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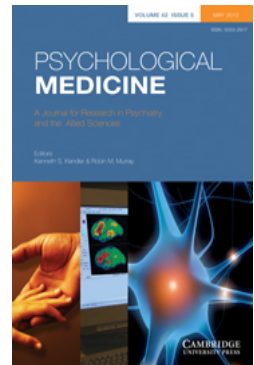
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# Lower birth weight indicates higher risk of autistic traits in discordant twin pairs

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**Background.** Autism spectrum disorder (ASD) is a neurodevelopmental disorder of complex etiology. Although strong evidence supports the causal role of genetic factors, environmental risk factors have also been implicated. This study used a co-twin-control design to investigate low birth weight as a risk factor for ASD.

**Method.** We studied a population-based sample of 3715 same-sex twin pairs participating in the Child and Adolescent Twin Study of Sweden (CATSS). ASD was assessed using a structured parent interview for screening of ASD and related developmental disorders, based on DSM-IV criteria. Birth weight was obtained from medical birth records maintained by the Swedish Medical Birth Registry.

**Results.** Twins lower in birth weight in ASD-discordant twin pairs ( $n=34$ ) were more than three times more likely to meet criteria for ASD than heavier twins [odds ratio (OR) 3.25]. Analyses of birth weight as a continuous risk factor showed a 13% reduction in risk of ASD for every 100 g increase in birth weight ( $n=78$ ). Analysis of the effect of birth weight on ASD symptoms in the entire population (most of whom did not have ASD) showed a modest association. That is, for every 100 g increase in birth weight, a 2% decrease in severity of ASD indexed by scores on the Autism – Tics, attention-deficit hyperactivity disorder (AD/HD), and other Comorbidities (A-TAC) inventory would be expected in the sample as a whole.

**Conclusions.** The data were consistent with the hypothesis that low birth weight confers risk to ASD. Thus, although genetic effects are of major importance, a non-genetic influence associated with birth weight may contribute to the development of ASD.

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**Key words:** Autism, birth weight, twin.

## Introduction

Autism spectrum disorder (ASD) is a neurodevelopmental disorder affecting approximately one in 100 children (CDC, 2009). Although the cause of ASD is not known definitively, evidence for genetic contribution comes from studies implicating a range of copy number variants and single nucleotide polymorphisms (SNPs) associated with ASD (e.g. Weiss *et al.* 2008, 2009), in addition to twin and family studies indicating considerably higher concordance rates among monozygotic (MZ) than dizygotic (DZ) twins (Folstein

& Rutter, 1977; Lichtenstein *et al.* 2010), and a more than 20-fold recurrence risk for siblings of individuals with ASD (Lauritsen *et al.* 2005; Constantino *et al.* 2010; Ozonoff *et al.* 2011). A genetic contribution to autism is further supported by evidence of subtle differences in social interaction, communication and flexibility among family members of individuals with ASD, which have been hypothesized to reflect genetic liability (Bolton *et al.* 1994; Piven *et al.* 1997; Losh *et al.* 2008). Such findings offer compelling evidence for genetic etiology, yet because the concordance among MZ twins is far from 100%, and given recent evidence from a large-scale twin study that concordance for ASD in DZ twins is considerably higher than had been estimated previously (Hallmayer *et al.* 2011), environmental risk factors are also implicated (Szatmari, 2011). Potential environmental risk factors have

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included prenatal viral exposure (Chess, 1971, 1977; Markowitz, 1983), maternal stress during pregnancy (Ward, 1990; Beversdorf *et al.* 2005), paternal and maternal age (Larsson *et al.* 2005; Reichenberg *et al.* 2006; Lundstrom *et al.* 2010), parity (Piven *et al.* 1993; Bolton & Griffiths, 1997; Juul-Dam *et al.* 2001), and indices of pre- and perinatal suboptimality, such as prematurity and low birth weight.

Of note, the landmark British twin study of Folstein & Rutter (1977), which provided the first empirical evidence for a genetic basis of autism, also reported evidence that perinatal hazards (including low birth weight) may predispose to autism. Examining characteristics of twin pairs discordant for autism, investigators found that, in all 11 discordant cases, the affected twin experienced some sort of perinatal hazard, and in three of these cases the affected twin was at least one pound lighter than the unaffected twin. A subsequent Scandinavian twin study also reported lower perinatal optimality among affected twins in discordant pairs, but did not report birth weight (Steffenburg *et al.* 1989). A study following up on the original British twin sample, and including an additional 28 twin pairs (Bailey *et al.* 1995), also reported substantial weight differences (>500 g) in six of 29 discordant pairs with reliable obstetric data available, with the autistic twin being the lighter twin in five of the six cases (although only one of these twin pairs was MZ). A recent twin study of dimensionally measured ASD-like traits in the general population reported an association between differences in MZ twins' birth weights and their differences in social ASD-like traits, although overall, investigators concluded that there was weak support for a causal role of pre- and neonatal features in ASD-like traits (Ronald *et al.* 2010).

An association between birth weight and ASD has also been reported in studies of singletons. The majority of such studies have focused on infants with very low (<1500 g) and extremely low (<1000 g) birth weight (most often involving preterm births) (Indredavik *et al.* 2004; Skranes *et al.* 2007; Schendel & Bhasin, 2008; Hack *et al.* 2009; Kuban *et al.* 2009; Limperopoulos, 2009); however, associations between ASD and less extreme values of low birth weight (<2500 g) have been detected (Bryson *et al.* 1988; Burd *et al.* 1999; Maimburg & Vaeth, 2006; Kolevzon *et al.* 2007; Burstyn *et al.* 2010; Ben Itzhak *et al.* 2011). Contrary findings have also been reported (Levy *et al.* 1988; Mason-Brothers *et al.* 1990; Cryan *et al.* 1996; Deb *et al.* 1997; Larsson *et al.* 2005; Kolevzon *et al.* 2007).

Taken together, previous reports could support an association between birth weight and ASD; however, the mechanisms underlying this association are not

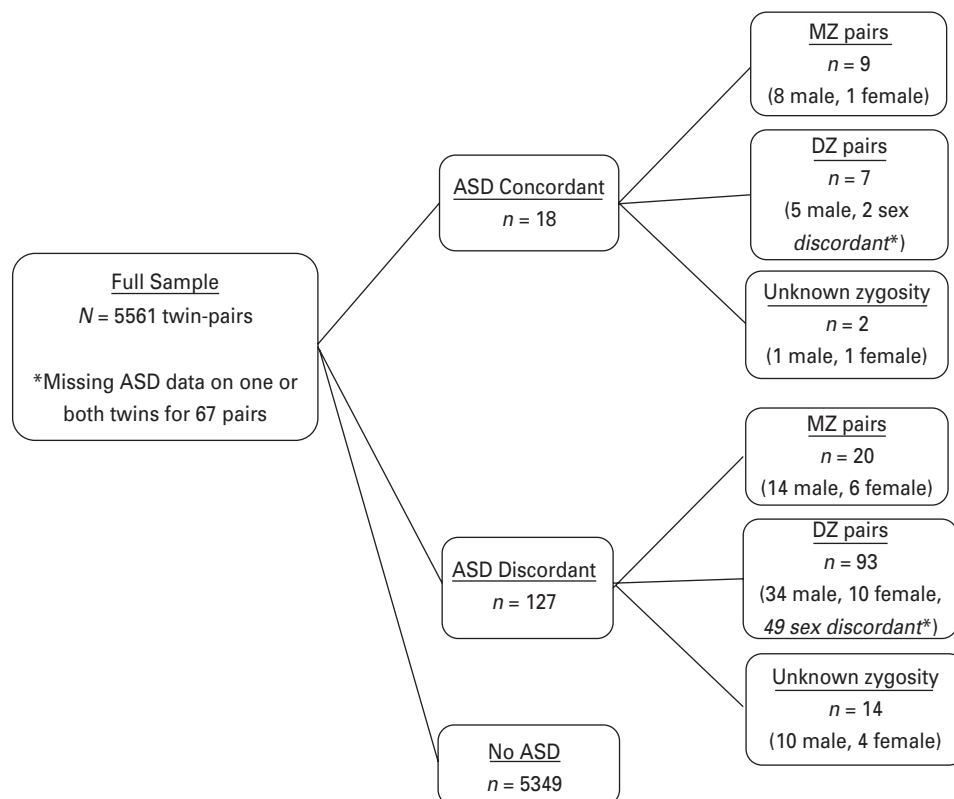
straightforward. Low birth weight (and other environmental factors) could act independently, or interact with underlying genetic predisposition as part of a liability threshold model of complex disorders (Falconer, 1981). It is also possible that low birth weight may result from underlying genetic liability to ASD. For instance, studies have reported that obstetric complications seem to be more common among probands from families with a strong family history of ASD and ASD-like traits (who presumably represent cases of higher genetic loading) (Bolton *et al.* 1997; Zwaigenbaum *et al.* 2002). Both ASD and birth weight have also been shown to be heritable (Clausson *et al.* 2000; Magnus *et al.* 2001; Gielen *et al.* 2008), raising the possibility that shared genetic variants could act as confounders influencing both characteristics.

In an attempt to address these confounds, this study examined the association between birth weight and ASD using a co-twin-control design in a large population-based sample of twins. Examining differences in discordant MZ twins in a co-twin-control design can provide an estimate of the 'non-shared' or 'causal' effect, and also yield an estimate of the strength of the association, controlling for shared genetic (including maternal genetic) and environmental factors (e.g. Hrubec & Robinette, 1984). Additionally, because DZ and MZ twins share 50% or 100% of their segregating genes respectively, stratifying analyses by zygosity allows for varying degrees of control for genetic factors. Birth weight was examined in same-sex MZ and DZ twin pairs enrolled in the Child and Adolescent Twin Study in Sweden (CATSS; Lichtenstein *et al.* 2006, 2010; Anckarsäter *et al.* 2008). Because sex differences have been documented in birth weight and ASD, same-sex twin pairs were studied to reduce confounding effects of sex.

## Method

### Sample

Participants included a population-based cohort of twin pairs enrolled in CATSS (Anckarsäter *et al.* 2008; Lichtenstein *et al.* 2010), a nationwide cohort that includes all Swedish twins turning 9 or 12 years between July 1992 and 1998, where both twins were alive and residing in Sweden in 1994 ( $n=11\,400$  individuals, 5700 twin pairs). CATSS has an 80% response rate, making it a highly representative population sample. Parents of 9- (born July 1995-) and 12-year-old (born July 1992-June 1995) Swedish twins identified through the Swedish Twin Registry were contacted and, once enrolled in the study, interviewed by trained interviewers employed by a professional company, 'Intervjubilaget'. Interviewers were given a brief introduction to child and adolescent psychiatry



**Fig. 1.** Flow chart illustrating twin pairs examined in analyses of birth weight and autism spectrum disorder (ASD). ASD was defined as score of  $\geq 4.5$  on the ASD module of the Autism – Tics, attention-deficit hyperactivity disorder (AD/HD), and other Comorbidities Inventory (A-TAC). MZ, monozygotic; DZ, dizygotic. \* Sex-discordant pairs were not included in analyses.

and twin research, and trained in the administration of the structured interview used to assess ASD symptoms, the Autism – Tics, attention-deficit hyperactivity disorder (AD/HD), and other Comorbidities (A-TAC) inventory (Hansson *et al.* 2005). Interviews took place during the month of the child's birthday (for further details see Lichtenstein *et al.* (2010).

Fifty-two percent of the sample were male. One hundred and thirty children (76 males, 54 females) were excluded from the analyses because of known brain injury ( $n=118$ ) or a chromosomal syndrome ( $n=12$ ). Eighty individuals (40 pairs) were missing information on sex, birth order and/or birth weight on at least one of the twins in the pair, and so were removed from the data set. Discrepancies with birth weight and/or birth order led to removal of an additional 198 individuals. The final working data set consisted of 5561 twin pairs, 3715 of whom were same-sex, and this subset served as the primary focus of analyses. Fig. 1 provides an overview of twins examined in analyses, grouped by ASD status and zygosity.

### Zygosity

Zygosity was determined by an algorithm based on five items concerning twin similarity and confusion,

validated in twins for whom we had DNA on both twins based on a panel of 48 SNPs derived for zygosity analyses (Lichtenstein *et al.* 2010). Twins with more than 95% probability of being correctly classified were assigned a zygosity. Those with uncertain scores were classified as unknown zygosity. Same-sex twin pairs included in analyses consisted of 811 pairs of MZ males, 855 pairs of DZ males, 822 pairs of MZ females, 719 pairs of DZ females, and 508 pairs with unknown zygosity (257 males and 251 females). Pairs with unknown zygosity were included in overall analyses and male–female comparisons, but excluded from analyses of zygosity.

### Measures

#### ASD

The presence of ASD was assessed through the A-TAC (Hansson *et al.* 2005), which is a comprehensive structured interview designed as a tool for assigning research proxies for clinical diagnosis of ASD and other targeted disorders, based on DSM-IV criteria. It has been validated in two formal studies comparing children later diagnosed at a specialized neuropsychiatric clinic to controls, using blind lay interviewers who

conducted assessments by telephone (Hansson *et al.* 2005; Larson *et al.* 2010). Additionally, intra-class correlations conducted for 200 families participating in the present study, who had been reassessed with the A-TAC, revealed good test–retest (0.89) and inter-rater (0.80) reliability for ASD.

Continuous scores on the ASD module of the A-TAC have been shown to be useful as an index of the probability of a clinical diagnosis among children demonstrating clinically significant ASD symptoms [areas under receiver operating characteristics (ROC) curves 0.88 and 0.96 in the two independent validation studies]. Using the established cut-off for ASD at 4.5 points by the 12 DSM-IV-based items assessing ASD, sensitivity was 0.83 and specificity 0.94 for the identification of ASD in the latest validation study (Larson *et al.* 2010). Further details on the psychometric properties of the A-TAC are provided elsewhere (Hansson *et al.* 2005; Anckarsäter *et al.* 2007; Larson *et al.* 2010).

The A-TAC assesses ASD-like traits comprising the principal symptom domains of ASD (i.e. language impairment, social dysfunction and restricted interests/repetitive behaviors) separately, yielding summary scores for each and a total score for ASD. This dimensional approach has been shown to capture severity by indexing the number of symptoms endorsed and the problem load in each symptom module (Anckarsäter *et al.* 2008). Scores on the A-TAC were examined as both categorical (affected *versus* unaffected) and continuous/dimensional variables. For the former, established cut-off scores for ASD and ASD-like traits were as follows: ASD  $\geq 4.5$ , language impairment  $\geq 1$ , social impairment  $\geq 2$ , ritualistic/repetitive  $\geq 1$ . Based on the high sensitivity and specificity demonstrated for the A-TAC in identifying ASD (using the cut-off score above), A-TAC scores meeting cut-off for the ASD module were treated as a research proxy for clinical diagnosis of ASD. Such cases are hereafter referred to as ASD.

#### *Birth weight*

Birth weight information was collected through the Swedish Medical Birth Register obtained at the time of birth. Register information was compared to parental report of birth order and birth weight to confirm correct assignment of birth weight to each twin. If twin data did not match exactly (2117 exact matches), the following criteria were used for determining agreement between the parental report and register data, which had to be available for at least one twin: (1) both register data and parental report must have agreed on who was the heavier child; and (2) the parental report must have been within 300 g of the medical registry

birth weight. This resulted in 99 discrepant pairs, who were excluded from analyses. Following prior work (O'Brien *et al.* 1986; Blickstein & Lancet, 1988; Blickstein, 1991; Talbot *et al.* 1997; Branum & Schoendorf, 2003), we classified twin pairs as birth-weight discordant when there was  $\geq 400$  g difference in birth weight between the twins in a pair, or if one twin was at least 15% lighter than the other in the pair.

#### *Statistical analyses*

The primary analyses were conducted with both MZ and DZ twins among same-sex pairs. As noted previously, this enabled us to control for (unmeasured) confounding of genetic and environmental factors that can impact other study designs. Analyses were performed stratified by zygosity (MZ, DZ) and by sex, given that sex differences are observed in both ASD and birth weight. Three complementary analyses were conducted to address the hypothesis that low birth weight confers a risk for ASD: (1) McNemar's test was used to calculate odds ratios (ORs) for pairs discordant for both birth weight and ASD, in order to examine the odds of ASD given lighter or heavier status within discordant pairs; (2) conditional logistic regression was used to estimate ORs, viewing the data as a matched case–control study among ASD-discordant twins (where the twin with ASD was considered the case and the co-twin without ASD was considered the control), with birth weight entered into the model as a continuous exposure; and (3) generalized estimating equations (GEEs) with a Poisson link function were used to examine the relative effect of birth weight on ASD symptoms in the entire sample, controlling for zygosity, sex and concordance. The first and second analyses were also applied to each ASD-like trait: restricted/repetitive behaviors, and language and social impairment. Because no formal correction for multiple comparisons was made, for secondary analyses of ASD-like traits, statistical significance was established conservatively at 0.01. All analyses were conducted using SAS, version 9.2 (Littell *et al.* 1996).

## **Results**

### *Descriptive data*

Table 1 provides the prevalence of ASD and the ASD-like traits for the total sample ( $n=5561$ ), independent of twin status and categorized by standard birth weight categories (<1500, 1500–2499, 2500–3499 and  $\geq 3500$  g; note, however, that twins tend to have lower birth weights than singletons), sex and zygosity. The proportion of cases meeting cut-off for ASD and

**Table 1.** Prevalence of ASD and ASD-like traits measured by the A-TAC by sex, zygosity and birth weight (BW) category (g), independent of twin status for the full sample (n = 5561)

	BW (g)	ASD		Restricted/ repetitive n (%)	Language n (%)	Social n (%)
		N	n (%)			
<b>Sex</b>						
Males	≤1499	249	8 (3.2)	38 (15.3)	37 (14.9)	5 (2.0)
	1500–2499	1751	40 (2.3)	219 (12.5)	220 (12.6)	32 (1.8)
	2500–3499	3178	69 (2.2)	319 (10.0)	309 (9.7)	52 (1.6)
	≥3500	426	5 (1.2)	37 (8.6)	43 (10.0)	6 (1.4)
	Missing BW	88				
Females	≤1499	256	6 (2.3)	22 (8.5)	27 (10.5)	5 (2.0)
	1500–2499	1916	19 (1.0)	106 (5.5)	103 (5.4)	21 (1.1)
	2500–3499	2920	16 (0.6)	146 (5.0)	116 (4.0)	18 (0.6)
	≥3500	227	0 (0.0)	6 (2.6)	10 (4.4)	0 (0.0)
	Missing BW	111				
<b>Zygosity</b>						
MZ	≤1499	160	3 (1.9)	20 (12.5)	21 (13.1)	3 (1.3)
	1500–2499	1175	16 (1.4)	76 (6.5)	114 (9.7)	21 (1.8)
	2500–3499	1716	19 (1.1)	106 (6.2)	130 (7.6)	16 (0.9)
	≥3500	139	1 (0.7)	5 (3.6)	14 (10.0)	0 (0.0)
	Missing BW	76				
DZ <sup>a</sup>	≤1499	279	8 (2.9)	32 (11.4)	33 (11.7)	5 (1.8)
	1500–2499	2167	38 (1.8)	221 (10.2)	186 (8.6)	28 (1.3)
	2500–3499	3831	57 (1.5)	311 (8.1)	248 (6.5)	49 (1.3)
	≥3500	457	3 (0.7)	34 (7.4)	33 (7.2)	5 (1.1)
	Missing BW	106				
Unknown	≤1499	66	3 (4.6)	8 (12.1)	10 (15.2)	3 (4.6)
	1500–2499	325	5 (1.5)	28 (8.6)	23 (7.0)	4 (1.2)
	2500–3499	551	9 (1.6)	48 (8.7)	47 (8.5)	5 (0.9)
	≥3500	57	1 (1.8)	4 (7.0)	6 (10.5)	1 (1.8)
	Missing BW	17				

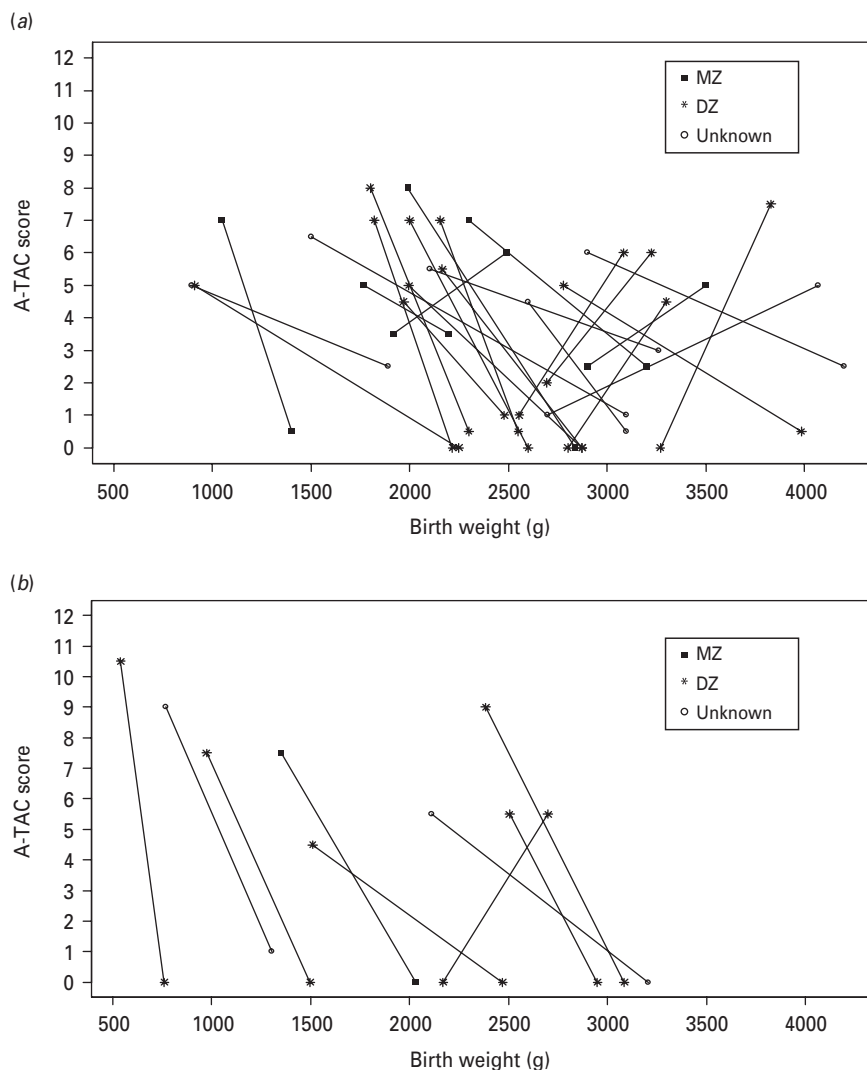
ASD, Autism spectrum disorder; A-TAC, the Autism – Tics, attention-deficit hyperactivity disorder (AD/HD), and other Comorbidities Inventory; MZ, monozygotic; DZ, dizygotic; *N*, total number of individuals; *n*, number of individuals meeting cut-off criteria for ASD or ASD-like traits; %, percentage meeting cut-offs on the A-TAC.

<sup>a</sup> DZ includes both same-sex and opposite-sex twin pairs.

the ASD-like traits (defined using A-TAC cut-off definitions, described previously) is also provided for each subgrouping. With few exceptions, the prevalence of ASD and ASD-like traits was highest for the lowest birth weight group (decreasing as weight increased). Males had a higher prevalence of ASD than females in all birth weight categories. The higher prevalence of ASD and ASD-like traits among males compared to females was also apparent among the unlike-sexed DZ twins (which control for unmeasured familial confounding); the odds of ASD were 2.1 [95% confidence interval (CI) 1.2–3.7,  $p < 0.01$ ] times higher among males compared to females. Subsequent analyses were performed on same-sex twins only. There did not seem to be any distinct patterns of prevalence across zygosity classification.

#### Effect of birth weight discordance (>400 g or 15%)

Fig. 2a (males) and Fig. 2b (females) demonstrate that, for the majority (26/34) of same-sex pairs discordant for ASD and birth weight, the lighter twin exhibited higher A-TAC scores (i.e. more severe symptomatology reported). The odds of meeting cut-off for ASD were 3.25 times higher for the lighter twin (95% CI 1.47–7.18,  $p \leq 0.01$ ). The ORs were similar for MZ and DZ twin pairs, and also for males, but slightly higher for females. However, small sample sizes resulted in fairly wide CIs around these estimates (poor precision), particularly for females (see Table 2). In addition, the odds of meeting criteria for ASD-like traits on the A-TAC were higher for the lighter child in birth weight discordant pairs. The magnitude of these



**Fig. 2.** Autism spectrum disorder (ASD) symptoms and birth weight differences for (a) discordant male twin pairs and (b) discordant female twin pairs. A-TAC, the Autism – Tics, attention-deficit hyperactivity disorder (AD/HD), and other Comorbidities Inventory; MZ, monozygotic; DZ, dizygotic.

relationships was similar when stratifying based on zygosity and sex. Finally, we also examined whether discordance in birth weight would show an effect on symptom severity within the 16 ASD-concordant twins. As illustrated in the Appendix, there does not seem to be an effect related to birth weight among ASD-concordant twins ( $p=0.67$ ).

**Birth weight as a continuous risk factor**

Birth weight was analyzed as a continuous exposure variable in a conditional logistic model for pairs discordant for ASD as measured by the A-TAC. For these analyses, the twin with ASD was used as the case and the twin without ASD was used as the control. Increasing birth weight was significantly associated with a decreased risk of ASD. A 100-g increase in birth weight resulted in a 13% reduction in risk of ASD (OR

0.87, 95% CI 0.78–0.96) (Table 3). In the stratified analyses, the ORs for the MZ and DZ male pairs were similar to the overall OR, whereas the female pairs had a slightly higher, but less precise, risk reduction (28%; OR 0.72, 95% CI 0.51–1.00). Similarly, increased birth weight was associated with a reduction in the risk of each ASD-like trait, although only language impairment was significant at the 0.01 level. No trends were observed in the stratified analyses.

The association between birth weight and ASD symptoms was also examined in the full study sample, with birth weight analyzed as a discrete variable and ASD analyzed as a continuous variable, controlling for zygosity, sex and concordance using GEEs with a Poisson link function. This analysis corrected for skewness due to the large number of ‘0’ scores (64% of sample) on the A-TAC, as most children in the



**Table 2.** Odds ratios (ORs) for pairs discordant for birth weight and ASD (and ASD-like traits) on the A-TAC using the McNemar test

Pairs	ASD	Restricted/repetitive	Language	Social
<b>Overall</b>				
No. of discordant pairs <sup>a</sup>	34	151	120	37
No. of lighter children meeting cut-off	26	92	80	26
OR (95% CI)	3.25** (1.47–7.18)	1.56** (1.12–2.16)	2.00** (1.37–2.92)	2.36* (1.17–4.78)
<b>MZ</b>				
No. of discordant pairs <sup>a</sup>	7	38	41	8
No. of lighter children meeting cut-off	5	23	30	6
OR (95% CI)	2.50 (0.49–12.89)	1.53 (0.80–2.94)	2.72** (1.37–5.44)	3.00 (0.61–14.86)
<b>DZ</b>				
No. of discordant pairs <sup>a</sup>	19	88	62	22
No. of lighter children meeting cut-off	14	54	41	15
OR (95% CI)	2.80* (1.01–7.77)	1.59* (1.03–2.44)	1.95* (1.15–3.30)	2.14 (0.87–5.26)
<b>Males</b>				
No. of discordant pairs <sup>a</sup>	25	98	81	24
No. of lighter children meeting cut-off	18	63	52	16
OR (95% CI)	2.57* (1.07–6.16)	1.80** (1.19–2.72)	1.79* (1.14–2.82)	2.00 (0.86–4.67)
<b>Females</b>				
No. of discordant pairs <sup>a</sup>	9	63	39	13
No. of lighter children meeting cut-off	8	29	28	10
OR (95% CI)	8.00* (1.00–63.96)	1.21 (0.70–2.08)	2.55** (1.27–5.11)	3.33 (0.92–12.11)

ASD, Autism spectrum disorder; A-TAC, the Autism – Tics, attention-deficit hyperactivity disorder (AD/HD), and other Comorbidities Inventory; MZ, monozygotic; DZ, dizygotic; CI, confidence interval.

<sup>a</sup> Discordant for birth weight (BW) and ASD (or ASD-like traits) on the A-TAC. BW discordance was defined as  $\geq 400$  g difference in BW between the twins in a pair, or one twin at least 15% lighter than the other in the pair.

\*  $p \leq 0.05$ , \*\*  $p \leq 0.01$ .

**Table 3.** Odds ratios per 100-g increase in birth weight (OR 100-g) for twin pairs discordant for ASD and ASD-like traits on the A-TAC using conditional logistic regression

	ASD	Restricted/repetitive	Language	Social
<b>Overall</b>				
No. of discordant pairs <sup>a</sup>	78	375	309	78
OR 100-g (95% CI)	0.87** (0.78–0.96)	0.95* (0.90–0.99)	0.90** (0.85–0.95)	0.91* (0.82–1.00)
<b>MZ</b>				
No. of discordant pairs <sup>a</sup>	20	108	106	25
OR 100-g (95% CI)	0.86* (0.76–0.97)	0.93 (0.85–1.03)	0.85** (0.77–0.95)	0.88 (0.73–1.06)
<b>DZ</b>				
No. of discordant pairs <sup>a</sup>	44	214	161	44
OR 100-g (95% CI)	0.86* (0.74–1.00)	0.94 (0.88–1.01)	0.91* (0.85–0.98)	0.91 (0.78–1.05)
<b>Males</b>				
No. of discordant pairs	58	239	201	53
OR 100-g (95% CI)	0.89* (0.80–0.99)	0.94* (0.89–0.99)	0.92** (0.87–0.98)	0.94 (0.84–1.05)
<b>Females</b>				
No. of discordant pairs <sup>a</sup>	20	136	108	25
OR 100-g (95% CI)	0.72* (0.51–1.00)	0.96 (0.89–1.05)	0.85** (0.76–0.95)	0.84 (0.69–1.02)

ASD, Autism spectrum disorder; A-TAC, the Autism – Tics, attention-deficit hyperactivity disorder (AD/HD), and other Comorbidities Inventory; MZ, monozygotic; DZ, dizygotic; CI, confidence interval.

<sup>a</sup> Discordant for ASD (or component feature) measured by A-TAC cut-off scores.

\*  $p \leq 0.05$ , \*\*  $p \leq 0.01$ .

**Table 4.** Model of the effect of birth weight on ASD symptoms on the A-TAC using GEEs with the Poisson link function (same-sex twins)

Variable	PR (95% CI)	p value
Birth weight (100 g change)	0.98 (0.97–0.98)	<0.0001
Sex		<0.0001
Female	0.68 (0.62–0.75)	
Male	1 (Reference)	
Zygoty		0.1083
MZ	0.94 (0.82–1.08)	
DZ	1.04 (0.92–1.19)	
Unknown	1 (Reference)	
Concordance		<0.0001
ASD concordant	22.93 (19.50–26.96)	
ASD discordant	10.65 (9.77–11.62)	
Non-ASD concordant	1 (Reference)	

ASD, Autism spectrum disorder; A-TAC, the Autism – Tics, attention-deficit hyperactivity disorder (AD/HD), and other Comorbidities Inventory; GEE, generalized estimating equation; PR, prevalence ratio; CI, confidence interval; MZ, monozygotic; DZ, dizygotic.

sample showed no ASD symptoms. Although the data were overdispersed, according to Stokes *et al.* (2000) the robust standard error estimates of GEEs help to adjust for overdispersion. In addition, GEEs accounted for the correlation between twins of the same pair. Because A-TAC scores could be half or whole units, scores were transformed linearly to allow for use of the Poisson model. Birth weight, zygoty (MZ, DZ, unknown), concordance (ASD concordant, ASD discordant, or no ASD concordant) and sex were modeled. The results indicated significant effects of birth weight on ASD symptoms, controlling for zygoty and sex. As illustrated in Table 4, an increase of 100 g in birth weight predicted a decrease in ASD severity score by a factor of 0.98, holding constant all other variables. That is, for every 100-g increase in birth weight, a 2% decrease in severity of ASD indexed by A-TAC scores would be expected. Analysis of sex indicated that females would be expected to exhibit ASD severity scores 0.68 of males' scores (i.e. a 32% decrease in severity compared to males), holding all other variables constant. Zygoty was not statistically significant.

## Discussion

This study examined low birth weight as a potential environmental risk factor associated with ASD in a large cohort of Swedish twins. Analyses of discordant twin pairs using the co-twin-control design, in which the affected twin serves as the case and unaffected twin the control, indicated that lighter twins in birth

weight-discordant pairs (>400 g or 15% difference) were more than twice as likely to meet criteria for ASD (i.e. score  $\geq 4.5$  on the ASD module of the A-TAC measure) than the heavier twin in the pair (OR for all twins = 3.25, MZ twins = 2.50, DZ twins = 2.80). Analyses of birth weight as a continuous risk factor indicated a 13% reduction in risk of ASD for every 100-g increase in birth weight. Birth weight was also associated with individual ASD-like traits, with stronger effects detected for social and language features than ritualistic/repetitive behaviors. Examining the effect of birth weight on A-TAC scores in the entire sample population (where the majority of twins did not meet A-TAC criteria for ASD) revealed a modest but consistent association, with a 2% reduction in A-TAC scores for every increase of 100 g.

As a whole, these findings add to prior reports of an association between birth weight and ASD (Bryson *et al.* 1988; Burd *et al.* 1999; Maimburg & Vaeth, 2006; Kolevzon *et al.* 2007). They further indicate that birth weight is associated with ASD-like traits, and the social and language impairments associated with ASD in particular, supporting a previous report that differences in MZ twins' social ASD-like traits were associated with differences in birth weight, whereas ritualistic/repetitive behaviors were not (Ronald *et al.* 2010). The large population-based twin sample used minimized ascertainment bias. In addition, because of the co-twin-control design, the present results preclude many additional environmental or genetic (including maternal genetic) factors that could influence birth weight, and that are not fully accounted for in studies of singletons. Similar effects observed in MZ and DZ pairs suggest that the association between birth weight and ASD does not seem to be attributable to confounding environmental and/or genetic factors affecting both features.

In the case of MZ twins, who share gestational age, differences in birth weight can provide an index of differences in fetal growth that may be relevant to clinical outcome in twin pairs discordant for disease. The findings could therefore support a role of restricted fetal growth in adverse neurodevelopment leading to ASD, although it should be noted that this study examined only birth weight and not any direct measures of fetal growth. It is unclear how fetal growth restriction might influence brain development in ways specific to autism. Additional studies are clearly needed to clarify the pathophysiological mechanisms underlying the association between birth weight and ASD, and the potential role of fetal growth restriction in particular.

Further work is also needed to help clarify the clinical significance of several of the findings reported here. Whereas associations between birth weight and

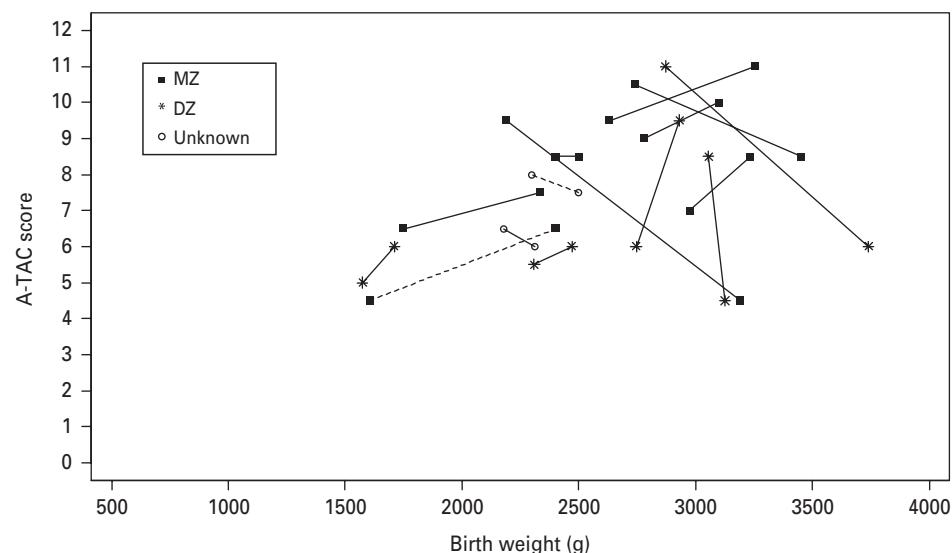
ASD seemed to be robust in twin pairs discordant for both ASD and birth weight, this subgroup of twins was relatively small, and CIs were large. Additionally, analysis of the full sample (where the majority of twins were reported to exhibit no symptoms of ASD and thus received a zero on the ASD A-TAC module) indicated only a slight increase in ASD symptoms with incremental decreases in birth weight. Small effect sizes in mean A-TAC scores in the entire sample may nevertheless contain significant effects in individual twin pairs. We also found no association between birth weight and ASD severity (indexed by total A-TAC scores on the ASD module) in the limited sample of 16 ASD-concordant twin pairs, suggesting that the effects of birth weight on ASD risk criteria in more severely affected concordant pairs are not as clear as those observed in discordant pairs.

The lack of direct clinical assessment of ASD and reliance on the A-TAC for assigning ASD status is a potential limitation of the study. As with other large-scale twin studies (Constantino & Todd, 2003; Hoekstra *et al.* 2009; Ronald *et al.* 2010), direct assessment of the large population-based sample assessed in CATSS was not feasible, and assessment of ASD therefore involved informant-based proxies for clinical diagnosis of ASD and ASD-like traits using the A-TAC. Two validation studies (Hansson *et al.* 2005; Larson *et al.* 2010) have demonstrated that the A-TAC has high sensitivity and specificity to provide research proxies for clinical diagnosis of autism and other targeted

disorders. This instrument has also been used successfully as a dimensional measure of ASD-like traits in recent work (Lundstrom *et al.* 2011), supporting its use in the present investigation. Nevertheless, direct clinical assessment, including evaluation of intellectual disability, would provide important verification of our findings, and allow for more thorough investigation of their clinical significance and potential differential relationships with intellectual disability. Additionally, assessment of family members who may exhibit ASD or subclinical ASD-like traits would enrich these data by affording analysis of familial loading related to the relationship between birth weight and ASD.

Finally, it is important to note that findings from twin samples may not be generalizable to singleton samples, further warranting cautious interpretation of the results. Twins on average have lower birth weights than singletons (Spellacy *et al.* 1990), and there has been some suggestion of increased rates of ASD in twin samples (Bailey *et al.* 1995). The associations detected in this study may therefore not extend to singleton births. With these caveats in mind, the results from this study seem to support existing literature implicating low birth weight as a risk factor for ASD. The twin design used here allowed for control of several confounding factors not fully addressed in prior work. The results also highlight several areas for further study, which, together with continued large-scale efforts to identify causal genetic variants, may help to illuminate the complex etiology of ASD.

## Appendix



**Fig. A1.** Autism spectrum disorder (ASD) symptoms and birth weight differences for concordant twin pairs, among all same-sex pairs. (Only two female pairs fell into this group, and they are depicted with dashed lines.) A-TAC, the Autism – Tics, attention-deficit hyperactivity disorder (AD/HD), and other Comorbidities Inventory; MZ, monozygotic; DZ, dizygotic.

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## Declaration of Interest

None.

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