this year
(b) At this time last year we had given exams five times

The distinction between what is in the literature alternately called perfect tense or perfect aspect and the perfective aspect is not often focused on or considered relevant in the way the opposition between the perfective and the imperfective is considered relevant. This would only have been natural in case there was a consensus that the basic difference between them is temporal, i.e. one is a tense, the other an aspect. There is, however, no such agreement. In some works, the distinction between the perfect and the perfective is simply ignored. Dahl (1985) writes: “In the linguistic literature, the terms ‘perfect’ and ‘perfective’ are often used interchangeably… it happens quite often that otherwise well-oriented linguists are astonished to find that there may be a difference.” (Dahl 1985:138) One might ask for what reasons the two have sometimes been treated as one aspect. Comrie notes that in many works, “there has been an unfortunate tendency to use the term ‘perfective’ for what is here termed ‘perfect’”. (Comrie 1976, p. 12) A possible cause is the terminology used. The so-called perfect tense in Latin happened to have the double function of marking both perfect and what in Greek was called aorist (past perfective). This double function “is responsible, no doubt, for much of the confusion surrounding the terms ‘perfect’ and ‘perfective’ in linguistics.” (Lyons 1977, p. 704) Sometimes the problem of distinguishing between the perfect and the perfective is not of a terminological but of a semantic character. The standpoint that aspect is a category that does not concern relating situations to time spans but instead can be defined in a metaphorical and non-precise way as “a bounded situation” or a “completed action” makes it harder to distinguish between the perfect and the perfective. This is probably one of the most important reasons why the two have been confused.

1.3.4. Problems with existing analyses of aspect in Chinese

At least one of three problematic features characterize most of the existent studies of aspectual markers in Chinese:

- It is assumed that one marker is connected with one and only one aspect (one marker—one label) or/and that one aspect can be expressed by only one marker.
- The markers are described as solely aspectual since they can occur in sentences describing situations both in the past, present and future. It is usually argued that, if a marker can occur in different tenses, it cannot be a tense marker. In fact, this is only true to some extent. It cannot be an absolute tense marker since absolute tense concerns the relation between a reference time R and the speech time S,

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which means that an absolute tense marker can only signal one temporal position relative to the speech time. However, it can be a relative tense marker, since relative tense relates the time of a situation E to a reference time R, and is, therefore, independent of speech time.

- Aspect is metaphorically, not time-relationally, defined. The different aspects are described as different ways of manifesting “stages” or “phases” of a situation, or different “viewpoints” from which a situation is seen (such as at its starting point, from within, at its final point, after it has occurred, before it has occurred, etc). The more abstract and simple the definitions of the different aspects, the easier it is to accept that a certain marker can express a particular aspect in all contexts.

I propose that the temporal categories be assigned less abstract definitions. If instead the markers themselves are assigned more abstract meanings and other contextual elements are recognized as contributors to the aspect, tense or mood of a sentence as well, then grammatical form can be separated from semantic category. Each marker might have a feature that does not attach specifically to a certain aspect, tense or mood but can be adjusted, by using contextual means, to conform and contribute to the linguistic manifestation of a certain semantic category. Hence, it is easy to explain why grammatical markers like 要 zhe, le and 了 le differ as to what core feature(s) they express, but can sometimes still express the same aspect or tense. One single such marker can even contribute to several aspects or tenses and is not restricted to one.

In this study, the perfective is presented as an aspect category and the perfect as a tense category. Therefore, the distinction between the perfective and the perfect is easily recognized. The perfective is defined, according to a time-relational framework, as the linguistic manifestation of a situation with a boundary included in the reference time of the utterance (E incl in R). The perfect is defined, according to the same time-relational framework, as the linguistic manifestation of a situation with a boundary positioned anterior to the reference time of the utterance (E-R).

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Huang (1987) shows that 过 guo, when it does not express experiential perfect can express perfective aspect and in those cases, like le, does not co-occur with negation (see also Teng 1973). But Huang notes that 过 guo and le contrast semantically, even if they both may express perfectivity:

(a) 狗 刚才 吃 过 你 的 苹果
gou gancai chi guo ni de pingguo
dog just-now eat GUO you DE apple
The dog just took a bite of your apple

(b) 狗 刚才 吃 了 你 的 苹果
gou gancai chi le ni de pingguo
dog just-now eat LE you DE apple
The dog just ate your apple

In the first sentence, focus is only on the partial eating of the apple, while in the latter case, the total eating of the apple is implied.
The Chinese verbal *le* has commonly been regarded as a marker of perfective aspect, and the interpretations of the utterances where it occurs are therefore in many works invariably called perfective interpretations, even if they manifest all the characteristics of a perfect such. This is an unfortunate effect of the “one marker—one label” view on grammatical markers. It does not seem as if assigning the ability to express a certain temporal structure to the marker itself benefits an analysis of the aspectual system in Chinese. In fact, such rigidity in the system causes problems for the analysis of non-default examples. One example is Klein’s (2000) analysis. Though he uses time-relational definitions of the aspects, according to him, each particle is related to only one temporal structure, a fact that unfortunately accounts for the same unflexibility in Klein’s system as in the not time-relational ones, exemplified by Li and Thompson (1981).

Huang’s (1987) examples show that the default interpretation of a sentence with the marker 过 *guo* is experiential perfect but in certain contexts, it can also give a perfective interpretation or a perfect of result reading:

(12)

| 我去过中国 |
|---|---|
| Wo qu guo Zhongguo |
| I go GUO China |
| I have been to China | (experiential perfect) |

(13)

| 他睡过午觉没有？ |
|---|---|
| Ta shui guo wujiao meiyou? |
| he sleep GUO noon-sleep NEG |
| Did he take a nap? | (perfective) |

(14)

| 我吃过饭了 |
|---|---|
| Wo chi guo fan le |
| I eat GUO rice LE |
| I have had my meal already | (perfect of result) |

In this study, one of my tasks will be to explain how the same form *le*, through its interaction with the context, can express two or more different sets of temporal structures. It will be shown that once the traditional assumptions are discarded, a much more flexible analysis of grammatical markers can be made. Such an analysis should be able to explain interpretations of sentences where the markers occur that would otherwise have to be labeled ‘variants’ of a certain aspectual meaning.

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29 Examples (12), (13) and (14) from Huang (1987).
1.4. Modality

Just like aspect, modality is a concept that has been defined in various diffuse and vague ways. The encyclopedia of language and linguistics (Asher et al. 1994) says under the entry Mood and modality that “A number of different ideas have been proposed for the identification and delimitation of modality: attitudes and opinions of the speaker, speech acts, subjectivity, non-factivity, nonassertion, possibility and necessity, or, with special reference to the English modal verbs, a group of concepts that include possibility, necessity, obligation, volition and ability.” (1994, p. 2536)

The most commonly recognized modal distinction is the one between epistemic and deontic modality. Epistemic modality is basically concerned with modes of knowing. It expresses the speaker’s judgement of the probability of the occurrence of a situation.” (Tiee 1985:84). Deontic modality, on the other hand, is concerned with modes of obligation, i.e. it expresses permission, obligation and forbiddance. Epistemic and deontic modality are often viewed as the core notions of modality, since both modality types are non-factual (i.e. they relate to non-actual worlds) and subjective (reflecting the view of the speaker). But often other types of modality are discussed in the literature as well, such as for example evaluative modality. It concerns the speaker’s attitude towards the utterance, for example expressing that something is contrary to expectations.

Tense, mood and aspect are often treated together, among other things since it is not always obvious that a certain form is strictly restricted to expressing only one of these categories. The past tense in English, for example, can be used to express subjunctive mood. Dahl (1985) uses the term TMA categories for tenses, moods and aspects. “[T]he semantics of TMA categories is connected with concepts that are fundamental to human thinking”. (Dahl 1985:1) Since modality is not treated to any great extent in this work, I will not attempt to work out a clearer definition of modality than the one mentioned above. In this work, modality will receive a broad definition as a category expressing the attitudes and opinions of the speaker. Further, a rather wide view on the modal system is taken. Features mentioned here that would probably by some linguists be considered as discourse features rather than modal features are assumed to be included in the modal system. As Palmer says: “…it is by no means always possible to make a clear distinction between a discourse and a modal feature” (1986, p. 91). In this present study sentence features associated with the speaker’s attitude towards the proposition are regarded as modal while features associated with the relation between the sentence and other sentences in the discourse are regarded as discourse features.

In Chinese, modality is expressed by adverbs, modal auxiliary verbs, intonation and modal particles. Except for sentence-final particles like 啊 a, 吗 ma, 吧 ba, 呢 ne and their allomorphs, sentence-final 的 de and le are sometimes recognized as modal particles, or modal auxiliary words (Chao 1968; Fang et al 1994; Hu 1988). Using modal particles is one way of expressing a certain attitude towards what is said in an interactive discourse.
1.5. Concluding remarks

Since the terminology discussed in this chapter will be used extensively throughout the rest of this book, a summary of my definitions of some of the expressions introduced so far follows below. From now on, unless stated otherwise, these terms should be interpreted as follows:

**VP**: The verb phrase, i.e. the verb and its arguments (in this present work, by *argument* I refer in particular to the direct object NP). Durational adverbs will here be seen as extensions of the VP since they, like quantified direct object NPs, contribute to the path reading of a situation, i.e. they specify the extent or duration of an activity.

**NP**: It is assumed here that the semantics of the argument nominal phrase (NP) is important for the expression of *boundedness* of a situation (which distinguishes activities and states from accomplishments and achievements) and *duration* of a situation (which distinguishes achievements from accomplishments).

**Situation types**: This term refers to the distinct semantic categories into which primarily lexical entities consisting of the VP (including durational adverbs if present) can be classified according to their temporal characteristics. See however chapter 4, in which I propose that the scope of an aspectual marker may have impact on which components in a particular sentence that form the situation type. Situation type is determined by features such as the presence vs. non-presence of a natural boundary in the situation described and duration vs. non-duration of an action. Accomplishments (paint a house) and achievements (reach the top) have natural final end points. Activities (run) contain only potential final end points and states (be blue) have no end points.

**Path**: A quantified NP (two carts, five apples, etc) can represent a bounded set of temporal entities, a path. Quantification of the NP often results in boundedness, i.e. it provides the whole verb phrase with a final boundary at the same time as it specifies the duration of the situation. Quantified NPs cannot denote a path with all verbs and in all contexts, however. Push two carts does not describe a bounded situation (i.e. it has no natural final boundary) while build two carts normally does.

**Resultative (verb) compounds**: In Chinese, the so-called resultative complements occur with verbs. They have a lexical content and function primarily on the situation type level (marking a boundary). They signal the result of the situation described by the verbs that they are attached to. According to Smith (1997), there are two classes of complements; the Directional (such as 上 shang ‘ascend’, 出 chu ‘out’ and 过 guo ‘cross’) and the Resultative (Resultative Result state complements such as 饱 bao ‘full’ and 错 cuo ‘wrong’ or Resultative Phase complements such as 好 hao ‘good’ and 完 wan ‘finished’) complements.

**Reichenbach’s times**: I follow the Reichenbachian schema for temporal structures underlying the tenses and the aspects. It consists of three times: Speech Time, Event
Time and Reference Time. Each aspect or tense can be described as the linguistic manifestation of a certain temporal structure formed by two or all three of these times. They can be defined thus:

- **Speech Time (S)**—the time when the utterance is made.
- **Event Time (E)**—the time when the situation described in the utterance obtains. The lexical content of the constellation that forms the situation type represents E. It specifies the duration of a situation and whether or not it has an initial and/or final boundary.
- **Reference Time (R)**—the time from which the situation is seen. Normally, the temporal expression in a sentence is assigned the function of denoting the Reference Time of the situation described in the sentence, but it can also be contextually implied.

\[ (15) \]

- What did you do during your vacation?
- I went to see my aunt (implicit: *during my vacation*), who lives in France.

Sometimes, the temporal adverb refers to a temporal interval that includes the “real” reference time, which is implicit. For example:

\[ (16) \]

(talking about calling a friend on his birthday which—as both parties are aware of—was on Wednesday)
- I forgot to call him last week (implicit: *on Wednesday* last week). I called him on Friday instead.

**Aspect:** Aspect is defined as a semantic category the substance of which is temporal relations, primarily the inclusion/non-inclusion of temporal spans in each other and specifically the inclusive/non-inclusive relationship between the Event Time E and the Reference Time R. For the perfective aspect, either the initial end point (boundary) of E (*The next moment, the sky became red*), the final end point (boundary) of E (*He arrived in Beijing at five o’clock*), or possibly both (*Last week he bought three books*), must be included in R (E incl in R). For the imperfective aspect, on the other hand, it is R that is included in E, which means that the boundaries of E are outside R (*At five o’clock, he was walking home from work*) (R incl in E).

**Tense:** Tense is defined as a semantic category the substance of which is temporal relations, namely the linear order of Reference Time R with respect to Speech Time S (for absolute tense) or of Event Time E with respect to Reference Time R (for relative tense). The absolute tenses can manifest the temporal structure R-S (*He walked to town*), R,S (*He walks to town*) and S-R (*He will walk to town*). The relative tenses describe situations as either anterior to R (E-R) (*Having walked to town...*), simultaneous with R (E,R) (*Walking to town...*) or posterior to R (R-E) (*Being about to walk to town...*). For the absolute-relative tenses there are two relevant relations, the order between R and S...
and the one between E and R. E can be positioned anterior to R (retrospective) as in By five o’clock he had walked to town (E-R-S). He has walked to town now (E-R,S) and Tomorrow he will have walked to town (S-E-R), simultaneous with R as in Yesterday afternoon he walked/was walking to town (E,R–S), He walks/ is walking to town now (E,R,S) and Tomorrow he will walk/will be walking to town (S-E,R), or it can be positioned posterior to R (prospective) as in Yesterday he was about to go to town (R-E-S)), Now he is about to go to town (S,R-E) and Tomorrow he will be about to go to town (S-R-E).

**Modality:** This semantic category expresses the attitudes and opinions of the speaker. Using modal particles, for example, is one way of expressing a certain attitude towards what is said in an interactive discourse.
2. Problems with previous studies of *le*

*le* is a grammatical, atonal morpheme in Mandarin Chinese. It can occur postposed to verbs, nouns (rarely) and sentences but it is seldom obligatory.

(17)

他吃了三个苹果
Ta *chi* *le* san ge pingguo
He ate three apples / He has (had) eaten three apples

(18)

我写错了那个字
Wo *xiecuo* *le* nei ge zi
I wrote that character wrong / I have (had) written that character wrong

(19)

春天了
Chuntian *le*
It is spring now

(20)

他声明他推出那个组织了
Ta *shengming* ta *tuichu* nei ge zuzhi *le*
He announced that he had withdrawn from that organization

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30 Examples (18) and (20) from Li and Thompson (1981). I have added another possible translation (*I have (had) written that character wrong*) of (18).
Sometimes, two le occur in one simple sentence; one after the verb and one at the end of the sentence.

In most studies le is treated not as one morpheme but as two homophonous and homographic morphemes, one that is a verb suffix (henceforth verbal le) and one that is a sentence-final particle (henceforth sentence-final le) on a par with other sentence-final particles such as 呢 ne, 嘿 ma and 吧 ba. Like the verbal markers 着 zhe and 过 guo it has usually been classified as an aspectual morpheme when it occurs post-verbally. Some have claimed that when le occurs in post-verbal position it expresses completion (Kwan-Terry (1979); Chao (1968) and Klein (2000)), others that it signals perfective aspect (Li and Thompson (1981); Smith (1997) and Mangione and Li (1993)), past tense (Ross (1998)), anteriority (Melchert (1980)) or result (Sybesma (1997)), among other things. Its sentence-final counterpart has been described as a marker of modality (Fang et al (1992)), change of state/new situation/inchoativity (Chao (1968), Chan (1980), Melchert (1980) and Yong (1997)) and, recently, perfect aspect (Li and Thompson (1982) and Mochizuki (2000)). There are two le, states Lu Shuxiang in his famous grammar Xiandai Hanyu babai ci [Eight hundred words in Modern Chinese] (1980). According to him, verbal le signals completed action while sentence-final le asserts that a change of state has occurred or will occur. His opinion reflects the traditional view on le, i.e. that there are two homophonous markers le in Mandarin Chinese. However, in cases where it occurs after a verb that is sentence-final it is difficult to identify le. This is only one of the problems with the traditional two-le theory, which has been challenged, in particular during recent years, by linguists such as Rohsenow (1978), Huang (1987), Shi (1988) and Liu (1998). They claim that there is in fact only one morpheme le and that le (regardless of syntactic position) has a unique meaning that is present in all occurrences of the marker.

Perhaps one of the main problems with existing analyses concerns the terminology that is used. For example, the confusion when it comes to the meaning of the concept 完成体 wanchengti in Chinese is disturbing, since many authors use this term to describe the

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31 It should be noted that Mangione and Li in fact describe le as a perfective morpheme that marks “that the event described by a given sentence is to be understood as occurring before sentence’s reference time.” (Mangione and Li 1993:66). Thus, in fact, it is also defined as a marker of anteriority in their work.

32 See for example Shi’s (1988) example (91).
meaning of the verbal *le*. As Mochizuki (2000) points out, this term is sometimes used with the meaning ‘perfect aspect’, sometimes with the meaning ‘perfective (or completive) aspect’, while in fact the semantic difference between these two concepts is of great importance for the study of aspect and tense.

When studying the existing literature on the marker(s) *le* in Mandarin Chinese, the reader might find herself quite disconcerted at the sight of so many different opinions on its meaning. For one thing, every author has his/her own definitions of common terms used in this work such as perfective aspect, perfect tense, inchoativity, relative anteriority, etc. Second, many use descriptions of the meaning of *le* that on closer inspection do not agree with its functions in different contexts. Finally, some assume that there are two *les* with different meanings and functions, others argue that there is in fact only one *le*—with one meaning—that can occur in two syntactic positions. I will discuss all three of these problems in turn.

### 2.1. Terminological problems

Li and Thompson (1981) stipulate that **verbal le** is a *perfective aspect* marker. Being a perfective marker, it indicates that an event is being viewed in its entirety, i.e. as bounded in some way. Interestingly enough, Li and Thompson, having said that, state that “[T]here are essentially four ways in which an event can be bounded:
A. By being a quantified event
B. By being a definite or specific event
C. By being inherently bounded because of the meaning of the verb
D. By being the first event in a sequence” Li and Thompson (1981, p. 185-186)

**Sentence-final le** is one of six sentence-final particles in the Chinese language, according to Li and Thompson. *Le* differs from the others in that it can occur with other sentence-final particles:

(23)  
她 买 房子 了 吗？
Ta mai fangzi le ma?
Did she buy a house?33

*Le* has a communicative function; to signal a “Currently Relevant State”. This means that “*le* claims that a *state of affairs has special current relevance with respect to some particular situation.*” (Li and Thompson 1981, p. 240) In later works (1982), they develop this idea by arguing that this is in fact the characteristics of the perfect, therefore, sentence-final *le* can be said to mark perfect aspect.34 These claims will be further discussed in 2.2.5 and in chapter 6.

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33 Example (23) from Li and Thompson (1981).
34 Li, Thompson and Thompson (1982) define the perfect as an aspect and not as a tense.
According to Li and Thompson (1981), verbal le marks an event as perfective, or bounded, but it occurs only in sentences that already in themselves describe situations as bounded. One might ask: If this were really the case, would le not in fact be superfluous? Boundedness is not the same as perfectivity. It is assumed in this study that the boundary-notion applies on two aspectual levels. Failure to distinguish between these two levels creates terminological confusion. Mochizuki (2000), for example, seems to mix up terminativity\(^{35}\) and inchoativity\(^{36}\) with the expression of the perfective and the perfect. Similarly, Melchert (1980) claims that sentence-final le marks the “so-called ‘inchoative aspect’” (Melchert 1980, p. 638) and Shi’s (1988) use of concepts like perfectivity, inchoativity and relative anteriority is equally confusing and seems to lead to incorrect assumptions.\(^{37}\) In fact, inchoativity is the result of the addition of an initial boundary to a stative situation (which is otherwise unbounded). Inchoative situations can in their turn achieve a temporal interpretation on a higher level. For example, they can have perfect or perfective readings:

\[(24)\]

\[
\begin{align*}
\text{(a) } & \text{ 现在 他 知道 了} \\
& \text{(Xianzai) ta zhidao le} \\
& \text{(now) he know LE} \\
& \text{(Now) he knows}^{38} \quad \text{(inchoative (stative verb zhidao + le) and perfect)}
\end{align*}
\]

\[
\begin{align*}
\text{(b) } & \text{ 他 突然 知道 了} \\
& \text{Ta turan zhidao le} \\
& \text{he (suddenly) know LE} \\
& \text{He suddenly realized} \quad \text{(inchoative (stative verb zhidao + le) and perfective)}
\end{align*}
\]

Perfectivity is an aspectual distinction on a higher level than that of inchoativity. A time-relational description of the aspects and tenses implies that the semantics of the perfective and the imperfective aspect as well as the perfect tense concerns relations between “outer” times such as Event Time E, Reference Time R and Speech Time S, while inchoativity is unrelated to these concepts as was shown in above examples, which are all inchoative. The opposite of inchoativity is instead terminativity:

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35 Terminativity can be defined as focus on the end point of situation (The man jumped into the river, He bought two books).

36 Inchoativity can be defined as focus on the start point of a situation (The sky became red).

37 The problems with Shi’s study should, however, not overshadow the fact that it does present several very interesting and innovative ideas about the marker le and its use and how a unified analysis of the marker(s) can be formulated using a non-formal framework.

38 Or rather: ‘It has become the case that he knows’
According to Comrie, “[i]n many languages that have a distinction between perfective and imperfective forms, the perfective forms of some verbs, in particular of some stative verbs, can in fact be used to indicate the beginning of a situation”. (Comrie 1976, p. 19) It seems then as if it is in fact the characteristics of the predicate or the sentence that determines if the interpretation is to be inchoative or terminative while the expression of the perfect tense and the perfective aspect, on the other hand, is unconcerned with these characteristics.

Finally, relative anteriority is a concept that cannot be equated with perfectivity. Relative tense, as I have already established, relates a situation to a reference time R (as anterior to, simultaneous with or posterior to R). It is true that in some sentences with le, the situation described is interpreted as anterior to a certain reference time, such as for example in the speech situations of (24) (a) and (25) (a) above. This however, only shows that le is not (only) a perfective marker, since these are the characteristics of the perfect tense. The perfective aspect manifests linguistically a situation that is looked upon as included in R, not as anterior to it.

Metaphorical descriptions of the semantics of both the perfective aspect and the perfect tense abound in the literature. These descriptions are often unable to capture the distinction between the two categories, which also affects the interpretation of the semantics of the marker le. One such example is Sybesma’s (1997) claim that perfective semantics is about an action affecting the whole object. In fact, situations that are described as perfect may also be interpreted as actions affecting the whole object, i.e. as completed actions.

39 Or rather: ‘He has already died’
Both in (26) (a), a perfective sentence and in (26) (b), a perfect sentence, the action denoted by the verb affects the whole quantified object san tiao yu ‘three fishes’ and is perceived as completed. But there is a difference between the interpretation of (26) (a) and that of (26) (b): (26) (a) does not relate the action to a posterior moment in time, for example the speech time S. In the speech situation of (26) (b) the listener may either expect the subject to continue eating, or conclude that he is too full to eat another fish. In any case, the listener knows that the subject at the moment of speaking has eaten those fishes (they are in his stomach). In (26) (a) on the other hand, this is not necessarily the case. The speaker may be talking about his friend’s eating habits, telling the audience that at a specific time in the past (yesterday evening) his friend ate three fishes. Whether or not the subject has eaten since, for example, is irrelevant. Or the utterance could initiate an account of what the friend did yesterday, in which case it would be followed by information about what the he did after having eaten the fish. While Sybesma’s claim that sentences containing verbal le may have both completive or terminative readings seems correct, this does not affect its interpretation as perfect or perfective.

“Though one is justified in claiming that the verbal le is a perfect aspect marker since in all cases it indicates the notion of completion, one must at the same time bear in mind the two somewhat different meanings this verbal le has according to whether it is used with a dynamic or a stative verb.” (Kwan-Terry 1979, p. 44) Kwan-Terry equates completion with perfect tense (in her words, perfect aspect). However, the temporal nature of the perfect is quite well established in the literature. It is simply unconnected with concepts such as completion, which has more to do with the nature of the VP than with higher temporal categories. This is another example that shows the discrepancy between uses of tense- and aspect-related terms.

Time-relational approaches such as Mangione and Li’s (1993) and Yong’s (1997) for different reasons also fail to observe the distinction between the two temporal structures (E incl in R) and (E-R) that can be expressed by sentences containing le. Mangione and Li’s (1993) definition of the perfective aspect does not agree with the one advocated in this thesis, since it says that for the perfective the event time is positioned before the reference time of the sentence (E-R) and not inside it (E incl in R). Yong (1997) equates the past occurrence of an action with perfectivity and thus manages to include both the temporal structure E incl in R and E-R under the term perfective aspect. According to the

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40 See also 2.2.2.
view represented by this present work, the perfective aspect is characterized in itself only by one temporal structure, which is the former, E incl in R. The structure E-R, on the other hand, is assigned to the expression of an anterior tense, such as relative anteriority or one of the perfect tenses, and not perfective aspect.

2.2. Definitions that fail to explain the function of le

2.2.1. Verbal le as a perfective marker

Some authors claim that verbal le must be an aspect marker since it can occur in both past time and future time. However, this is not entirely true. There are certain constraints on the appearance of verbal le in sentences describing future time situations. When le occurs in a sentence that describes a future situation, the boundary cannot be included in the reference time of that sentence. In other words, verbal le does not mark perfective aspect in future contexts. In such contexts, the situation described in the clause that contains le refers to an anterior situation:

(27)

我看完报就睡
Wo kanwan le bao jiu shui
I read-finish LE newspaper then sleep
When I have finished reading the newspaper I will sleep

Sentence-final le can occur in main clauses describing future perfective situations (S-E incl in R), but it then usually seems to contribute to the modal rather than the temporal reading of the sentence. For example:

(son to mother who has been urging him to write to his aunt)

我明天就写了
Wo mingtian jiu xie le
I tomorrow then write LE
(OK, OK,) I’ll write tomorrow (i.e. you’re wrong to think I’m never going to do it) (example from Li and Thompson (1981))
In an hour, I will have finished writing this manuscript.

Don’t tell him that I have used his washing machine.

As Li (1999) points out, sentence (29) can be used even if the speaker has not used the washing machine at the time of the utterance. Le is attached to a verb that describes a future situation. However, it is not future with respect to the reference time. In the speaker’s mind, he constructs a situation where he has already used the washing machine and the listener is expected to act in a certain way (not to tell the owner of the washing machine about the occurred event). The event of using the machine is then not a future perfective event (S-E incl in R) but a future perfect event (S-E-R).

2.2.2. Verbal le as a marker of completion

Does the perfective aspect necessarily mean completed action? If so, does that mean that verbal le marks completion? Le marks the perfective aspect but it does not mean completion, only termination claims Smith (1997).

The example below shows that in Mandarin Chinese completion is not a relevant feature for the distinction between the perfective and the imperfective aspect.

I painted a picture yesterday but I didn’t finish it.

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43 Example (29) from Li (1999), translation mine.
44 ‘Don’t tell him that I will use his washing machine (this afternoon)’
45 Example (30) from Tai (1984).
Tai (1984) claims that only resultative verb compounds such as 画完 huawan ‘paint-finish’ or 学会 xuehui ‘learn’ can describe accomplishments, i.e. imply the attainment of a goal while simple verbs such as 画 hua ‘paint’ and 学 xue ‘study’ cannot. Sentences like (30) show that the presence of le, which contributes to a perfective reading of the activity, does not guarantee a completive reading of the predicate.

Sybesma (1997), who does not see le as an aspect marker but as a resultative complement, defines perfective semantics thus: “As soon as the action denoted by the verb has affected the entire object, the action is over, it is finished, it has been completed.” (Sybesma 1997, p. 241) Consequently, according to his theory, whenever there is a bounded object on which the action of the verb (to which verbal le is attached) can be applied, the result must be a completive (perfective) reading, because, then, the action can affect the whole object. On the other hand, when a mass object is involved, the interpretation is that of termination and not necessarily completion:

(31) *我 吃 了 一 条 鱼，可 是 没 有 吃 完
*Wo chi le yi tiao yu, keshi meiyou chiwan
I ate LE one CL fish but NEG eat-finish
I ate a fish but I didn’t finish it

(32) 我 吃 了 鱼，可 是 没 有 吃 完
Wo chi le yu, keshi meiyou chiwan
I eat LE fish but NEG eat-finish
I was eating fish, but I didn’t finish

However, Sybesma indicates in his concluding remarks that he is aware of a serious problem with this theory. As he himself points out, for sentences that contain an effective verb, like 写 xie ‘write’, a non-completion reading is accepted even when a bounded object NP is present:

(33) 我 写 了 一 封 信，可 是 没 有 写 完
Wo xie le yi feng xin keshi meiyou xiewan
I write LE one CL letter but NEG write-finish
I wrote a letter (I did some writing on a letter), but I didn’t finish it

It is obvious that the bounded/mass object distinction is not sufficient criteria for distinguishing sentences that get a terminative reading with le from those that get a completive reading with le. Instead, the distinction must be made not only on the basis of the combination of the semantics of the verb and the quantification/non-quantification of

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46 Examples (31), (32) and (33) from Sybesma (1997).
the direct object NP, but also on other things such as the semantics of the direct object
and on the context.

(34)
(a) 他 吃 了 一 个 苹果
Ta chi le yi ge pingguo
he eat LE one CL apple
He ate an apple

(b) 他 吃 了 那 个 苹果
Ta chi le na ge pingguo
he eat LE that CL apple
He ate that apple /He ate from that apple

他吃了一个苹果 Ta chi le yi ge pingguo in its most natural meaning will mean that
“he” ate the whole apple. For (35), with a definite pronoun 那 na ‘that’ the reading is
contextually dependent:

(35)
他 吃 了 那 个 苹果 可是 没有 吃完
Ta chi le na ge pingguo keshi meiyou chiwan
he eat LE that CL apple but NEG eat-finish
He ate (from) that apple but he didn’t finish it

Or in a discussion about a person who got sick because he took a bite of an apple:

(36)
(a) 他 吃 了 那 个 苹果 吗 ？
Ta chi le na ge pingguo ma?
he eat LE that CL apple MA
Did he eat from that apple?

(b) 他 吃 了 这 两 个 苹果
Ta chi le zhe liang ge pingguo
he eat LE this two CL apple
He ate from these two apples

In (36) “he” may have just taken a bite out of each of the apples, i.e., the quantified object
does not necessarily mean that the objects were consumed. Likewise, in certain contexts,
He read (from) that book would not necessarily mean that “he” read the whole book.

Wo jintian qu tushuguan. Zai nar kan le san ben shu
I went to the library today. I read three books there. I read from three books there

(38) does not have to mean that “he” read through all three books, he may have just looked through them, or read a bit from all three of them. Another example is (39):

(b) Ta sha le nei ge ren that CL person/Zhangsan, keshi meiyou shasi
he kill LE that CL person/Zhangsan but NEG kill-die

*He killed that person/Zhongsan, but didn’t kill him

In (b), 杀 sha has the meaning of “try to kill” instead of ‘kill’. Although sentences containing a quantified object and verbal le do not in all contexts denote that there was a change of state in the direct object NP as a result of the activity denoted by the verb, they do refer to terminated activities. The range that the quantified object specifies then simply denotes the range of objects upon which the action was performed, not necessarily how or to what extent the objects were affected by the activity.

Kwan-Terry (1979) assumes that le marks completion. But examples such as (40), (41) and (42) show that her claim that verbal le expresses completion of action with dynamic verbs and completion of transition into a state with stative verbs is not very well founded:
(40) 

天 晴 了 三 天
Tian qing le san tian
sky clear LE three day
The sky was clear for three days

(41) 

他 写 了 信 可 是 没 写 完
Ta xie le xin keshi mei xiewan
he write LE letter but NEG write-finish
He wrote a letter but didn’t finish it

(42) 

衬衫 小 了 三 寸
Chenshan xiao le san cun
shirt small LE three inch
The shirt is too small by three inches

In (40) le is attached to a stative verb but the sentence does not describe the completion of the transition into a state. Instead it describes a durational state that lasted for a limited period of time. 写 xie ‘write’ is a dynamic verb but (41) shows that verbal le does not have to mean completion of the action “write a letter”. In (42) verbal le occurs with a stative verb 小 xiao ‘small’. In the interpretation indicated by the translation of this sentence, there is simply no trace of either a completion or transition meaning despite the presence of le.

According to Yong (1997), the fact that le is obligatory in the subclause of sequential sentences regardless of whether the event time is past, present or future shows that it marks completion. But Liu’s (1998a) example shows that this is not correct. (43) (a) that contains the resultative complement 完 wan unequivocally denotes completion, (43) (b) that instead contains le, does not:

(43) 

(a) 吃 完 才 觉 着 有 点 儿 香 味 儿
Chiwan cai juezhe youdianr xiangweir
eat-finish only then feel some taste
Only after having finished the meal, I noticed it had a nice flavour
Only when I ate (had tasted it, had taken a few bites), I noticed it had a nice flavour\textsuperscript{47}

Liu presents further evidence that \textit{le} cannot mark completion, or \textit{wancheng}, in all its occurrences:

\textbf{(44)}

(a) 说完了 没有？
Shuowan meiyou?
talk-finish NEG
Did you finish talking?

(b) 说 (le) 了 没有？
Shuo le meiyou?
Say LE NEG
Did you say(it)?

\textbf{(45)}

(a) 好容易 当了 兵
Haorongyi dang le bing
with difficulty serve LE military
It is not easy to become a military

(b) 好容易 当完 兵
Haorongyi dangwan bing
with difficulty serve-finish military
It is not easy to finish the military service

\textsuperscript{47} Examples (43), (44) and (45) from Liu (1998a), translation mine.
2.2.3. Verbal *le* as a marker of anteriority

Melchert (1980), like Mangione and Li (1993), argues that verbal *le* marks anteriority and Li (2000) claims that *le* is a perfect aspect (完成体 wanchengti) marker. According to Li, it can express either completion (完毕 wanbi) or inception (生成 shengcheng) depending on in what contextual environment it occurs but signals, in all cases, that something happened anterior to a certain point in time.

(46)

我 吃 了 三 碗 饭
Wo chi le san wan fan
I ate three bowls of rice 49

(47)

他 念 了 三 年 中文
Ta nian le san nian zhongwen
He studied Chinese for three years

Melchert’s (1980) claim that verbal *le* in Chinese fills the role of the Latin perfect is correct, since according to Latin grammars, the Latin perfect form encompasses both the modern perfect and the perfective. It could describe a situation either as anterior to a past, present or future reference time or as included in a (past) reference time. However, Melchert’s analysis meets with problems when he tries to show that both the Latin perfect and Chinese *le* signal anteriority and equates to the English Preterite form. First, in simple sentences like (46) and (47), taken out of their context, there are often two possible readings: a perfective reading and a perfect reading. The perfect reading, however, is the only reading that signals that the situation is anterior to a point in time. Interestingly enough, Melchert uses the Simple Past to translate both (46) and (47) which illustrates only the perfective reading. Even though verbal *le* can contribute to a perfect reading (‘has eaten three meals’, ‘has studied three years of Chinese’) of a situation that is described in the sentence, it is not the default reading of a sentence with verbal *le*, and it is definitely not the only possible meaning. Besides, the English Preterite (i.e. Simple

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48 As I have mentioned, there is great confusion when it comes to the exact meaning of *wancheng* among the authors and it is almost impossible to be sure of what meaning a particular author has in mind when using the term if it is not translated into English. Besides, not even the articles where the English terms *perfective* and *perfect* are used are “safe” from this confusion. For example, Mangione and Li (1993) (see p. 24) uses a definition of the perfective aspect that other linguists would understand as a definition of the perfect tense. Anyway, in the case of Li (2000), I have chosen the translation “perfect” for one single reason. His definition of *le*, in his view a marker of the aspect called *wanchengti*, coincides with the common definition of the perfect tense, as he claims that it signals that something happened before a certain point in time.

49 Examples (46) and (47) from Melchert (1980).
Past) is an absolute tense form. According to the time-relational tense system presented here, the temporal structure that underlies the present tense is R,S. The Preterite, being a past tense form, places R in a position anterior to S (R-S)—therefore it cannot be [+present] as Melchert claims! This means that the Preterite form in English does have the anteriority feature, but the times that are being related to each other by using it are different than the ones that are being related to each other by using a perfect form, in which case the relevant times are E and R. Finally, it is only when verbal le implies anteriority that it can occur in future contexts, such as for example in sequential sentences.

While both Mangione and Li (1993) and Melchert (1980) incorrectly define verbal le as a marker of anteriority, other authors, such as Klein (2000) fail to see that le can mark anteriority at all. Klein’s analysis is still very interesting because it is one of the few articles that attempt to use time-relational definitions of the functions of all the so-called aspect markers in Chinese.

Yong (1997), who claims that the default reading of a sentence that contains verbal le is one where the event is interpreted as anterior to R/S, fails to see that it is not necessarily the presence of verbal le and the absence of a specific reference time in 他已经写了信了 Ta yijing xie le xin le ‘He has written (the) letter(s)’ that produces a reading where R is simultaneous with S (R, S). The semantics of 已经 yijing ‘already’ rules out any reading where E is included in R (E incl in R), in fact, if 已经 is present, E must be anterior to R (E-R). Besides, the presence of sentence-final le often gives a default perfect reading (i.e. a reading in which E is anterior to R) in co-occurrence with verbal le. Furthermore, R is not by definition simultaneous with S in such sentences. If the topic time of the context is some other time than the speech time, R can be either a past or a future time. Examples in later chapters will show this.

Yong’s example 他已经写了信 Ta zuotian xie le xin ‘Yesterday he wrote (the) letter(s)’) is ambiguous in another way. Yong claims that if a sentence contains an explicit temporal expression, the situation described must be interpreted as included in the reference time (E incl in R) and conversely, that a situation described in a sentence that does not contain an explicit reference time must have an anteriority reading (E-R). This statement is not correct. Although a perfective reading might be default for a sentence with verbal le that contains a temporal expression denoting past time, a perfect reading is not outruled. For example, if the adverbial 已经 yijing is present, a perfect reading is forced onto such a sentence:

(48)

他已经写了信 他昨天七点钟已经写了信
Ta zuotian qi dian zhong yijing xie le xin
He had already written the letter by seven o’clock yesterday

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50 See chapter 5.1.2.  
51 Perfective is here intended to describe the semantic category that is characterized by the temporal structure E incl in R, even if Yong’s definition of the perfective is different.
Yong’s claim that *le* marks simultaneity to *R* in all cases where the sentence contains an explicit reference time is thus not justified. On the other hand it is not hard to find a sentence that lacks an explicit temporal adverb but still describe a situation as included in a specific reference time. The reference time can be provided by the context (underlined section):

(49)

| Dao le Niu laoshi jia yihou, ta wen wo arrive LE Niu teacher home after he ask I is how come de. Wo shuo shi zuo be how come DE I say be sit shi zemne lai de. Wo shuo shi zuo be how come DE I say be sit public car came de, bingqie xiang ta bus come DE moreover towards he jiang le wen lu de qingkuang tell LE ask way DE circumstances When we had arrived at teacher Niu’s home, he asked how I had got there. I said that I had come by bus and told him about what had happened when I asked for directions |

2.2.4. Sentence-final *le* as an inchoative marker

Melchert (1980) discusses the specific function of sentence-final *le*. He argues that it implies an starting point but no endpoint for the condition expressed by the predicate. Yong (1997) claims that sentence-final *le* is an inchoative marker that marks the start point of a situation. The examples below undermine these statements:

(50)

| Ni shang ge zhoumo zuo shenme le? you up CL weekend do what LE What did you do last weekend? |

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52 Nevertheless, R cannot be simultaneous with S (R,S) in sentences where there is an adverb specifying a reference time that is not the present moment.

53 Examples (50), (51) and (52) from Liu (1998)
Mr Wang went to China last month

He went to see a doctor yesterday

The tuition fee is really too high

In neither of these sentences does le mark the “entry into a state” or the starting point of the situation described by the predicate. Examples like these and (54) and (55) below show that Melchert’s implication that sentence-final le necessarily connects the act described in the sentence to the present time is also incorrect:

Once rush hour is over, the train becomes empty.

We had walked so much that we’d gotten very tired.

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54 Example (53) from Li and Thompson (1981)
55 Examples (54) and (55) from Li and Thompson (1981).
Sentence (55) can describe a situation as anterior to a specific time in the past (E-R-S), as the translation indicates. So the situation is unconnected to the present moment, even though the sentence contains sentence-final le.

2.2.5. Sentence-final le as a perfect marker

Li, Thompson and Thompson (1982) argue that sentence-final le in Chinese manifests the perfect aspect by signalling current relevance, i.e. that a state of affairs has special current relevance to a reference time, which, according to them, is the basic discourse function of the Perfect aspect. Following Friedrich (1974), they adopt a view on the perfect as one of three “basic aspect categories”:56 This conflicts with the view taken in this book, that the perfect is a tense. Their description of the semantics of the perfect, however, does not differ in any significant way from others found in the literature: “the essence of the Perfect is its function of relating events/states to a Reference Time, either to the time of the narrative or to the time of the speech act”. (Li, Thompson and Thompson 1982:19)

An important difference between theirs and the conventional time-relational definition of the perfect lies in what exactly is being related to the reference time: “...in a broad sense, the Perfect aspect says that some event, state or comment [emphasis mine] is relevant to the “here and now” of the speech situation”. (Li, Thompson and Thompson 1982:22) It is evident that, according to this view, not only the time of an event described in an utterance, but all kinds of information conveyed by the utterance, can be said to constitute the “stuff” that is being related to some reference time:

(56)

```
Zhei ge mugua hen tian le
This CL papaya very sweet LE
```

“Though not conveyed by the English translation, (28)B [56] means that the sweetness of the papaya is relevant for the current situation”. (Li and Thompson 1982, p. 24)

Obviously, any kind of information in a message, also non-temporal such, can be regarded as “currently relevant”. Since (56) does not describe a situation as related to an anterior event it can, however, not be called perfect according to the Reichenbachean framework.

According to Li and Thompson (1981) there are five categories of sentences in which sentence-final le can express current relevance:

A: It is a changed state

56 The other categories are the durative/imperfective aspect and the punctual/perfective aspect.

57 Example (56) from Li and Thompson (1981).
(57)

我知道了
Wo zhidaole le
I know LE
Now I know

B: It corrects a wrong assumption

(58)

他逃脱出来了
Ta tao de chulai le
he escape DE out-come LE
He can escape now (couldn't before)

C: It reports progress so far

(60)

我昨天到张家吃饭了
Wo zuotian dao Zhang jia chifan le
I yesterday go to Zhang home eat LE
(Well), I (finally) went yesterday to have dinner at the Zhangs'

(61)

那位女士怀孕了八个月
Nei wei nüshi huai le ba ge yue
that CL woman have (conceive) LE eight CL month

That woman is eight months pregnant

58 Examples (57), (58), (59), (60), (61), (62), (63) and (64) from Li and Thomson (1981).
D: It determines what will happen next

(62)

我喝了三杯了！
Wo he le san bei le!
I drink three glass LE
(Look—I tell you) I've drunk three glasses (so don't pour me any
more / quit saying ganbei 'bottoms up' to me/ let's just talk now, etc)!

(63)

小黄快要来了
Xiao Huang kuai yao lai le
Xiao Huang soon will come LE
Xiao Huang is about to arrive (so: hide the gifts / put your pants on /
get ready to holler "surprise" etc)!

E: It is the speaker's total contribution to the conversation at that point

(64)

学费太贵了
xuefei tai gui le
tuition fee too expensive LE
(I tell you,) the tuition fee is (really) to high!

These examples show another significant difference between Li, Thompson and
Thompson’s definition of the perfect and the time-relational definition: they obviously do
not regard anteriority as a basic feature of the perfect. Only if the situation described can
be seen as an anterior event (with effects on some posterior situation) or as the result of an
anterior event can we speak about temporal anteriority and neither (59), (63) or (64)—at
least not with the translations given—describe such situations. Furthermore, in (60) the
temporal adverb 昨天 zuotian ‘yesterday’, can function as an “anchor” to which the
time of the event 到张家吃饭 dao Zhang jia chi fan ‘go to the Zhang’s for dinner’ is
linked, while the perfect does not have a definite (i.e. anchored) event time. In (63),
although the current relevance is strongly implied, there is no sense of anteriority either

59 Elsness (1991) writes: “The PRET [preterite] tense can thus be said to have a two-fold meaning: it means
(a) that the verbal situation is located in the past, and (b) that the speaker (encoder) has in mind a particular
past time and further assumes that the addressee (decoder) should be able to infer what this time is. … In
the most typical, but in most texts probably not the most frequent, case a temporal adverbial will satisfy the
contextual requirement imposed by (b):

(2:4) John was drunk last night.

I shall refer to temporal adverbials and other elements performing this function as anchors.
(Elsness 1991, p. 24)
(the event described is one that will happen after reference time). Rather, this sentence would constitute a good example of what Comrie calls prospective aspect, the opposite of the perfect. 

2.3. One or two le?

Under this heading, three of the most common arguments in favour of a treatment of the marker le in Chinese as two homophous morphemes with different functions will be discussed. Two of the claims, first that verbal le and sentence-final le have different historical origins and second that they correspond to two different morphemes in some Chinese dialects, will be examined in 2.3.1. and 2.3.2. respectively. In 2.3.3. I will discuss the third argument; that le, when it occurs in verbal position has semantic properties that are distinct from those of le in the sentence-final position. I will also look at some existent attempts to unified treatments of le. In the remaining chapters I will present further evidence from modern narrative texts that show that the dual syntactic position is not a valid argument for a divided treatment of the marker le.

2.3.1. The historical origin issue

Chao (1968) claims that the suffix le “should be distinguished from a homophone particle le, probably a weak form of lai, ‘comes’”. (Chao 1968, p. 246) However, as Shi (1988) points out, le in modern Chinese and 来 lai are written with distinct characters and the characters 来 and 来 had distinct pronunciations and meanings in classical Chinese. It would therefore be difficult to explain how 来 lai could become le in modern Chinese. Shi’s alternative proposal is that 来 lai in classical Chinese corresponds, not to the sentence-final le, but to the sentence-final marker 来着 laizhe in contemporary Chinese.

According to Mei Zulin (1999), texts from the “Warring States” period (403-221 B.C.) show that the common method for expressing completive aspect during that time was to put a verb that expressed completion after the VP. The character 来 represented a verb with the meaning ‘finish, complete’ and was pronounced liao. 来 seems to have become the dominant completive verb around the 9th or 10th century (Cao 1995). Shi

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60 Cf Comrie (1976). In fact, Mochizuki (2000) also notes that sentence-final le can be used in prospective sentences, i.e. sentences that describe events as positioned posterior to a reference time (R-E) like (63). In prospective sentences, as in perfect sentences, an event is related to a reference time with which it is not simultaneous. It is possible that sentence-final le may contribute to a prospective reading when it is combined with certain contextual features, for example verbs like 要 yao ‘will’ and adverbs like 马上 mashang ‘immediately’. However, this type of sentences will not be treated in this thesis.

61 Liao still exists as a verb in modern Chinese, having basically two meanings, ‘understand’ and ‘finish’. The character 来 is also pronounced liao when it occurs in the construction verb + 得 + 来, meaning ‘manage to X’, ‘be able to X’.

62 The character 来 will be used instead of the transcribed form le in this chapter (except for in the transcription of the examples, where the modern form will be used), as it is unclear exactly when the pronunciation liao was exchanged for the pronunciation le.
(1988) states that in texts from the 10th century, 了 is only found in clause-final position, i.e. never before the object NP, and in 90% of the cases in the texts that Shi has analysed from this period, it is found in the subclause of complex sentences consisting of temporal clauses, signalling relative anteriority.

(65)

<table>
<thead>
<tr>
<th>军官 食 了, 便 即 渡 江</th>
</tr>
</thead>
<tbody>
<tr>
<td>Junguan shi le, bian ji du jiang</td>
</tr>
<tr>
<td>army eat LE then at once cross river</td>
</tr>
</tbody>
</table>

After eating, the army started to cross the river

(66)

<table>
<thead>
<tr>
<th>候 脉 了, 其 人 云。</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hou mai le, qi ren yun</td>
</tr>
<tr>
<td>wait pulse LE that person say</td>
</tr>
</tbody>
</table>

After taking the pulse, the man said…

According to Wu (1998), while the 了 that occurred in the structure verb + object NP + 了 during the Tang period (618-907) was always a main verb, in the co-existing simpler structure verb + 了 that lacked the object NP, it was usually a resultative complement. In 95% of the cases when adverbs occur in the latter structure, they are found, not between the verb and 了 but before the verb, which shows that 了 is not a verb in those sentences. These ideas are in fact found already in Zhao (1979). Wu further claims that this resultative complement 了 was gradually grammaticalized and lost its semantic content so that it could be called a phase complement, a term which is sometimes used for a complement with semantics that is weaker and more closely tied to the verb than that of a resultative. The weakening of the semantic content of 了 would then be one of the reasons why the structure verb + 了 + object NP, which is common in modern Chinese, could appear. Another reason, according to Wu, was the influence from the structure in which other phase complements such as 却, 将, 得, 去 and 来 occurred during the Tang dynasty. These complements signalled, writes Wu, the realization or completion of a situation but they had retained some semantic content that separated them from each other. For example 却, which had the meaning of ‘(get rid) of’ can be compared to 得, which had the meaning of ‘reach; achieve’. According to Mei (1999), 却 was probably the most influential complement for the transfer of 了 from post-object position to pre-object position. The phase complements could occur both in the structure verb + phase complement + object NP and in the structure verb + phase complement.

63 Modern pinyin transcription is provided for the Chinese examples in this chapter.
64 Examples (65) and (66) from Shi (1988).
65 Wu has found these figures in Li and Shi (1997).
66 Sometimes certain complements in modern Chinese, for example 完 wan ‘finish’ and 好 hao ‘good’; ‘finish’ are called phase complements rather than resultative complements although syntactically, they function like resultative complements.
Verb + 却 + object NP

(67)

[41]

汉 帝 不 忆 李 将 军， 楚 王
Han di bu yi Li jiangjun, Chu wang
Han emperor NEG remember Li general Chu prince
放 却 屈 夫
fang que Qu dafu
put QUE Qu master
The Han emperor did not call to memory general Li. The prince of Chu discarded master Qu.

This structure thus co-existed with the verb + object NP + $\bar{T}$ structure. Sentences that contained the predicate $\bar{T}$ could have two kinds of interpretations, claims Cao (1995). They could either predicate of the state of a “whole situation” described in the sentence, or of the action represented by the VP. Soon, this structure started to appear at the end of sentences, instead of as before, in the subclause of complex sentences. In texts from late Tang a new structure can be seen:

Verb + 却 + object NP + 了

(68)

[41]

雪 峰 放 却 坑 水 了 运： “ 水 月 在
Xue Feng fang QUE yuan shui le yun: “Shui yue zai
Xue Feng put QUE pond water LE say water moon at
什么 处？”
shenmo chu?”
what place
Xue Feng disturbed the water in the pond and said: “Where now is the moon in the water?”

This was a merge of the two co-existing structures for expressing completive aspect. Already in late Tang, 却 started to gradually become replaced by 了 and during Southern Song (1127-1279) the structure

verb + 了 + object NP (+ 了)

had become very common, claims Cao. According to him it is highly probable that 却 at this time had already disappeared completely from colloquial Chinese in favour of 了.

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67 Examples (67), (68) and (69) from Cao (1995).
68 This does not accord completely with Shi’s conclusions. According to Shi’s (1988) study of 10th century texts, the few instances of this structure in sentence-final position (in which 了 in fact was a resultative complement rather than a verb, according to him) existed during the same period as the subclause construction.
If one will be virtuous, it is necessary to proceed stalwartly as when taking a numbing medicine; allow it to produce insensibility, and once it has reached its full effect, the illness will recede by itself.

During Song (960-1279) the last step towards complete grammaticalization was taken, as other complements started to appear between the verb and 了:

That meant that 了 was no longer a complement to the verb but a grammatical morpheme expressing completive aspect. During the same period (late Tang to Song), the verb 了—which all this time had continued to appear after the direct object NP as a main verb—was, too, completely grammaticalized. This was the forming of today’s sentence-final marker 了. According to Shi (1988), 10% of the occurrences of 了 in 10th century texts were found in main clauses, in sentences that shared certain features. “The usage of LIAO in these sentences is very similar to that of the sentential LE in modern Chinese. It occurs at the end of sentences that denote unbounded situations resulting in the inchoative reading of these sentences. This seems to indicate that the sentential LE has the same origin as the verbal LE, i.e. the verb LIAO in the 10th century.” (Shi 1988, p. 132)

Cao (1995) claims that when 了 appeared at the end of main clauses it was often followed by 也. As the sentences where it occurred became longer and more complicated it soon no longer had any direct ties to the VP. In texts from Northern Song (960-1127) the examples of sentences where 了 occurs at the end of main clauses are quite common and 也 starts to disappear from the structure. As 了 at the same time starts to replace

69 Example (70) from Wu (1998).
70 也 would reappear with sentence-final 了 during the Yuan dynasty (1271-1368). According to Cao (1995), this reappearance might have been caused by the style or rhyme of popular operas at this time, or by the influence from the Northern dialects on the political jargon. 也 seems to have remained longer as a sentence-final particle in the north than in the south (and can in fact still be traced in the pronunciation of le in some northern dialects, as has been observed by Liu 1998b). However, the reappearance of 也 with
Kat its position inside the VP, the sentence construction verb + 也 + object NP + 也 appears. Liu (1998b), too, mentions the use of 也 in combination with sentence-final 也. According to him, the combination 也 + 也 forms the origin of today’s sentence-final particle 也. Evidence for this can be found in modern days in Shanxi dialects, where the morpheme 也 is pronounced differently depending on its syntactic position. The same goes for 也, which can occur both inside the sentence and sentence-finally in these dialects. The phonetic differences between the occurrences of 也 matches those between the occurrences of 也.

To conclude, the opinions as to the development of the verb 也 in classical Chinese into the grammatical marker 也 in modern Chinese appear to be, to a rather large extent, in accordance with each other. The main verb 也 started to appear in the modern structure as a result of the influence from phase complements that already existed in the structure verb + phase complement + NP and this was a step in the grammaticalization process from main verb via resultative/phase complement to grammatical morpheme. It also seems clear that the main verb 也 (liao) is the origin of both today’s so-called verbal 也 and sentence-final 也. While 也 functioned as a resultative complement it still continued to appear in certain main clauses as a (sentence-final) main verb. Later (late Tang to early Song) this 也 became grammaticalized, i.e. gradually lost its verbness—and turned into a sentence-final grammatical morpheme 也—in about the same period as other complements started to appear between the verb and 也 in the new structure verb + 也 (+ object NP), the signal of complete grammaticalization of the former complement 也.

2.3.2. The dialect issue

It is sometimes claimed that, since in some other Chinese dialects there are two different forms corresponding to the verbal le and the sentential le in Mandarin Chinese, there must be two distinct le in Mandarin Chinese. However, as I will show, this argument fails for many reasons. One reason is that the dialectal forms, though functionally similar to the Mandarin le, are no relatives of le. Some dialects (such as Cantonese) use a cognate to Mandarin le in sentence-final position (laa3 in Cantonese). In verbal position, however, many dialects use markers that express basically the same as, but which are non-cognates to, Mandarin le. Another reason is that historically, as we have seen, many verbal complements were used in the same way as the origin to Mandarin le, liao, and had hypothetically speaking equal potentiality as liao to evolve into grammatical markers expressing what le expresses in modern standard Chinese. Nothing speaks against the possibility that, in fact, in some other dialects, this is exactly what happened. A third reason is that there may be constraints on the dialectal verbal markers that are not the same as those for Mandarin le, and vice versa.

sentence-final 也 can be looked upon as a short-lived trend since it is no longer found in texts from the Ming dynasty (1368-1644).

71 See Chao (1968) and Teng (1973) inter alia.
2.3.2.1. Cantonese

In Cantonese, a verbal marker \( jó \) expresses what is usually expressed by verbal \( le \) in Mandarin Chinese. The origin of \( jó \) is not attested. However, Mei (1979) has shown that it can be traced back to one Middle Chinese pronunciation, \( tiwo \), of the character \( zh \) which is also the lexical source of modern Mandarin aspect marker \( zhe \). \( jó \) became the sole representative for the perfective aspect after 1940, claims Cheung (1997) who has studied textbooks and teaching materials for Cantonese spanning over a period of about one hundred years, from 1841 to 1947\(^7\). So how did this form emerge?

According to Cheung, the rich aspectual system of Cantonese is expressed primarily through grammatical markers that are characterized by a velar initial. There are some exceptions to the rule, such as the durative marker \( jyuh \) and the perfective \( jó \).

Cheung argues that both \( jyuh \) and \( jó \) have as their origin \( zh \), which is the lexical source of both the durative marker \( zhe \) in modern Mandarin and the combined perfective and durative marker \( tsi \) in Wu dialect. In Cantonese, two phonologically different suffixes emerged from this form, one \( jyuh \) expressing the durative and one \( jó \) the perfective. \( jó \) kept the original ending of \( zh \) according to the Middle Chinese rhyming dictionary \( Qieyun \), \(-o\). That means that Cantonese has one velar and one dental series in its aspectual system. Up until the 1940s, the two systems both had one perfective aspect marker each. In the velar system, it was the marker \( hiu \) and in the dental the aforementioned \( jó \), resulting in a competition between \( hiu \) and \( jó \), two markers that essentially shared the same functions. Cheung remarks that it is probable that both markers existed long before the 1850s, though the material examined is unable to show if this is the case. \( hiu \) was preserved in the form of a changed tone on the verb as an alternative means to express perfective aspect long after the morphological form \( hiu \) had disappeared from the language in the 1940s. The changed tone was probably the result of a fusion between the verb and \( hiu \), since early materials show cases where \( hiu \) continues to appear with the changed tone verb. There are no cases where \( jó \) occurs with a changed tone verb, which seems to indicate that it is the later lost \( hiu \), and not the coexistent marker \( jó \) which is the origin of the changed tone in the verb. This way of expressing perfective aspect (changed tone verb) was used interchangeably with \( jó \) up to the 1970s. After that, \( jó \) was used as the only way of expressing perfective aspect.

In the data examined by Cheung, there are no examples of the character for \( jó \) in texts from before 1900. In fact, in the earliest textbook, Cantonese Chrestomathy, from 1841, there are no examples of either \( jó \), \( hiu \) or changed tone verb. Instead, there are a number of cases where the marker \( liuh \) (\( j \)), which is the counterpart of Mandarin

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\(^{72}\) The era in Chinese history that spans between the years 201-1000 (Sun 1998)

\(^{73}\) As Cheung points out, shortage of data is a problem for all diachronic studies in Cantonese, since writings in the regional idiom were very few up until the middle of the 20th century. Before that, the majority of the works were written in Mandarin. The earliest larger work in Cantonese, according to Cheung, dates to early 19th century but it is a mixture of vernacular and classical style.

\(^{74}\) Since \( zh \) here represents a classical morpheme for which the exact pronunciation in different periods is somewhat unclear, I have not included a pinyin transcription.
le, is used as a perfective marker. Liuh does not occur in modern colloquial Cantonese and is probably a loan from the written language, which is to a large extent based on the northern language. It is very likely, says Cheung, that the liuh found in the 19th century texts was (in a quite common manner for writers in the colloquial style) used to represent a colloquial Cantonese marker that still had no graphic representation of its own, 呢 jó. In a later textbook from 1888, liuh alternates with 呢 hiu. The two markers seem to have been used interchangeably but according to the data, liuh was much more prevalent than 呢 hiu at the end of the century. If Cheung is right, 呢 jó appeared in Cantonese at least half a century before 呢 hiu, since only liuh and no other perfective markers are found in the earliest texts.

Chappell (1994) lists the five main characteristics shared by the marker le in modern Mandarin Chinese and the marker 呢 jó in modern Cantonese:

- Both express completion of an event, typically interpreted as belonging to a past context
- Both may be used in irrealis contexts in the first clause of a sentence, to denote a condition that has to be fulfilled in order for another situation to take place
- Both may express anteriority of a past perfective event, similar to the English perfect aspect/tense
- Both may be used in imperatives to convey urgency or necessity
- Neither marker may occur in a negated clause

"All these uses can thus be semantically related by the feature of completion of an event" (Chappell 1994, p. 156) claims Chappell. If both Cantonese 呢 jó and Mandarin le originate from verbs, at a later stage developing into verbal complements expressing result and/or direction, it is not difficult to imagine that when both markers had become de-semantized and as their earlier distinct meanings were gradually lost in favour of more abstract meanings, these meanings coalesced. However, according to Chappell there is one aspect in which 呢 jó and le differ. It concerns a syntactic restriction on, or rather a strong tendency of, Mandarin le to occur mainly in past contexts where the sentence contains a postverbal quantified noun. This restriction does not apply for Cantonese 呢 jó and therefore is not a motivation for its use, as it is for the marker le. Chappell argues that it is the discourse function of signaling a peak that motivates the use of 呢 jó rather than syntactic or semantic conditions such as the presence of a quantified complement or the completive meaning.

2.3.2.2. Other dialects

It is possible, says Chappell (1992), that the Minnan dialect shows evidence of an earlier stage of Sinitic since it does not use semantically empty markers to express aspect, but rather preverbal adverbs, a method which seems to have been used in early Chinese. In

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75 According to Cao (1995), 着 zhe originated as a verb meaning ‘attach to; adhere’ and later developed into a complement with both directional and resultative meaning, expressing the movement and attachment of an object onto some other object.
Mandarin and Cantonese, with the rise of resultative complements and the extension of these into performing aspectual functions as well, a more grammaticized system emerged, while the Minnan dialect uses periphrasis or resultative complements as opposed to suffixes. This shows that the development of aspectual markers is similar, but not necessarily synchronous, in all dialects of Chinese.

It is not only in Cantonese that the perfective marker seems to have originated in the verb 著. In both Wu and Xiang dialects, cognates to Mandarin 看 zhe can be found expressing perfective aspect, according to Sun (1998). In Wu, as earlier observations by Mei (1979) show, the morpheme 仔 tsi is used to mark perfective aspect. The lexical source of 仔 tsi is 著. 著 as a suffix expressing the progressive can be found in texts from the 9th century, writes Mei (1979), but it occurred only with stative verbs. In fact, it was not until the 12th century that it became more widely used with activity verbs. A possible explanation to the route of development of 著 in Wu, according to Mei, relates to the fronting process that occurred in Mandarin, where elements such as resultative complements and potential complements were moved from sentence-final position to verbal position. Mandarin 了 was moved to a syntactic position after the verb in which it competed with 著. In texts from the 12th to 14th century both 了 and 著 are found in this syntactic position, according to Mei. Obviously, 了 won out as the perfective suffix in Mandarin while 著 (仔) won out in Wu.

仔's cognate in the Xiang dialect is 达 da, pronounced ta in this dialect, which is also a perfective marker. In fact, 达 ta, like le in modern Mandarin, can be used both as a verbal suffix and a sentence-final particle. In its sentence-final position, it has the ability of marking the perfect aspect, according to Sun (1998).

(71)

吃 达 饭 达
Tcia ta fan ta
eat TA rice TA
(Someone) has eaten

(72)

吃饭 达
Tciafan ta
eat TA
It's time to eat

However, it seems as if the function of 达 ta is not completely identical to the function of Mandarin le, as in some cases it is more appropriately translated into Mandarin using verbal complements such as the directional 下来 xialai or 下 xia ‘down’, further

\[\text{76} \quad \text{Examples (71), (72), (73), (74), (75) and (76) from Sun (1998).}\]
\[\text{77} \quad \text{See Sun (1998).}\]
evidence that the markers of aspect in Mandarin originate from postverbal complements in early Chinese.

Mandarin 着 zhe evolved from a verb to a directive verb before changing into an imperfective aspect marker and when it occurs with some activity verbs in older texts (10th century) it is obvious that it also had a resultative sense, argues Sun (1998):

(73) 莫著卧床，佯病不起
Mo zhe wofang, yang bing bu qi
stroke/touch ZHE bed pretend ill NEG rise
Stroking on/touching the bed, (he) pretended to be ill and wouldn’t get up

(73) is ambiguous between a reading where the focus is on the resultant state of the hands resting on the bed and one where 著 has a progressive meaning indicating the ongoing activity of stroking.

One interesting fact is that in historical texts there are many examples of 著 occurring in sentence-final position, even after the object NP of the verb.

(74) 倒却门前条杆著
Dao que men qian tiao gan zhe
tip QUE door front CL pole ZHE
Push over the pole in front of the door

In texts from the 14th-15th century it is used both as a postverbal perfective marker and as a sentence-final perfect marker, thus paralleling the use of its modern cognate 达 ta in Xiang dialect:

(75) 写著王某著
Xie zhe Wang mou zhe
write ZHE Wang someone ZHE
(On it my name) Wang has been written

(76) 我减了五钱著
Wo jian le wu qian zhe
I reduce LE five money ZHE
I have already reduced five pennies

著 typically occurred sentence-finally in imperative sentences and probably marked perfect or inchoative aspect, according to Sun. In addition, 著 interacted with the middle
Chinese perfective aspect marker 却. In fact, it seems to have been interchangeable with 却, which also originated in a directive verb. According to Sun, it is probable that in Wu and Xiang, the cognates to 着 that at one time expressed the imperfective, perfective and perfect aspects derived their perfect meaning from their function of marking the imperfective aspect and the perfective meaning from the perfect one. However, it is also possible that “in Chinese, [cognates to] ZHE may obtain the perfective meaning metaphorically through its interactions with the Middle Chinese que [却]”. (Sun 1998, p. 171)

2.3.3. The syntactic/semantic issue

The marker le in modern Mandarin can appear in two syntactic positions in the sentence. One is directly after the verb or verb compound; the other is at the end of a sentence. When the verb is at the end of the sentence le occurs, as a matter of course, at the same time directly after the verb and at the end of the sentence:

(77)

炸弹 爆 了
Zhadan bao le
bomb explode LE
The bomb exploded /The bomb has (had) exploded

In these cases, claims Chao (1968) two les have merged into one as a case of haplology. In many grammars and articles written by proponents of the two-le theory, syntactic position is claimed to correspond to meaning. Verbal le is a perfective marker, sentence-final le a modal marker or a perfect marker and when le occurs after a sentence-final verb it is a perfective marker, a modal or perfect marker or a combination of a perfective and a modal or perfect marker. One example of such a traditional view on le is Li and Thompson’s (1981).

When le occurs in sentence-final position directly after a verb, it can in fact only be sentence-final le, claims Kwan-Terry (1979), because in such sentences syntactic constraints such as the inability to co-occur with other aspect markers are not applicable. The reason, she says, why these sentences are ambiguous is that other aspect markers have been omitted from the sentence. For example, it can have a perfect interpretation because the omitted aspect marker 已经 yijing ‘already’ allows for a perfect interpretation, it can be interpreted as describing an imminent action because the marker 要 yao ‘will’ is omitted or it can have a progressive meaning because the aspect marker 在 zai has been omitted:

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78 As we have seen, 却 and le were also used interchangeably during a period of time, before 却 disappeared in favour of le in postverbal position.

79 Example (77) from Li and Thompson (1981).
Kwan-Terry’s explanation to the ambiguous sentences with le seems to be an ad hoc solution to a problem that does not really exist provided the assumption that there is only one marker le that can have different scopes. The fact that constraints on the occurrence with other aspect markers apply only when le is placed inside the sentence can be explained if we accept that the two syntactic positions of the marker are related to different scopes. Furthermore, as we will see, the adverbial 已经 yijing is not restricted to co-occurrence with sentence-final le. It is common in sentences with verbal le as well. Kwan-Terry’s claim that the marker le can only be the sentence-final marker in ambiguous sentences like 客人走了 keren zou le because it is not subjected to constraints such as co-occurrence with other aspect markers is thus not supported.

2.3.3.1. Unified treatments of le in the literature

As early as 1968, J. Charles Thompson wrote in his article “Aspects of the Chinese verb” that “[t]he marker le, when it is attached to the sentence, indicates that the speaker has in mind the boundary between two events”. (Thompson 1968, p. 71-72) In the article he unifies the different uses of le, regardless of syntactic position: “There is no longer any need to consider le of completed action, le of change of state, and le of incipient action as separate features.” (Thompson 1968, p. 73) In a more recent thesis on aspect in Chinese, Huang (1987) develops this idea. She claims that le is a single morpheme with the function of marking a semantic boundary, either of an event, a proposition or of a larger unit. In her view, since verbal le marks an event boundary and its absence produces

Example (78) from Kwan-Terry (1979).
semantic vagueness, it can be considered a manifestation of the focused aspect in the binary opposition focused – diffuse:

(79)

(a) 李思跳了绳了
   Lisi tiao le sheng le
   Lisi has jumped (a) rope now

(b) 李思跳了绳子
   Lisi tiao sheng le
   Lisi has jumped a rope

In (79) (a) the endpoint of the event is focused upon, while in (79) (b) this is only one possible reading, since the verbal marker is absent. Sentence-final le cannot always give an inchoative reading, according to Huang. For example with achievement verbs such as 死 si ‘die’ or 倒 dao ‘fall down’, with VPs that have a natural non-activity reading such as 跳河 tiao he ‘jump into the river’ (as opposed to ‘jump a rope’) or with specifications of duration of the activity such as 三个钟头 san ge zhongtou ‘for three hours’ in 李思跳三个钟头的绳子 Lisi tiao san ge zhongtou de sheng le ‘Lisi jumped a rope for three hours’ (not *‘Lisi has begun jumping a rope for three hours’), an inchoative reading with sentence-final le is improbable. The sentence-final le, like its verbal equivalent, draws a boundary between two states and indicates a contrast, a focused part in a situation, according to Huang. Since the domain of le can be the event/verb, the proposition/sentence or a larger unit, le can occur in both verbal and sentential position in one sentence.

Huang’s (1987) proposal that le is a marker of a boundary is an elegant solution to the problem posed by the seemingly various functions of le and the difficulty in determining whether it is a tense, aspect or even modal marker. If a certain TMA form is used to express other temporal structures than the one characteristic of a specific aspect or tense, for example, then it cannot be a marker of that particular aspect, tense or modal meaning only. We are then faced with two possibilities: either one marker represents several meanings or it has one meaning which in some way can produce all these different temporal structures or modal implications. I, like Huang, opt for the latter possibility.

However, her analysis of le as a marker which emphasizes the focused-diffuse opposition does not capture the temporal essence of aspect and tense or in what way le is involved in expressing different temporal structures. Huang observes the semantic similarities between the two syntactic occurrences of le, i.e. both seem to mark a boundary (albeit of different domains) but she does not clearly distinguish between different temporal readings resulting from the presence of le. Neither does she explain why, in many cases,
the syntactic position of le seems to be more or less irrelevant for the temporal reading of the sentence. These issues will be investigated later on in this book.

In the late 70s, Spanos (1979) conducted a survey in order to test the usage of le. Subjects were asked to insert le in sentences selected from prose and conversational contexts. Spanos found that extra-grammatical features played an important role for the subjects’ use of le. For example, though it is often stated that when a transitive verb takes a simple unquantified object, the double le construction82 is used, he found that only two subjects out of 39 claimed that two mandatory le should be inserted in sentence (80) while all subjects opted for the presence of a mandatory or optional sentence-final marker in the same sentence.

(80)

(%)

Wo zuotian kan (le) dianying (le)
I yesterday watch LE movie LE
I watched a movie yesterday83

The majority (18 subjects) chose a construction where either verbal le or sentence-final le was optional and the other mandatory.

Spanos further discusses the semantics of le: “The LE structures cited above…all seem to involve a change [italics mine] concerning the realization of some particular action, process, quality, or state of affairs. The change relates either to the internal semantic structure of the particular verb or phrase involved or to the entire clause or sentence to which LE is attached. In the former case, the realization of the change is construed in the sense of the completion of the particular action, process or quality associated with the verb or phrase. In the latter case, the realization is construed in the sense of a shift in the speaker’s perception or attitude towards the particular predication and the state of affairs associated with it.….Let us posit (Realization of x) as the basic meaning of LE where x is filled in by some morpheme, verb, verb phrase, or sentence….The syntactic position of LE in a phrase or sentence will usually enable us to tell what the content of the x variable in the basic meaning will be.” (Spanos 1979b, pp. 73-75) According to Spanos, the content of X will be clauses or sentences for sentence-final le and verbs and verb compounds for verbal le. Spanos’ research represents the standpoint that le has an invariant meaning which is independent of syntactic position, a hypothesis that will be further developed in this present work. When it comes to the interpretation of sentences that contain le, however, his arguments are not satisfying. He states that the change that is associated with le is to be understood as completion in the case of verbal le, and as a shift in the speaker’s perception or attitude in the case of sentence-final le. This is a very simplified, even incorrect, description of the interpretation of le. First, verbal le is not

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82 The double le construction is a construction in which both the verbal le and the sentence-final le appears, as in (22), for example. The double le construction will not be treated in this thesis. However, a short description of double le constructions and some preliminary suggestions for an analysis of them can be found in Conclusion.

83 Example (80) from Spanos (1979).
necessarily associated with completion. Second, while the use of sentence-final le is often related to modal distinctions (such as shifts in attitude or perception), in chapter 6 I will demonstrate that it can also be used to express temporal meanings, just like verbal le. Furthermore, the fact that also verbal le can contribute to the expression of modality should not be neglected. While Spanos acknowledges a shared core feature (change) of verbal and sentence-final le, he fails to see that this shared core feature can mean shared functions.

Also Liu (1998) argues that le marks change. This is a proposal that is attractive for the same reason that the labelling of le as a marker of a boundary is attractive: it is free from any association with a particular tense, aspect or mood at the same time as it seems to be able to describe the essence of both verbal le and sentence-final le in practically all their occurrences (although one might argue that the concept of change carries a temporal connotation that is not ideal for describing the modal uses of both verbal and sentence-final le that will be discussed later in this work). However, there are numerous counterexamples to Liu’s claim that sentence-final le indicates subject change, as the sentences below show:

(81)

Ni zhen gei wo yi ge nanti le
You really give I one CL difficult problem LE
You’ve really given me a knotty problem!

(82)

Ta ba xin chaikai le
he BA letter tear-open LE
He opened the letter

(83)

Wo haizi you yi ge ya huoding le
I child have one CL tooth unsteady LE
My child has a loose tooth (a tooth which is loose)

In fact, in (81) the direct object NP (难题nanti) is transferred from one position to another, in (82) the change is in the object NP 信 xin ‘letter’, which is opened and in (83) the change is equally much in object NP 牙 ya, ‘tooth’ (‘the tooth has become loose’) as in the subject NP 我孩子 wo haizi, ‘my child’ (‘the child has a loose tooth’).

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84 See 2.2.2.
85 See 5.3.
86 Example (81), (82) and (83) from Li and Thompson (1981).
While the occurrence of verbal *le* usually implies change in the *object* noun, the temporal expression 已经 *yijing* denotes subject change, according to Liu. This is shown by the fact that it is incompatible with the imperfective situation type and with the occurrence of verbal *le*. However, example (84) shows that, contrary to Liu’s claims, 已经 *yijing* does occur in imperfective sentences:

(84)

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I have already started working on this math problem

Wo yijing zai zuo zhe dao shuxueti le
I already ZAI do this CL math problem LE
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Moreover, as chapter 5 will show, it often occurs with verbal *le* in sentences in narrative texts.

In his thesis *Syntax and semantics of the perfect in Mandarin Chinese* (1978), Rohsenow, adopting the framework of generative semantics, develops the idea that there is only one morpheme *le* in Chinese: “The position of this present study is that these two surface instances of *le* are in fact different surface representations of the same underlying operators, which differ in their relative height in underlying structure.” (Rohsenow 1978, p. 26) All instances of *le* are analyzed as surface reflexes of the co-occurrence in underlying structure of two abstract operators, the atomic predicate COME ABOUT and an existential predicate (YOU). The occurrence of *le* thus indicates that a change of state has taken place.

Though Rohsenow does not discuss a possible functional overlapping between verbal *le* and sentence-final *le* and though he uses a different theoretical framework than the one used in this present work, his thesis is interesting as one of the first to propose a unified semantic treatment of the different surface occurrences of *le*. He also emphasizes the need to investigate the role played by the context. “[C]ontext must be taken into account for any complete understanding of temporal specification in Mandarin Chinese.” (Roshenow 1978, p. 133)

One of the most comprehensive studies that take the one-*le* perspective is the thesis *The present and past of the particle *le* in Mandarin Chinese* by Shi (1988). In his study, the particle *le* is presented as a marker of relative anteriority. Perfectivity is the result of the combination of a sentence describing a bounded situation and the particle *le* (verbal or sentential) and inchoativity is the result of the combination of a sentence describing an unbounded situation and the particle *le*. In the former case, the terminal point of a situation is marked as relatively anterior by the particle, in the latter case it is the initial point of the situation that is marked as relatively anterior.

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87 Example (84) from Gong (1991), translation mine.
The (a)-sentences in (85) and (86) above describe situations as perfective, according to Shi, while the (b)-sentences describe situations as inchoative. The position of the marker seems to be irrelevant for the aspectual readings of the sentences.

He also questions the truth in the common argument among supporters of the two-le theory: that verbal le and sentence-final le are negated in different ways, verbal le by the particle 没 mei and sentential le by the particle 不 bu as in the sentences below:

(87)
(a) 李思 打 了 张三
Lisi da le Zhangsan
Lisa beat LE Zhangsan
Lisa beat Zhangsan

(b) 李思 没 打 张三
Lisi mei da Zhangsan
Lisa NEG beat Zhangsan
Lisa did not beat Zhangsan

Examples (85), (86), (87), (88), (89), (90), (91), (92) and (93) from Shi (1988).
The negative of (88) (a), however, is not 他不吃牛肉了 ta bu chi niurou le ‘he doesn’t eat beef anymore’, but ‘he still doesn’t eat beef’ (他还是不吃牛肉 Ta haishi bu chi niurou), claims Shi. That means that the sentential le in (88) (b) predicates the state of affairs represented by 不吃牛肉 bu chi niurou, including the negation, and not by 吃牛肉 chi niurou, i.e. sentential le is not negated by bu. He further shows that sentential le can often be negated by either 没 mei or 不 bu, and so can the verbal particle. In fact, the default negation can sometimes even cause ungrammaticality, as in (90)(c):

(89)

(a) 他去纽约了
Ta qu Niuyue le
he go New York LE
He went to New York

(b) 他没去纽约
Ta mei qu Niuyue
he NEG go New York
He didn’t go to New York

(c) 他不去纽约了
Ta bu qu Niuyue le
he NEG go New York LE
He’s not going to New York anymore
*He didn’t go to New York
(90)
(a) 我 知道 了 这 件 事
Wo zhidao le\textsuperscript{89} zhei jian shi
I know LE this CL matter
I now know about this matter

(b) 我 还是 不 知道 这 件 事
Wo haishi bu zhidao zhei jian shi
I still NEG know this CL matter
I still don’t know about this matter

(c) *我 还是 没 知道 这 件 事
*Wo haishi mei zhidao zhei jian shi
I still NEG know this CL matter
I still don’t know about this matter

Instead of relating the choice of negation to the syntactic position of the particle, it should be related to the boundedness vs. unboundedness of the VP that is negated, claims Shi. The ambiguous sentences in which le is attached to a verb that is sentence-final show this very clearly:

(91)
(a) 他 吃 了
Ta chi le
he eat LE
He ate (that)
He now eats (but didn’t before)

(b) 他 没 吃
Ta mei chi
he NEG eat
He didn’t eat (that)

\textsuperscript{89} The original sentence is in fact:

我 知道 这 件 事 了
Wo zhidao zhei jian shi le
I know this CL matter LE
I now know about this matter

However, considering Shi’s argumentation, it must be inferred that the intended syntactic position of le in sentence (90) is verbal and not sentence-final, i.e. that the sentence-final le in the sentence above is a typographical mistake on Shi’s part. Further evidence that this is in fact the case is found in a later article by him (1990), were he uses the same kind of argumentation and exemplifies with the almost identical sentence: Wo yijing zhidao le zhei jian shi de xiangqing ‘I now know about the details of this matter’.
(c) 他 还是 不 吃  
Ta haishi bu chi  
he still NEG eat  
He still doesn’t eat

He eats  ‘he eats’ is ambiguous. If there is an NP present in the surrounding context, it can be understood as ‘he ate that’, however, if no NP is found in the context, it refers to “the general activity of eating” (Shi 1988, p. 108). Just as (91) (a) has two meanings, it has two negative counterparts, one for the bounded reading of the sentence, and one for the unbounded reading. In cases where the verb is unambiguous because of its inherent semantic meaning, then the sentence with le is also unambiguous, claims Shi:

(92)  
他 知道 了  
Ta zhidao le  
he know LE  
He now knows (but didn’t before)  (inchoative)  
*He stopped knowing  (perfective)

(93)  
他 死 了  
Ta si le  
he die LE  
He died  (perfective)  
*He is dying now (wasn’t before)  (inchoative)

I have already discussed some problems with Shi’s study in 2.1. Another is his claim that le marks relative anteriority. A situation that is presented as relatively anterior cannot at the same time be presented as perfective. These two concepts are incompatible since one manifests a temporal structure in which E is prior to R and the other a temporal structure in which E is included in R. To say that le marks relative anteriority would be to exclude all perfective readings of sentences with le. Further, a temporal concept such as relative anteriority does not easily lend itself to an explanation of the modal uses of le.90

2.4. Concluding remarks

In this chapter, I have reviewed some of the existing literature on le and identified some of the problems found there. One problematic area concerns terminology. Terms like perfective aspect, inchoativity and relative tense seem to have no universally accepted

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90 Shi tries to explain the non-temporal usage of the marker by suggesting that it is an extension of the temporal usage, specifically a derived meaning from its inchoative use. “In both cases [the temporal use of le and the non-temporal use of le] some kind of comparison is involved. Specifically, in the inchoative use, the new state is compared to the old state. In the non-temporal use, it is the speaker’s expectations and reality that is being contrasted” (Shi 1988, p. 107). This explanation still does not seem to justify the labelling of le as an anteriority marker.
definitions and places in the temporal hierarchy. Sometimes, for example, inchoativity has been treated as the opposite of perfectivity, while in fact these categories are manifested as temporal distinctions on different levels. Another example is the way perfective aspect and perfect tense have been confused, probably caused at least partly by abstract and metaphorical definitions of these terms.

Confusion has also been the result of the diverse descriptions of the essence of the marker le. In works that treat le as two homophonous markers based on the fact that it can occur in two syntactic positions, verbal le has been claimed to express among other things perfective aspect, completion and anteriority while the sentence-final marker is described as an inchoative marker or a perfect marker, for example.

Finally, I discussed the question whether le in fact represents two morphemes or only one. In particular in recent years, some linguists have suggested the latter possibility. I proceeded to analyse the three most widely cited arguments used by advocators of the traditional dual treatment of le: that verbal le and sentence-final le have different historical origins, that they correspond to two different morphemes in some Chinese dialects, and that le when it occurs in verbal position has semantic properties that are distinct from those of le in the sentence-final position. I tried to show that none of these arguments hold for a closer examination. First, results from several investigations indicate that both verbal le and sentence-final le originated from one single source, the classical verb liao. This implies that we can expect these markers to share at least some semantic features.

Second, it seems as if Chao’s (1968) claim that there must be two different le—because in some dialects of Chinese verbal le is represented by one morpheme and sentence-final le by another—cannot be supported. Le is not a cognate to the suffixes in these dialects that correspond to verbal le. In both Cantonese, Wu and Xiang dialects, the suffixes 咕 jó, 仔 tsi and 达 ta all have functions that are similar to those of verbal le, but it can be shown that their origin is not the verb liao but another classical verb—著—which later evolved into the grammatical marker 著 zhe in Mandarin Chinese. 著 underwent an historic development similar to that of 著 and seems to have competed with 著 when 著 started to occur in verbal position. In the dialects analyzed here, the cognates to 著 won out, while in Mandarin, 著 and other verbal complements were replaced by 著. The fact that a cognate to le is used sentence-finally in for example Cantonese simply suggests that in that particular dialect 著 remained in its sentence-final position, where it was grammaticalized.

I further discussed some of the attempts to unified analyses of modern Mandarin Chinese marker le in the existing literature. In general, the aim of these seems to be one-folded: to show that le has one invariant meaning but that its interpretation is sensitive to scope, syntactic conditions or the semantics of co-occurring verbs, for example. However, to my knowledge, the question if le can in fact even give the same aspectual or temporal interpretation in verbal position as it can in sentence-final position and vice versa has rarely91 been discussed.

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91 One exception is the article “The perfective and perfect meanings of the Verbal –le” by Zhang (1998) which will be discussed in later chapters.
Is it the case that the meaning of *le* can be fully attributed to its syntactic distribution? In fact, how important is the syntactic position for the interpretation of *le*?
3. Relevance Theory

In 1.3.4. it was established that, in order to explain why two grammatical markers can contribute to the expression of the same temporal or modal meaning and why one marker can contribute to the expression of more than one temporal structure, a less rigid framework than the ones proposed in traditional studies is needed. Such a flexible framework would in particular acknowledge the importance of contextual influence on the manifestation of tense, aspect and modality.

In the 80’s Dan Sperber and Deidre Wilson (1995) developed the so-called Relevance Theory. Relevance Theory builds upon Grice's ideas that meaning is determined by the context and by certain principles that the speaker and the hearer are both familiar with. In Relevance Theory, *inference* is a crucial term. According to Relevance Theory, the answer to the question how human beings communicate with each other is that they do so in two ways, through coded communication and ostensive-inferential communication. Ostensive-inferential communication is the result of the communicator’s production of a stimulus which makes it mutually manifest to communicator and audience that the communicator intends, by means of this stimulus, to make manifest or more manifest to the audience a set of assumptions. Coded communication (e.g. through language) cannot be used on its own but only as a means of strengthening ostensive-inferential communication. Comprehension, on the other hand, involves the processing of this set of assumptions, in which some assumptions consist of new information that has to be processed in the context of information that has already been previously processed. The hearer uses information in his mind such as the interpretation of the previous utterance to process a new utterance. This so-called "immediate context" can be extended by the use of for example interpretations of utterances earlier in the exchange or by the immediately observable environment. The selection of a particular context for the utterance being processed is determined by the search for relevance.

The degree of relevance of a certain assumption is determined by, first, the size of its contextual effect. Contextual effect is small if the assumption for example does not contain new information or if it is inconsistent with the context. Second, it is determined by the amount of effort required to process the assumption. Optimal relevance is achieved when contextual effects are as large as possible and the amount of effort is as small as possible. The same goes for non-linguistic phenomena. Sperber and Wilson take as an example the smell of gas when one enters a room. This phenomenon carries in itself certain information that may lead to a range of assumptions, such as for example that there is a gas leak somewhere in the house, or that the gas company is not on strike. Which of these assumptions is more relevant than the other to the person who enters the room is determined by the size of the contextual effects and the efforts required to process each
one of them. In this case, the most likely assumption to be made, claim Sperber and Wilson, is that there is a gas leak, because of the encyclopaedic information we have about household uses of gas. The processing effort to arrive at that assumption is smaller than that for the assumption that the gas company is not on strike, and the contextual effects are larger. The hearer search for relevance even when selecting which phenomena to pay attention to, i.e. which phenomena manifests assumptions that are more relevant to him than assumptions manifested by other phenomena. Therefore it can be presumed that relevance is not just a property of assumptions that one has in one's mind, but also a property of phenomena, or stimuli, of linguistic or non-linguistic kind that lead to the construction of assumptions.

3.1. Ambiguity is semantic incompleteness

Sperber and Wilson further claim that of all the interpretations of a stimulus that agree with the principle of relevance it is the one that first occurs to the addressee that was intended. If two different interpretations come to him/her simultaneously, we have an unusual case of ambiguity. What is otherwise commonly called ambiguity of a linguistic phenomenon can often be explained by using the principle of relevance. *Peter's bat*, for example, could refer to the bat owned by Peter, the bat killed by Peter, the bat mentioned by Peter, etc. "Contextual information", explains Sperber and Wilson, "is needed to resolve what should be seen as the semantic incompleteness, rather than the ambiguity, of the genitive." (Sperber & Wilson 1995, p. 188) Likewise, the temporal notions expressed by the present perfect construction in English in the following two sentences receive their different interpretations from the one of the assumptions that each of them convey that agree with the principle of relevance:

(94)  
I have had breakfast

(95)  
I have been to Tibet

For example, it is quite obvious that the speaker of (94) has had breakfast at some point in her life, so an experiential interpretation would not be as relevant as one where she wants to make clear that she is not hungry at the present time, in which case the information would be worth providing. In the case of (95), remarks Sperber and Wilson, "the mere fact that the speaker had visited Tibet at some point in her life could well be relevant enough, and in the absence of more specific information this is the interpretation that would be consistent with the principle of relevance." (Sperber & Wilson 1995, p. 190)

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92 Examples (94) and (95) from Sperber & Wilson (1995).
The functional approach to meaning has played a marginal role in the linguistic tradition, mainly because, according to Harder (1996), the role of language in interaction has become linked with unreliability: "The logical distinction between semantics and pragmatics reflects this pattern of thinking: first the "clean" relation to the world of denotable objects, then the step into the messy world of users and interpreters". (Harder 1996, p. 80) The defining feature of function-based structures, says Harder, is their context-sensitivity: "[T]he way elements hang together internally must be understood in terms of the way the whole relates to the context." (Harder 1996, p. 92) The meaning of linguistic items must be investigated in their context, i.e. through a functionally oriented context-sensitive approach. "Properties of semantic substance", says Harder, "are thus far from being irrelevant—they are just not sufficient to qualify as descriptions of language." (Harder 1996, p. 158)

The formal semantic approaches to language study are often criticized by the relevance-theorists. Carston (1998), following the relevance-theoretic approach by Travis (1985), claims that it is impossible to determine the truth conditional semantics for a sentence. Below is one of Travis' examples:

(96)

The kettle is black

"The bearer of truth is not the sentence but the proposition or thought the speaker uses the sentence to express on the given occasion of utterance. One of the sources of these propositional differences in (5) [(96)] is the property communicated by the predicate ‘black’, both what property that is (clearly visible black, a wider colour spectrum taking in various dark browns, invisible black and any other way of being relevantly black) and what exactly it is taken to apply to (the whole kettle, just the outside, or some other salient part of it). According to this “pragmatic view”, as Travis calls it, for any utterance, the contribution made by any, and potentially all, of the linguistic items employed is context-dependent, so that a statement of THE truth conditions of a sentence is not possible.” (Carston 1998, p. 12)

3.2. Tense and aspect in a relevance-theoretic framework

In Moeschler et al. (1998b), both tense and aspect are analysed within a relevance-theoretic framework. Moeschler's argument in favour of discarding formal semantics (that deals mainly with truth-conditional analyses of sentences) is that the truth-functional properties of clauses depend on the computation of inferences, which means that they are dependent on pragmatics.

One of Moeschler's crucial hypotheses is that contextual information is stronger than linguistic information: "[L]es marques temporelles (temps verbaux, connecteurs temporels notamment) n'encodent pas des concepts, mais des procédures. En d'autres termes, ce sont des expressions procédurales, dont la signification ne peut être réduite à un contenu conceptuel fixe, invariant. Une autre manière de formuler les choses serait de
3.2.1 Non-linguistic information

Relevance Theory assumes that utterance interpretation is linguistically underspecified, and that linguistic information combines with non-linguistic such so as to achieve pragmatic interpretation. Now, what is meant by non-linguistic information? Moeschler (1998a) gives an example to show how the approach adopted to describe tense and aspect is at the same time procedural, conceptual and contextual:

(97)
Max poussa Jean. Jean tomba.

(98)
Jean tomba. Max l'avait poussé.

The approach is procedural because temporal order can be associated with procedural markers, i.e. temporal markers, such as in above examples (97) and (98), where Passé Simple and Plus-que-parfait is used. But there is also a conceptual rule to be counted with, namely the causal connection between the verbs pousser ‘push’ and tomber ‘fall’. The event pousser causes the event tomber. So the preferred temporal order between these two events is already accounted for in our minds. Finally, the inference of temporal order by the listener can be explained by a discourse principle, a distinction between narration and explication. Narrative order applies when the linguistic manifestations of two events appear in the order that the real events occur. That means that in (97) the concept of causality and the default narrative interpretation are convergent. In (98) on the other hand, narration cannot be inferred since it clashes with the conceptual idea; the event of tomber does not cause the event pousser. That is why we understand (98) as describing a relation of explication between the two events.

Now, what if the speaker wants to describe a sequence of events in the real world between which the order is the reverse of the one in the default causal interpretation? According to Moeschler, she could make use of the narrative (99) (a) or explicative (99) (b) method:
However, both methods are risky, because the causality rule will cause (99) (a) to get an explicative interpretation and (99) (b) a narrative interpretation, which is in conflict with the speaker's intentions. Here is where the advantages of the Relevance Theory approach can be seen. For an utterance to be optimally relevant, the amount of effort must be as small as possible at the same time as the cognitive effects are as large as possible. Therefore, the listener will interpret the sentence in the way that is most effortless and has the largest cognitive effects. He will follow the causality rule when interpreting (99) (a) so that it gets an inversed (explicative) reading, and when interpreting (99) (b) so that it get the narrative reading. To block the application of this rule contextual information must be added:

(100)

(a) D’abord Jean est tombé, ensuite Max a poussé Jean.
(b) Max a poussé Jean jusqu’au chemin. Il est tombé en se prenant les pieds dans une racine.

Moeschler notes that (100) (a) and (100) (b) are not the habitual ways of presenting temporal order, nevertheless, they show that as long as contextual information is clear, it may block both conceptual and procedural information from being processed.

In the same work, edited by Moeschler, Luscher (1998) writes: "L’hypothèse à l’origine de cet ouvrage est que les désinences verbales sont des marques pragmatiques. Par marques pragmatiques, nous entendons des morphèmes qui n’ont pas de dénotation propre, ou d’autonomie référentielle, mais qui jouent un rôle lors de l’interprétation des énoncés qui les contiennent: ces expressions ce caractérisent donc par leur contenu procédural…. Nous considérons que le rôle des marques pragmatiques est d’assumer une fonction de guidage dans la sélection des informations pertinentes et de faciliter ainsi la constitution du contexte.” (Luscher 1998, p. 181)

### 3.2.2 Procedure

Luscher assigns to temporal markers/inflections a much more abstract meaning than most linguists. According to him, they are merely pragmatic markers that can "guide" the selection of "relevant information" in the context. Nevertheless, there is a concept attached to them—procédure. What is procédure? Attached to the tenses are procedures, explains Luscher, structured units containing instructions that will allow the definition of the variables that in their turn define the semantics of the tenses and produce the different uses attached to these morphemes. That means that every reading of a tense form in an utterance corresponds to a "route" through a procedure and that all such possible routes constitute a unit that determine the meaning of that particular tense form. The
characteristic of all past tenses in French, for example—according to Lusher—is the relation

\[ E \text{ anterior to } S \]

The distinction between the three past tenses Passé Simple, Passé Composé and l'Imparfait is aspectual. A situation described by using l'Imparfait is looked upon as ongoing (imperfective), while a situation described by using Passé Simple or Passé Composé is looked upon as a whole (perfective). The Passé Composé is special, however, because it can have two uses in a simple, de-contextualized utterance:

(101)

Le concierge a quitté les lieux. 93

a) Le concierge a terminé son travail, il a fermé la porte et il a quitté les lieux.
(perfective reading)
b) Nous ne pouvons pas entrer. Le concierge a fermé la porte et il a quitté les lieux. (perfect reading)

In the (a)-reading of (101), the reference point advances and is in every proposition posterior to the reference point established by the last proposition. In the (b)-reading, on the other hand, the order of events is not narrative. The order of the two events fermer ‘close’ and quitter ‘leave’ in the last sentence can be reversed and the meaning still would not change:

c) Nous ne pouvons pas entrer. Le concierge a quitté les lieux et (en plus) il a fermé la porte.

The focal interpretation of (101) in its (b)-reading; that we cannot get in because the door is locked, is sustained also in (c).

The procedure attached to the Passé Composé thus seems to be formed by two sub-procedures, as Luscher shows in his first approach 94 to a description of the interpretive procedure of the Passé Composé:

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93 Example (101) from Luscher (1998).
94 There is a final description that is more detailed, with references to examples and formulas that also make clear the distinction between the Passé Composé and l'Imparfait. However, the schema here is included in order to show, as simply as possible, that there are two procedures connected to the Passé Composé with relation to the positioning of Reichenbach's times.
3.3. Concluding remarks

According to Relevance Theory, meaning is determined by the context. A relevance-theoretic framework promotes a pragmatic interpretation of all utterances. That means that non-linguistic information such as conceptual information about for example causal relations between verbs, information in temporal markers and contextual features cooperates with linguistic information in the utterance to produce a correct temporal interpretation of it. In its search for relevance, the audience chooses the interpretation that demands the least effort and produces the largest cognitive effects, i.e. the most relevant interpretation. In the following chapters, I will propose a relevance-theoretic approach to the Mandarin marker *le*. This proposal is based on empirical facts that show that the interpretation of *le* is highly context dependent.
4. Boundary as an invariant semantic core-feature of le

The presence of the Chinese marker le (postverbal or sentence-final) in a sentence can result in different temporal, aspectual and modal meanings. For example, much in the same way as the tense form Passé Composé in French, verbal le may contribute to both a perfective and a perfect view of an event. The perfective view is represented in French by the (a)-reading of (101) and the perfect view by the (b)-reading. The reason why sentences containing verbal le are seldom ambiguous is the same as that for the French example—conceptual and contextual factors contribute to the selection of interpretation.

I propose that the Mandarin particle le produces convincing proof that Relevance Theory is right. In itself, le has only an abstract meaning. Conceptual and contextual features determine the temporal, aspectual and modal interpretations of le. Of course, the morpheme le is different from the French inflectional morphemes, not only by being an isolated particle, but also because it can in itself contribute to more diverse interpretations of a sentence than the French tense forms. First, le is not only a temporal marker. It can be used to express temporal categories, such as the perfective aspect, the perfect tenses and relative anteriority, but it also has modal uses—it can be used to express the speaker’s attitude towards the information in the utterance. These uses of le will be discussed in chapters 5.3 and 6.3. Apart from that, it has discourse-related uses that will be treated in chapter 6.1. Second, the characteristic of le cannot be the same as that which Luscher (1998) claims to be the characteristic of the past tense morphemes in French (E anterior to S). The prime reason for that is of course the non-temporal uses of le described above. Another is that even when le is used to express temporal relations, it is not constrained only to past situations. The perfective aspect seems to be confined to past time in Chinese, but the perfect is not. It is possible to describe a situation as future perfect using the marker le in Chinese.95

95 See for example (28), a future perfect sentence with verbal le. Below sentence (from Mochizuki (2000), translation mine) is an example of a future perfect sentence with sentence-final le:

```
Xia ge libaisan wo xiang qing ni kan dianying
很可惜，下个礼拜三我带你看电影
Hen kexi, xia ge libaisan wo yijing likai
very pity down CL Wednesday I already leave
```

```
Riben le
Japan LE
- I would like to take you to the movies next Wednesday
- What a pity, next Wednesday I will already have left Japan
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I propose that the characteristic of *le* is an abstract semantic core feature *boundary*. Although *boundary* is a conceptual rather than a procedural feature, this proposal is in line with the hypothesis that Luscher poses in his analysis of the French tenses: "A une marque pragmatique correspond une et une seule signification." (Luscher 1998, p. 182) "Pour la description des temps verbaux également, nous dégagerons systématiquement un sémantisme minimal commun à tous les emplois d'un temps et des caractéristique pragmatiques propres à chaque type d'emploi.” (Luscher 1998, pp.183-184). Instead of Luscher’s "semantismes minimales" that consist of formulas (procedures) for time-relations such as E anterior to S, I use instead a non-formal semantic feature to avoid the strictly temporal association, which is unsuitable for the semantics of *le*.

I suggest that *boundary* is a concept well suited to describe the semantics of *le* because a boundary can be both temporal and non-temporal. There can be boundaries that divide factual or non-factual (as in the case of conditionals, for example) units of time. There can also be boundaries that divide non-temporal related domains such as *norm* and *deviation* or *right* and *wrong*. In this chapter I will present the proposal that *le* can be either a marker of a *temporal* boundary or an *attitudinal* boundary.

### 4.1. Time

In Chinese, inchoative and terminative readings seem to be mainly produced by other linguistic features than those that produce temporal readings such as perfect tense and perfective or imperfective aspect. However, grammatical markers such as *le* sometimes act on both levels. That is why both verbal and sentence-final *le* can produce an inchoative reading of a stative predicate or sentence describing a state at the same time as they position it on the time line (as E incl in R or E-R\(^96\)). I will show that both in sentences with verbal *le* and in sentences with sentence-final *le* some kind of boundary is present, which in its turn can be related to a reference time in different ways, depending on the context, and produce distinct temporal readings. Whether this boundary is to be interpreted as initial or final with respect to the situation described is determined by the nature of the VP or sentence. It can be shown that situation type of the verb may affect the grammaticality of *le* in one syntactic position but not in the other, and that when the scope of the marker is larger than the VP, shifts in situation type can occur. Depending on the syntactic position the occurrence and interpretation of *le* may be affected by different factors.

The occurrence of verbal *le* is more restricted than that of sentence-final *le*. Like other temporal markers in Chinese, its occurrence is to a certain extent dependent upon the situation type of the verbs. In particular, verbal *le* does not occur with a limited number

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\(^{96}\) It should be noted that these schemas—that will be used for the sake of convenience as illustrations of the temporal structures underlying the perfective aspect and perfect tense/relative anteriority respectively throughout this work—should in fact be read as final/initial boundary of E included in R and final/initial boundary of E anterior to R respectively. *Le* marks a boundary of E. It is the orientation of that boundary with respect to the reference time that is relevant for the temporal reading of *le*-sentences.
of verbs describing states such as is shi ‘be’ and 像 xiang ‘resemble’. But the grammaticality of le is primarily dependent upon the features of temporal adverbs and super-lexical morphemes such as other grammatical markers. For example, there is a restriction on le co-occurring with adverbs expressing habituality, such as 常常 changchang ‘often’.

(102)

(a) *他 常常 看 了 电影
   *Ta changchang kan le dianying
   He often watch LE movie

   However, sentence-final le works fine:

(b) 他 常常 看 电影 了
   Ta changchang kan dianying le
   He often watch movie LE

   He often watches movies now / He has started to go to the movies often

In (102) (a) 常常 changchang blocks the potential existence of any specific reference time to which the final boundary imposed by verbal le on the activity 看电影 kan dianying ‘watch movies’ can be linked. Therefore, the use of le is blocked. If it is assumed, on the other hand, that the scope of sentence-final le—i.e. the unit to which a boundary is added—is the whole sentence and not only the VP, the reason why the habitual reading of the situation described by the proposition “he often watches movies” can be kept intact in (102) (b) is clear. The situation has no natural boundaries of its own, it describes a habitual situation and is similar to a state. The result is an inchoative reading. This shows that when le occurs directly after the verb, its scope is normally the verb or VP and the durational adverbs. However, when it occurs sentence-finally, the scope can be larger than that and include other components in the whole sentence, such as expressions denoting habituality and even other grammatical markers.

The interpretation of a sentence with le is dependent upon on one hand the situation type of the VP or the whole sentence to which it is attached and on the other hand upon the context. The situation type of the VP or the sentence determines whether the situation described in the sentence gets an inchoative or a terminative reading. On a higher aspectual level, the position of the boundary relative to the reference time on an imagined time-line (and thus the expression of different temporal structures) is determined by temporal expressions and other intra- or extrasentential clues.

A stative situation type verb (which has no boundaries in time), needs to be combined with the boundary-marker le, for example, in order to be positioned on the time line. When a stative verb is combined with verbal le, it gets an inchoative reading, i.e. one where focus is on the start point of the situation:
If, on the other hand, verbal le is combined with a dynamic verb it gets a terminative reading, i.e. one where focus is on the end point of a situation:

(104)

她 犯 了 罪
Ta fan le zui (dynamic verb)
She committed a crime / She has (/had) committed a crime/*she has (had) started to commit crimes (now) (1)

The position of the boundary on the time line with respect to a reference time R may differ depending on the context resulting in distinct temporal readings:

(106)

(a) 你 那 天 学 了 多 少 毛 病
Ni na tian xue le duoshao maobing
How many bad habits you learned that day

In (106) (a) the focus is on a process of “learning bad things” that is not ongoing at the moment of speaking but terminated at a specific point in time, i.e. the final boundary marked by le is interpreted as included in the reference time (‘that day’).

(b) 你 看 你 已 经 学 了 多 少 毛 病
Ni kan ni yijing xue le duoshao maobing
Look how many bad things you have already learned

97 Example (104) and (105) were taken from literary works. See footnote 106 for references.
In (106) (b) the focus is also on a process but in this case the final boundary is *anterior* to the reference time, which is the present moment. The boundary is not necessarily the endpoint of the actual process but a point at which the process has reached a certain stage. The process is either actually terminated at that point or continues into the future ("you" may still be learning more bad things as we speak). In either case the present moment is posterior to the point in the process where the speaker wishes to draw a line (where the subject has learned many bad things).

For sentence-final *le*, the case is somewhat different. When the VP or sentence describes a state, sentence-final *le* contributes to an inchoative interpretation, just like verbal *le*:

(107)

他 是 学生 了
Ta shi xuesheng le
He is a student now (wasn't before)

When the VP describes an event, sentence-final *le* contributes to a terminative reading, like verbal *le*:

(108)

他 去 纽约 了
Ta qu Niuyue le
He has gone to New York

Just as in the case with verbal *le*, the boundary that sentence-final *le* marks can be related to the reference time in different ways. In (108), for example, the boundary may be interpreted as *anterior* to the reference time, which in this case is the utterance situation. However, if a temporal expression that denotes a past time is inserted, the boundary is normally understood as included in the reference time:

(109)

他 昨天 去 纽约 了
Ta zuotian qu Niuyue le
He went to New York yesterday

The scope of sentence-final *le* is larger than that of verbal *le*. One interesting effect of that is that the result of adding sentence-final *le* to a VP or sentence that describes an activity can be an inchoative reading just like when *le* is used with stative VPs:
Chan (1980) describes the function of sentence-final le, the so-called "inchoative le" like this: "The occurrence of the inchoative with a stative verb results in a change of state, or a new habitual situation… Furthermore, verbs which normally denote a process can also be used to depict a new habitual situation when marked by the inchoative”. (Chan 1980, p. 53)

Verbal le has as its scope the VP, but it is in particular the nature of the verb that determines whether it gives an inchoative or terminative reading of the situation. Kan ‘watch’ is a dynamic and not a stative verb. The decontextualized VP 看电影 kan dianying ‘watch (the) movie(s)’ is therefore interpreted as either an activity or an accomplishment depending on how the argument NP 电影 dianying is perceived of (as a mass noun or a count noun). An accomplishment has a natural final endpoint. An activity contains only a potential final endpoint. Both are emphasized when verbal le is added to the VP and the result is always a terminative reading as in (104) above or (111) (a) below. Verbs denoting states, on the other hand, has neither a natural nor a potential endpoint. When verbal le is used with such verbs they get an inchoative reading as in example (103) above. By using le, an initial endpoint is imposed upon the state.

Sentence-final le, however, seems to have as its scope the whole sentence and not only the VP. As I have shown, the occurrence of sentence-final le, as opposed to that of verbal le, is not sensitive to for example adverbs denoting habituality. But to some extent also the interpretation of a sentence, specifically the interpretation of the situation type manifested by the sentence is sensitive to the scope of le. By using VPs that are ambiguous between an activity and an accomplishment reading with le, we find that the scopes of verbal le and sentence-final le differ. The VP 看电影 kan dianying can describe 1) a generic activity without boundaries ‘watch movies’ (as in regularly going to the cinema), 2) a (non-generic) activity, i.e. a situation with a potential final boundary ‘watch movies’ (a specific instance of engaging in this activity) or 3) an accomplishment ‘watch a/the movie(s) (a specific amount of movies) depending on the context. While 1) is a stative situation, 2) can be either a dynamic or a stative situation, and 3) only

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98 Example (110) from Chan (1980).
99 It should not be neglected, however, that sentences such as (110) can describe both an activity and an event. If it describes an event it can not get this inchoative interpretation but rather focus would be on the termination of that event:

他 喝 咖啡 了
Ta he kafei le
He has (had) had coffee/ he had coffee

100 In Mandarin Chinese, an unspecified NP that occurs in postverbal position can be interpreted as either a mass noun or a count noun.
dynamic. If the VP is interpreted as describing a dynamic situation with a natural or potential boundary, the result is a terminative reading ((111) (a) and (111) (b)). If it is interpreted as describing a stative or generic situation, i.e. as a situation without inherent boundaries, the result is an inchoative reading ((111) (b)).

(111)

(a) 他 看 了 电影
Ta kan le dianying
he watch LE movie
He watched movies/He watched the movie (terminative)

(b) 他 看 电影 了
Ta kan dianying le
he watch movie LE
He watched movies/he watched the movie (terminative)
He is watching movies/the movie now (inchoative)

Such basic activity VPs can produce potentially ambiguous sentences when combined with sentence-final le, as they can have either an inchoative or a terminative reading. As can be seen from the translation of (111) (a), for verbal le, this ambiguity of decontextualized sentences between a terminative and an inchoative interpretation does not exist. Therefore, I conclude that for verbal le, the scope and relevant linguistic unit for determining situation type consists only of the verb or the VP while for sentence-final le the scope is larger and may consist of a whole sentence, which means that the context is allowed to alter the inherent situation type of a VP that occurs with sentence-final le.

In fact, the scope of sentence-final le may also include two or more events, succeeding each other towards a goal. For the linguistic manifestation of this type of process, we speak about a discourse, since such a manifestation typically consists of a "chunk" of several clauses or sentences.

(112)

他 轻轻地 拥住 她, 轻轻地
Ta qingqing de yongzhu ta, qingqing de
he soft DE embrace-steady she soft DE
贴住 她 的 唇, 她 一 凛, 本能 地
tiezhu ta de chun, ta yi lin, benneng de
glue-steady she DE lip she one cold instinct DE
往 后 一 缩, 就 倒 在 床 上
wang hou yi suo, jiu daoai chuang shang
towards back one withdraw then fall-on bed on
了。他 低头 凝视 她, 眼
le. Ta di tou ningshi ta, yan
LE he lower head stare she eyes
底 有 一抹 受伤 的 神色。
底有一抹受伤的神色。
di you yi mo shou shang de shense. "Zhen bottom exist one CL be wounded DE expression really
这么 严重 吗？”
zheme yanzhong ma?”
that serious MA
He gently embraced her and pressed against her lips. She went cold and stern, flinching instinctually she took a step back and fell onto the bed. He lowered his head and stared at her with an expression of hurt in his eyes. "Is this really a big deal?” (1)

In order to distinguish between inchoative and terminative interpretations of sentences containing Mandarin marker le, it would be sufficient to make a binary classification between stative and dynamic situation types, i.e. between situation types that contain a natural or potential final end point (though, as the inchoative reading of the activity situation described in (111) (b) shows, potential endpoints can be blocked when the scope of le is larger than the VP) and situations that do not. However, a finer distinction within the dynamic class regarding this ability of the object NP in combination with a particular verb to express a path is necessary in order to be able to separate situations that can constitute a progress in time and those that cannot. Chapter 5 will demonstrate that the ability of an object NP in a given context to represent a path is of importance for the interpretation of a le-sentence. In particular, it contributes to the expression of different perfect types.

4.2. Attitude

For example, through utterances such as That's the limit!, we convey that when something has exceeded what is tolerated or accepted, a boundary in our minds has been passed. Mandarin le can be used to convey a boundary or a contrast between for example what agrees with the speaker and what does not, between expectation and reality, between a wrong and a correct assumption, etc.

(113)

这 双 鞋 小 了
Zhe shuang xie xiao le
this CL shoe small LE
These shoes are too small

(114)

这 双 鞋 小 了 两 寸
Zhe shuang xie xiao le liang cun
this CL shoe small LE two inch
These shoes are too small by two inches

101 Example (112) is taken from a literary work. For reference, see footnote 106.
4.3. Concluding remarks

It has been noticed that a flexible framework is needed to account for the functions of grammatical markers in Mandarin Chinese. According to the relevance-theoretic view, temporal markers are but pragmatic markers that have one single definition but can give different interpretations in different contexts. This view can be confirmed through the study of the marker *le* in Mandarin Chinese, which can contribute with different temporal, aspectual and modal distinctions depending on the context where it occurs. In this work, I claim that the semantic core feature of the marker *le* is boundary. The boundary can be temporal or attitudinal. The scope of *le* can be the VP, a whole sentence or an even larger unit. Later, more examples will be presented that show how the feature boundary, in close interaction with all kinds of contextual features, can contribute to different temporal or modal readings of the sentences in which the marker *le* occurs. A temporal boundary (a boundary between temporal domains) marks the start point or end point (or initial or final boundary) of a situation. *Le* thus adds this start or end point to the lexical unit or emphasizes an already present natural temporal start or end point in the situation type manifested by the unit. The semantic features of the unit within the scope of *le* determine whether focus should be on the beginning of a situation (inchoativity) or the end of a situation (terminativity). If the situation (E) is interpreted as stative, *le* adds an initial boundary to it, i.e. it marks the start point of the situation (inchoative reading). If the situation is interpreted as dynamic, *le* adds a final boundary to it i.e. marks the final point of the situation (terminative reading). The temporal characteristics of the VP (the situation type) determines whether the situation should be understood as inchoative or as terminative when *le* is attached to the verb. The temporal characteristics of the sentence, including temporal expressions and aspectual morphemes, determine whether the situation should be understood as terminative or inchoative when *le* is attached to the whole sentence. The initial or final boundary signalled by *le* thus represents the boundary of the event time E (though represented in the schemas here simply as E). It can be linked temporally to a reference time R. The expression of a certain tense or aspect category seems to be based on differences in the relations between R and the boundary or

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(115)

你 应该 看，他 书 写 得 好
Ni yinggai kan, ta shu xie de hao
you should look he book write DE good
You should take a look; he writes well

我看 过 他 好 几 本 书 了
Wo kan guo ta hao ji ben shu le
I read GUO he good some CL book LE
(But) I have read quite a few of his books (i.e., you’re wrong to think I haven't)

I will call this type of boundary *attitudinal* boundary, as opposed to *temporal* boundary.
boundaries of E and between R and S. If the boundary or boundaries of E are interpreted as included in R we get a perfective reading of the sentence. Relative anteriority is the result of the boundary/boundaries of E being interpreted as anterior to R. If the boundary/boundaries of E are anterior to R and R is related to Speech Time S as either anterior to, simultaneous with, or posterior to it, we get past, present or future perfect tense respectively. In the following chapters I will show how temporal expressions, grammatical constructions, verb semantics and (linguistic as well as non-linguistic) co(n)textual features provide clues for determining where the boundary signalled by the presence of le is positioned with respect to R. I will also show some examples of modal readings of both verbal and sentence-final le. In such cases, the boundary separates two non-temporal domains that have to do with the speaker’s attitude towards what is expressed in the sentence. Le expresses a contrast between for example the norm and the deviation from the norm or between expectation and reality.
5. Verbal le

The opinion that the so called verbal *le*, i.e. the grammatical morpheme *le* occurring directly after the verb in a sentence, is a marker of the *perfective aspect* is held by many scholars and has rarely been challenged. It is true that many sentences that contain the marker *le* in postverbal position get perfective readings. Here are some examples of perfective sentences that contain verbal *le*, taken from grammars and narrative texts:

(116)

```
    Huran zufu xu le yi kou qi
Suddenly grandpa heave LE one mouth air
Suddenly, grandpa heaved a sigh\(^{103}\)
```

(117)

```
    Gei Mageta dao le bei bai putaojiu hou,
    Zhude ye huilai le. Ta kankan Mageta de
    Jude also return LE she look-look Magda DE
    xia fu you kankan wo, chao wo
    lower abdomen then look-look I towards I
    xie le yi yan\(^{104}\), tangtu de wen, "Shenme
glance LE one eye, brusque DE ask what
shihou sheng?"
    time give birth
    Was just pouring Magda a glass of Chardonnay when Jude reappeared,
looked from Magda’s stomach to me, and *gave me a filthy look*. ‘Hi
Magda’, she said gruffly. ‘When’s it due?’ (14)\(^{105}\)
```

\(^{103}\) Example (117) from Li and Thompson (1981)
\(^{104}\) In examples that consist of several clauses or contain more than one instance of *le*, I have italicized the relevant passage both in the transcription and in the translated text for the reader’s convenience.
\(^{105}\) Examples (117) and (118) are taken from literary works. For reference, see footnote 106.
They were perhaps four metres apart when the girl stumbled and fell almost flat on her face. A sharp cry of pain was wrung out of her. (15)

I wanted to investigate if there were sentences where verbal *le* could produce other than perfective readings. If that would prove to be the case, I also wanted to know under what conditions sentences with verbal *le* would get perfective readings and under what conditions they would get other readings. In this present study I have analysed around one hundred sentences containing verbal *le* that cannot be classified as perfective sentences according to the time-relational definition of the perfective aspect that was established in 1.3. The sentences were found in twelve narrative texts downloaded from the Internet.¹⁰⁶ The findings that I will present in this chapter challenge not only the traditional view of *le* as a perfective marker, but all views on *le* as a marker of one aspect type only.

¹⁰⁶ The example sentences taken from narrative texts are followed by a number within parentheses which indicates from which work the data was taken (1-12 are the Chinese narrative texts used for the study presented in this chapter):

1. Zhang, Ailing: Bawang Bieji
2. Wang, Shuo: Wo shi ni baba
3. Qiong, Yao: Nüpengyou
4. Gu, Long: Bianfu xia
5. Gu, Long: Huanle yingxiong
6. A, Cheng: Qiwang
7. Qiong, Yao: Wo shi yi pian yun
8. Fangfang: Bawu
9. Tan, Zhu: Yi sheng you duo chang
10. San, Mao: Song ni yi pi ma
11. Wang, Shuo: Qianwan bie ba wo dang ren
12. Wang, Shuo: Kongzhong xiaojie
13. García Márquez, Gabriel: Bai nian gudu [100 years of solitude]
14. Fielding, Helen: Danshen riji: Ai shi kongxincai [Bridget Jones: The edge of reason]
15. Orwell, George: 1984
5.1. Non-perfective interpretations of verbal *le*

5.1.1. Simple sentences

Some of the verbal *le* sentences that I studied did not describe a situation as included in a specific reference time. Instead, they presented situations that had already occurred by the reference time, i.e. their underlying temporal structure would be E-R rather than E incl in R.\(^{107}\) I thus conclude that these sentences manifest all the characteristics of the perfect construction in Chinese. Below I have attempted to classify these sentences according to their characteristic features.

5.1.1.1. Perfect sentences with *le*

- **Existential constructions**

According to Li and Thompson (1981), an *existential sentence* is a sentence that contains either the existential verb *have* or a verb of posture. The typical existential sentence will contain a phrase that describes *locus*.

\[<119>\]
\[\text{桌子 上 放 了 很 多 铅笔} \]
\[\text{Shuozi shang fang le hen duo qianbi}
\[\text{table on put LE very many pencil}
\]
\[\text{There are lots of pencils on the table}^{108}\]

\[<120>\]
\[\text{水 里 浮 着 一 块 木头} \]
\[\text{Shui li piao zhe yi kuai mutou}
\[\text{water in float ZHE one CL wood}
\]
\[\text{A piece of wood is floating in the water}
\]

There is an important difference between the verbs of sentence (119) and sentence (120). While 浮 *piao* ‘float’ in (120) denotes an activity that has no goal, 放 *fang* ‘put’ in (119) acts as a verb of placement containing the sense of motion, the movement of something onto or into a place. It has a natural end point, emphasized by the presence of boundary-marking *le*. But (119) lacks an agent. Li and Thompson point out that "sentences employing verbs of placement involve the subject placing the direct object somewhere *but do not specify where it started out.*" (Li and Thompson 1981, p. 404) This

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\(^{107}\) In these sentences—main clauses that contain *le*—there are also explicit (through for example temporal expressions) or implicit indications of the position of the reference time with relation to the speech time S.

\(^{108}\) Examples (119) and (120) from Li and Thompson (1981).
means that also in sentences where no agent is specified, the focus will be on the endpoint of the action. In a perfective *le*-sentence, the reference time R contains the event, i.e. the dynamic part of a situation. In (119), the reference time does not contain the event 放 *fang* 'put'. The focus is not on the dynamic part of the situation but on the present position of the object entity as a result of an anterior event.

(121)

瓶子 都 装 满 了 水
Pingzi dou zhuangman le shui
bottle all load-full LE water
The bottles are all filled with water

(122)

心里 充 满 了 快乐
Xin li chongman le kuaile
heart in fill-full LE happiness
His heart was filled with happiness (1)

(123)

眉毛 眼 口 鼻 的 轮廓 反
Mei yan kou bi de lunkuo fan
eyebrows eyes mouth nose DE contour instead
都 镀 上 了 一 道 光
dou dushang le yi dao guang
all plate-on LE one CL light
The contours of her face were lit up by a beam of light (1)

(124)

来到 集美 药房， 门口 拉 上 了 铁门，
Laidao Jimei yaofang, menkou lashang le tiemen,
come-arrive Jimei pharmacy, doorway pull-on LE irongate,
里面的 玻璃门 上 贴 着 纸条:
limian de bolimen shang tie zhe zhitiao:
inside DE glass door on paste ZHE note
When they arrived at Jimei pharmacy, the irongate had been shut and on
the glass door behind it a bill was pasted: (1)

(125)

脸上 像 冻 上 了 一 层 冰壳
Lian shang xiang dongshang le yi ceng bingke
face on look like freeze-on LE one layer iceshell
It was as if a layer of ice had frozen on his face (1)
With a resultative compound *le* marks the transition, the boundary, between the active part of a situation and the stative part. But not only resultative compounds occur in this kind of sentences:

(126)

```
上哪儿玩过了，新鞋上糊了
Shang nar wan guo le, xin xie shang tu le
```

Where have you been playing, with all this mud smeared on your new shoes? (1)

(127)

```
包子上印了铅字
Baozi shang yin le qianzi
```

Letters were printed on the baozi (steamed dumpling) (1)

(128)

```
薄薄的黑发梳了个髻
Baobao de heifa shu le ge ji
```

Her thin hair was combed up into a bun (1)

Let’s take a look at the verbs in (119), (121), (122), (123), (124), (125), (126), (127) and (128). They all describe movement of the theme onto or into some entity. An agent is not specified. With both the resultative compounds and these simple verbs *le* seems to mark the transition between the active portion of the event implied by the verb and the result; the location of the theme or a property of the locus as a result of moving the theme.109 The perfect involves, as Zhang (1998) puts it, "simultaneously a prior event and a subsequent state." (Zhang 1998, p. 407) Since there is no expressed agent that performs the action and the locus is placed in subject position, the event leading to the currently relevant state is implied but not focused upon. It is the result, or state, that holds at the

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109 It should be noted that there is a difference between the resultative compounds containing 满 *man* ‘full’ and the others since with 满 *man*, the object has to be unspecified as to quantity. See for example Jackendoff (1990) on "spray / load verbs". He claims that the sentence

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? / * Felix loaded the truck with some books
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is hard to accept because the verb ‘load’ involves "distributive location", that is, the direct object has to be conceptualized as unbounded media that is distributed all over, throughout etc., the reference object. However, I believe that this semantic distinction is not one that is relevant for this discussion.
reference time of the sentence. The event that led to this state, naturally, is viewed as anterior to the reference time, i.e. E-R.

- **Resultant state verbs**

In the article "The perfective and perfect meanings of the verbal -le" by Zhang (1998) three different types of sentences where verbal le "actually has the semantic features of the perfect" (1998, p. 405) are presented. They are: sentences where le follows a resultant state verb, sentences containing temporal expressions denoting time spans that include the present and sentences where le follows an abstract verb. All these sentence types were found in the corpus. *Resultant state verbs* are for example 穿 chuan ‘put on; wear’, 戴 dai ‘put on; wear’ and 挂 gua ‘hang’ (transitive / intransitive). In two of the sentences below, other similar verbs also occur, 佩 pei ‘wear’ and 吊 diao ‘hang’ (transitive / intransitive).

(129)

Mrs Xu opened the door and came in; she beamed at them and then went about her own business, arranging the cushions on the chairs, wiping off the teacup waterstains left on the piano and emptying all the ashtrays into a plate. Mrs Xu was wearing (had put on) a peach-grey colour, fine-checked silkblouse and had a pretty face, but looked out of shape because of her extra weight (1)

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110 The interpretation or reading of a sentence should not be confused with its translation. Some of these verbs are translated with English Simple Past or even Past Progressive. This does not mean, however, that verbal le in these sentences expresses perfective aspect or progressive aspect respectively. The Simple Past
In England sunny days are rare. In the summer when the sun comes out and when there is a warm wind, the noblemen and their wives like to have these informal gatherings at their estates. The women wear (have put on) high floppy strawhats with broad rims, outmoded silkflowers and silk-gloves going up as high as their elbows, looking so refined as if they were assembling at some grand religious ceremony. (1)

is a tense and not an aspect form and it is often interchangeable with the Past Progressive form, which expresses progressive aspect (R incl in E). This means that both forms can be used to express a state. This is not the case with le. With le, a stative verb gets an inchoative—i.e. dynamic—meaning. In all these sentences there is therefore a built-in reference to a prior event that has produced a certain state, although this is not always obvious in the translation.
string DE lamp, street-side DE flowerbed, tree on

also hang LE colourlamp

But the streets were one big scene of festivity: strings of lights were hanging (had been hung) from all high buildings and colourful lanterns hung in the flowerbeds and trees on the side walks. (2)

Zhang claims that the perfect interpretation of these verbs is ensured by their lexical meaning. The stative readings of them have a "built in reference to prior dynamic events, which are responsible for these states in the first place." (Zhang 1998, p. 407) Although I agree with him that it seems very likely that verbs having inherent stative and dynamic aspects readily can contribute to a perfect interpretation, I suggest that, as with the existential constructions, the context is often responsible for contributing to the perfect reading of these verbs. For example there is often a locus in these sentences:

132

他身上穿了一件新衣服
Ta shen shang chuan le yi jian xin yifu
He has put on / is wearing a new jacket

133

墙上挂了一幅画
Qiang shang gua le yi fu hua
A picture has been hung / is hanging on the wall

It was observed earlier that locus in subject position is a feature commonly occurring with certain simple verbs and resultative compounds that express movement of a theme onto/into some entity and it seems probable that also with resultant state verbs it contributes to the perfect reading of these verbs, that may be, as Zhang puts it, "specially suitable for expressing the meanings of the perfect". (Zhang 1998, p. 407)

• Abstract verbs

Zhang (1998) also mentions so called abstract verbs as a group of verbs that are likely to get perfect interpretations. Such verbs are for example 体现 tixian ‘embody’, 反映 fanying ‘reflect’ and 表现 biaoxian ‘show’. He says that for sentences where these verbs occur the time element is irrelevant. There is a reference time but probably no event time:

111 For example resultant state verbs and possibly also resultative compounds and stative verbs when they are combined with le and get an inchoative meaning.

112 Examples (132), (133) and (134) from Zhang (1998).
The success shows / has shown the correctness of the new economic policy.

Other examples of this kind of verbs that I found in the texts I examined were 超过 ‘surpass’ and 包含 ‘contain’.

He was too dependent on his son, so much that it surpassed his son’s dependence on him (2)

I did not know why I responded the way I did, it made my answer contain a slight tinge of sarcasm and self-satisfaction (3)

The verbs in above examples all describe situations that are at the same time dynamic and stative. 超过 ‘surpass’ is an event but also a state that is the result of a prior event and in (135) the act of surpassing is already over at the (narrative) present moment. In (136) 包含 ‘contain’ describes a state that is a fact already at the (narrative) present moment—the time when “I” answered. As with adjectives and stative verbs, if a boundary is imposed upon these abstract verbs—for example by adding verbal le—they become inchoative i.e. they describe the entry into a state rather than a state. However, it seems probable that also with these verbs the context has some effect on the aspectual
interpretation. Zhang (1998) in fact mentions that these sentences often contain noun phrases with abstract meanings, such as 成功 chenggong ‘success’ and 正确性 zhengquexing ‘correctness’.

- Temporal expressions

Zhang’s third type of sentences with verbal le that can have perfect interpretations are sentences containing "temporal expressions denoting time spans that include the present" (Zhang 1998, p. 407. Italics mine) such as 去年来 qunian lai ‘since last year’, 到现在为止 dao xianzai wei zhi ‘up to now’ and 我这辈子 wo zhe beizi ‘in my life’. These temporal "clues" can also be implicit, as is exemplified by his mini-discourse:

(137)

你 今天 干 什么 了?
Ni jintian gan shenme le?
you today did what LE
我 写 了一 封 信
Wo xie le yi feng xin
I write LE one CL letter
- What have you done today?
- I have written a letter.113

Another, similar example is:

(138)

你们 近来 看 了 什么 戏 没 有?
Nimen jinlai kan le shenme xi meiyou?
you recently see LE what play NEG?
Have you seen any play recently? (1)

The temporal expression 近来 jinlai ‘recently’ functions much in the same way as 今天 jintian ‘today’ in that it describes a bounded temporal frame that includes the present moment. Without 近来 jinlai this sentence might very well get a perfective interpretation, i.e. an interpretation of the event as included in a specific reference time (E incl in R). Say for example that the speaker wants to know if her friend went to see a play or not yesterday evening. She could then use the same sentence, without 近来 jinlai, of course. The temporal expression 昨天 zuotian ‘yesterday’ could, but would not necessarily have to, be inserted. Since the friend has knowledge about the context in which the sentence is uttered, he would probably draw the conclusion that the event she is talking about is relevant only if it happened yesterday evening and not today or this year even without this linguistic piece of information.

The adverb 现在 xianzai ‘now’ in sentences (139) and (140) signals to the listener that the reference time of the sentence is the present moment, i.e. that the event must be

113 Example (137) from Zhang (1998).
anterior to and not included in that reference time. In most languages of the world, the perfective aspect does not appear in the present tense since present tense situations are by nature unbounded.

(139)

你们 现在 找到了 房子 在 哪里？
Nimen xianzai zhaodao le fangzi zai nali?
Have you found the house now? (1)

(140)

现在 他 有了 朋友
Xianzai ta you le pengyou
He has friends now (1)

已经 yijing ‘already’ is an example of a temporal expression that denotes time anterior to R. In (141) there is a durational adverb, 两年 liang nian ‘for two years’. The sentence describes a situation that started two years ago and has been going on for two years. The presence of 已经 yijing signals that the timespan involved is positioned prior to R.

(141)

她 在 大学 里 已经 读 了 两 年 书，
Ta zai daxue li yijing du LE liang nian shu,
She had already been studying at the university for two years and made a lot of friends from far and near. Although for the time being there had not been one who enjoyed her undivided attention, quite a few had the potential (1)

On the other hand, a simple sentence such as

(142)

(142)

我会在北京读了两年的书
Wo zai Beijing du le liang nian de shu
I at Beijing read LE two year DE book
I studied in Beijing for two years

will often get a perfective interpretation, provided there are no other clues in the context that will lead to a perfect such. There is, thus, a clear difference between the two sentences (141) and (142) in that the latter describes a bounded event (studying for two years) that occurred within a specific period of time—the reference time of the sentence—while the former describes an activity lasting during a time span that leads up to the reference time. It is obviously 以来 yijing that gives rise to the perfect interpretation in (141).

- Non-temporal contextual clues

(143)

(143)

你呀，你就叫书害了。你
Ni ya, ni jiu jiao shu hai le. Ni
you YA you just let book ruin LE you

在这车上给我讲的两个故事，
zai che shang gei wo jiang de liang ge gushi,
at car on for I tell DE two CL story

我琢磨了，后来挺喜欢的。你
wo zuomo le, houlai ting xihuan de. Ni
I ponder LE afterwards really like DE you

不错，读了不少书。
bu cuo, du le bu shao shu.
NEG bad read LE NEG few book

Look at you, done in for by books. The two stories you told me in the car, the more I thought about them the better I liked them. You're not bad, you've studied a lot.

The last sentence in (143) contains no existential construction. The verb 读 du ‘read/study’ is not an abstract verb or a resultant state verb but a regular activity verb and there is no temporal expression that includes the present. So how come the most probable reading of 读 du in (143) is perfect and not perfective? In the absence of the mentioning in the intra- or extra-sentential context of a specific period of time, for example 去年 qunian ‘last year’ during which it would be known to both the speaker and the hearer that “you” in this sentence had studied hard (E would then be included in R) the speaker will interpret the “reading of many books” as an experience on the subject’s part, an activity within a period leading up to the present moment, for example the time that has passed
since the subject was born. It is clear that contextual features other than temporal expressions, syntax and verb semantics can give rise to a perfect reading of a \textit{le}-sentence. Some other examples are:

(144)

\begin{tabular}{ll}
You & yi duixinren zhanzuo le difang, \\
exist & one couple newly-wed person stand-wrong LE place  \\
直接 & DE person point out LE several time  \\
他们 & still NEG correct  \\
\end{tabular}

A newly-wed couple stood in the wrong place (lit. had come to stand at the wrong place); the person in charge tried several times to make them aware of that but they still remained unchanged. (8)

(145)

\begin{tabular}{ll}
Ni & bie shi you zuo le shenme kuixinshi?  \\
you & NEG IMP be again make LE what deed-to-be-ashamed-of  \\
You haven't done anything to be ashamed of again, have you? (1)  \\
\end{tabular}

(146)

\begin{tabular}{ll}
Xiao Han & julie de chandou le yixia, lian ta  \\
Xiao Han & violent DE tremble LE a little even she  \\
mother & also feel that shake She mother also  \\
\end{tabular}

say now I only understand you be intentional of." Xiao Han cried. Ta fan le cui. DE. Xiao Han cry LE start she commit LE crime.

She will she parents between DE love slow DE
A violent shudder went through Xiao Han, even her mother could feel it. Her mother too shivered but after a moment of silence she said softly: “Only now do I realize that you did it on purpose”. Xiao Han started to cry. She had committed a crime. She had slowly killed the love between her mother and her father, dismembering it piece by piece like the ultimate punishment of “lingchi” a slow and painful death. (1)

我 不 出 去, 我 有 权 利
Wo bu chuqu, wo you quanli
I NEG out-go I have right

坐 在 课 堂 里, 刘 桂 珍
zuo zai ketang li, Liu Guizhen
sit at classroom in Liu Guizhen

老师 — 我 交 了 学 费。
laoshi — wo jiao le xuefei.
teacher — I pay LE tuition-fee

I won’t go out, I have the right to sit in this classroom, teacher Liu Guizhen, I have paid the tuition fee. (2)

这 回 我 是 彻 底 下 了 决 心, 随
Zhe hui, wo shi chedi xia le juexin, sui
this time I be thorough put down LE decision follow

去, 畭 管 他 干 什 么, 我 要 再
qu, beng guan ta gan shenme, wo yao zai
go NEG have to care he do what I if again

一 句 口 我 都 不 姓 我 姓。”
yi ju zui wo dou bu xing wo xing.”
one word mouth I all NEG be named I name

“有 决 心 就 好。 其 实 你 们 马 锐 也
“You juexin jiu hao. Qishi nimen Ma Rui ye
have decision then good In fact you Ma Rui also

少 了, 该 让 他 自 个 管 管
shao le, gai rang ta zige guanguan
little LE should let he self care-care

自个 了, 别 觉 得 什 么 都 那 么 容 易。”
zige le, bie juede shenme dou name rongyi.”
self LE NEG IMP think what all that easy
This time I have definitely made up my mind. Regardless of what he does to please himself, if I say another word, I’d give up my surname”. “It is good to have made up one’s mind. Actually that Ma Rui of yours is not a child anymore, it’s time to let him take care of himself and realize that everything is not as easy as he thinks.” (2)

(149)

Lao taitai shang le nianji, youdian long old lady go-up le age somewhat deaf

[T]he lady had become old and she had trouble hearing (1)

(150)

You yi hui qi zixingche qu shangchang mai exist one time cycle bicycle go supermarket buy
tyao, because parkinglot DE old man defiant

toothpaste, because parkinglot DE old man DE old man DE old man DE old man

cunchefei you er fen zhangcheng le san fen, cause

parkingfee from two cents rise-become LE three fen, cause

Beibei angry DE argue LE half CL more hour

There was this one time when he biked to the store to buy toothpaste. Because the old man in charge of the bike parking area arbitrarily had raised the parking fee from two cents to three cents on him, Beibei quarrelled in anger with him for half an hour. (8)

(151)

Ta nawan le ni xin li zhi you he make sure (lit. take-firm) LE you heart in only exist

ta yi ge ren, suoyi ta gan name suisui-bianbian he one CL person, therefore he dare thatway careless
de, bu ba ni dang zhuang shi kandai DE, NEG BA you treat CL matter regard

He has made sure that in your heart there's only him. That's why he dares to act the way he wants and treat you as if you were nothing (1)
The parts of these sentences that in particular contribute to the perfect reading of the verb marked with le have been underlined. What these words or phrases add to the sentence is, in fact, nothing more than the sense that a present (either the time of the utterance or the narrative present) situation is in focus. That is why a verb marked with le in this kind of sentences most probably will not be interpreted as describing an isolated "whole" event, such as one event in a sequence, but as an anterior event with a result that holds at the reference time (E-R). The verbs 有 you (existential) and 是 shi (copula verb), for example, in sentences (144), (145) and (148) affirm that the situation described by the sentence as a whole is already a fact (a state holds) at a certain reference time and any verb marked by le is taken as describing an event that occurred at an indefinite time prior to that reference time, unless a specific time for its occurrence is explicitly mentioned.

这回 Zhe hui ‘this time’ in combination with 是 shi, in sentence (148) also makes clear that the speaker is focusing on the present situation. Sentences (146), (147), (149), (150) and (151) all implicate cause and effect or reason for a certain situation. The verb marked by le serves to describe the anterior event that caused that situation. When someone talks about a situation and the cause of that situation, the particular time of the causing event is often not important or even known by the speaker. What is important is that the result of that event is somehow related to the situation that holds or occurs at the reference time. Such conceptual information contributes to the interpretation of the events as anterior to R when they are expressed by a verb and the marker le as in the sentences above. It could be argued that if this is the case, then another type of sentences that involve such causal relations, exemplified by (152) and (153) would also qualify as perfect:

(152)
我 看完 了 报， 就 去 图书馆
Wo kanwan le bao jiu qu tushuguan
I read-finish LE paper then go library
When I have finished reading the paper I will go to the library

(153)
她 这次 坐 得 离 卜 二 奶奶
Ta zhe ci zuo de li Bu er nainai
she this time sit DE away from Bu second grandma
远， 坐 了 一会 就 去 找 女 主人
yuan, zuo le yi hui jiu qu zhao nu zhuren
far sit LE one moment then go search female host
take leave
This time she sat far from second grandma Bu and when she had sat for a while she went to look for the hostess to say goodbye. (1)

Notice, however, the difference between this kind of sentences and the ones describing cause and effect above. While sentences (146), (147), (149), (150) and (151) all describe situations that have happened at some time prior to another time which is perceived of as
either present (simultaneous with S) or past (with respect to S), the events in (152) and (153) are interpreted as anterior in time, but anterior relative to the main event in a sequence of events. In (152) and (153) *le* occurs in subclauses that in themselves define the reference time R of the main event (‘at the time when I had finished reading/sat for a while’) while in the perfect sentences discussed so far the event time described by verb + *le* is subjected to the position of the R of the whole sentence. Both sentence types share the anteriority relationship, however. As I will show later in this chapter, the subclauses in complex sentences like (152) and (153) should be treated as examples of relative anteriority and not of perfect tense.

5.1.1.2. Perfect types

Comrie, who calls them "specific manifestations of this general property [i.e. the continuing relevance of a previous situation]" (1976, p. 56), has found four types of perfect.\(^{115}\)

The perfect of result describes a situation as a present state that is the result of a previous situation:

(154)

I have had a bath\(^{116}\)

The experiential perfect, according to Comrie, indicates that the event is located in a temporal frame that leads up to the present:

(155)

Bill has been to America  
(since the war)

The perfect can also be used to show that a situation started in the past and continues up to the present. That type of perfect is what Comrie calls perfect of persistent situation:

(156)

I have been waiting for hours

When the Perfect tense form is used to describe a situation as very recent in time it is called the perfect of recent past:

\(^{115}\) Dahl (1985) notes that the use of the term ‘perfect types’ is not ideal, it “sounds as if we were dealing with different kinds of grammatical categories, although what they primarily are is types of uses of such categories”. (Dahl 1985:133)

\(^{116}\) Examples (154), (155), (156) and (157) from Comrie (1976).
Bill has just arrived

Elsness is critical towards the theories distinguishing between different perfect-types and claims that the perfect category should best be seen as "one unitary semantic category" (Elsness 1991, p. 87).

According to Salkie (1989), the property of expressing current relevance may manifest itself in different ways, depending on the lexical properties of the items in the sentence. It seems plausible that it is precisely the semantic characteristics, not only of the verbs, but also of other items in the sentence, that create the impression that there are different types of perfect. If this is the case also for Chinese, then not only can clues in the sentence and in the context determine whether the event should have a perfective or a perfect reading, but semantic information in the sentence can also tell us in what way the situation in question is currently relevant. Comrie claims that some languages use different syntactic means to express different types of perfect. Although it could be argued that the marker 了 in Chinese marks experiential perfect, since this chapter deals exclusively with the verbal 了, I will only be discussing the cases where the perfect is related to the use of 了, consequently, any differences in perfect interpretations should be taken to be the effect of other characteristics of the sentence than that of distinct syntactic markers.

I will show that Comrie’s (1976) three perfect types perfect of persistent situation, experiential perfect and perfect of result can all be expressed by using the Chinese verbal 了. I propose that the difference between the three perfect types lies in the relationship between E, R, S and a higher reference time frame, a “higher R”, henceforth symbolised thus: $\rho$. This is a temporal interval that includes the “lower” Reichenbachian R, which is the proper reference time of the sentence. $\rho$ is either overtly expressed through temporal expressions or implied through the context. As we will see, $\rho$ can have different characteristics and these characteristics determine which type of perfect that will be expressed by the sentence. Another factor that is influential in determining perfect type of a 了-sentence is the direct object, whether it is quantified or non-quantified. In particular, it is of importance whether the quantified object in the particular environment where it occurs describes a path or not. For example, an important difference between the verb phrases read four books and see four girls is that the four books in the former VP can form a path through which the subject “travels”, by reading one book after the other. In see four girls on the other hand, the most probable reading is one where the subject sees the four girls at once, not one girl after the other. The girls in this context thus do not constitute a path while the books do and this difference may have an effect on perfect type. Only a path-VP may contribute to a perfect-of-persistent-situation reading of a sentence, for example. It is, however, not only the semantics of the object NP but of the whole VP that determine if the object can have a path reading or not. Combined with

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117 It should be noted that the perfect of recent past differs from the other perfect types in that it can co-occur with specification of time (R) to which the event is anchored. This suggests that it is not really a semantic perfect, since the characteristics of the perfect according to this present study is E anterior to R. Therefore, it will not be discussed here as a perfect type.

118 The path concept was also described in 1.1.
another verb, such as *kiss*, for example, the direct object *three girls* may very well have a path reading (*he kissed three girls*).

- **Perfect of persistent situation**

  (158)

  He went on: "These fishes might *have traveled thousands of miles already*, that’s why their meat has become so firm and tasty. The fishermen who go to sea often look forward to this one harvest all year round." (4)

  The phrase *几千里路* *ji qian li lu* ‘how many thousands kilometres’ expresses in itself a time span by being a quantified object NP that is capable of describing a path. It forms a string of entities (kilometres) that measures the result of the effort made by the fishes. Adverbs like *已经* *yi jing* or *已yi* ‘already’ and *到现在为止 dao xianzai wei zhi* ‘up to now’ all contribute to a linear perspective on the time involved. ρ is perceived of as a *directional temporal interval that leads up to the reference time R of the sentence*. With these kinds of temporal expressions, the path-denoting object NP gives the impression of a movement along a path that leads up R. These features guide the listener to an interpretation of the boundary signalled by the presence of *le* so that the situation is perceived of as terminated at R. This produces in effect a *perfect-of-persistent-situation* reading.

  (159)

  Anyone could see that she had *already* suffered a lot (4)
The activity described in (159) is measured by the amount of suffering experienced up to the present point.

(160)

到 现 在 为 止 我 一共 写 了 五 篇 文 章
Dao xianzai wei zhi wo yigong xie le wu bian wenzhang
to now as end I altogether write LE five CL article
Up to now I have written altogether five articles

The five articles in (160) represent a path through which the subject travels (by writing one article after the other). The temporal expression, 到现在为止 dao xianzai wei zhi ‘up to now’, represents a temporal interval during which E holds. This temporal interval starts sometime in the past and ends at R, which in this case is simultaneous with S, the present moment.

(161)

她 已 经 有 了 三 个 月 的 身 孕
Ta yijing you le san ge yue de shenyun
she already have LE three CL month DE pregnancy
She is already three months pregnant

(162)

她 已 经 老 了 两 年
Ta yijing lao le liang nian
she already old LE two years
She had already become two years older (1)

The verbs in (161) and (162) are so called stative verbs. Normally, they get an inchoative reading with le. They then come to express a state that is the result of a change. But above examples (161) and (162) both contain quantified NPs that are capable of representing strings of entities, ‘three months’ and ‘two years’ respectively. These perfect sentences do not differ from the ones that contain active verbs and path-denoting object NPs when they occur with yijing. They describe accumulated results of certain activities or processes (acquiring vs. growing) that hold at R. Of course that means we have to accept that these verbs may describe either states or processes depending on their lexical environment. For now, this is what I will assume.

- Experiential perfect

Let’s take a look at Zhang’s (1998) mini-discourse again:

Example (160) from Zhang (1998).
(163)

(a) 你 今天 干 什么 了?
Ni jintian gan shenme le?
you today did what LE
我 写 了一 封 信
Wo xie le yi feng xin
I write LE one CL letter
- What have you done today?
- *I have written a letter.*

今天 jintian, claims Zhang, together with other temporal expressions denoting time spans that include the present, promotes a perfect reading of the sentence that contains verbal le. However, as I will show, the implicit temporal expression 今天 jintian ‘today’ in the reply in above discourse as well as the expression 我这辈子 wo zhe bei zi ‘in my lifetime’ differ from adverbs like 去年来 qunian lai, 到现在为止 dao xianzai wei zhi and 已经 yijing. The latter expressions promote a view of ρ as a stretch, running from some specified or unspecified point in the past up to R. The former promote a view of ρ as a frame in which R is located. The perspective on time is that of time as a space, without direction. An alternative to the answer in (163) (a) would be:

(b) 我 (今天) 写 了 三 封 信
Wo (jintian) xie le san feng xin
I (today) write LE three CL letter
I have written three letters (today)

The speaker probably wants to tell us what she has done during a period of time (today) that includes R/S without any implications that she will continue to engage in that activity.\(^\text{121}\) The quantified object NP 三封信 sang feng xin ‘three letters’ in this environment, i.e. in combination with the verb 写 xie ‘write’, is perfectly capable of representing a path. Normally, a person writes one letter at a time. However, in combination with an overtly expressed or implied temporal perspective of time as a non-directional space that encompasses R, the quantified object 三封信 sang feng xin ‘three letters’ is perceived of as one semantic entity instead of a string of entities.\(^\text{122}\) The situation occurred at some unspecified time(s) during ρ. Notice the difference between

\(^{120}\) Example (163) from Zhang (1998).

\(^{121}\) It is important to be aware of the fact that the presence of an adverb like 今天 jintian ‘today’ does not necessarily predict an experiential perfect reading as extra-sentential context may influence the interpretation. (163) (b), for example, can be uttered in a context where the writing of three letters is part of a larger project of writing five letters that the subject is presently engaged in. It then expresses a stage reached at the present moment. 今天 jintian may still serve to express the time interval during which the project is supposed to be carried out, but the real ρ is the time period leading up to the moment during the day when the utterance is made (the lower R), for example at two o’clock in the afternoon. The speaker expects that he/she will continue writing. So the presence of 今天 jintian does not exclude a perfect-of-persistent-situation reading.

\(^{122}\) A temporal adverb that represents a temporal frame with a direction from the past up to the present puts more focus on the quantified object as a path.

97
(163) (b) that contains 今天 jintian and (164) below, which contains a temporal expression that represents a linear perspective on time:

(164)
到 现在 为止 我 一共 写 了 三 封 信
Dao xianzai wei zhi wo yigong xie le san feng xin
to now as end I altogether write LE three CL letter

Up to now I have written altogether three letters

In combination with a temporal expression that represents a view on time as a stretch or line, the quantified VP 写三封信 xie san feng xin ‘write three letters’ represents a path, an activity that the subject has been engaged in up to now that has reached a certain result. In (163) (b) the activity may have been scattered over several intervals during the day, alternatively concentrated to one single interval at some time during the day. In any case, the subject has had the experience of writing three letters during the period of time that 今天 jintian describes.

Also in sentence (165) the activity of seeing weird things is interpreted as an experience because of the temporal expression 我这辈子 wo zhe bei zi ‘in my life’ where ‘my life’ is interpreted as a space encompassing the present moment.

(165)
我 这 辈子 见 了 很 多 新 鲜 事
Wo zhe bei zi jian le hen duo xinxian shi
I this life see LE very many strange thing

I have seen many weird things in my life123

I would like to refer to the type of perfect exemplified by (163) as some variant of the experiential perfect because it focuses on the occurrence of an event in a non-directional temporal interval (ρ) that includes the reference time R of the sentence.

This reading can be achieved through the aid of other, non-temporal contextual features as well:

(166)
你 不 错， 读 了 不 少 书
Ni bu cuo, du le bu shao shu.
you NEG bad read LE NEG few book

You're not bad, you've read a lot of books (1)

It is not the progress of the situation ‘read a lot of books’ (as with the perfect of persistent situation) that is of importance here, rather the characteristics of the person as result of

123 Example (165) from Zhang (1998).
some experience(s) in her life.¹²⁴ 你不错 ńí bu cuo ‘you’re not bad’ implies that this is the case.

- **Perfect of result**

In the examples of perfect sentences so far, the direct object NP has been quantified. But what if the object NP is not quantified? As is often mentioned in articles about le, many native speakers do not accept a sentence with verbal le if the object NP is not quantified:

(167)

? 他 写 了 信
? Ta  xie  le  xin
he  write  LE  letter
He wrote/has written a letter/?letters /the letters

“[T]here is often something strange and “unfinished” about a sentence containing –le and a simple unquantified direct object noun….such sentences need to be bounded by the addition of either a following clause or a sentence final particle le”. (Li and Thompson 1981, p. 200) However, examples of sentences that contains verbal le, an unquantified object NP or an object that is quantified but does not constitute a path (a "string of temporal entities") but which do **not** contain a subordinate clause or a sentence-final le were in fact quite common in the texts analysed.

(168)

人家 已经 收 了 罚， 你 还
Renjia  yijing  shou  le  zui,  ni  hai
he  already  receive  LE  punishment  you  still
要 欺负 他？
yao  qifu  ta?
want  bully  him
He has **already** been punished, why are you still bullying him? (5)

(169)

这 夯计 还 想 问： “感激 我 什 么？ 
Zhe  huoji  hai  xiang  wen:  "ganji  wo  shenme?"
this  mate  still  want  ask  thank  I  what
郭 大路 却 已 走下 了 楼。
Guo  Dalu  que  yi  zouxia  le  lou.

¹²⁴ Of course, this interpretation is open to discussion. If the situation was such that the speaker wanted to compliment the listener on having already read so many books during a certain period of time leading up to the present moment this perfect sentence would rather be an example of the perfect of persistent situation. Since the object is quantified this is possible, but if the characteristic "not bad" in a person is interpreted as being a permanent such, then the perfect-of-experience interpretation seems to be the most probable.
Guo Dalu however already walk-down LE floor.
That guy still wanted to ask: "Thank me for what?", but Guo Dalu had already gone downstairs. (5)

(170)
我刚刚已经说了，你的许多
Wo ganggang yijing shuo le, ni de xuduo
I just now already say LE you DE many
条件，并不适合我的要求，但是
tiaoqian, bing bu shihe wo de yaoqiu, danshi
factor really NEG suit I DE demand but
樵樵已经迷上了你，我也
Qiaoqiao yijing mishang le ni, wo ye
Qiaoqiao already confused-onto LE you I also
只好接受你
zhihao jieshou ni
have to accept you
As I just said, much of what you can offer does not meet my expectation; but since Qiaoqiao has already fallen for you, I have no choice but to accept you (7)

(171)
小寒剧烈地颤抖了一下，连她
Xiao Han julie de chandou le yixia, lian ta
Xiao Han violent DE tremble LE a little even she
母亲也感到那震动。她母亲也
muqin ye gandao na zhendong. Ta muqin ye
mother also feel that shake She mother also
打了个寒战，沉默了一会，细声
da le ge hanzhan, chenmo le yi hui, xisheng
hit LE CL shiver reticent LE a while weak voice
do： "现在我才 知道 你是有意的。
do: Xianzai wo cai zhidao ni shi youyi
say Now I only understand you be intentional
的。" 小寒哭了 起来。她犯了罪。
dee: Xiao Han ku le guilai. Ta fan le cui.
DE. Xiao Han cry LE start she commit LE crime.
她将她父母之间的爱慢慢地
Ta jiang ta fumu zhi jian de ai man tantun de
She JIANG she parents between DE love slow DE
杀死了，一块一块割碎了 —
shasi le, yi kuai yi kuai gesui le —
kil LE one bit one bit cut-smash LE —
A violent shudder went through Xiao Han, even her mother could feel it. Her mother too shivered but after a moment of silence she said softly: “Only now do I realize that you did it on purpose”. Xiao Han started to cry. She had committed a crime. She had slowly killed the love between her mother and her father, dismembering it piece by piece like the ultimate punishment of “lingchi” a slow and painful death. (1)

(172)

“这回我是彻底下了决心，随他去，甭管他干什么，我要再要一旬嘴我都不姓我姓。”

“You have decision jiu hao. Qishi nimen Ma Rui ye bu shao le, gai rang ta zige guanguan zige le, bie juede shenme dou name rongyi.”

This time I have definitely made up my mind. Regardless of what he does to please himself, if I say another word, I’d give up my surname”. “It is good to have made up one’s mind. Actually that Ma Rui of yours is not a child anymore, it’s time to let him take care of himself and realize that everything is not as easy as he thinks.” (2)

Since above VPs are unquantified they cannot constitute a path, hence the perfect-of-persistent-situation reading is outruled. Neither do any of these sentences contain clues that would allow the reader to construct in her mind, for the setting of the event described, a temporal frame that includes the present such as ‘this year’ or ‘today’. That means that the experiential perfect reading is not probable either. What (168), (169), (170), (171) and (172) all have in common is that the state or situation that is the result of the anterior event holds at the reference time R of the sentence. The reading is that of a single event having occurred at an unspecified point of time prior to R. This type of perfect is the perfect Comrie calls perfect of result. Below are two more examples, this time with stative verbs:
(173)

 Xiao Ai kongpa \textit{yijing} you \textit{le} shenyun
 Xiao Ai probably already have \textit{LE} pregnancy
 Xiao Ai may be \textit{already} pregnant (1)

(174)

 Wo gao\textit{su} ni, ta \textit{yijing} tongyi \textit{le} women de
 I tell you she \textit{already} agree \textit{LE} we \textit{DE}
 婚事，你还有什么是可疑的？
 marriage, you still have what can suspect \textit{DE}?
 I tell you, she has \textit{already} agreed to our marriage, what’s making you
 still feel concerned? (7)

The same temporal expression, \textit{已经 yijing}, is used in both (175) and (176) below, but
the difference between the two direct object NPs in these two sentences produce a
difference in perfect type. Sentence (175) describes a persistent situation as the quantified
direct object \textit{A qiang feng xin ‘two letters’ can represent a path. The direct object
in the second sentence, \textit{ni ‘you}, cannot represent a path. Since the situation is
interpreted as a single event, the sentence gets a perfect-of-result reading.

(175)

 Ta \textit{yijing} xie \textit{le} liang feng xin
 He \textit{already} write \textit{LE} two \textit{CL} letter
 He has \textit{already} written two letters

(176)

 Qiaoqiao \textit{yijing} mishang \textit{le} ni
 Qiaoqiao already confused-onto \textit{LE} you
 [But Qiaoqiao has \textit{already} fallen for you (7)

Another example of perfect of result is a sentence where \(\rho\) excludes \(E\) and is equivalent
with R/S:
(177)
Nimen xianzai zhaodao le fangzi zai nali?
you now search-arrive LE house at where?
Have you found the house now? (1)

(177) describes an occurrence of an event in the past that is relevant for the present moment. 现在 xianzai ‘now’ refers to both R and S. As opposed to the examples of experiential perfect and perfect of persistent situation, no time frame in which the event is supposed to have happened is implied, it is simply irrelevant, but the result of the event may still be important for the present moment.

5.1.2. Subclauses of complex sentences

Complex sentences are sentences that consist of a subclause and a main clause. There are different kinds of complex sentences that contain verbal le. What these sentences have in common is that the situation described by the main clause in one way or the other is dependent on the realization of the situation specified in the subclause. I will treat two types of complex sentences with le in this chapter. One is the sequential sentence type, in which the subclause describes a situation that will be or was realized before the main clause situation will be or was realized. That is, not only are the situations temporally subsequent but the realization of one of them is in some way related to the realization of the other. The second sentence-type that I will discuss is the conditional sentence type. This sentence-type is similar to the former in that it, too, describes a sequence of events in which the realization of the first situation is related to the realization of the second. The difference lies in this relationship. For the temporal sequential sentences, it is simply a temporal relationship, while for the conditional sentences, the temporal relationship follows from the fact that the subclause describes a condition under which the main clause situation can be fulfilled. The temporal relationship between the clauses in the conditional sentences is therefore hypothetical.

It has already been pointed out that the common argument in favour of the view that verbal le is a perfective aspect marker—that it can occur in future contexts—is problematic. It can occur in main clauses that describe future events such as (28). However, in all such cases it serves to mark anteriority i.e. it normally does not contribute

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125 In fact, not only verbal le but also its sentence-final counterpart can occur in the subclause of a complex sentence as Liu (1990) remarks:

你 不 高兴 在 作 妻子 了，你 就 丢 了 丈夫
You NEG happy ZAI act wife LE you then loose LE husband
If you don’t like to be his wife, then leave your husband (example from Liu 1990, originally Li 1924)

However, this kind of sentences is not as common and will not be treated here.
to a **perfective** reading in future contexts. In subclauses of complex sentences, *le* occurs freely in the future tense:

(178)

他 明天 晚上 吃 了 饭
Ta mingtian wanshang chi le fan
he tomorrow evening eat LE rice
就 去 看 电影
jiu qu kan dianying
then go watch movie
Tomorrow, when he has eaten, he will go to the movies

The question is, does it mark perfective aspect in the subclause of (178)?

According to a relevance-theoretic framework, pragmatic markers, like tense and aspect markers, have only a semantic core meaning and the temporal interpretation of the sentence where such a marker occurs is a result of the combination of the semantics of this core feature and contextual or conceptual elements. In this work, *le* is defined as a pragmatic marker, the semantic core meaning of which is **boundary**. This outset solves many of the problems with *le* that earlier analyses have been unable to explain. I maintain that the common pairing of one marker with a single grammatical “label” (such as perfective aspect, for example) is bound to run up against problems. This is not less evident when complex sentences with *le* are examined.

As has been indicated in previous chapters, the marker *le* seems to be able to contribute to the manifestation of both the perfective aspect and the perfect tense, regardless of its syntactic position in the sentence. Le simply marks the initial or final boundary of the situation expressed by a verb, a sentence or a series of sentences. For a perfective interpretation, the initial or final boundary added by *le* is perceived as included in R, which may be explicit (for example through a temporal expression) or implicit (contextually implied). For a perfect interpretation, on the other hand, the initial or final boundary is perceived as anterior to R. First let us look at a simple sentence in which R is explicit:

(179)

他 早上 吃 了 饭
Ta zaoshang chi le fan
he morning eat LE rice
He had a meal in the morning

---

126 It has been noted that syntactic position may be relevant for the default temporal interpretation of the sentence with *le*.
127 This sentence may not be accepted by all native speakers since it contains an unquantified object NP.
The event time $E$ of 吃饭`eat' is included in the $R$ of the sentence, which is expressed by the temporal adverbial 早上`morning'. Le marks the final boundary of 吃饭`eat'. In a perfective reading of the sentence, as expressed by the translation of (179), this boundary is perceived of as included in $R$, i.e. the eating ended sometime during the temporal interval ‘morning’.

5.1.2.1. Sequential sentences

When le occurs in the subclause of a sequential sentence it seems to have some other function than that of marking the perfective aspect:

(180)

他常常吃了饭
Ta changchang chi le fan
He often ate LE rice
就去看电影
jiu qu kan dianying
then go watch movie
He often goes to the movies after having eaten

In (180) that contains the adverb 常常`often', the speaker does not have a specific time in mind for the situation 吃饭`eat'. Since 常常 changchang gives the sentence a habitual reading the subclause situation is not anchored to a reference time and therefore cannot describe a perfective situation.

Second, in sequential sentences, le does something more than merely marking a boundary of the situation described by the VP to which it is attached. In fact, Li and Thompson (1981), among others, have pointed out that a simple sentence that contains a non-quantified verb phrase such as

(181)

他吃了饭
Ta chi le fan
he eat LE rice
He ate / He has eaten / He had eaten

would sound ungrammatical or at least somewhat strange, like “out of the blue”, if not followed by a second clause. This claim might be too strong. It is challenged by a large amount of similar sentences actually produced by native speakers or written down in narrative texts. In fact, quite a few such sentences are found as example sentences in this thesis. But it remains a fact that without a context to relate to, this sentence will sound awkward to many speakers. It is expected that it be followed by a main clause since it is
not perceived of as an independent sentence, but as a subclause. In fact, since it defines R for the main clause, it acts like a temporal adverbial:

(182)
(a) 他 吃 了 饭 就 去 看 电 影  
                        Ta chi le fan jiu qu kan dianying  
he eat LE rice then go watch movie 

a) When he had eaten (=at that time) he went to the movies  
b) When he has eaten (=at that time) he will go to the movies

*Le is almost obligatory in the subclause of most sequential sentences unless there is a RVC complement to fill the boundary-function:

(183)

A view of *le as a boundary marker does not seem to prevent us from defining the function of *le in this type of sentences as that of marking relative tense. I have argued that *le marks a temporal boundary for the situation expressed by the VP to which it is attached. This boundary might be positioned on the time line and related to an explicit or implicit R, as in main clauses. But it can also act as a temporal boundary on the time line to which another situation (the main clause situation) can be related, as in subclauses. This means that *le can mark a boundary for a situation expressed by a lexical unit and a boundary that is in fact the initial endpoint of a reference time interval129 during which a

128 According to Hu (1995), this is one of the sentence types with *le in which *le is often wrongly excluded by English-speaking learners of Mandarin because of L1 interference.

129 It is obvious that *le cannot mark the whole interval R for the main clause, as it is a marker of a boundary. R does not have to be a point or a boundary. It can also be an interval and the time of the main clause event may be further specified within that reference time interval. Several native speakers have told me that in such cases, the temporal relation between the two events is hypothetical rather than factual.
new event can occur. If *le* is looked upon as a pragmatic marker with a core feature *boundary*, nothing prevents it from adapting to the context in the following ways\(^{130}\) when it occurs in postverbal position:

1) While marking the final (or initial) boundary of the Event Time represented by the VP to which it is attached, *le* can be combined with an explicit or implicit R resulting in a perfective (E incl in R) or perfect (E-R) reading of the situation. This is seen in simple sentences with *le*, like (179).

2) In subclauses, this boundary has a dual function. Like in main clauses, it acts as a boundary for the Event Time, represented by the temporal structure of the situation described by the lexical content of the VP. This boundary simultaneously acts outside that temporal structure, marking the initial endpoint of a reference time interval for a *later* situation resulting in a relative anteriority reading of the first situation.\(^{131}\) This function is found in sequential sentences consisting of a subclause with *le* and a main clause.

Let’s take a look at the resulting temporal structures for sentences (179) and (182), repeated here as (184) and (185) for the sake of convenience:

(184)

\[
\begin{array}{c}
\text{他} & \text{早上} & \text{吃了} & \text{饭} \\
Ta & zaoshang & chi & le & fan \\
he & morning & eat & LE & rice \\
\end{array}
\]

\[
\begin{array}{c}
\text{zaoshang} \\
R R R R R R R R R R R \\
\hline
\end{array}
\]

\[
\begin{array}{c}
\text{chi} & \text{fan} & \text{le} \\
E E E E E E E E E \\
\end{array}
\]

\(^{130}\) Modal interpretations of sentences with verbal *le* are not mentioned here.

\(^{131}\) To say that *le* contributes to relative anteriority in sentences like (182) is in fact not entirely satisfactory. According to Hamann (1987), it could never be the main clause R that provides the subclause R for sentences like

After they had eaten everything, they left.

The “after-clause” is an adverbial clause that should be treated in the same way as other temporal expressions such as ‘yesterday’. Relative anteriority means anteriority of one event to another, i.e. to the reference time provided by a posterior event. If Hamann is right the main clause of complex sentences cannot provide such an R for the subclause event since that would imply a reciprocal providing of reference times between the subclause event and the main clause event, i.e., an impossible scenario where one provides the reference time for the other. Schopf (1987) has proposed that the event in the subclause introduces a new reference time to which the event in the main clause can be related. I use the term relative anteriority here because, at any rate, the result of *le* occurring attached to the verb in a subclause of a sequential sentence is an anteriority reading of that situation to the main clause reference time (that this same *le*, in itself, marks).
5.1.2.2. Conditional sentences

Palmer (1986) defines the hypothetical status of the two clauses in a conditional sentence like this: “Conditional sentences are unlike all others in that both the subordinate clause (the protasis) and the main clause (the apodosis) are non-factual. Neither indicates that the event has occurred (or is occurring or will occur); the sentence merely indicates the dependence of the truth of one proposition upon the truth of another.” (Palmer 1986, p. 189)\(^\text{132}\)

I will start by presenting some conditional sentences, the subordinate clauses (protases) of which contain verbal *le*:

(185)

他吃了饭就去看电影
Ta chi le fan jiu qu kan dianying
he eat LE rice then go watch movie

5.1.2.2. Conditional sentences

Palmer (1986) defines the hypothetical status of the two clauses in a conditional sentence like this: “Conditional sentences are unlike all others in that both the subordinate clause (the protasis) and the main clause (the apodosis) are non-factual. Neither indicates that the event has occurred (or is occurring or will occur); the sentence merely indicates the dependence of the truth of one proposition upon the truth of another.” (Palmer 1986, p. 189)\(^\text{132}\)

I will start by presenting some conditional sentences, the subordinate clauses (protases) of which contain verbal *le*:

(186)

如果中国真是有了这么
Ruguo Zhongguo zhen shi you le zheme
if China really be have LE this
一批唐元豹，少一些你
yi pi Tang Yuanbao, shao yixie ni
one CL Tang Yuanbao less a little you

\(^{132}\) There are critics of this view, for example Schwenter (1999), who uses sentences like the following to validate his claim that not all conditional sentences involve hypothetical situations (originally Dik 1990, p. 241):

“[A and B are sitting inside A:s house. Through the window, they can see their friend Joan, who has just arrived, and is walking towards the front door. They know that Joan always takes her dogs wherever she goes]
A: Here comes Joan. Where are the dogs?  
B: If Joan’s here, the dogs are too.
… the conditional protasis in B’s response is not employed to create a hypothetical “picture” in which Joan is here (i.e. a situation in which she is NOT here in reality…The protasis consists of the proposition that Joan IS here’. (Schwenter 1999, p. 42)
我之辈，我看中国的事实

I think that if China really had such a bunch of Tang Yuanbao’s, and less people like you and me, China’s problems would be easier to solve by far! (11)

这倒是真的，吃多了糖，

That is true, if you eat to much sweets, it’s easy to become fat (1)

我心里面直打鼓，将来万一我

My heart was beating like a drum. If by some chance in the future I

您要是文化队伍我都不知道

If you were to leave the culture troupe, I wouldn’t know what kind of person you are. (11)
Obviously, le can occur in a protasis that describes a counterfactual situation as in (186) and (190), a hypothetical future situation as in (188), (189) and (191) or even a generic statement as in (187). However, in none of the sentences above does the subclause describe a factual situation. It defines the circumstances – the reference time – during which the main situation can or could occur. In conditional sentences, as in sequential sentences, le marks the initiation or termination (in non-factual time) of the first event and that point in time also marks the beginning of a reference time during which the second event can be realized. Since the event described by the verb to which le is attached is not anchored to a specific reference time, le cannot be a perfective marker in the protasis of conditional sentences either.

There is another type of sentences that could possibly be analyzed in a similar way as the subclauses of conditional sentences. These are imperatives. Verbal le may occur in imperatives and warnings in Mandarin:

(192)

喝 了 那 杯 药！
He le nei bei yao!
drink LE that cup medicine
Drink that cup of medicine!133

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133 Examples (192), (193), (194), (195) and (196) from Li and Thompson (1981).
Li and Thompson (1981) explain this occurrence of le in imperatives by saying that for these sentences a possible following clause can be either overtly expressed or assumed:

The following clause express the same kind of situation that is found in the apodosis of conditional sentences, i.e. a hypothetical situation that will be realized if the event in the subclause should be realized. In that way, imperatives with le function like the subclauses in conditional sentences. However, there is an interesting fact about the occurrence of le in imperatives that may be worth noting. Several authors (Zhao and Shen (1984), Shi (1990), Yuan (1991) and Lu (1996)) have observed that when le occurs in imperatives, it can be substituted by the resultative complement 出 ‘off; out; away’. It has also been observed that in such cases it is often pronounced lou in the Beijing dialect (Ma (1982), Yuan (1991) and Hu (1995)). In fact, Sybesma (1997) goes as far as to claim that le is a resultative complement which explains why, when le occurs in imperatives and modal contexts, it is often replaceable with a resultative complement, but cannot co-occur with one.
I want to sell that car tomorrow.

Zhang (1996) points out that while the particle *le* usually occurs when it is attached to a main verb, does not occur in negative or modal contexts, when it is attached to the first verb of a complex sentence, it can occur in such contexts:**

(200)
*他没得吃了一碗饭*
Ta mei /dei chi le yi wan fan

He could not go to sleep right after eating.

---

134 Examples (197), (198) and (199) from Sybesma (1997)
"写" xie ‘write’ is an effective verb, not an affective verb like 吃 chi ‘eat’ and 卖 mai ‘sell’. The verb in an imperative construction with le usually has an affective meaning. However, in the subordinate clause of a conditional sentence, 写 xie produces no awkwardness:

(202)

你要是写了这封信，
Ni yaoshi xie le zhei feng xin,
you if write LE this CL letter
我请你吃饭
wo jiu qing ni chifan
I then invite you eat
If you write this letter, I will buy you dinner

Neither does the constraint that verbal le cannot co-occur with a resultative complement apply for subordinate clauses. Even when 写 xie ‘write’ is combined with a resultative complement, producing a three-predicate-cluster with le, the sentence is grammatical:

(203)

你要是写完了这封信，
Ni yaoshi xiewan le zhei feng xin,
you if write-finish LE this CL letter
我请你吃饭
wo jiu qing ni chifan
I then invite you eat
If you finish this letter, I will buy you dinner

When le occurs in irrealis contexts in main clauses its use clearly has restrictions that does not apply when it occurs in irrealis contexts in subordinate clauses. This phenomenon is explainable if we accept that le in imperatives and modal contexts functions as a resultative complement since resultative complements do not co-occur and are not restricted to certain contexts. Except for in these contexts, where le (or lou) is semantically richer in content, it marks simply a boundary.

5.2. Verbal le and the perfective aspect

While the default aspeuctual interpretation of a simple decontextualized sentence with verbal le, devoid of any temporal expressions, may in the majority of cases be perfective, it has been shown in this chapter that contextual information often causes the perfective reading to be outruled in favour of a perfect reading of a situation as anterior to R instead of as included in R. Contrastively, there are certain contextual features that seem to promote a perfective interpretation of the situation and exclude a perfect one. These are the adverbs that Elsness (1991) calls "anchors", they place the situation at a particular

113
point in time. Temporal expressions that denote past time in combination with certain manner and non-manner adverbs or extra-clausal context can produce a focus on the events as they happened, not on the subsequent results. The receiver then interprets the situations as tied to these specific times in the past as the times at (not prior to) which they are supposed to have occurred.

(204)

Wan Lan, yesterday I thought one night you \( \text{le} \) you, knowledge I say not rich, selfconfidence I have no, pessimistic so on all very true, this is also I make effort want conquer DE. Wan Lan, I thought about your message all last night. You said that my knowledge is poor, that I have no selfconfidence, that I am pessimistic…This is all true and I want to do my best to get rid of these [bad habits] (9)

(205)

Tian Ping evening just go LE that woman home (8)

(206)

And that is how Li Ya and Yi Guang got engaged—at her classmate's sister's wedding (8)
Regardless of its position in the sentence, *le* is often associated with temporal meanings. Sentence-final *le* is sometimes claimed to be a modal marker, i.e. capable of expressing modal meanings. These will be discussed in chapter 6.3. Very few authors, however, have mentioned the fact that also in verbal position, *le* can express modality. In this chapter, I will focus on the different ways in which this marker, when it occurs in verbal position, may contribute to the modal reading of a sentence. According to the definition established in this present study\(^{135}\) modality concerns the way the speaker’s attitude towards the information in the sentence is expressed.

\(^{135}\) See chapter 1.4. for a more detailed discussion on the essence of modality.
Verschueren (1999) uses the term *modality* as a cover term for "various kinds of meaning ingredients [that] contribute to the ‘modification’ or ‘colouring’ of the proposition or reference-and-predication structure." (Verschueren 1999, p. 129) In interactive discourse not only are propositions made, we are also able of expressing our feelings and opinions towards these propositions through linguistic means. I propose that the marker *le* in Chinese can be used for this purpose through its core feature BOUNDARY. I have already argued that this core feature can be seen as a part of a larger system of time points and time frames in a temporal structure underlying the different tenses and aspects, where the boundary functions as a divider on a time line, marking an initial or final end point for the situation described in a sentence. However, in terms of modality, the boundary rather functions as a divider marking a contrast between different opinions or attitudes, between reality and mind or between (what is considered to be) norm and deviation from the norm. This use of *le* is non-temporal and concerns the speaker’s subjective view on how the information in a certain utterance is related to the non-linguistic context. One could say that in these cases, *le* marks an attitudinal boundary as opposed to a temporal boundary.

(209)

梁太太道：你的腿太瘦了一点，
Liang taitai dao: ni de tui tai shou le yidian,
Liang mrs say: you DE leg too thin LE a little
可是年轻的孩子总是瘦得多。
keshi nianqing de nühaizi zongshi shou de duo.
But young DE girls always thin DE much
Mrs Liang said: Your legs are a little too skinny, but then young girls are always very slim. (1)

(210)

这凳子倒不错，可惜太小了
Zhei dengzi dao bu cuo, zhi kexi tai xiao le
this bench actually NEG bad just pity too small LE
一些。
yixie a bit
This bench is not too bad, it’s just a pity that it is somewhat too small. (5)

(211)

绫卿立在镜子前面理头发，
Ling Qing li zai jingzi qianmian li toufa,
Ling Qing stand at mirror in front put in order hair
小寒又去抚弄她的耳环道：
Xiao Han you qu funong ta de erhuan dao:
Xiao Han then go fondle she DE earring say
Ling Qing was standing in front of the mirror fixing her hair when Xiao Han once again started playing with her earrings and said: “Take them off and let me try them on.” Ling Qing removed her earrings, and put them on Xiao Han. After taking a good look at her she said, “Not bad – it’s just that they make you look a few years older”. (1)

In all the above sentences—taken from narrative texts—verbal le is used with stative verbs to express excessiveness, i.e. that, in the speakers mind, a boundary has been passed between what is normal or preferred and what is not. This is a function that is often attributed to sentence-final le. Typically, le occurs with the adverb 太 ‘too’ as in (209) and (210). It is, however, not confined to such contexts, see (211).

Another author that has observed this use of verbal le is Huang (1987). She notes that in sentences like (212), (213), (214) and (215) nothing really has happened, no action has taken place. In her view, le marks a boundary between the speaker’s expectations and the actual situation:

(212)

这双鞋子小了一点，我要七号。
Zhe shuang xiezì xiao le yidian, wo yao qi hao.
This pair of shoes is a little bit too small; I want size seven.137

(213)

这儿吵了一点，我爸爸不会喜欢
Zhe ěr chao le yidian, wo baba bu hui xihuan
here noisy LE a little I father NEG will like

It’s a little bit too noisy here; my father won’t like to live here.

136 See chapter 6.3.
137 Examples (212), (213), (214) and (215) from Huang (1987).
(214)

那衬衣红了点儿
Na chenshan hong le yidianr
that shirt red LE a little
That shirt is a little bit too red

(215)

他大了我十四岁
Ta da le wo shishi sui
he old LE I fourteen year
He is older than I by fourteen years

Also Shi (1988) has an opinion on this usage of le. He claims that the fact that verbal le can be used to express excessiveness “argues against the traditional two-LE analysis because in such cases, LE may also occur in the sentential position without any change in either the grammaticality or or (sic!) the meaning of the sentence in question.” (Shi 1988, p. 104). His example is:

(216)

(a) 火儿小了点儿
Huor xiao le yidian
fire small LE a little
The flame was a bit too low

which has the same meaning and is as grammatical as:

(b) 火儿小一点点
Huor xiao yidian le
fire small a little LE
The flame was a bit too low

Here, it should be mentioned that, when dealing with isolated sentences, it is sometimes hard to distinguish between the modal and the temporal use of le. Both sentences above could for example also have the meaning: “The flame has become low(er) now”, i.e. le could contribute with a temporal initial boundary to the state expressed by the stative verb xiao ‘small/low’. However, within a context, according to the principle of relevance, the speaker will interpret the sentence in the way that produces the largest cognitive effects and demands the smallest amount of effort. The most relevant interpretation will thus be chosen and the sentence will normally not appear ambiguous.

138 Example (216) from Shi (1988).
All this shows, again, that a labelling of *le* as being solely a perfective marker is incorrect. Huang (1987) illustrates this with the following example:

(217)

```
李司 高 了 三 寸
Lisi gao le san cun
Lisi tall LE three inch
Lisi has grown three inches taller
Lisi is three inches taller than average
Lisi is three inches too tall
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“In the last two glosses, Lisi’s tallness exceeds some limit, for instance, the average or some arbitrarily determined height, and with respect to that BOUNDARY, Lisi is tall by three inches. As we can see, in these two senses, nothing has happened – Lisi may have been introduced as a candidate for a stuntman; he is inspected and dismissed, and when it is inquired why Lisi was not accepted, the response is (18) [(217)] … Here, -le can only index and make discrete the opposition between ‘acceptably tall’ and ‘too tall’ and can not be interpreted as a Perfective Aspect marker, although traditionally it has been treated so.” (Huang 1987, p. 189).

5.4. Concluding remarks

When determining the interpretation of a main clause with verbal *le* we seem to be dealing with, basically, four issues:

1) The syntactic position of *le* (i.e. verbal or sentential scope of *le*) to some extent seems to influence the temporal reading of the sentence where it occurs. The default reading of a verbal *le* sentence, for example, tends to be perfective. A possible explanation is that verbal *le*, by occurring inside the VP, signals termination or initiation of the situation described by the verb in particular and not the whole verb phrase or sentence (which could have both generic and non-generic interpretations), promoting a specificity reading of the event. The perfective is typically associated with specificity. On the other hand, the perfect category is not incompatible with specificity, which explains why verbal *le* may produce perfect readings of situations described as well.

2) The nature of the verb (dynamic verb or stative verb/ abstract verb / resultant state verb) and in particular choice of grammatical construction (existential) may influence the temporal reading of verbal *le* sentences. It also affects what phase of the situation that is focused on. In a perfect tense reading of a sentence with *le*, if the situation is an event, the event described explicitly by the verb is understood as having happened before R. If the situation is a state or habitual activity it is interpreted as being the result of an implicit event having occurred before R. With the exception of habitual (generic) activities this

139 See chapter 4.1.
holds for both verbal *le* and sentence-final *le*. 'you have' in the sentences below is a stative verb.

(218)

小 艾 恐怕 已经 有 了 身孕
Xiao Ai kongpa yijing you *le* shenyun
Xiao Ai probably already have LE pregnancy
Xiao Ai may be already pregnant (may have already become pregnant) (1)

(219)

小 艾 恐怕 已经 有 身孕 了
Xiao Ai kongpa yijing you shenyun *le*
Xiao Ai probably already have pregnancy LE
Xiao Ai may be already pregnant (may have already become pregnant)

3) The **nature of the direct object NP** (quantified or non-quantified, or more specifically, whether it can represent a path or not), affects the relation between the situation described and the time line, contributing to the expression of different perfect types.

4) **Intra-sentential and extra-sentential contextual features such as temporal expressions** affect the relation between E, R and S and thus temporal reading. They do this by implying inclusiveness of E in R (which means focus on an event as it happened—perfective aspect), simultaneity of R with S (which means focus on the speech situation—present perfect tense) or anteriority of E to R (which means focus on the result of an anterior event—perfect tense). They also produce different perfect types through their influence on the position of the three times with relation to a wider temporal frame ρ. For example:

(220)

人家 已经 收 了 罪
Renjia yijing shou *le* zui
he already recieve LE punishment
He has already got his punishment (5)

In (220) the adverb *yijing* ‘already’ defines the relation between E and R and thus determines the temporal interpretation of the VP + *le* by specifying E as anterior to R resulting in a perfect reading. It also contributes to perfect type (perfect of result), since it implies that E is incorporated into a temporal interval (ρ) that leads up to R.

The occurrence of verbal *le* in the **subordinate** clause of a complex sentence has been used as an argument for a view of *le* as an **aspect** and not a **tense** marker, since these clauses can describe future situations. At the same time this occurrence seems to pose a
problem for the advocators of the same view. The event described in the subordinate clause of a sentence describing a sequence of events is not anchored to a specific reference time, which is the criteria for the perfective aspect, according to a time-relational definition. In this chapter I have proposed that the temporal boundary signalled by verbal le in a subclause can simultaneously form the initial boundary for a reference time interval, the R of the event in the main clause, so that the main event will be interpreted as realized **after** the subclause event is realized. The time line on which this boundary is positioned can be factual or non-factual, which explains why verbal le can occur in the protasis of conditional sentences as well. Constraints on le when it occurs in other future or irrealis contexts tell us that verbal le in its semantically empty form only occurs in future contexts in main clauses if the future situation is interpreted as anterior to a reference time (S-E-R). Otherwise, for example in imperative and negative contexts, it is subjected to certain constraints and seems to be a semantically more pregnant form of the former resultative complement le, which can be pronounced lou in the Beijing dialect.
6. Sentence-final *le*

Li, Thompson and Thompson (1982) have claimed that the sentence-final particle *le* has the ability to mark perfect. "[T]he essence of the perfect is its function of relating events/states to a reference time, either to the time of the narrative or to the time of the speech act." (Li, Thompson and Thompson 1982, p. 19) "*[L]* claims that a state of affairs has special current relevance to some particular Reference Time. The Mandarin *le*, then, can be easily seen as an exponent of the perfect aspect, the basic discourse function of the perfect being, as has been said, to relate some state of affairs to the "current" time, i.e., in the unmarked case, the conversational setting in which the speaker and hearer are participating as interlocutors." (Li, Thompson and Thompson 1982, p. 22)

This, unfortunately, also gives way for individual interpretations of what current relevance actually is, since it is a very vague concept. What information can in fact not be looked upon as "currently relevant" that is uttered in a dialogue?

In 2.2.5. it was noted that Li, Thompson and Thompson define the perfect differently than most others. First, anteriority is not looked upon as a basic feature of the perfect and second, the feature “current relevance” is not specifically associated with temporal information in the utterance but the whole statement per se can be viewed as currently relevant. "*[L]* is required to tell the hearer that the proposition is relevant to the speech situation by being “newsworthy” in and of itself; it brings a statement into the current situation by tagging it as the speaker’s total contribution as of that moment”. (Li, Thompson and Thompson 1982:37)

(221)

(To friend who has asked why the speaker didn’t choose a certain university)

(a) 因为　那里的学费太贵

Yinwei nali xuefei tai gui
because there tuition fee too expensive
The tuition is too high there

(b) 学费太贵了

Xuefei tai gui *le*
tuition too high LE

(I tell you) the tuition is (really) too high!

---

Example (221) from Li and Thompson (1982)
Li, Thompson and Thompson use above sentences to illustrate that le can serve to signal that some information is all that the speaker has to contribute at the moment, when its current relevance is not obvious for the speech situation.

Li, Thompson and Thompson’s rather unorthodox definition of the perfect is thus very broad and does not conform to a view on the perfect as a temporal category such as the one taken in this present work.

6.1. Non-perfect interpretations of sentence-final le

I propose that the function of le, also when it occurs in sentence-final position, is to mark a boundary. Its presence may contribute not only to temporal interpretations of a described situation on the verb, sentence, and discourse level but it can also act as a marker of modality.

This does not have to mean that the marker le in itself has several functions or meanings. On the contrary, the semantic feature BOUNDARY can be present in all uses of sentence-final le, as an invariant core feature of this morpheme. In order to understand the reasons for different interpretations of sentences where le occurs we have to look at its linguistic and non-linguistic environment.

The fact that sentence-final le can contribute to a perfective interpretation of the event has also been observed by Shi (1991). According to the framework that he proposes, sentence-final le has the same function as verbal le and perfectivity is the result of bounded situations marked by le as relatively anterior, while inchoativity is the result of unbounded situations marked as relatively anterior. The natural outcome of that suggestion would be that bounded situations marked by le get perfective interpretations, regardless of syntactic position of le. He uses the English Simple Past form in his translation of example (222):

(222)  
他去 纽约 了  
Ta  qu  Niuyue  le  
he  go  New York  LE  
He went to New York

The problem with Shi’s article is that he does not differ between the perfect tense and the perfective aspect. He seems to regard the perfect as equivalent to the perfective but with a "current relevance-touch". According to him, current relevance is not a feature of sentence final le as Li and Thompson claim, but is provided by the context: "[T]he "current relevance"…meaning of (a) [(222)] above, i.e. "He is not here anymore" is not the core meaning of the sentential LE but is derivable from the context….The current relevance meaning "He is not here anymore” is only inferred from the truth of "He went to New York"." (Shi 1991, p. 111) While I agree with Shi that this meaning can be contextually derived (if R is perceived of as simultaneous with S), I do not think that it
can be inferred from the truth of sentence (222). Rather, the presence of certain features in the context may lead to **either** a perfective (He went to New York, at a specific time in the past) or a perfect (He has gone to New York, he went there some (unspecified) time in the past, and he is there now) interpretation. What is also unclear in Shi’s example is if his English translation is intended to be past tense or not. His use of the English Simple Past does not convey the sense of current relevance that the Present Perfect (‘He has gone to New York’) would. Only the Present Perfect form in English would carry the implication that “he” is not here anymore. Shi’s claim that the implication “he is not here anymore” is inferred from the truth of the perfective interpretation of (222) conveyed by the English sentence ‘He went to New York’ is thus not correct.

Pollard and T’ung (1982) claim that the particular use of the sentence-final particle illustrated in (223), (224) and (225) below can be called “accomplished fact”.

(223)

早晨 看 报 了 吗 ？
Zaochen kan bao le ma?
morning read paper LE MA?
Did you read the paper this morning? 142

(224)

昨天 你们 在 城 里 头 买 东 西 了 没 有 ？
Zuotian nimen zai cheng litou mai dongxi le meiyou?
yesterday you at town inside buy thing LE NEG?
Did you buy anything yesterday [when you were] in town?

(225)

你 在 那 儿 碰 见 谁 了 ？
Ni zai nar pengjian shei le?
you at there bump-see who LE?
碰 见 老 王 了
Pengjian Lao Wang le
bump-see Lao Wang LE
Who did you bump into there? I bumped into Lao Wang

141 For example, the speaker may intend to tell the hearer what places “he” went to on his trip around the world. In no way does this statement then have to imply that “he” is still in New York. He may very well be back again, or somewhere else. His whereabouts at the moment would in such a context be unimportant in relation to this particular event. Therefore, that meaning cannot be part of the truth of the information in the sentence.

142 Examples (223), (224) and (225) from Pollard & T’ung (1982).
“The aspectual significance of the sentence-particle le is that the event so marked took place before a certain point. This point might be the moment of speaking, but equally there might be no connection to the present; one is simply facing or presenting a given situation, something that is over and done with, whether or not there might be implications for the present. (it is often difficult to distinguish this ‘past event’ le from the ‘new situation’ le, as both acknowledges some change in the picture of things.). While the verb-suffix le focuses on the act as it is realized, the sentence particle le establishes the fact that something has taken place. It follows that the context will in the latter case tend to be less specific, and the object the verb takes is often a generalized one.” (Pollard & T’ung 1982, p. 142-143)

Is Pollard and Tung’s description of these sentences correct, that they establish the fact that ”something has taken place”? If that was the crucial function of these sentences, why would the speaker feel the need to add an adverb specifying the time when the event occurred, using expressions such as 早晨 zaochen ‘this morning’, 昨天 zuotian ‘yesterday’ and 在那儿 zai nar ‘there’? Also, as Pollard and Tung themselves acknowledge, the situation described may have no implications for the present. Rather it would be closer to the truth to say that these sentences establish that something did take place at and within a particular time, which means that they are examples of the expression of perfective aspect.

6.1.1. The discourse use of le and the perfective aspect: opening a discussion

Claudia Ross (1995) argues against Shi’s (1991) unified treatment of the two markers. She claims that those sentences in which sentence-final le seems to be identical with verbal le have other properties that cannot be attributed to the ones with verbal le. In a sentence like (226) below, the event is not only interpreted as past but may also contain the sense that the graduation is ”new information”, something that can be associated with inchoativity. How can this be explained, she asks, if one presumes that sentence-final le in sentences such as this is a perfective morpheme?

(226)

他 去年 毕业 了
ta qunian biye le
He graduated last year

143 According to Ross, the past tense interpretation of (226) is Shi’s basis for concluding that the sentence-final le is the perfective morpheme here.

144 The temporal expression 去年 qunian ‘last year’ does not exclude a perfect interpretation. It could represent a reference time in the past that is posterior, and not simultaneous, to the event (”Last year she had (already) graduated”). However, 已经 yijing ‘already’ seems to be used in many of these cases to avoid ambiguity.
On the other hand if it is accepted that *le* neither in postverbal position nor in postsentential position is a perfective morpheme, the contribution of *le* to the sentence would be easier explained. Perfectivity is a temporal feature and nothing prevents it from occurring with for example modal or discourse-related features, informing the receiver that the statement opens a discussion on a new subject, for example. Perfectivity, or E incl in R, is, however, naturally not compatible with the expression of anteriority of E to R, since it represents another set of temporal relations.

Perfective aspect has been assumed to stand in a close relation with specific direct object NPs, in particular NPs that constitute a path, i.e. a linearly ordered set of temporal entities.

(227)

<table>
<thead>
<tr>
<th>He</th>
<th>喝</th>
<th>咖啡</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ta he</td>
<td>kafei</td>
<td>le</td>
</tr>
<tr>
<td>he</td>
<td>drink</td>
<td>coffee</td>
</tr>
</tbody>
</table>

- a) He has/had started to drink coffee (used to not drink it before)
- b) He is/was drinking coffee now (ongoing activity)
- c) He drank coffee
- d) He has/had drunk coffee

(228)

<table>
<thead>
<tr>
<th>他</th>
<th>喝</th>
<th>咖啡</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ta he</td>
<td>le</td>
<td>kafei</td>
</tr>
<tr>
<td>he</td>
<td>drink</td>
<td>LE coffee</td>
</tr>
</tbody>
</table>

- a) He drank the coffee
- b) He has drunk the coffee

Perfectivity demands that the situation can form a "blob", an isolated whole. How can a verbphrase describing an activity form an isolated unity? An activity is supposed to be ongoing without a natural beginning or end. Still, of the three possible activity-translations of the verbphrase in (227), the last two describe finished, and not ongoing, activities. I have already proposed that the activity situation type contains a potential final boundary that can be emphasized by the presence of *le*. Like the similar verbal *le*-sentence (228), (227) can be anchored to a specific reference time (‘He drank coffee’) but it still differs from (228). (227) can be used to raise an issue that has not been mentioned before, to open a discussion, for example. While a sentence like (228) can be used to describe an isolated situation among others, moving the time forward in the story, (227) needs no background or context in order to be accepted. It signals, to use Li and Thompson’s term, that the information in the utterance is “newsworthy” in itself. This discourse-related use of *le* seems to be constrained to the sentence-final occurrence of *le*.

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145 A sentence with verbal *le* containing an unspecified object, for example, is by some speakers regarded as ungrammatical in the absence of other perfectivizing elements in the context.

146 See 4.1.
i.e. it is sensitive to the syntactic position and scope of *le*, and is probably identical with the use of *le* that Li and Thompson (1981) call "closing a statement". 147

The perfect construction is often used as a backgrounding device or to present reasons and causes of later events, states or properties. This is what produces current relevance. The sentence is relevant for the current situation because the situation it describes is relevant for the current situation. However, sentences like (226) and (227) above with sentence-final *le* show, that also in contexts where sentence-final *le* does not contribute to a perfect interpretation it functions to present a new topic. In a way these sentences are relevant for the speech situation. It seems as if it is the mere presence of *le* that produce this effect.

Ran (2000), who examines Chinese discourse markers (sometimes called modal particles) in his thesis *The pragmatics of discourse markers in conversation*, defines them as “linguistic elements including words and expressions used with a pragmatic meaning on a parenthetically linguistic level of discourse in order to signal for the hearer how the speaker intends the present contribution or utterance to be related to the preceding and/or following parts of discourse.” (Ran 2000, p.50) Included among such elements are phrases such as 不过 *bu guo* ‘but; however’, 依我看 *yi wo kan* ‘according to me’, 由此可见 *you ci ke jian* ‘from this can be seen’, etc. I propose that sentence-final *le*, apart from its temporal use, has two discourse-related uses based on its boundary-function. One of them is to mark a statement as an opening cue. It is important to note that this is not an example of current relevance. The difference is that when sentence-final *le* occurs in non-perfect sentences and has this discourse function, it is not the event but the information in the utterance that is relevant for the present situation.

(229)

1982 年 8 月 4 日 放 暑假 了
1982 nian 8 yue 4 ri fang shujia le
1982 year 8 month 4 day release summer holiday LE

August 4 1982 summer-holidays started.

(230)

不幸 的 是， 话题 立即 又 跑到 茱德
Buxing de shi, huati liji you paodao Zhude
unfortunate DE be topic immediately again run-arrive Zhude
的 美国 朋友 没有 回 她 的 电话
de America friend NEG return she DE call

这件事 上去 了。 谈及 此 事，

147 Although I prefer a broader description of its use, for example "closing a statement and/or opening up a discussion".

148 For example (227) can, as is evident from the third translation ‘He drank coffee’, be used to describe an event in the past that is not related to a posterior situation.
Unfortunately things immediately swung back to the fact that Jude’s American hadn’t returned her call, at which Magda immediately undid all her good work. ‘Honestly, Jude!’ Said Magda. ‘I can’t understand…’

The second discourse-related use of le will be explained in detail below.

6.1.2. The discourse use of le and the perfective aspect: culmination of a progress

(231) 
I today morning eat fritter le 
 Wo jintian zaochen chi youtiao le! 
I had a youtiao this morning!149

Li and Thompson claim that above sentence is a non-perfective sentence, as opposed to sentences that also contain verbal le such as:

(232) 
I’ve memorized half of the Three Hundred Tang Poems now (so far)

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149 Examples (231) and (232) from Li and Thompson (1981).
(232), according to them, is simultaneously a perfective and perfect sentence. However, both (231) and (232), they say, are examples of the perfect type Progress so far. They equal perfectivity with the presence of verbal le and ignore the incompatibility of the temporal structure of the perfective aspect with the temporal structure of the perfect tense. That explains how they can perceive of (232) as simultaneously perfective and perfect.150 Li and Thompson argue that the sentence-final le in (231) signals to the listener that the speaker’s experience of eating youtiao is a step in his/her pursuit of having as many experiences as possible while in China—hence a currently relevant state of affairs. However, the event itself is still described as isolated from the present moment by the speaker’s use of the past time expression 今天早晨 jintian zaochen ‘this morning’.151 The combination of the presence of le, the situational context and temporal expressions denoting past time produce this perfective reading. But what about the implication of (231) that the event is a step in a progress? Le can simultaneously fill more than one function in the same sentence. I propose that le also can mark a temporal boundary that is not exclusively tied to the situation described in the sentence but in a larger discourse. Another example of this boundary function, similar to (231), is

(233)

我 昨天 到 张 家 吃饭 了
Wo zuotian dao Zhang jia chifan le
I yesterday go to Zhang home eat LE
(Well), I (finally) went yesterday to have dinner at the Zhangs152

Li and Thompson (1981) claim that le can signal "progress so far" in a larger venture, project or ongoing concern, which is another way in which an event can be described as currently relevant. The temporal structure expressed by both (231) and (233) is E incl in R and R anterior to S. The nature of the speech situation and the temporal expressions in the sentences produce such a structure. I propose that le in these utterances marks the peak in a progress, or the culmination of a larger project. The events in these sentences are not currently relevant. The speaker looks upon the event as isolated from the present and included in a past reference time, but wants to highlight it, as the end of a progress described or implied in the discourse. The boundary-function of le here works both on the sentence level (perfective aspect) and on the discourse-level (peak in a larger project). Below are some further examples, taken from narrative texts, illustrating this double function of le:

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150 According to a time-relational definition of perfect, (231) is a perfective sentence and (232) is a perfect sentence.

151 Other readings are possible. For example, if it is still morning when the utterance is made (which would exclude the perfective reading), the speaker could use le to signal a modal distinction such as “contrast to expectations”: ‘Today I will eat youtiao for breakfast (as opposed to what you expected)’.

152 Example (233) from Li and Thompson (1981)
The event in the *le*-clause in (234) illustrates the culmination of a chain of events that happened within a specific past reference time. It is thus a perfective sentence. What differentiates this sentence from perfective sentences without sentence-final *le* is that it constitutes a culmination. Culmination can also be described as the reaching of a peak or a boundary. Chappell (1988) has described this use of *le* as the marking of an “episodic boundary”. “Its main function is to bound segments or chunks of discourse within the narrative which corresponds to the end of a particular scene”. (Chappell 1988:123)

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Li and Thompson (1981) calls this use “progress so far”, and defines it as a way in which a sentence can be currently relevant. However, the term “progress so far” (or “persistent situation”, which is used in the present work) is more applicable on sentences with a quantified object (that represents a string of temporal entities) in a co(n)text that produces an interpretation of the event(s) as prior to R, for example (232). Such sentences are actual perfect sentences. (231), (233) and (234), on the other hand, are perfective sentences. That means that aspectually, they describe an isolated, perfective situation but sentence-final *le* (if the context implies a preceding sequence of events, for example) simultaneously signals to the listener that that event constitutes the peak in a larger project.
My legs ached, my mouth was dry and my head was dizzy, but I had no choice but to walk back to the fountain under the scorching sun. In the end, I finally reached the main building of Xunmengyuan. It was a two-storey building, a perfect mixture of Chinese and Western style, with a flight of stairs leading up to the door. When I had climbed the stairs, I found myself facing a wide-open door that led into a big square parlour. The floor was covered with exquisitely coloured bricks and in the windows red velvet curtains were hanging, so long that they reached the floor. From the ceiling hang cut-glass chandeliers of the kind found in European royal palaces. (2)

The italicized sentence in (235) is clearly describing an event as perfective (E incl in R). Also this sentence describes the culmination of a progress. It marks the break between one situation and a new situation, which can be seen clearly from the way the story switches from a dynamic description (walking, reaching) to a static one (This was a two-storey building…). This is compatible with the semantic feature BOUNDARY.

(236)
After a while, he suddenly turned around, fixed his eyes on the maid and spoke—people simply could not believe that these were the words of a five or six year old child. (3)

女人 想了 想， 终是 响应 他 了，
woman think LE think eventually be accept he LE

夜 逃走。
night escape-go

The woman thought for a while and eventually accepted. She raised the cup and took a sip. She wanted to escape tonight. (4)

发表了 Fa hua le and 响应他了 xiangying ta le both describe perfective events in the context in which they occur in (236) and (237). The events they represent are the culminating events in progresses, something that is also verified by the presence of the adverb 终 zhong ‘eventually’ in the latter example.

(238)

伤处 了， 是的， 又 青 又 紫
de shangchu le, shi de, you qing you zi

又 红 又 肿， 是 好 大 的 一 块。
you hong you zhong, shi hao da de yi kuai.
Without thinking, she arranged her clothes and then picked up a comb and combed through her messy hair. *She caught sight of the bump on her forehead.* Yes. It was green, lilac, red and swollen. A real big one. (1)

The speaker, by using sentence-final *le*, highlights the event in the italicized phrase in (238) as a peak in a sequence of events.

(239)

The car stopped in front of the airport entrance. He jumped out and ran into the airport. How many people there were! Staggering impatiently he hurriedly forced himself forward towards the departure gate and started to scream in frenzy: ‘Xiao Chan! Xiao Chan!’ *When he had reached the departure gate he immediately spotted her!* (2)

(240)

*When he had reached the departure gate he immediately spotted her!* (2)
In the morning, the sunlight shone in through the opening between the curtains, slowly wandered around the room, fell on Wan Lu’s lips, then on her cheeks and finally shone onto her lowered eyelashes (1).

Also in (238), (239), (240) and (241) le occurs in the phrase describing the final event in a sequence of events leading up to an outcome or goal. Interestingly, Chu and Chang (1987) have noted that also verbal le can be used with this purpose.154 If their theory is

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154 “In terms of discourse, the verbal suffix –le is basically for marking the "peak" in the event line.” (Chu and Chang 1987, p. 312) Below is one of their examples:

"(22)
a. Yushi, Mingdi bian paiqian Caiyin he Qinjing liangge guanyuan dao Ø Yindu qu, qiuqu fojing. Tamen zoudao Ø xianzai Afuhan de yige difang, dedao-le fojing he foxiang. Thereupon, Ming-emperor then send Caiyin and Qinjing two-M official to India go search Buddhist sutra they arrive-at now Afghanistan DE one-M place acquire-LE Buddhist-sutra and Buddhist-statue
correct that would not be without importance for the discussion on a unified treatment of all syntactical occurrences of le. But even disregarding Chu and Chang’s analysis of verbal le, an interesting fact about this ”peak-meaning” of sentences with sentential le is that there is a strong resemblance between culmination and termination, which has been discussed as a feature connected to the use of verbal le. When verbal le is used, it is usually the termination of a single event that is focused on, while in the sentences above with sentence-final le it is rather the termination of a progress consisting of several events. This phenomenon might have led to the conclusion by Wu (1999) that sentence-final le is a discourse marker. However, this term is not entirely accurate. Sentence-final le can be used to signal perfect tense and it seems as if it is sometimes used merely to mark perfective aspect, without the discourse-functions that have been discussed here.155 Sentence-final le thus contributes to temporal modifications on the sentence level but also on the discourse level, sometimes simultaneously. In addition, sentence-final le has a range of modal uses related to its core feature boundary.

6.2. Sentence-final le and the perfect tense

In 6.1. I showed that Li, Thompson and Thompson’s (1982) definition of le as a perfect marker does not cover the cases where sentence-final le in fact occurs in perfective environments, as it then cannot contribute to a perfect interpretation of the utterance. However, it is true that sentence-final le is commonly used to express perfect tense as it is defined in the present work, i.e. a temporal structure in which the time of the situation is placed anterior to a reference time (E-R) that is either prior to (E-R-S), simultaneous with (E-R,S) or posterior to (S-E-R) the speech time.

(242)  
我们走得很累了  
Women zou de hen lei le (describing an afternoon of walking)  
we walk DE very tired LE  
We had walked so much that we’d gotten very tired156

(243)  
到中山路了  
Dao Zhongshan lu le  
arrive Zhongshan road LE  
Here we are at Zhongshan road (we have arrived at Zhongshan road)

155 See for example (223), (224) and (225). Whether or not le actually does in itself contribute to perfectivity, or always carry modal or discourse-related ”overtones” (See also Claudia Ross’ argument earlier in this chapter), can probably only be determined through a survey of native speakers’ perception of simple sentences with sentence-final le.

156 Example (242) (243) and (244) from Li and Thompson (1981).
Li and Thompson argue that (245) is a possible utterance in a situation where for example two people are discussing whether or not Ms. Liao made a long distance call two days ago, i.e., the reference time (R) is in the past. Then, they say, the state of her having gone shopping would be relevant to the past reference time signalled by ‘that day’. They translate this sentence with the Simple Past in English (‘That day she went out shopping’). However, the Simple Past does not convey the sense of relevance of one situation for another situation that Li and Thompson talk about, thus I have taken the liberty to use the Past Perfect in the translation instead. It should be noted, however, that (245) could be uttered in another situation in which it does not carry this sense of current relevance. Say for example that we are discussing whether Ms. Liao went to see her aunt or not on a particular day. Someone might object:

Here it would be correct to use the Simple Past form in the English translation, because the event in question will probably be interpreted as a past perfective event. That means that the listener will understand the situation described (Ms. Liao going out shopping) as holding at a specific reference time R prior to S. As can be seen, the nature of the speech situation largely determines the interpretation of the sentence.
Considering the nature of the temporal expression in sentence (247) (‘last Sunday’ denotes a specific past time) a perfective interpretation is perhaps the most probable one. But if the setting where the sentence was uttered was such that the speaker had been asked about her whereabouts last Sunday, and she wanted to explain that at that day she was away on a fishing trip (supposedly spanning over at least two days and starting before last Sunday), then the same sentence could be used and get a perfect interpretation, as illustrated by the English translation ‘Last Sunday I had gone fishing in the Summer Palace’. However, it is probable that the adverb yijing ‘already’ would be used if that was the case, to avoid misunderstandings:

(248)

Last Sunday I had already gone fishing at the Summer Palace (so I was away then)

6.3. Modality

Zhang (1996) says about the sentence-final particle that it: “clearly has modality meanings that cannot be reduced to such temporal notions of ’change of state’ or ’perfect’”. (Zhang 1996, p. 437) I claimed in the chapter on verbal le that le can have modal uses. However, le can contribute with more modal “nuances” when it occurs in sentence-final position than when it occurs in verbal position. On the following pages, we will take a look at the modal meanings that sentence-final le can express.

In chapter 4, I proposed that the modal meanings expressed by le stem from its core meaning BOUNDARY and can be subsumed under the notion of contrast. For verbal le, this contrast seems basically to concern the distinction between on one hand the norm and on the other the deviation from the norm. This use can be found also with sentence-final

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[160] There is another possible setting where this sentence could have a perfect interpretation, namely the same kind of setting as that intended for sentence (245): if the speaker refers to an (implicit) specific reference time during that day, which means shang ge xingqitian ‘last Sunday’ does not function as the actual reference time. Then the event can be interpreted as anterior to a certain reference time during that Sunday, and not anterior to the day itself. In such a context the utterance would not imply that the speaker went away before last Sunday, she could have gone on the same day. This interpretation, demands a context where both the speaker and the hearer have agreed upon a specific reference time last Sunday, for example when the speaker was supposed to have made a phone call, but claims she didn't: ‘Last Sunday (on the time you refer to) I had gone on a fishing trip’.
le and will be discussed later. Another type of contrast is found in those sentences with sentence-final le that implicate *correction of a wrong assumption*\(^{161}\) or contrary to someone’s expectations.

(249)

(\text{the dog Scamp calls out to his friend, Quacky the duck, who is flying past him supposedly migrating south})

\begin{center}
\begin{tabular}{p{15cm}}
咳，呱呱！你往北方去了！
Hai, Guagua! Ni wang beifang qu le!
\end{tabular}
\end{center}

\begin{center}
\begin{tabular}{p{15cm}}
hey Quacky you towards north direction go LE
Hey, Quacky! You're going north (and not south as you obviously are assuming)\(^{162}\)
\end{tabular}
\end{center}

(250)

\begin{center}
\begin{tabular}{p{15cm}}
你一来我就认出你了，可
Ni yi lai wo jiu renchu ni le, ke
\end{tabular}
\end{center}

\begin{center}
\begin{tabular}{p{15cm}}
you one came I then recognize-out you LE but
you这么久都没认出我来
ni zheme jiu dou mei renchu wo lai.
\end{tabular}
\end{center}

\begin{center}
\begin{tabular}{p{15cm}}
you this long all NEG recognize-out I come
As soon as you came I recognized you, but you didn’t recognize me until now (5)
\end{tabular}
\end{center}

In the present work it is proposed that the function of le, whatever its position, is to signal a boundary. This boundary can be related to the information provided by the VP, sentence or discourse or to non-linguistic information related to the sentence. (249) and (250), are examples of the latter. Here the speaker, by inserting le, tells the listener that she has information about this utterance that is not overtly expressed by its lexical content. A boundary can represent contrast. In (250) for example, one situation—the speaker at an earlier point recognizing the listener—is being contrasted with another—the listener, on the other hand, not recognizing the speaker. Since this extra information is very subjective, the different interpretations of the speaker’s reason for using le in a sentence such as the one above may be multiple. This does not mean that these sentences are ambiguous. Wu (1999) states: "When isolated, a le sentence may be ambiguous in many ways, but there is no confusion in context—the signal is contextually efficient". (Wu 1999 [online]) In (250) the speaker actually reveals the nature of the contrasting situation in a following phrase 可你这么久都没认出我来 ke ni zheme jiu dou mei renchu wo lai ‘but you didn’t recognize me until now’. If it hadn’t been for this clarification the contrast could have been of another kind, for example, if someone had (falsely) insinuated that the speaker had not recognized him, the speaker could say, indignantly: 你一来我就认出你了！Ni yi lai wo jiu renchu ni le! ‘As opposed to

\(^{161}\) This is the term that Li and Thomson (1981) use to explain this use of le.

\(^{162}\) Example (249) from Li and Thompson (1981).
what you claim) I recognized you the minute you came!’ In that context, *le* would rather signal the contrast between what is falsely assumed and what is correct.

Both (251) and (252) illustrate this function of verbal *le*:

(251)

虽然 由于 习惯 使然，党总是说
Suiran 由于 xiguan shiran，dang zongshi shuo
even though because of cause this party always say
原子弹 是 它 发明 的，实际上
yuanzidan shi ta faming de，shiji shang
atomic bomb be it invent DE reality on
原子弹 早在 1940 年 就问世 了，
yuanzidan zao zai 1940 nian jiu wenshi le，
atomic bomb early at 1940 year just appear LE
十年后 就 首次 大规模 使用
shi nian hou jiu shouci da guimo shiyong, ten year after just first time large scale use
Although the party, according to its habit, claims the invention for itself, *atomic bombs first appeared as early as the nineteen-forties*, and were first used on a large scale about ten years later. (15)

In (251) sentence-final *le* could be used to enforce the contrast between what the party claims and what is the truth. The presence of both the temporal expression 在 1940 年 *zai 1940 nian* ‘in 1940’ and the adverb 就 jiu ‘then’ will affect the temporal interpretation of the situation described by the VP 问世 wen shi ‘appear’ by focusing on the time when the situation occurred. The marker *le* in the italicized phrase is either part of the temporal content of the VP (i.e. marks a temporal boundary for the situation 问世 wen shi), thus contributing to the perfective reading, or/and adds “extra information” that concerns the speaker’s attitude towards the information in the sentence, indicating that it corrects a wrong assumption.

(252)

“ 你 不 是 现在 才 迷路， 你 是 老
"Ni bu shi xianzai cai milu, ni shi lao
you NEG be now only loose way you be old
早就 迷路 了， 你 这个 婚姻，
zao jiu milu le, ni zhe ge hunyin,
early then loose way LE you this CL marriage
根本 就 走 在 岐路 上！我 现在
genben jiu zou zai qilu shang! Wo xianzai
simply just walk at forked road on I now

139
This is not the first time you’ve lost your way, you got lost a long time ago. This marriage of yours is like taking the wrong fork on a road! I want to lead you onto the right track! (1)

Another example, taken from Li and Thompson, illustrates their category “correcting a wrong assumption”:

(253)

你 应该 看，他 书 写 得 好
Ni yinggai kan, ta shu xie de hao
you should look he book write DE good

You should take a look; he writes well

I 看 过 他 好 几 本 书 了
Wo kan guo ta hao ji ben shu le
read GUO he good some CL book LE

(But) I have read quite a few of his books (i.e., you're wrong to think I haven't)

In the dialogue in (253) the verbal marker guo serves to mark experiential perfect, therefore, le is actually not needed for temporal modification. Rather it seems to contribute with a modal modification. In (253) le marks the boundary between what someone assumes to be the case and what actually is the case.

(254)

就算 他 本来 是 个 男人 但 在 毒药里 泡 了 几 十 年 也 早 就 变成 个 女 人 了
Jiu suan ta benlai shi ge nanren dan zai duyao li pao le ji shi nian ye zao jiu biancheng ge nuren le
just regard as he originally be CL man but at poison in soak LE several ten year also early then become CL woman LE

It’s as if he were originally a man, but after being soaked in poison for several years, he became transformed into a woman early on. (6)

While in actual usage, a sentence is seldom tense- aspect- or modality-wise ambiguous, in some cases the modal meaning of le co-occurs with a temporal or aspectual such. In (254), it is possible that le simply marks a temporal boundary (in this particular case le cannot contribute to a perfect interpretation because the 就 jiu-phrase where it occurs describes a subsequent event, therefore the sentence has to be interpreted as perfective). It could also have a modal use, emphasizing a contrast, “his” unexpected change from man
to woman. Or it may fill both those functions in this particular context. The more context is provided, the easier it is to see what exact use le fills, but it seems probable that in many cases the boundary expressed by sentence-final le has a dual function, unless there is another marker that contributes to the temporal interpretation of the utterance, as in (253). For example, it can be at the same time temporal and modal or function as a temporal marker on both the sentence level and on the discourse level at the same time.

A second modal use of le has sometimes been called the “excessive” use of le in the literature (Shi 1988). When the speaker wants to emphasize that a certain state of affairs has passed the line for what is expected, preferred or considered the norm, le can be used. This use was treated in chapter 5.3. since it is also found with verbal le. Like verbal le, sentence-final le usually performs this function when it occurs with stative verbs but also when it occurs with VPs or sentences describing non-dynamic situations.

(255)

他太胖了
Ta tai pang le
He is too fat

(256)

学费太贵了
Xuefei tai gui le
tuition fee too expensive LE
The tuition fee is really too high163

As Shi (1988) mentions, it might be argued that the excessive meaning in fact lies in adverbs such as 太 tai ‘too’. But since it is present also in sentences without such adverbs, Shi concludes that the excessive meaning is inherent to le.

(257)

汤太咸了
Tang xian le
soup salty LE
The soup is too salty164

I want to stress, again, the importance of the contextual influence on the reading of a le sentence. As Shi points out, (257) can for example also have a strictly temporal reading: ‘The soup is salty now / The soup has become salty’. Sentence-final le can carry a modal implication wherever it occurs, and as mentioned its temporal contributions sometimes co-occur with its modal contributions.

163 Example (256) from Li and Thompson (1981).
164 Example (257) from Shi (1988).
(258)

他胖了
Ta pang le
he fat LE

a) He has become fat (temporal)
b) He is too fat (modal)
c) He has become too fat. (temporal and modal)

This is particularly obvious in the common cases where sentence-final le occurs in exclamations expressing that the speaker is unhappy with a new situation. In such utterances it is difficult to distinguish whether in fact le contributes to the temporal reading (by marking a boundary on a time line) or a modal reading (by marking a boundary between what is accepted by the speaker and what is not) or perhaps to both:

(259)

我烦了！
Wo fan le!
I fed up LE

I’m (I have become) so tired of this!

(260)

我饿了！
Wo e le!
I hungry LE

I’m so hungry (now)!

In Li and Thompson’s (1981) account on sentence-final le, there is no mention of boundary or contrast as essential concepts for the interpretation of the use of le in these “excessive” sentences. Instead, they claim that le is used here to tag a sentence as “the speaker’s total contribution as of that moment”. (Li and Thompson 1981, p. 283) According to them, while similar sentences without sentence-final le would serve better as a response to a question or as background to a following utterance, sentence-final le completes the utterance and the speaker does not have to say more about it. “It is almost as though the le were functioning as a sentence-final punctuation marker.” (Li and Thompson 1981, p. 283) I consider this to be a natural outcome of this particular modal use of le. If the statement, by the adding of le, expresses that a certain situation is different from what is expected, assumed or what is considered the norm in the speech situation where it is uttered, it will also hold a certain value as an independent utterance. The speaker is “justified” in introducing this subject even if the proposition in itself is not related to the previous linguistic context or further explained in the subsequent linguistic context. When the sentence contains a le that marks excessiveness, its proposition becomes what Li and Thompson calls “newsworthy”.

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6.4. Concluding remarks

Sentence-final le, by attaching to the whole sentence and appearing at the end of utterances, like other sentence-final particles easily lends to the function of relating the information in the utterance to the speech situation and the speech time. This is perhaps one of the reasons why it often gives perfect readings of sentences where it occurs, since the present perfect is associated with speech time focus. However, the presence of sentence-final le does not always coincide with a perfect interpretation (providing aspect and tense are defined as semantic categories based on temporal relations between E, R and S). An interesting fact is that in a study conducted by Zhao and Shen (1984), sentences with sentence-final le that would be translated with the Simple Past tense in English amounted to as much as almost a third of the collected samples. Many of the sentences with sentence-final le that I have presented here belong to that group. They present events, not as anterior to a certain reference time (characteristic feature of the perfect tense) but rather as included in a past reference time (characteristic feature of the perfective aspect). Since sentence-final le is also used to produce non-temporal distinctions such as the speaker's attitudes and feelings towards a proposition, it cannot be a “pure” perfect marker. The perfect tense relates earlier events to the reference time of the sentence (thus often used as a backgrounding device), which means that it could not be used in a sentence that describes an event as final in a sequence of events. In this chapter I have suggested that le, apart from functioning as a temporal marker on the sentence-level, also can work on the discourse-level by signalling the culmination of a progress or the initiating of a discussion and that it can function as a tool for the speaker to express her attitude towards the information in the utterance, by emphasizing a contrast between two situations. This chapter has shown that sentence-final le is a marker of a boundary. It operates on the verb (phrase), sentence and discourse level. Like its verbal counterpart, it can contribute to both temporal and modal modifications of a linguistically described situation.
7. One or two le—further indications of functional overlapping

In order to further study the relationship between the syntactic position of le and the expression of perfect tense in Chinese, 77 Chinese sentences with translations of English or (in a minority of the cases) Swedish perfect constructions or equivalent\(^\text{165}\) were collected and examined. Originally I wanted to see whether or not verbal le would be used in any of the translations of English perfect constructions (which indeed proved to be the case), and this explains the over-representation of this kind of sentences in the material collected (the majority of the 77 Chinese translated sentences, 55, contain verbal le and not sentence-final le). This however, does not mean that verbal le was in reality used by the author in the majority of the cases where a translation of an English perfect construction was intended, since I had specifically sought out these particular instances. However, having decided to make a small experiment conducted on native speakers, I also set out to examine some sentences where sentence-final le was used to express perfect tense. Therefore, I extracted 22 sentences that contained sentence-final le and not verbal le and their English (or Swedish) perfect equivalents in the original texts and these were included into the collection of sample translations. The majority of the sentences (66 out of 77) where taken from the work \(1984\) by George Orwell and its Chinese translation. The rest of the sentences were extracted from the Swedish children’s books \(Pippi Långstrump\) and its Chinese translation \(Changwazi Bibi\), \(Madicken\) and its Chinese translation \(Feng Yatou Madiqin de gushi\) and \(Madicken och Junibackens Pims\) and its translation \(Madiqin dong shi le\).\(^\text{166}\) As a comparison to the statistics below it can be mentioned that the Chinese translation of \(1984\) contains 2126 instances of le, out of which 610 (about 30%) were clause-final. As a next step, all markers le, both the verbal and the sentence-final markers, were left out from the translations. I then asked six informants, all native Chinese speakers and university students with rather high proficiency in English, to study the English original sentences and the Chinese translations of the sentences.\(^\text{167}\) They were told to fill in le where they found it appropriate, listing their first-, second- and third-hand choice for each sentence, choosing between verbal le, sentence-final le and double le (both verbal le and sentence-final le in the same clause). For each sentence they could also, if they so wished, list any alternative(s) out of the three that they found ungrammatical. The result of the task is shown in statistics below:

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\(^{165}\) In a few cases the source sentence did not contain a perfect tense form but strongly implied the sense of resultant state.

\(^{166}\) Since the children’s books were in Swedish the extracted sentences from the original versions were translated into English with the help of an English native speaker.

\(^{167}\) Some context was supplied for very simple sentences, in order to make sure that there would be no misunderstandings as to the temporal reading of the le-sentences.
Sentences in which, in the original translation, verbal le was used to express perfect tense (55 sentences)

72% of the informants stated verbal le as their first alternative and 21 % stated it as their second alternative.
11% stated sentence-final le as their first alternative and 36% stated it as their second alternative.
17% stated double le as their first alternative and 38% stated it as their second alternative.

Verbal le was in no case deemed unacceptable by the informants.
For 36% of the sentences (20 out of 55) sentence-final le was deemed unacceptable by at least one, but never all (only in two cases more than two out of six), of the informants.
For 24 % of the sentences (13 out of 55) double le was deemed unacceptable by at least one, but never more than two, of the informants

Sentences in which, in the original translation, sentence-final le had been used to express perfect tense (22 sentences):

40% of the informants stated verbal le as their first alternative and 33% stated it as their second alternative.
45 % of the informants stated sentence-final le as their first alternative and 30% stated it as their second alternative.
15 % stated double le as their first alternative and 30% stated it as their second alternative.

For 45% of the sentences (10 out of 22) verbal le was deemed unacceptable by at least one, but never all (in one case more than two), of the informants.
For 18% of the sentences (4 out of 22), sentence-final le was deemed unacceptable by at least one, but never all (in no case more than two) of the informants.
For 41% of the sentences (9 out of 22), double le was deemed unacceptable by at least one but never all (in four cases more than two) of the informants.

As can be seen, in several cases there was disagreement between the native speakers on the grammatical or most correct syntactic position of the marker, and their answers would often differ from the translator’s choice of position of le. While over 83% of the informants acted in accordance with the translator when his/her choice was verbal le in translations of the English sentences, 33 % chose sentence-final le as either first- or second-hand alternative for the translations of these sentences and only a few found it ungrammatical (and only in a minority of the cases). For the sentences where the translator used sentence-final le to translate English sentences there was almost equally many verbal le choices as sentence-final le choices among the informants. Over all, there were very few cases where more than two out of six informants found a particular alternative ungrammatical, both for the sentences where verbal le was used in the original translation and those where sentence-final le was used.
This survey indicates that the functions of the markers *le* overlap in many cases, that there seems to be a lack of clear rules as to when to put *le* in one position or the other and, above all, that both verbal *le* and sentence-final *le* can be used to express perfect tense. Though Zhao and Shen (1984) write: "The kind of “completion [完成 wancheng]” that is expressed by lei [verbal le] in this construction [verb + le + object NP] in most cases apply to situations in the past, not related to the present, similar to the simple past in English", they also find that “[i]t can also apply to situations in the past, related to the present, similar to the Perfect aspect in English, but in the Chinese sentences expressions that signal relation to the present, such as ”yijing” [already] and ”gang” [just now] often occur…or there must be a certain utterance situation or context.” (Zhao and Shen 1984, p. 116. Translation mine) Zhao and Shen have found that, out of 760 sentences of the type verb + *le* + object NP, 63% would be translated into English using the Simple Past tense form, 19% would be translated with Perfect tense or participal construction (15% Perfect tense, 4% participal construction) and the rest mainly by using the Simple Present tense (8%) or Simple Future (3%).

Sentence-final *le* is sometimes used in sentences that have perfective readings, although according to conventional views on aspect in Chinese perfective aspect is the essence of verbal *le*. In a limited study of some Chinese translations of the English works *1984* by George Orwell (1984) and Bridget Jones: The edge of reason (1999) by Helen Fielding and the Spanish Cien años de soledad by Gabriel García Marquez (1967) I found a number of cases where sentence-final *le* had been used to translate a sentence with an obvious perfective meaning. Below are some examples:

(261)

S

Ta zou hou yi guanshang men, ta jiu
she walk after one close-shut door he just
似乎 忘掉 她 的 存在 了
sihu wangdiao ta de cunzai le
like forget-away she DE existence LE
As soon as the door had shut behind her he appeared to forget her existence (15)

(262)

W

Wensidun xiang, ta hen mingbai,
Winston think he very understand
他 很 明白 我 要 问
ta hen mingbai wo yao wen
he very understand I will ask
的 是 什么！ 想到 这里，
de shi shenme! Xiangdao zheli,

168 In the examples taken from this work, I have also included the English translation.
DE be what think-arrive here
他的话就冲出口了：
ta de hua jiu chongchu kou le:
he DE speech then burst-out mouth LE
He knows, thought Winston suddenly, he knows what
I am going to ask! At the thought the words burst out of him: (15)

(263)

最初，乌苏娜给他们的衣兜都塞满了
Zui chu, Wusuna gei tamen de yidou dou saiman le
first Úrsula for they DE pocket all stuff-full LE
钱，而阿玛兰塔总想把孩子留
qian, er Amalanta zong xiang ba hai zi liu
money and Amaranta always want BA child keep
gi自己，可是后来，乌苏娜和阿玛兰塔
gei ziji, keshi houlai, Wusuna he Amalanta
for self but afterwards Úrsula and Amaranta
都只送点礼品，充当教母了。
dou zhi song dian lipin, chongdang jiaomu le.
all only give little present function as godmother LE
Al principio Úrsula les llenaba los bolsillos de dinero y Amaranta
intentaba quedarse con ellos. Pero terminaron por limitarse a hacerles
un regalo y a servirles des madrinas.
At first Úrsula would fill their pockets with money and Amaranta tried to
have them stay. But they finally limited themselves to giving them
presents and serving as godmothers. (13)

(264)

菲兰达在卧室里读信的时候，
Fenlanda zai woshi li du xin de shihou,
Fernanda at bedroom in read letter DE time
孩子们慌忙打开箱子了。
haizimen huangmang dakai xiang le.
children hurriedly open box LE
Mientras ella leía la carta en el dormitorio, los niños se apresuraron a
abrir la caja.
While she read the letter in her room, the children hastened to open the
box. (13)

169 Spanish preterite indicates perfective aspect. It is used in the italicized parts of both (263), (264) and (265).
On Thursday, at two in the afternoon, José Arcadio left for the seminary. (13)

Further evidence for the hypothesis that sentence-final le can be used in perfective sentences is presented by Zhao and Shen (1984). They found that sentences containing sentence-final le are sometimes ambiguous out of their context. “This [ambiguous sentences with sentence-final le] shows that le has different functions and meanings in different contexts.” (Zhao and Shen 1984, p. 125. Translation mine) According to their study, as much as 30% of the sentences containing only sentence-final le (in the construction verb + object NP + le) would be translated with Simple Past in English.\footnote{English has no formal means of expressing perfective aspect, but, although Zhao and Shen do not specifically mention this, the use of Simple Past in English is often equivalent with the expression of past perfective (depending on the nature of the verb). Cf also footnote 20.}
and 22 % with Perfect tense (the rest would be translated with mainly either Simple Present (26 %)\textsuperscript{171} or Future (12 %)).

7.1. Concluding remarks

The claim that verbal le and sentence-final le can perform the same functions in many contexts is verified also by tests on native speakers and analyses of translations both from Chinese into English and from English (/Spanish) into Chinese. For example, both verbal le and sentence-final le can be used in translations of the English perfect construction. There seem to be no agreed upon rule for when one or the other are used in such cases, as informants asked to insert either of the markers in a translated text differed between them as to which of the markers they chose. Further, even though, typically, verbal le is viewed as the perfective aspect marker, the examples above illustrate the fact that sentence-final le is sometimes used in translations of perfective sentences. Zhao and Shen’s study also shows one of the reasons why it would be so difficult to point out one temporal function before the other as the core of either the verbal or the sentence-final marker – we cannot find one temporal category with a definition that captures all the functions that one of the markers can have.

\textsuperscript{171} In fact a perfect event is sometimes described using the present tense in English, and that may be the reason why le is present in this kind of verbphrases. There is an event, (that can be assumed from the presence of le), and that implied perfect event has caused the overtly expressed present state. Sometimes alternatives to the Simple Present can be used in the English translation of such sentences; for example perfect constructions such as ‘has become’ or ‘has started to’.

149
8. Conclusion

8.1. Conclusion

Pragmatic markers do not express tense, aspect or mood in themselves but produce such distinctions through their semantic core features in cooperation with the context. In this study I have proposed a relevance-theoretic treatment of the Chinese marker le as a single pragmatic marker with a semantic core feature boundary. In all occurrences of le some kind of boundary is present. The boundary can be temporal and represent the initial or final end point of the time when the situation described in the sentence holds, the event time E. Whether it is interpreted as initial or final is determined by the nature of either the verb phrase or the sentence, depending on the scope of le (i.e. its syntactic position). The boundary signalled by le can also mark the end point of a sequence of events or the peak in a larger project. Its scope is then not the verb phrase or the sentence but a larger unit often consisting of several clauses or sentences. I further adopt the perspective that both aspect and tense are semantic categories that represent temporal structures: linear relations between Reichenbach’s (1947) times E, R and S. Grammatical markers and contextual factors such as temporal expressions manifest these temporal structures linguistically. The boundary of E, signalled by le, can be positioned on a time line and put in relation to the reference time R of the sentence in which it occurs. I have showed that in what way the boundary is linked to R depends on the context, and not necessarily on the syntactic position of le. Certain adverbs, grammatical constructions, verb features and other co(n)textual factors provide clues for determining where the boundary is positioned with respect to R. The resulting temporal structures produce distinct temporal readings. A temporal structure in which the boundary of E is included in R leads to a perfective reading. It has to be positioned anterior to R for the situation to be interpreted as perfect/anterior.

Sometimes le does not mark a temporal boundary at all, but an attitudinal boundary. It then contributes to the modal meaning of the utterance. It marks a contrast between for example the norm and the deviation from the norm, or between the expected and reality.

It is my hope that this study has presented a more clear definition of the marker le in Mandarin Chinese, as it solves at least two significant problems with earlier analyses:

- that of assigning to le a label that incorporates all its uses.
- that of explaining the functional overlappings between verbal and sentence-final le.
The descriptive model that I have used here has simplicity in focus. It should not be neglected that, by taking the definition of le as a context-dependent marker as a starting-point, we achieve a comparatively speaking less complex and in my view more user-friendly method for explaining all the different functions of this common marker in the Chinese language. For that reason I hope that this model could be adopted also in the area of teaching.

It would be expected that a relevance-theoretic analysis could be applied also in studies of other so-called aspectual markers in Chinese, for instance 着 zhe and 过 guo, or sentence-final markers like 呢 ne.

8.2. Suggestions for further research

8.2.1. Negation and le

Some readers may have noticed that I have not brought up the issue of the relationship between negation and the use of le in this work. It is a very complicated problem and has been discussed at length in a number of articles and books such as Teng (1973), Shi (1992) and Ernst (1995). However, in my view, no one has come up with a satisfying explanation of the interaction between the two negative forms 不 bu and 没（有）mei(you) on one hand and the marker le on the other. The negative counterpart of verbal le is sometimes claimed to be 没（有）mei(you) (Teng (1973), Li and Thompson (1981)). According to Teng, 不 bu negates sentences with only sentence-final le. While 没（有）mei(you) does occur mainly in past or relative past contexts it cannot be an absolute past tense negator since it is occasionally ungrammatical in past contexts (in particular sentences describing stative situations), instead, there are indications that it serves to deny the occurrence of an event. In fact, it seems as if le-sentences that describe the occurrence of a situation in the (absolute or relative) past are negated by 没（有）mei(you), regardless of the syntactic position of le in the affirmative version of the sentence. It is rarely (if ever) found in absolute future contexts and does not normally negate stative situations. 172 不 bu, on the other hand, can occur both in past, present and future contexts and in past contexts typically negates stative or generic situations.

172 A notable exceptions is that it can occur with the auxiliary verb neng (他没能来 ta mei neng lai – He couldn’t come) and in sentences that describe durative situations:

他 没 拿 着 扇子 跳舞
Ta mei na zhe shanzi tiaowu
He wasn’t dancing with a fan

Another is the fact that mei(you) also negates sentences that, while describing stative situations simultaneously have an “excessive” sense. Recall that the marker le can mark excessiveness both as a
It seems reasonable to assume that there is no one-to-one relationship between 没（有）mei(you) and verbal le on one hand and 不bu and sentence-final le on the other. However, more research is needed in order to determine the exact relationship between the marker le and negation in Mandarin Chinese.

8.2.2. “Double le” sentences

The so-called “double le” construction has rarely been discussed independently in the literature. This study constitutes no exception, the reason being lack of time. The double le construction is often taken to represent a combination of what is claimed to be the meanings of verbal le and sentence-final le (Shi (1988); Li and Thompson (1981) and Chan (1980) inter alia), such as a perfective aspect meaning combined with for example a change of state meaning or a current relevance meaning. This combination would then produce a perfect reading of the sentence. Considering the fact that the presence of sentence-final le often contributes to a perfect tense reading of a sentence it is not surprising that the default reading of a decontextualized sentence containing the double le construction is a perfect tense reading. However, whether or not the double le construction can also have a perfective reading has, to my knowledge, not been discussed in the literature. As I have shown here, sentences containing verbal le can have both perfect and perfective readings depending on the context, and sentence-final le seems also to occur quite freely in environments that promote a perfective reading, though it has been labelled a perfect marker. In order to determine whether this is the case also for double le, it would take a thorough investigation of narrative texts and maybe tests on native speakers. Time limitations have prevented me from carrying out such investigations during the preparation of this thesis. However, there are strong indications that double le sentences sometimes get perfective interpretations as well. Yong (1997), for example, mentions that a simple double le sentence like (267) can be translated into English using either a Perfect or a Simple Past form of the verb, which implicates that it could have both a perfect and a perfective reading:

verbal and as a sentence-final marker.

<table>
<thead>
<tr>
<th>梅玉</th>
<th>没（有）</th>
<th>高</th>
<th>三</th>
<th>寸</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mei-Yu</td>
<td>mei(you)</td>
<td>gao</td>
<td>san</td>
<td>cun</td>
</tr>
<tr>
<td>Mei-Yu</td>
<td>NEG</td>
<td>tall</td>
<td>three</td>
<td>inch</td>
</tr>
<tr>
<td>Mei-Yu is not three inches too tall</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

(Examples from Huang and Davis (1989))

173 Chappell (1986) is an exception. She focuses on the difference between sentence le and double le. If there is a difference, it appears to be modal and not temporal.

174 Shen and Zhao (1984) has noticed this as well; in their study, 8 out of 47 double le sentences (17 %) were translated into English using the Simple Past form.
(267)
我 早上 写 了 信 了
Wo zaoshang xie le xin le
I morning write LE letter LE
I have written / wrote (the) letter(s) this morning

Sentence (268) also indicates that the double *le* construction is in fact not restricted to perfect sentences.

(268)
这个 问题 上 个 星期 讨论 了 两天 了
Zhe ge wenti shang ge xingqi taolun le liang tian le
this CL question up CL week discuss LE two day LE
We discussed this question for two days last week

It is obvious that more research is needed if we want to be able to explain the nature of double *le* sentences, with regard to both their temporal and their modal meanings.
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