

# Validation of topology evolution in artificial neural networks



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*Bachelor's degree (BSc), Theoretical Physics*

2014 03

## Abstract

There is a problem with overtraining when applying Artificial Neural Networks to a classification problem. One factor that strongly influences the overtraining is the network topology. Understanding the role of the network topology is therefore important to enable the creation of more efficient Artificial Neural Networks.

We have created a computer program for investigating the role of network topology, starting from a package called *Neuroph*. Upon Neuroph we have developed different random walk techniques for evolving the network topology. For a given topology the weights are mutated using a random walk with decreasing step size. This we call *Basic Topology and Weight Evolving Artificial Neural Network* and it is our own implementation.

We find that the network performance depends strongly on the network topology. When the network reaches a high complexity then overtraining becomes likely. On the other hand the network has to reach a certain complexity so that the network can perform well on validation. In addition to complexity, it is important how the connection between the nodes are made. These results were obtained from testing on the *Pima Indian* dataset. This dataset has few inputs but high risk of overtraining. The Pima Indian dataset is a real dataset that gives information about the medical condition of a group of Pima Indians. The problem is to classify if the Pima Indians have diabetes or not. Our results are promising and suggest that methods for evolving network topology deserves further attention because they can improve the classification efficiency.

## **Acknowledgements**

I would like to thank my supervisor Patrik Edén for his support and advice during this project which has been very important for me and encouraging to say the least and also Mattias Ohlsson for his advice and ideas in the field of ANN.

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## Glossary

**BTWEANN** Basic Topology and Weight Evolving Artificial Neural Network, our own implementation of TWEANN

**GA** Genetic Algorithm, evolution of networks by applying methods similar to how natural evolution occurs

**MLP** Multi Layer Perceptron, a type of ANN architecture.

**TWEANN** Topology and Weight Evolving Artificial Neural Network, a category of network types that changes both its topology and weights

# 1

## Introduction

Artificial Neural Networks (ANN) are used to solve complex problems that are often non-linear<sup>[1]</sup>. There is a problem with overtraining of the networks that essentially means, the network solves the problem exceptionally for data points used during training but may not solve the same problem again if it is presented with new data. The Multi Layer Perceptron (MLP) is a widely used ANN. MLP networks consist of a set of nodes that are fully connected. Each connection carries a weight. Information is fed to the first layer (input layer) and then travels through the network until it reaches the last layer (output layer). There is more than one layer of nodes and every node is connected to the nodes in the previous and the next layers. The connectivity of a network is often referred to as the network topology. The topology is important because it affects overtraining and performance.

An ANN where both the topology and weights are optimized is called *Topology and Weight Evolving Artificial Neural Network* (TWEANN). Applying TWEANN to problems has shown to be very promising in simulations<sup>[2]</sup>. The TWEANN starts with an ANN that is underdeveloped, this means too few connections in the hidden layer(s) for the network to be able to solve the problem. The TWEANN evolves by adding more nodes and weights. The network is getting more complex. If the TWEANN still can not solve the problem, then it will continue to grow until the network is able to solve the problem at hand. In TWEANN the weights are usually updated by back propagation. Back propagation is the ordinary method that standard MLP networks use. An alternative to back propagation is the *Genetic Algorithm* (GA)<sup>[3]</sup>. The GA

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uses features meant to mimic natural evolution such as mutation and cross-over of the weights. The GA and TWEANN for the development of ANN are two widely used techniques but have not been tried together on a dataset that can result in overtraining.

Our own implementation *Basic Topology and Weight Evolving Artificial Neural Network* (BTWEANN) combines the evolution of topology with the GA for updating the weights. Using BTWEANN our ultimate goal is to explore different strategies of topology evolution and how topology affects overtraining. In particular how overtraining affects performance, which we define as the number of correct classifications done by the network. Topology can be characterised by complexity. We define complexity of the topology as the number of active links. For a given complexity there exists different network topologies. We believe that even with the same complexity, different network topologies will affect the result. This has also been examined.

This article is organized as follows. In the theory section information can be found about basic ANN and our implementation of the GA and BTWEANN. More detailed information about the Pima Indian dataset<sup>[4]</sup> and how the simulation works is found under Materials & Methods. In the result section we calibrate our BTWEANN. Using our calibrated BTWEANN we study how topology and complexity influences performance and overtraining.



## 2

# Theory

## 2.1 Neural networks

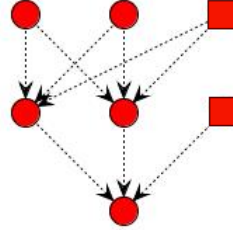
The neural network is a tool that has been inspired by how the brain works. The synapses are the weights and the neurons are the nodes. There is an activation function just as in our brains that processes all the incoming signals and if it reaches the threshold it fires. Our brain can be compared to a non-linear computer. The neural networks can be non-linear in their calculations<sup>[1]</sup>. The neural network is not a brain but is mere an analogy to the principal functions of the biological brain.

It should be noted that there are many different types of neural networks and different methods of training and validation. In our simulations, the MLP serves as a starting point.

## 2.2 MLP

The MLP has an input layer followed by hidden layers and finally an output layer as shown in *Figure 2.1*. The inputs are being processed from the input layer to the output layer.

Every node in the MLP has an activation function,  $\phi_j^{(l)}$ , that is used for determining what will be the output. The output,  $y_j^{(l)}$ , from the current node  $j$  in layer  $l$ , is processing all the incoming inputs,  $x_i^{(l-1)}$ . The inputs are scaled differently depending



**Figure 2.1:** The figure shows a MLP with 2 inputs and 1 bias (top layer), 2 hidden and 1 bias (second layer) and 1 output neuron in the last layer.

on the weights,  $\omega_{ji}^{(l)}$ . Output from  $y_j^{(l)}$  becomes input  $x_j^{(l)}$  for the node(s) in the next layer. Every node in every layer has a bias input,  $b_0^{(l-1)}$ , except the nodes in the input layer. The bias does not have any inputs. The bias is very important because it affects all the nodes in the coming layer and their activation functions. The bias usually has the input value  $x_0^{(l-1)} = 1$  and the bias weight,  $\omega_{j0}^{(l)}$ , has different values for each node. The activation function is usually linear for the input layer, just passing through the input data, and sigmoidal for the hidden layers. The output layer has a sigmoidal activation function for classification problems. The calculation of the output nodes  $y_j$  are done by using the equation

$$y_j^{(l)} = \phi_j^{(l)} \left( \sum_{i=0}^n \omega_{ji}^{(l)} x_i^{(l-1)} \right), \quad j = 1, 2, 3, \dots \quad (2.1)$$

the index  $l$  is the layer for the output node  $j$ .

Here the activation functions are

$$\phi(u) = \tanh u \quad (2.2)$$

for the hidden layer and

$$\phi(u) = \frac{1}{1 + e^{-u}} \quad (2.3)$$

for the output layer.

The weights of the MLP are determined by using a training dataset that consists of input data and output data. During the training process the network has its weights

updated. There are different ways of doing this, typically by using supervised learning which means that the network has a teacher that corrects it when the answer is wrong. This type of learning involves some kind of error evaluation and then weight change so the error is diminishing. The standard weight update for the MLP is the back propagation. In back propagation the signal is first propagated forward through the network with the weights fixed. Then an error signal is propagated backwards through the network and adjustments are made to the weights.

The weights are adjusted so that the error function 2.4 is being minimized. Using a dataset the difference between the output from the network ( $y(n)$ ) and the real training data output (desired output  $d(n)$ ) is used to find the network with least training error. The training error is calculated by using the LMS (Least Mean Squared) error which is determined by using the equation

$$e = \frac{1}{2N} \sum_{n=1}^N (d(n) - y(n))^2 \quad (2.4)$$

where  $N$  is the size of the dataset that is being used.

When training is finished the network is tested against the validation dataset, unknown for the network, with inputs and outputs as before. The performance is defined as the number of classifications that are correct, divided by  $N$ . If the actual output and the output from the network is on the same decimal half then the classification is correct, else it is wrong.

## 2.3 Genetic algorithm

When training an ANN, some kind of error function is used to evaluate the network and another error function to update the weights<sup>[1]</sup>. In back propagation the same error function is also used to update the weights. In our simulation equation 2.4 is used as the error function for evaluating the network.

For MLP different kind of back propagation algorithms are very common to use for the purpose of training the network. However if the global minimum is to be found

among other local minima, a back propagation algorithm may get trapped in a local minimum and might never find the optimal solution. Even with different enhancements and developed methods of back propagation this may still happen and the GA outperforms the back propagation algorithm<sup>[3]</sup>.

Back propagation also requires differentiability and thus it cannot handle discontinuous functions. GA can handle discontinuous error functions.

The GA consists of some essential parts which are:

- Chromosome encoding, meaning that the weights and bias are stored in a list of real numbers and can be changed afterwards.
- Initiation procedure, the weights of the population are chosen randomly from a probability distribution. In this simulation a uniform distribution between -1.0 and 1.0 is used.
- Operators, there are different kind of operators and they can be grouped into three categories: mutation, crossover and gradient operator. The operator type that is used in our simulations is the mutation operator.
- Parameter settings, they play an important role in the performance of the network. Examples of parameters are, Parent-Scalar; determines with which probability an individual is chosen as parent, Population-Size; how many potential parents are there, Operator-Probabilities; a list of parameters that determines with what probability an operator will be chosen.

The question is also how many parents of the old generation should be kept when forming the new generation. If all the parents are listed from beginning to end in decreasing order of performance then it might be a good idea to kill half of the old generation and choosing the best parents to produce an equal amount of offspring. On the other hand this gives a very poor variety quite quickly. To avoid poor variety, the process of selection needs to be restricted. The elimination is then going more slowly and will ensure that parents performing less than average will have possibility to evolve their weights and improve.

In our simulation the process of selection is being restricted and occurs slowly to ensure variety. The network population is going to evolve towards a minimum due to the fact that the worst performing network is thrown away and the best network is allowed to reproduce. All the networks are mutating their weights but only the best performing network produces an offspring. Evolving the networks in this way is similar to a random-walk in weightspace because only random mutation of the weights are taking place. The random walk will be evolving towards a minimum.

## 2.4 Overtraining

Every type of ANN is affected by overtraining. An overtrained ANN performs well on the training data but lacks the ability to generalize. Overtraining is a consequence of the networks complexity. A network can always solve a complex problem. The question is just how many nodes and layers are needed. If the network should be able to generalize well then increased complexity is not a good approach. The network has to be restricted. Restricting it too much makes it stiff. A stiff network will not be able to find a satisfiable solution. A flexible network can adapt more easily because of more possibilities in the decision plane.

The MLP is made more complex by adding additional nodes and layers. Limiting the topology of the network makes it less flexible and therefore the chance of overtraining will diminish and the network performance on the provided dataset will also be limited.

There are ways of detecting overtraining by using K-fold-cross-validation that discovers overtraining. To avoid overtraining then network pruning can be used which removes weights that are not of importance by training them to zero. Another way to avoid overtraining is to have weights that differ a lot. Also starting out from an underdeveloped structure that slowly evolves gives the best possibility to reach an optimal combination of activated nodes. This means that the network hopefully will not get to stiff or flexible.

## 2.5 BTWEANN

TWEANN is evolving its topology and weights. TWEANN is also more flexible than MLP's because each node in a MLP is fully connected. Some combinations among the connections can have higher significance than other combinations among the nodes. A small topology might only be a few mutations away from becoming one of the best performing networks. So it is not easy to disqualify a topology just because it is not performing well enough, if the right nodes are combined then the results could be very promising. A potentially good topology can also be thrown away if it is evaluated before the weights have been optimized. These topologies can be protected by letting them compete amongst topologies that are exactly the same when mutating their weights. Later on all the different topologies can compete amongst each other. Thereby the best topology is selected and further evolution of the chosen topology is possible. Testing all sorts of combinations and performing mutations of the weights takes a lot of time. Time is being wasted when continuing to mutate weights on a topology mapping that turns out to be bad.

There are problems where the TWEANN works better than regular MLP networks, for supervised learning using back propagation.<sup>[3]</sup> There are discussions if both a topology evolving network combined with the GA for updating the weights would give a much better result. This is true for fixed problems where training represents the full problem<sup>[2]</sup>. Combining topology evolution with the GA would yield a good result if given enough time to test all possible combinations between the nodes and thereby optimize both the topology and the weights<sup>[2]</sup>.

Our implementation of the TWEANN is called BTWEANN. The topology evolves using BTWEANN and how BTWEANN works depends on user defined settings (see section 4.2). Using BTWEANN a node is added and connected or two unconnected nodes become connected. Different topology mappings are tested. In our implementation, mutation of the weights are done while the topology is expanding. In BTWEANN the weights are updated only by a random walk in weight space. The BTWEANN combined with the GA feature of crossover (see section 3.3) works better<sup>[3]</sup> than mutating the weights through random walk in weight space. To get good results the variation of

initial diversity of the topologies has to be large enough. What large enough means is not an easy question to answer and has to be tested.

## 3

# Materials & methods

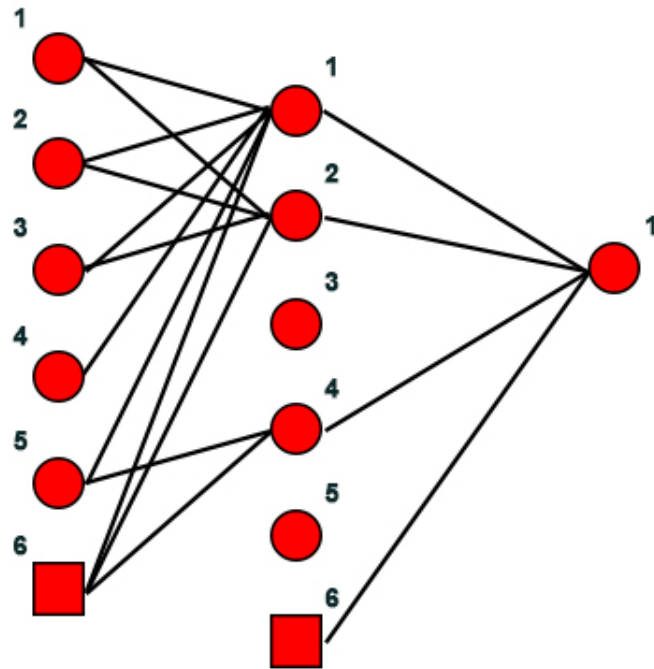
The package called *neuroph*<sup>[5]</sup> was used to build this project upon and it is a free open source package for ANN with many different features. The code is written in Java and can be analysed deeper. Neuroph provided the basics and gave the tools to handle MLPs.

### 3.1 Topology

A link is the connection between two nodes. An active link will allow data to pass through and mutation of the weight. In figure 3.1 the solid lines between the nodes are the activated links. The weight is set to 0 if the link is not active. The link status is registered in a list with the integers 0 and 1. Integer value 0 means the link is not active and 1 means it is active. This will be needed to keep track of which links are active.

We define the topology complexity as the number of active links. In our implementation, a fully connected MLP with one or more hidden layers defines the maximal complexity that is possible to achieve.





**Figure 3.1:** An example of how the topology of the network can look like. In the hidden layer node 1 is fully connected. All the nodes that have at least one connection from the input nodes are connected to the bias and output.

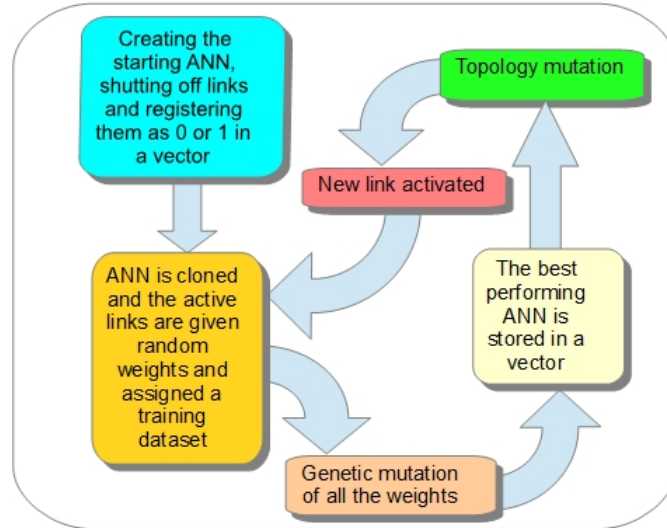
## 3.2 Topology and weight evolution

An brief overview of how the process of evolution is done can be seen in figure 3.2.

### 3.2.1 Topology generation

First the network is initialized to have a single, fully connected hidden node. There are more hidden nodes with inactive links. If a node with inactive links is chosen then a link is activated and it is made sure that the hidden node gets input data and the input data is sent to the output node.

A new topology is generated by choosing from the list of topologies. The new topology is cloned a number of times and weights are initialized. Then weight mu-



**Figure 3.2:** First the network topology is mapped into a list with active and inactive links. Then the network is cloned a number of times and the weights are initialized. All the networks undergo weight mutation. The best performing network is stored in a list of topologies. From the list of topologies a topology is selected. The selected topology is used to generate a new topology. This new topology is cloned as before and the process starts over again.

tation occurs. After the weight mutations are done the best performing network of the current topology is stored in a list. The process repeats itself again a predefined number of times. Lists are saved to files so that they can be analysed. The lists have the information necessary to recreate the networks for further simulations.

### 3.2.2 Weight mutation

A number of networks are initialized according to the vector list and given random weights. These networks are then fed to the GA which mutates the weights for all the networks by a specific number of times. The link status is respected, so only the weights that should mutate do this and only those weights get a random value.

After each mutation the list of networks is sorted. The best network is placed first and the worst performing network is placed last in the list. The best performing network is duplicated and its weights are mutated. The worst performing network is

thrown away.

Topology generation occurs a predefined number of times and after a fixed number of weight mutations the learning parameter  $\sigma_{var}$  (see section 3.3) is decreased. When the predefined number of mutations are reached then the process starts over again after a new topology mutation has taken place.

All the weights are updated by the following equation

$$w = w + (2 \cdot r - 1) \sigma_{var}$$

Where  $w$  is the weight that is updated, the random number  $r$  generated is between 0-1. The learning rate  $\sigma_{var}$  is initialized to  $l_r$  and changes by a factor  $\delta_0 = 0.85$  - which was found to be a good value. The  $\sigma_{var}$  parameter changes after every  $\xi_0$  weight mutations.

### 3.3 Simulation parameters

The simulation parameters are presented in the bullet list below and have different importance depending on the training method used.

- Method for topology selection. There are 6 methods available for mutating the topology.
- Total network population. Will be used for weight mutation. ( $N_0$ )
- Maximal topology. Specifying a vector of integers with the number of input nodes, hidden nodes and output nodes.
- Maximum number of genetic mutations (mutating the weights). (GM)
- Maximum number of topology mutations. (TM)
- Initial learning rate. ( $l_r$ )
- Periodic change of the initial learning rate. After a number of  $\xi_0$  weight mutations  $l_r$  is changed. ( $\xi_0$ )

- Change of the initial learning rate. When  $\xi_0$  weight mutations have occurred then  $l_r$  is changed by a factor  $\delta_0$  that is between 0 and 1. ( $\delta_0$ )

## 3.4 Topology selection methods

The parameter settings will affect the topology diversity. To keep track of the different topologies two different lists are used. One list stores all the topologies. The other list is used to evaluate the current topology undergoing weight mutation. Different methods for topology selection and weight mutation strategies are developed and studied.

All the methods choose the best performing network on validation among the current topology. This topology is then stored in a list and from that list the networks are then chosen to be further mutated (see section 3.2.1). Below follows a list of the available methods for topology selection.

1. Chooses a random network or the first network.
2. Chooses the first network or the last network.
3. Selects randomly a network from the list of topologies.
4. Chooses only the last network which means continuous mutation of the topology.
5. Chooses the first network with if

$$\frac{r \cdot iTM^2}{TM} \leq 1$$

where  $r$  is a random number between 0-1,  $iTM$  is the topology iterator, TM is the total number of topology mutations that was specified in the beginning of the simulation. If the condition is not met then a random topology from the topology list is chosen. This gives much more diversity among the topologies and means it will have a larger possibility to find a network that generalizes well. But the same

mutation can for example occur twice. That topology will have a higher probability of being chosen later. For simplicity we do not look for topology duplicates.

6. Works the same way as method 5 except that after one third of the TM is done then the networks whose topology has at most mutated once are disregarded. This method will enhance the development of the network complexity, compared to the fifth method.

### 3.5 The dataset

Our implementations of the TWEANN was applied to the Pima Indian dataset<sup>[4]</sup> problem. The dataset had 8 inputs and 1 output. Size of the dataset was 768 data points. The dataset was split up in two parts, 70% training and 30% validation. The scope was to classify if the Pima Indians had diabetes and should be treated or not. In our simulations, each input is normalised so that the highest absolute value is 1.

### 3.6 Simple test case

The code for developing the topology was integrated with the weight mutation. This was tested by trying to solve the XOR problem. The XOR problem is a simple test where the ANN has to classify two data points of each type. The data points make up a quadratic shape and same type of data points are positioned at opposite corners. The two inputs and output for the XOR problem are as follows,  $\{0, 0, 1; 0, 1, 0; 1, 0, 0; 1, 1, 1\}$ . The XOR problem is a non-linear problem.

The XOR problem was used to test our implementation of the BTWEANN code. From the beginning the ANN did not have the necessary nodes or connections to solve the XOR problem. Then it had the nodes needed but was underdeveloped and not allowed to evolve, so there were too few connections. The final stage was to let the ANN evolve its topology and show that it can solve the XOR problem.

## 4

# Results

The data points seen in the coming graphs represent the training and/or the validation result of a specific topology. Now we present the Pima Indian problem. All the figures deal with the Pima Indian data set. The same topology may occur more than once and can give different results depending on the weight configuration of the ANN.

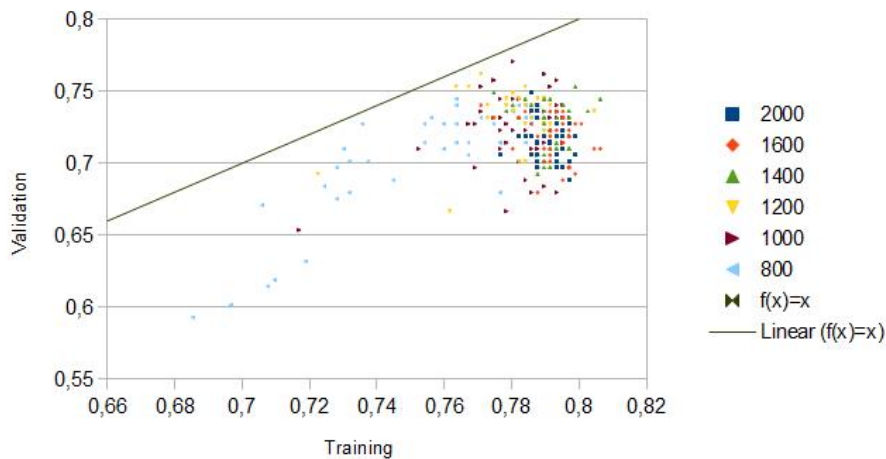
### 4.1 Suitable parameter settings

The parameters have to be adjusted so that the networks perform well enough. After the parameters have been adjusted then it can be studied how different topologies and complexity affects overtraining. The parameters that are being adjusted are the initial learning rate  $l_r$ , the number of weight mutations  $GM$ , the population size  $N_0$ , the frequency of change of the learning rate  $\xi_0$  and the change of  $l_r$  that works well has been found to be  $\delta_0 = 0.85$ .

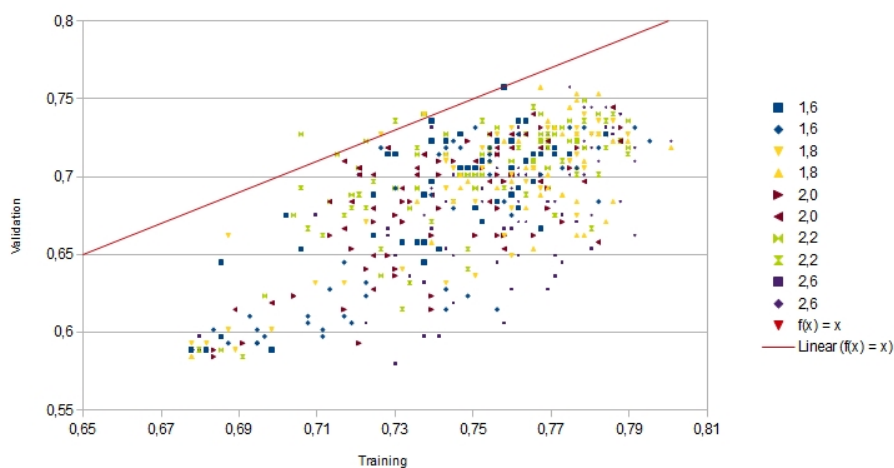
In figure 4.1 it is shown what happens if the number of genetic mutations are varied. The higher the  $GM$  is the better the result until a certain value, see figure 4.1. Fewer than 800 mutations results in networks that are not performing well enough, see the data points for  $GM = 800$  in figure 4.1. More than 1000  $GM$  should result in a higher frequency of networks becoming overtrained. The value of weight mutations  $GM$ , needed to get a good enough result for the data set used is about 800-1000.

If parameters are almost the same then it is expected that simulation results should be similar. In figures 4.2 and 4.3  $l_r$  is varied. The figures show that the result is not

## 4.1 Suitable parameter settings

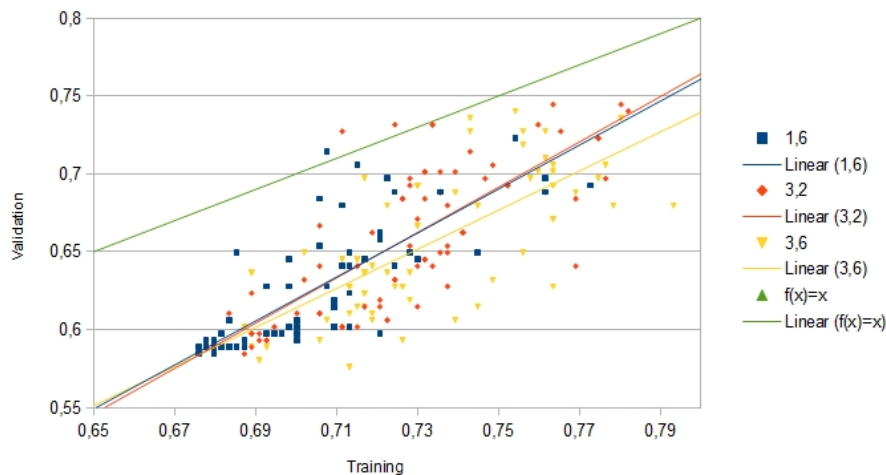


**Figure 4.1:** Dependence on the number of  $GM$ . The simulations have the same initial and final learning rate.  $N_0 = 50$ ,  $TM = 50$ , initial  $l_r = 1.6$  and  $\xi_0$  is changed so that the final value is the same. Method 5 was used. It seems as 800 to 1000 weight mutations are enough.



**Figure 4.2:** The  $l_r$  dependence.  $GM = 600$ ,  $l_r = 1.6, 1.8, 2.0, 2.2, 2.6$ . The same color means that  $l_r$  is the same but the data is from two different simulations. Method 5 is used to develop the topology. The rest of the parameters are the same as in figure 4.1.

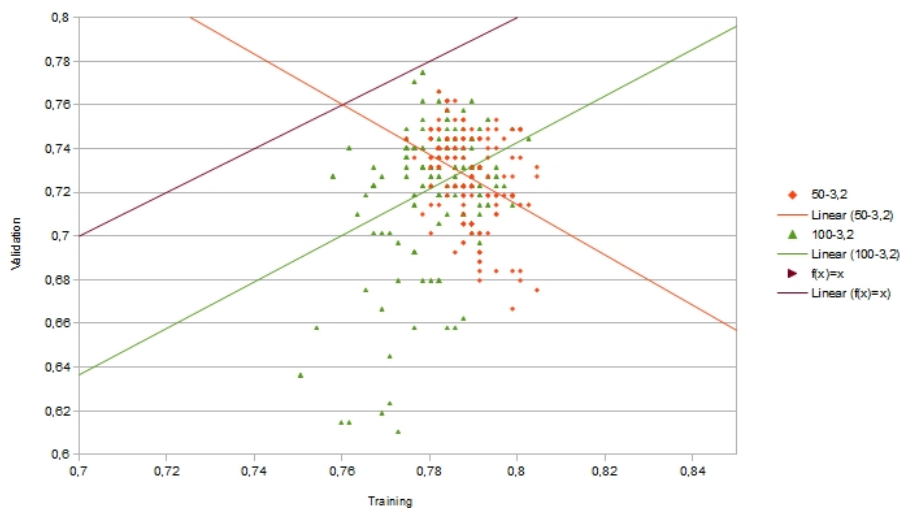
affected significantly by different  $l_r$  for the current values that are tested. Although comparing the figures for the learning rate 1.6 it is observed that the results are not the same. The reason why is unclear. The networks in figure 4.2 were expected to



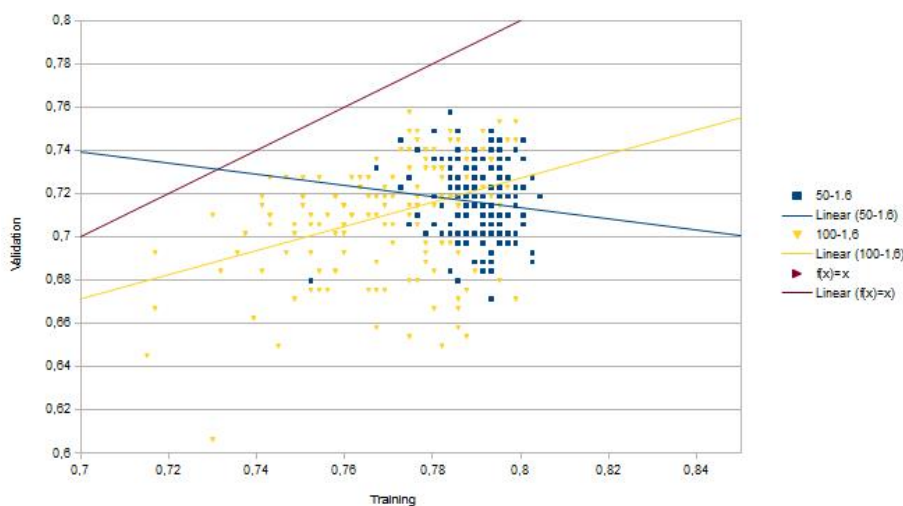
**Figure 4.3:** Same as in figure 4.2 but with  $N_0 = 100$ ,  $TM = 100$ ,  $GM = 800$ ,  $l_r = 1.6, 3.2, 3.6$ . The rest of the parameters are the same. Linear fit of the data points are shown that takes into account all the data points.

perform better compared to figure 4.3 (because the simulation was larger in the latter case) which is not seen. A larger weight space is probed in figure 4.3, because of a higher  $N_0$  and higher  $GM$ . The variety of topologies is larger in figure 4.3 because of higher  $TM$  compared to figure 4.2. The result is not intuitive and shows that more and larger simulations are needed to make any certain conclusions. There is no specific  $l_r$  that is found more favourable from the figures because there are no patterns among the data points. The different  $l_r$  that have been tested work well. In figure 4.3 and coming figures there has been fitted regression lines to the data points. The regression line could indicate which simulations are more likely to produce overtrained ANN's depending on the slope. No conclusions can be drawn from these regression lines that are presented in the figures. It should also be noted that there is a randomness in the topology evolution and there can be simulation runs that deviate a lot from what is expected. This should be kept in mind when viewing the coming figures.





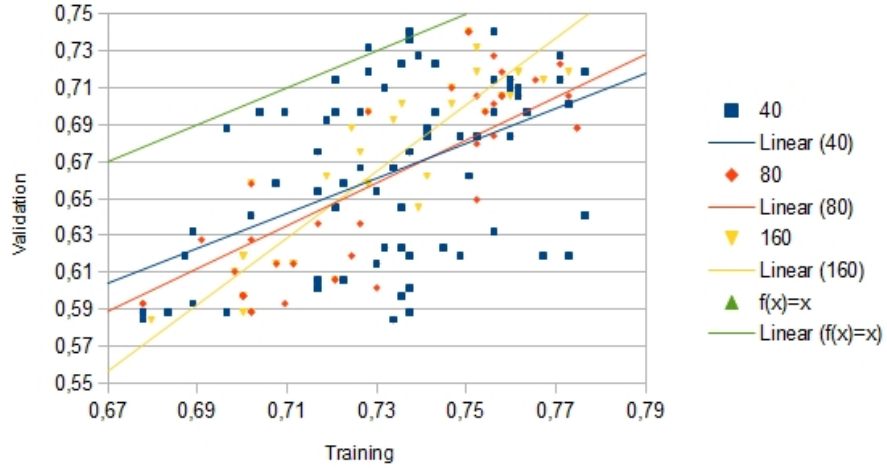
**Figure 4.4:** Varying populations size  $N_0$ . In this figure  $N_0 = 50, 100$  and  $l_r = 3.2$ . Method 5 is used for topology mutation. More networks give a larger spread among the data points as seen in the figure. The rest of the parameters are the same as in figure 4.3. Regression lines have been fitted to the figure.



**Figure 4.5:** Same as in figure 4.4 but with  $l_r = 1.6$ .

In figures 4.4 and 4.5  $N_0$  is varied and two different  $l_r$  are tested. The figures show the same result when varying  $l_r$ , which is expected because it has already been observed in figures 4.2 and 4.3. For larger  $N_0$  there is a larger spread among the

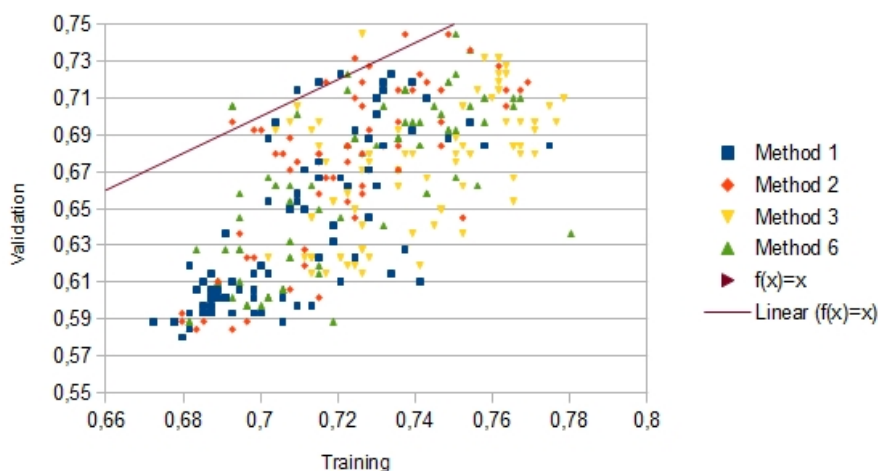
performance of the networks as is seen in figures 4.4 and 4.5. Higher  $TM$  produces a larger pool of simple ANN that have only one topology mutation, because method 5 is used for evolving the topology (see section 3.4). The data points for  $N_0 = 100$  will have a larger spread in its weight configurations because there are more networks compared to  $N_0 = 50$ . The reason why the data points are spread out needs to be further investigated. If the complexity of the ANN is too simple then it cannot solve the problem. These topologies should be the ones in the lower left corner of figures 4.4 and 4.5. Leaving out some of the data results that are part of the initial pool of topologies might show a better result.



**Figure 4.6:** The data points show no bigger difference depending on the variation in  $\xi_0$ . Although the yellow points are less spread out compared to the blue.  $N_0 = 100$ ,  $l_r = 3.6$ ,  $GM = 800$ ,  $TM = 100$ . Method 5 is used.

In figure 4.6 it is shown how the performance changes when varying  $\xi_0$ . It is difficult to say that varying  $\xi_0$  shows much of a difference in figure 4.6. It was expected that varying  $\xi_0$  would have a larger impact on the network performance because  $\xi_0$  affects how much the network weights change. For  $\xi_0 = 40$  there seems to be a larger spread compared to  $\xi_0 = 160$  but it is not conclusive. Figure 4.6 indicates that  $\xi_0$  can be estimated by considering  $\xi_0$  being 4 – 16% of the total  $GM$ .

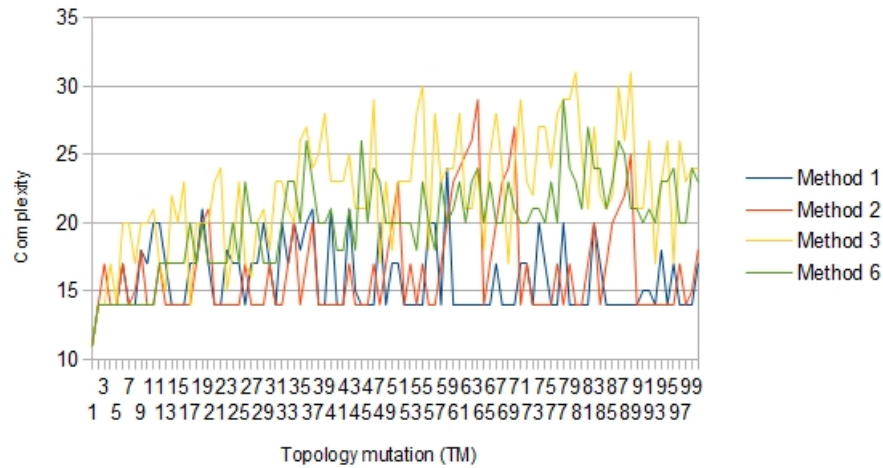
## 4.2 Performance and complexity



**Figure 4.7:** Results obtained with methods 1, 2, 3 and 6.  $N_0 = 100$ ,  $l_r = 3.2$ ,  $GM = 800$ ,  $TM = 100$  and  $\xi_0 = 50$ .

In figure 4.7 different methods that have been developed are tested. The parameter settings are determined from the parameter tests made in the figures before. Method 1 in figure 4.7 is not performing that well because most of the data points are in the lower left corner of the figure. The reason could be many simple topologies and the networks that get complex are not performing well because the connections between the nodes are inadequate. The networks that are mutated according to method 3 tend to get more overtrained and perform quite well on validation. Method 2 and 6 are less overtrained than method 3 and they perform equally well on validation, at a high frequency. All methods, except method 1, has a good spread in the upper right and potential of finding a good ANN. The methods that avoid choosing the first topology seem to produce networks that perform better. Generating more complex topologies is expected to enhance the performance of the networks.

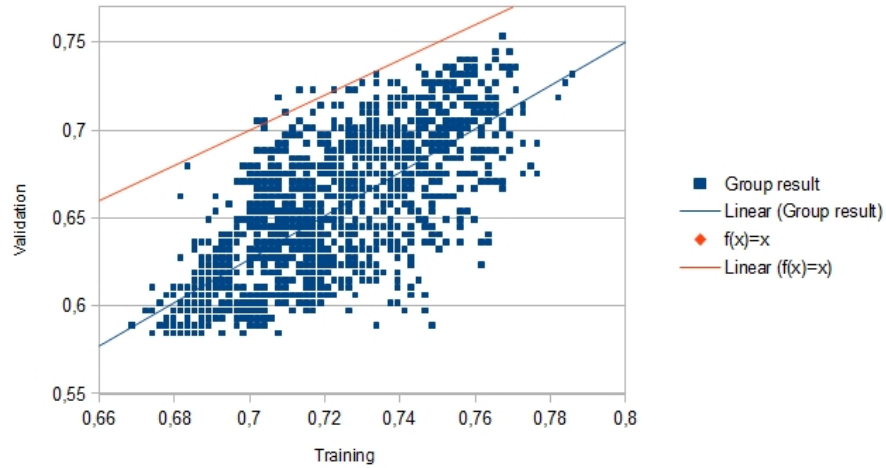
Figure 4.7 and 4.8 shows one simulation run for each method and to get a clearer and more general result more and bigger simulations are needed. The complexity of the ANN's are very different in figure 4.8 depending on the method. Therefore it is difficult to say if there is any particular pattern in the development of complexity depending



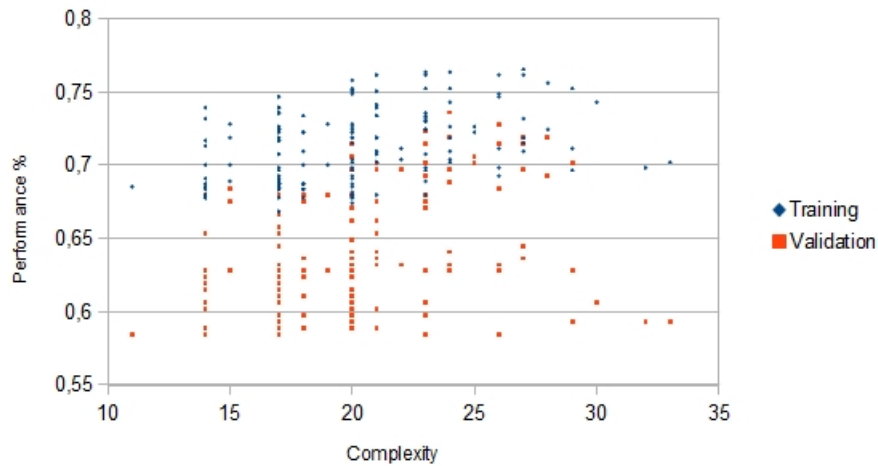
**Figure 4.8:** Developing complexity depending on method. Same as in figure 5.10 but now showing the development of complexity during the simulation. The y-axis shows how many links are active. The x-axis shows  $TM$ .

on the method used. Method 1 and 2 tend to get less complex in general. Method 3 chooses randomly and it is seen that this method generates ANN's that are getting more complex and fluctuating a lot. The fluctuations were expected because a very simple or complex ANN could be chosen for the next mutation. Method 6 should generate more complex ANN's at the end and does so but it seems that method 3 has more success at generating more complex ANN's. Method 6 is not fully developed because the question is how much time during the simulation should be dedicated to developing the complexity. When the ANN gets complex it can happen that the way the nodes are connected do not favour a good performance.

Figure 4.9 shows how data points from several runs that are grouped together like an oval shaped form. This simulation investigated the stability of the results, since the results of one run does not affect future runs. If some parameter values are tweaked a bit then the data points might move a little upwards to the right and spread out a bit more. These parameters could be any of the parameters that are stated in the figure text for figure 4.9.



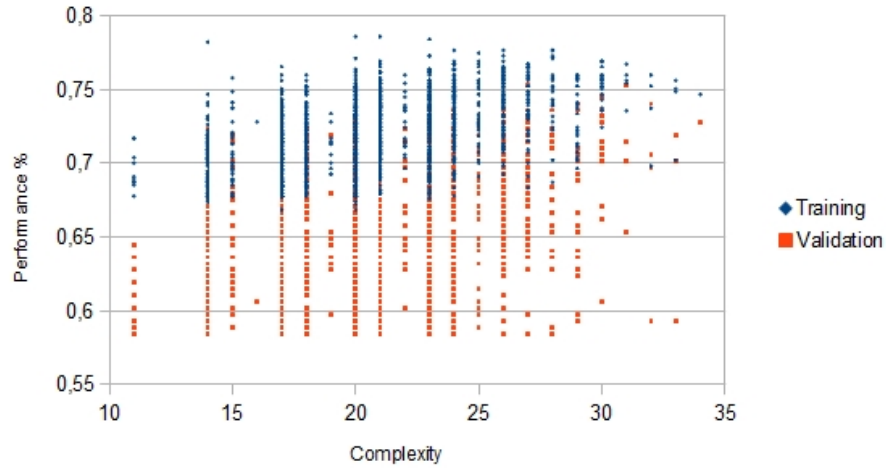
**Figure 4.9:** Testing stability of ten different runs. The 10 results are plotted together in the figure. All the parameters are the same for each simulation run.  $N_0 = 100$ ,  $l_r = 3.2$ ,  $GM = 1000$ ,  $TM = 150$  and  $\xi_0 = 30$ . The fifth method is used.



**Figure 4.10:** Performance depending on complexity. The first simulation run from figure 4.9. On the x-axis is the complexity. On the y-axis is the performance of the ANN.

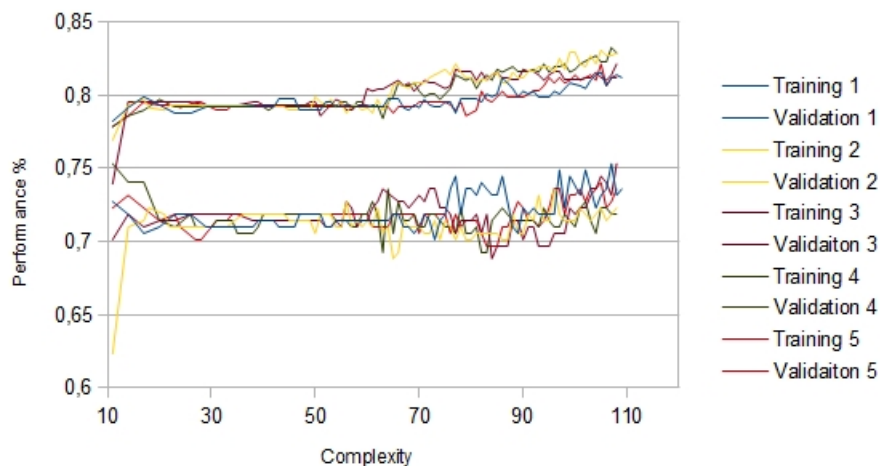
In figure 4.10 the training and validation is affected by the network topology. Different topologies with the same complexity shows that the performance is affected. It cannot be seen which training and validation result that belongs together in figure 4.10

but that is not of importance here. What is important and should be noted is that the connection between the nodes makes a difference on the performance.



**Figure 4.11:** Same as figure 4.10 with the difference that all 10 simulation runs from figure 4.9 are shown in one figure.

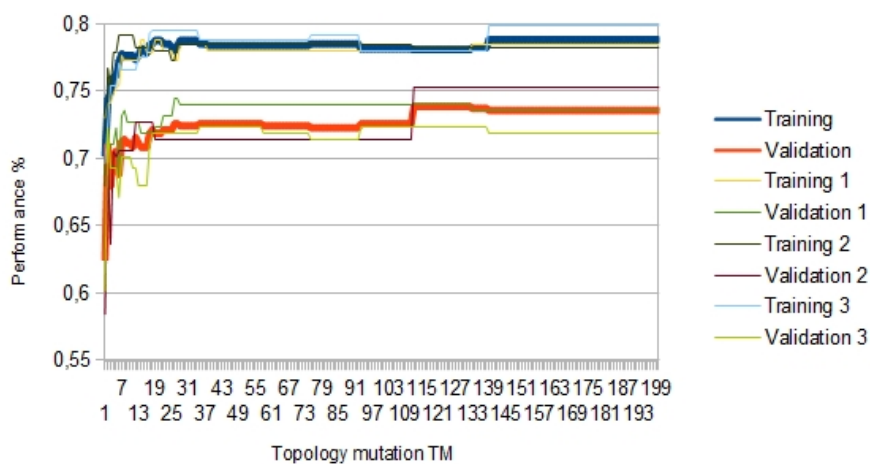
Figure 4.11 has a lot of information. The purpose of figure 4.11 is to show how much the training and validation can vary. The variation of performance depending on the variation of topology, for a certain complexity. Looking at the complexity 11 on the x-axis in 4.11, it is seen that networks perform poorly because they are underdeveloped. Continuing on the y-axis at around 0.6 it is seen that higher complexity does not necessarily mean better validation, which also was expected. There are a lot of ANN's with different complexities that perform equally as the simplest ANN topologies. It rarely happens that complex ANN's performs worse on training compared to the simplest ANN topologies. Most ANN's have a validation where data points are between 0.6-0.7 on the y-axis indifferent of complexity.



**Figure 4.12:** Performance depending on continuous development of the topology. Five different runs are shown in this figure. The ANN's are continuously evolving their topology and both the training and validation performance is plotted together for each run.  $N_0 = 20$ ,  $GM = 1200$ ,  $TM = 80$ ,  $l_r = 1.6$  and  $\xi_0 = 60$ . Method 4 is used.

Comparing validation and training performance in figure 4.12 shows a lot of differences. This implies that the topology again has great importance. In this figure the number of networks are few. It would be better if  $N_0$  and  $TM$  were larger which might lead to less fluctuations and a clearer pattern. It is expected that the training will go up and the validation will go down with increased complexity, which is not entirely conclusive by looking at figure 4.12. Larger simulations would show more detail regarding how the validation and training evolves during the simulation.

In figure 4.13 it is investigated how different combinations of the input nodes affect the performance. Three ANN's are created that perform very differently. Again as expected the topology is shown to be important regarding performance while increasing the complexity. Figure 4.13 shows that the validation goes down and the training goes up at the end which is expected to happen because of overtraining. Increasing the size of ANN's would probably follow the same pattern as in figure 4.13 but it would be more prominent how the training and validation performances develop over time.



**Figure 4.13:** Same as in figure 4.12. In this figure  $N_0 = 100$ ,  $TM = 200$ ,  $GM = 1000$ ,  $l_r = 3.2$  and  $\xi_0 = 100$ . It is observed that the complexity affects the performance. The mean value result of three different simulation runs are shown.



## 5

# Discussion & Outlook

### 5.1 The Pima Indian dataset

In this thesis we successfully implemented topology evolution. The performance was investigated using the Pima Indian dataset as a test bed. The Pima Indian dataset represents a computational challenge. Nevertheless we can see some trends in the results.

Investigating different strategies for topology development and how it affects overtraining was the goal of this work. It was found that the topology plays a vital role in how the network performs according to the figures 4.12 and 4.13. Different methods have been developed for the purpose of improving the performance and study topology development. Topologies show different amounts of overtraining by comparing topologies with same the complexity (see figure 4.11). Overtraining is affected by complexity and by the topology. Continuous development of the topology indicates overtraining.

There are indications that user defined parameters like  $GM$  affects the performance quite a lot (see figure 4.1). Also there are certain behaviours that arise when different parameters are varied such as  $\xi_0$  and  $GM$  but these are not conclusive.

## 5.2 The possibilities

The topology mutation occurs randomly. Also the performance will not affect the future development of the network topologies during simulation. however influencing the selection process in the topology space was not the aim of this project.

The method of developing the topology is very important. A key factor is that the diversity needs to be large. If the diversity is not large then some topologies will be favoured by having a higher probability of being selected for topology mutation. It is a difficult problem to optimize the search in topology space. One possibility is to look at what similarities there are between the best and worst performing topologies regarding validation. Meta-optimization of the topology would further increase performance. In this approach knowledge from earlier simulations regarding favourable topologies is used to further optimize the search in topology space.

The topology development can be done more efficiently. By using different methods of restrictions to avoid the same topology appearing again and also lobotomising the network if needed to go back to the better-less-complex topology. Then try to activate another link that might be more promising. Trying to stop training when the performance is decreasing is also common to use. Another way of increasing efficiency when selecting topologies is by throwing away topologies that do not perform better than some average performance and replace them, thereby ensuring that the possibility of evolving depends on performance. Computational time will be saved and there will be more time to explore unique topology architectures and weight configurations.

Selecting topologies entirely based on performance can have a downside. Topologies that have developed but are missing some combination between the links can become one of the best topologies for solving the problem. The poorly performing topologies need to get a chance to evolve. Giving the chance for some poorly performing topologies to evolve further can be done by protecting them. The protection of topologies can be done by giving some networks immunity from being thrown away. This immunity can be based on the topology mutation iterator. After a number of mutations the topology will be vulnerable again. Using this kind of approach to protect certain networks will

require a list that has to be updated regularly and might be computationally demanding.

Lastly it is important to say that it is difficult to make any precise conclusions from the figures shown in the result section. More and larger simulation runs are needed so that statistically satisfactory results can be acquired. The classification performance was not satisfactory but the findings in this work does answer an important question. Topology plays a vital role in the performance of the ANN.

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