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# Loss of HIF-1α accelerates murine FLT-3<sup>ITD</sup>-induced myeloproliferative neoplasia Talia Velasco-Hernandez<sup>1</sup>, Daniel Tornero<sup>2</sup> and Jörg Cammenga<sup>1, 3, 4, 5</sup>

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- 11
- 12 **Running title:** HIF-1 $\alpha$  in FLT-3<sup>ITD</sup> myeloid neoplasia
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- 21
- 22 The authors declare no conflict of interests.
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#### 26 Abstract:

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Hypoxia-induced signaling is important for normal and malignant hematopoiesis. The 28 29 transcription factor hypoxia-inducible factor- $1\alpha$  (HIF- $1\alpha$ ) plays a crucial role in 30 quiescence and self-renewal of hematopoietic stem cells (HSCs) as well as leukemiainitiating cells (LICs) of acute myeloid leukemia (AML) and chronic myeloid leukemia 31 (CML). We have investigated the effect of HIF-1 $\alpha$  loss on the phenotype and biology of 32 FLT-3<sup>ITD</sup>-induced myeloproliferative neoplasm (MPN). Using transgenic mouse models, 33 34 we show that deletion of HIF-1 $\alpha$  leads to an enhanced MPN phenotype reflected by 35 higher numbers of white blood cells, more severe splenomegaly and decreased 36 survival. The proliferative effect of HIF-1 $\alpha$  loss is cell-intrinsic as shown by transplantation into recipient mice. HSCs loss and organ specific changes in number 37 38 and percentage of long-term hematopoietic stem cells (LT-HSCs) were the most 39 pronounced effects on a cellular level after HIF-1 $\alpha$  deletion. Furthermore, we found a 40 metabolic hyperactivation of malignant cells in the spleen upon loss of HIF-1α. Some of 41 our findings are in contrary to what has been previously described for the role of HIF-42  $1\alpha$  in other myeloid hematologic malignancies and question the potential of HIF-1 $\alpha$  as 43 a therapeutic target.

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#### 50 **Introduction**:

51 Hypoxia has been proposed to be a physiologic condition in the adult hematopoietic 52 stem cell (HSC) niche and previous publications have provided experimental evidence 53 for this hypothesis<sup>1</sup>. Additionally, it has been shown that hypoxia signaling, through hypoxia-inducible factors (HIFs), is required for proper HSCs function, and that this 54 55 might be mediated by HIF-induced pyruvate dehydrogenase kinase (PDK) and vascular 56 endothelial growth factor (VEGF) expression<sup>2-4</sup>. Further dissection of the HIF signaling 57 pathway has indicated that HIF-1 $\alpha$ , but not HIF-2 $\alpha$ , seems to be the major player in HSCs self-renewal<sup>5</sup>. Interestingly, deletion of both members of the HIF family had 58 59 surprisingly little effect on hematopoiesis in steady state and functional HSC defects were only apparent after serial transplantations<sup>5</sup>. 60

Normally, HIF-1 $\alpha$  activity is regulated on a post-transcriptional, post-translational 61 62 level by oxygen dependent hydroxylation of two proline residues in the oxygen-63 dependent domain (ODD) of the HIF-1 $\alpha$  subunit followed by binding of the von Hippel Lindau (VHL) protein and degradation by the ubiquitination pathway<sup>6</sup>. Other 64 65 mechanisms than oxygen tension might regulate HIF-1 $\alpha$  expression in the HSC niche. Cytokines, like stem cell factor (SCF) and thrombopoetin (TPO) that are highly 66 67 expressed in the adult bone marrow (BM) niche have been shown to lead to HIF-1 $\alpha$ 68 stabilization<sup>7, 8</sup>. Moreover, the homeobox gene Meis1, highly expressed in HSCs, also 69 stabilizes HIF-1 $\alpha$  contributing to the quiescence of HSCs<sup>9</sup>.

Members of the HIF family have been proposed to be crucial for self-renewal of human
acute myeloid leukemia-initiating cells (AML-ICs). shRNA expression against HIF-1/2α
in human AMLs showed impaired engraftment in NOD/SCID mice<sup>10, 11</sup>. Whether HIF
was a direct target of the genetic alterations in the used AML samples has not been

addressed in these studies. On the other hand, Meis1, commonly overexpressed in AML, induces HIF-1 $\alpha$  stabilization, and accordingly, its deletion in transgenic mice leads to HSCs exhaustion due to their inability to up-regulate HIF-1 $\alpha^{9, 12}$ .

Additionally, it has been shown that the t(9;21) fusion protein BCR-ABL signals directly to HIF-1 $\alpha$  leading to its activation<sup>13</sup>. Deletion of HIF-1 $\alpha$  in a murine model of chronic myeloid leukemia (CML) showed that CML-initiating cells (CML-ICs) lacking HIF-1 $\alpha$  failed to generate leukemia in secondary transplanted mice arguing for an important role of HIF-1 $\alpha$  in CML-ICs self-renewal<sup>14</sup>.

82 Taking all these data into consideration, HIF-1 $\alpha$  might be a good therapeutic target for 83 different types of leukemia if HSCs and leukemic initiating cells (LICs) had a different 84 requirement for HIF signaling. Since previous studies have used methods (shRNA 85 expression, unspecific inhibitors of HIF in human AML cells or retroviral 86 transduction/transplantation assays)<sup>10, 11</sup> that could bear potential technical problems in the evaluation of LICs self-renewal, we have tested the requirement of HIF-1 $\alpha$  in 87 myeloproliferative neoplasms (MPN) using a FLT-3<sup>ITD</sup> transgenic mouse model. 88 89 Internal tandem duplications (ITD) in the *FLT*3 gene are found in approximately 25% 90 of AML cases, constitutively activating this receptor and predicting increased relapse 91 rates and reduced overall survival<sup>15</sup>.

92 Here, we show that loss of HIF-1 $\alpha$  leads to an enhanced FLT-3<sup>ITD</sup>-induced MPN 93 phenotype, indicated by higher numbers of white blood cells (WBC) and myeloid cells, 94 more severe splenomegaly and a shorter survival. The increased proliferation is cell-95 intrinsic and this phenotype transplantable to primary recipient mice. Our data 96 question the role of HIF-1 $\alpha$  as a target to eliminate LICs in MPN and show that loss of 97 HIF-1 $\alpha$  can aggravate the disease.

#### 98 Materials and methods:

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#### 100 **Transgenic mice**

101 *Hif-1* $\alpha^{\text{flox}/\text{flox}}$  mice<sup>16</sup> were crossed with the interferon-inducible *Mx1-Cre* mice<sup>17</sup> and 102 with the knock-in *Flt-3*<sup>ITD</sup> mice<sup>18</sup> to generate conditional knock-out *Hif-1* $\alpha^{\text{flox}/\text{flox}}$ ; *Mx1-*103 *Cre; Flt-3*<sup>ITD/+</sup> mice. All animals were bred and maintained in accordance with Lund 104 University's ethical regulations (Ethical permit M86-12).

105

#### 106 **Monitoring of mice and bone marrow transplantation assays**

Leukemia development was analyzed by measuring myeloid cells and total WBC in
peripheral blood (PB) every 4 weeks. Myeloid cells were analyzed by flow cytometry
and WBC counts were determined by a cell counter (KX-21N, Sysmex, Norderstedt
Germany).

111 For HSC transplantation, 8 to 12-week-old B6SIL (CD45.1) recipient mice were lethally 112 irradiated with 900 cGy 4-15 hours prior to transplantation. BM c-kit<sup>+</sup> cells from 113 donors (CD45.2) were isolated using the MACS® magnetic separation system and anti-114 c-kit magnetic beads (Miltenyi Biotec, Bergisch Gladbach, Germany). 5 x 10<sup>5</sup> c-kit<sup>+</sup> cells 115 were injected into the tail vein of recipient mice accompanied by  $2 \times 10^5$  freshly 116 isolated total BM supporting cells from B6S[L x C57BL/6] (CD45.1-CD45.2) mice. 117 Donor chimerism and myeloproliferative development were determined after 118 transplantation by PB analysis every 4 weeks.

For the transplantation of the cells kept *in vitro*, c-kit<sup>+</sup> cells were cultured in serum free
expansion media (StemCell Technologies, Vancouver, BC, Canada) supplemented with
20 ng/mL murine interleukin 3 (PeproTech, Stockholm, Sweden), 50 ng/mL human

interleukin 6 (PeproTech), 50 ng/mL human TPO (PeproTech) and 50 ng/mL murine
SCF (PeproTech) for 48 hours before transplant.

Animals that had to be euthanized due to non-MPN-associated symptoms wereexcluded from the survival analysis.

126 Deletion of *Hif-1* $\alpha$  was verified by polymerase chain reaction (PCR) analysis of DNA

127 from BM cells of primary animals using the following primers:  $HIF\Delta$ -forward: 5' –

128 GCAGTTAAGAGCACTAGTTG-3' and HIFΔ-reverse: 5' –TTGGGGGATGAAAACATCTGC-3'.

129

#### 130 Flow cytometry analysis

131 Expansion of the MPN and engraftment of transplanted cells were monitored by flow 132 cytometry analysis of PB, BM and spleen cells. PB samples were lysed with ammonium 133 chloride (StemCell Technologies) prior to staining. 4,6 diamidino-2-phenylindole 134 (DAPI) (Sigma-Aldrich, St. Louis, MO, USA) or 7-amino-actinomycin D (7-AAD, BD 135 Pharmingen, San Diego, CA, USA) was used to exclude dead cells. For chimerism and 136 lineage analysis the following antibodies were used: Gr1-PE, -PECy5 (RB6-8C5), Mac1-137 PE, -PECv5 (M1/70), B220-PE, -APC, -PECv5 (RA3-6B2), CD3-PE, -PECv5 (145-2C11), 138 Ter119-PECy5 (TER-119), CD45.1-PECy7 (A20), Sca1-BV, -APC (D7), CD48-FITC 139 (HM48-1) and CD150-APC, -PECy7 (TC15-12F12.2) from BioLegend (San Diego, CA, 140 USA) and CD45.2-APCe780 (104) and c-kit-APCe780 (2B8) from eBiosciences (San 141 Diego, CA, USA).

142

For cell cycle analysis, cells were fixed in 0.4% formaldehyde (Merck, Darmstadt, Germany) and permeabilized with 0.1% Triton-X (Sigma-Aldrich). Thereafter, cells were stained with Ki-67-PE (B56) antibody (BD Pharmingen) and DAPI (Sigma-Aldrich). Cellular reactive oxygen species (ROS) production was analyzed using

147 CellROX<sup>®</sup> Deep Red Reagent (Life Technologies, Stockholm, Sweden); mitochondrial ROS production was analyzed using MitoSOX<sup>™</sup> Red mitochondrial superoxide 148 149 indicator (Life Technologies) at 2 µM concentration; mitochondrial activity was 150 evaluated using MitoTracker® Deep Red FM probe (Life Technologies) at 10 nM 151 concentration; apoptosis analysis was performed using the BD Pharmingen<sup>™</sup> PE 152 Annexin Apoptosis Detection Kit (BD Pharmingen); all according to manufacturer's 153 instructions. Samples were analyzed using a FACSCantoII (BD Biosciences, Stockholm, 154 Sweden) and data was analyzed with FlowJo software (TreeStar, Ashland, OR, USA).

155

#### 156 Histology

For morphological analysis, cells from BM and spleen were subjected to cytospin
preparation onto glass slides and PB smears were stained with May-Grünwald (Merck)
and Giemsa (Merck). For microscopic examination, an Olympus IX70 microscope and
an Olympus DP72 camera were used (Olympus, Tokyo, Japan).

161

#### 162 Statistical analysis

163 All data are expressed as the mean  $\pm$  SEM. Differences between groups were assessed 164 by unpaired two-tailed Student's t-test. Statistical analysis of survival curves was 165 performed using Mantel-Cox log-rank test. All analyses were performed with Prism 166 software version 6.0 (GraphPad Software, San Diego, CA, USA). Animal cohort size was 167 chosen according to the published literature and our previous studies. Since all 168 experiments were performed with mice homogeneous regarding strain and age, no 169 randomization method was used. Since this study did not include objective 170 measurements, no blinding was performed.

171

#### 172 **Results**:

173

#### 174 Spontaneous deletion of *Hif-1*α by *Mx1*-Cre in the FLT-3<sup>ITD</sup> mice

175 To investigate the role of HIF-1α in FLT-3<sup>ITD</sup>-induced MPN and self-renewal of LICs, *Flt*- $3^{ITD}$  knock-in mice were crossed with *Hif-1* $\alpha$  conditional knock-out mice and *Mx1*-Cre 176 mice to obtain *Flt-3*<sup>ITD/+</sup>; *Hif-1* $\alpha$ <sup>flox/flox</sup>; *Mx1*-Cre mice and *Flt-3*<sup>ITD/+</sup>; *Hif-1* $\alpha$ <sup>flox/flox</sup> control 177 mice (refer hereafter as *Hif-1* $\alpha^{\Delta/\Delta}$  and *Hif-1* $\alpha^{+/+}$ , respectively). The phenotype of the 178 FLT-3<sup>ITD</sup>-induced MPN mouse model resembles human chronic myelomonocytic 179 180 leukemia (CMML), with an expansion of the myeloid/monocytic compartment<sup>18</sup>. We 181 intended to delete the *Hif-1* $\alpha$  gene using poly(deoxyinosinic/deoxycytidylic) acid 182 (plpC) for induction of the Cre recombinase under the control of the Mx1 promoter. 183 However, investigation of untreated mice showed that recombination had occurred 184 spontaneously, probably due to activation of signaling pathways downstream of the 185 *Flt3* receptor, triggering an interferon response and leading to the expression of Cre 186 recombinase (Figure 1a and Supplementary Figure S1). Due to the very high 187 spontaneous deletion frequency of the floxed HIF-1 $\alpha$  gene none of the mice were 188 treated with pIpC.

189

#### 190 FLT-3<sup>ITD</sup>-induced MPN is aggravated by the loss of HIF-1α

191 Primary *Hif-1* $\alpha^{\Delta/\Delta}$  mice surprisingly showed a more severe FLT-3<sup>ITD</sup> MPN phenotype 192 than *Hif-1* $\alpha^{+/+}$  animals. While control mice suffered from a chronic MPN that mice 193 normally did not succumb to, *Hif-1* $\alpha^{\Delta/\Delta}$  mice started to die at week 26 of age, reaching 194 50% of survival at week 68 (Figure 1b). This enhanced MPN phenotype was also 195 reflected in higher WBC counts (Figure 1c) and a higher percentage of Gr1<sup>+</sup>/Mac1<sup>+</sup> 196 cells (myeloid cells) in PB at 8, 12, 16 and 20 weeks of age (Figure 1d-e and 197 Supplementary Figure S2). Blood smears also showed more mature granulocytes in 198 *Hif-1* $\alpha^{\Delta/\Delta}$  mice (Figure 1f).

199 20-week-old mice were sacrificed to analyze other MPN symptoms. Bones from mice 200 lacking HIF-1 $\alpha$  presented pale aspect (femurs, tibiae and hips) (Figure 2a), probably 201 due to a combination of anemia (Table 1) and infiltration of myeloid cells in the BM. 202 FLT-3<sup>ITD</sup>-induced MPN in the absence of HIF-1 $\alpha$  was characterized by a more severe 203 splenomegaly and hepatomegaly indicated by higher spleen and liver weights (Figure 2a-b) in *Hif-1* $\alpha^{\Delta/\Delta}$  mice. Percentages of Gr1<sup>+</sup>/Mac1<sup>+</sup> cells in BM and spleen were also 204 increased upon loss of HIF-1 $\alpha$  (Figure 2c-d). Taken together, our data indicate that loss 205 of HIF-1 $\alpha$  in an FLT-3<sup>ITD</sup>-induced MPN model accelerates disease progression and 206 207 aggravates its severity.

208

#### 209 Loss of HIF-1α affects cell cycle status of FLT-3<sup>ITD</sup>-induced MPN cells

210 To investigate whether higher number of myeloid cells in PB, BM and spleen was due 211 to increased proliferation or decreased apoptosis, we first investigated cell cycle status 212 in malignant myeloid cells from these tissues. Cell cycle analysis revealed that there was a higher percentage of cycling cells in spleen (G2/S/M phase) of *Hif-1* $\alpha^{\Delta/\Delta}$  mice 213 214 compared to controls (Figure 2e and Supplementary Figure S3). Interestingly we 215 observed no differences in BM cells from mice with different HIF-1 $\alpha$  status. Overall 216 these data indicate that loss of HIF-1 $\alpha$  in FLT-3<sup>ITD</sup>-induced MPN results in an increased 217 number of Gr1<sup>+</sup>/Mac1<sup>+</sup> myeloid cells by enhance proliferation in spleen as shown by a 218 higher percentage of cycling cells, even when expressing maturation surface markers.

219 When studying cell death of these neoplastic myeloid cells, we observed that loss of 220 HIF-1 $\alpha$  leads to a decrease in apoptosis both in BM and spleen (Figure 2f-g).

Our data point to a dual role of HIF-1α loss in the observed phenotype by increasing
the percentage of cells in active cycling and at the same time decreasing apoptosis
resulting in an overall more severe MPN phenotype.

224

# Loss of HIF-1α leads to an organ-specific change in stem and progenitor cell numbers

It has been previously shown that *Flt-3*<sup>ITD/+</sup> mice present an expansion of multi-potent 227 228 progenitor cells (MPPs) and a severe decrease in long-term hematopoietic stem cells 229 (LT-HSCs) using either CD48/CD150 (SLAM) or FLT-3/CD34 staining of Lin-, Sca-1+, c-230 Kit<sup>+</sup> (LSK) cells<sup>15, 19, 20</sup>. Having shown that deletion of *Hif-1* $\alpha$  leads to an enhanced FLT-231 3<sup>ITD</sup>-induced MPN we wanted to further characterize at which level of the 232 hematopoietic hierarchy the effects occur. For this reason we analyzed and 233 enumerated different hematopoietic stem and progenitor populations using staining 234 for LSK and SLAM markers. Since expression of FLT-3<sup>ITD</sup> leads to an expansion of 235 mature granulocytes in BM resulting in a change of cellular composition, both, 236 percentages and total numbers of cells were analyzed (Figure 3a-c and Supplementary 237 Figure S4). We observed the previously described reduction of LT-HSCs (LSK CD48-238 CD150<sup>+</sup>) in FLT-3<sup>ITD</sup> expressing mice compared to wild type (wt) mice, but even to a 239 bigger extent when *Hif-1* $\alpha$  was deleted. When comparing BM cells from *Hif-1* $\alpha^{\Delta/\Delta}$  mice 240 to controls, we found an expansion of the more mature compartment (LK cells: Lin- c-Kit+ Sca1-) and a progressive decrease towards LT-HCSs, through the different levels 241 242 of differentiation (LSK and MPPs (defined as LSK CD48- CD150-)). In spleen, the 243 scenario was different, showing an increment (although non-significant in some cases) 244 of cells of all these different undifferentiated populations in the *Hif-1* $\alpha^{\Delta/\Delta}$  mice.

When analyzing cell cycle status of these populations, we only observed higher percentage of cycling cells (G1-G2 phase) in  $Hif-1\alpha^{\Delta/\Delta}$  mice in the LSK population in spleen (Figure 3d and Supplementary Figure S5). These results, together with data presented in Figure 2e, indicate that the production of malignant cells in the  $Hif-1\alpha^{\Delta/\Delta}$ mice occurs mainly in the spleen through proliferation of committed progenitors.

250 Thus, the lost of HIF-1 $\alpha$  changes the phenotype of FLT-3<sup>ITD</sup> malignancies in terms of

- 251 proportions and location of primitive cells.
- 252

#### 253 The effect of HIF-1α loss on FLT-3<sup>ITD</sup>-induced MPN is cell-intrinsic

To investigate whether the aggravation of the FLT-3<sup>ITD</sup>-induced MPN by HIF-1 $\alpha$  loss is a cell intrinsic effect or a result mediated by cells in the microenvironment, and if this loss results in a defect in LICs homeostasis and self-renewal, transplantation assays were performed. To this end, c-kit<sup>+</sup> BM cells from the different genotypes were transplanted into lethally irradiated recipient wt mice.

259 The disease was transplantable and additionally, the acceleration of the MPN phenotype by loss of HIF-1 $\alpha$  was also observed in transplanted mice with *Hif-1\alpha^{\Delta/\Delta}* 260 261 cells, arguing for a cell-intrinsic effect of HIF-1 $\alpha$  on FLT-3<sup>ITD</sup>-induced MPN (Figure 4a and Supplementary Figure S6). However, the reduction in LT-HSCs observed in 262 263 transgenic animals (Supplementary Figure S6f) is more severe in transplanted mice and accordingly, secondary recipients showed a loss of *Hif-1* $\alpha^{\Delta/\Delta}$  donor contribution to 264 PB (Supplementary Figure S7). The fact that *Hif-1* $\alpha^{\Delta/\Delta}$  FLT-3<sup>ITD</sup> MPN was transplantable 265 into primary recipients indicates that loss of HIF-1 $\alpha$  did not result in a defect in LICs 266

267 engraftment, although the numbers of LT-HSCs are severely affected in primary
268 recipients. Whether the effect in the secondary recipients is due to a loss of LIC self269 renewal or a displacement of LT-HSC by expanding MPPs in the bone marrow remains
270 elusive.

Previous studies, investigating the role of HIF-1 $\alpha$  in CML, have used a retroviral 271 272 transduction/transplantation model that requires cycling of the hematopoietic stem 273 and progenitor cells (HSPCs), which is normally induced by *in vitro* culturing in the 274 presence of cytokines. To test whether these experimental differences could explain 275 the conflicting results between our experiments and previously published data, we 276 cultured c-kit<sup>+</sup> BM cells in presence of cytokines, as normally performed when using 277 the transduction/transplantation method, and transplanted afterwards. Mice transplanted with *in vitro* cultured *Hif-1* $\alpha^{\Delta/\Delta}$  BM cells showed, not only, a similar 278 279 phenotype regarding the expansion of myeloid cells over time, but also a shorter 280 survival (*P*=0.0046)(Figure 4b and Supplementary Figure S8a-b). Mice transplanted with *Hif-1* $\alpha^{\Delta/\Delta}$  cultured BM cells started to die of progressive MPN around week 20 281 282 with most of the animals dead by week 40, while no animal died in the control group.

283 To evaluate the level of competition in our transplantation experiments, we calculated 284 the number of LT-HSCs injected in each group. According to the obtained values in Figure 3 of c-kit<sup>+</sup> cells and LT-HSCs in wt, *Hif-1* $\alpha^{+/+}$  and *Hif-1* $\alpha^{\Delta/\Delta}$  animals, we estimated 285 that the ratio of competitor: donor cells was 1:3 for *Hif-1* $\alpha^{\Delta/\Delta}$  and 1:65 for *Hif-1* $\alpha^{+/+}$  cells 286 respectively. Beside the higher competition in the *Hif-1* $\alpha^{\Delta/\Delta}$  group, we observed similar 287 288 levels of donor contribution to the myeloid compartment 20 weeks posttransplantation (Supplementary Figure S8c). To analyze donor contribution to the 289 290 other cell lineages discarding the effect of the percentage variation when one

291 population is highly increased, we calculated donor contribution to each lineage in 292 total number of cells. We observed equal contribution to the T cells from  $Hif-1\alpha^{+/+}$  and 293  $Hif-1\alpha^{\Delta/\Delta}$  animals. However, we observed more contribution from  $Hif-1\alpha^{\Delta/\Delta}$  donor cells 294 to myeloid and less to B cell lineage most likely due to the MPN phenotype resulting in 295 an expansion of myeloid cells at the expense of B-cells (Supplementary Figure S8d).

In summary, our data indicate that FLT-3<sup>ITD</sup>-induced MPN is cell-intrinsic and transplantable, independently of HIF-1 $\alpha$  status. Self-renewal of LICs was not lost even when cells were cultured *in vitro* for 48 hours prior to primary transplantations, although LT-HSCs numbers are highly reduced in recipient mice transplanted with *Hif*- $1\alpha^{\Delta/\Delta}$ .

301

# 302 HIF-1α status influences mitochondrial activity and ROS levels in FLT-3<sup>ITD-</sup> 303 induced MPN

Mitochondrial respiratory chain constitutes the main intracellular source of ROS in most of the tissues. Because HIF-1 $\alpha$  status influences the metabolism of cells<sup>3</sup>, which could affect the malignant properties of the FLT-3<sup>ITD</sup> cells, we examined mitochondrial membrane function and levels of ROS of these neoplastic myeloid cells. Two different tests showed an increment of cellular and mitochondrial ROS levels in *Hif-1\alpha^{\Delta/\Delta}* mice in BM and an opposite effect in spleen (Figure 5a-b).

According to mitochondrial membrane function, we found three well-defined different populations, named as M1, M2 and M3 (Figure 5c). Whereas in BM there was an increase in the population with less mitochondrial activity (M1) when *Hif-1* $\alpha$  is deleted, we observed a reduction of this population in the splenic myeloid cells. This

314 could be indicating that high ROS production is a result of higher mitochondrial 315 function caused by higher metabolic activity of *Hif-1* $\alpha^{\Delta/\Delta}$  cells.

316 Together this data could indicate a metabolic adaptation of these malignant cells to 317 their new niches and an improvement of their tumorigenic capacities (less quiescence 318 and more proliferation) when HIF-1 $\alpha$  is lost in these cells.

319

#### 320 **Discussion**:

321 Hypoxia signaling, mainly mediated by transcription factors HIF-1 $\alpha$  and HIF-2 $\alpha$  and 322 their target genes, has been shown to play an important role in stem cell biology, 323 particularly in normal and malignant HSPCs. Previous work has provided evidence that 324 HIF-1 $\alpha$  is required for HSCs quiescence and self-renewal<sup>2</sup> as well as HIF-2 $\alpha$  has a role 325 in protecting HSCs from endoplasmic reticulum stress-induced apoptosis<sup>11</sup>. 326 Surprisingly, loss of HIF-1 $\alpha$  function (and combined loss of HIF-1 $\alpha$  and HIF-2 $\alpha$ ) seems 327 rather weak since the phenotype comes only apparent after challenging HSCs by serial 328 transplantation<sup>2, 5</sup>.

Additionally, it has been demonstrated that HIF might play a role in murine and human leukemia. Inhibitors and shRNA against HIF-1 $\alpha$  and HIF-2 $\alpha$  have been used to show the requirement of these two transcription factors in human AML-ICs self-renewal and ability to induce AML in immune-compromised mice<sup>10, 11</sup>.

333 The concept to target HIF in AML is intriguing but whether there is a therapeutic 334 window for the treatment of leukemia by targeting HIF-1/2 $\alpha$  without inducing major 335 hematologic toxicity has not been extensively studied. It also remains elusive whether 336 HIF can function as a therapeutic target for all genetic subtypes of AML. It has been 337 shown that some genetic alterations in AML stabilize HIF in a hypoxia-independent

338 manner, making these specific subtypes maybe prime targets for therapy against HIF. 339 Requirement of HIF-1 $\alpha$  for CML-ICs self-renewal has been confirmed using retroviral 340 overexpression of BCR-ABL oncogene in BM cells from HIF-1 $\alpha$  conditional knock-out 341 mice<sup>14</sup>. All these approaches have some technical caveats that could influence the viability of LICs. First, inhibitors of HIF-1 $\alpha$  are rather unselective making it difficult to 342 343 evaluate whether their effect is primarily caused by HIF-1 $\alpha$  inhibition. Expression of 344 shRNA against HIF family members requires retroviral transduction of AML-LICs and 345 might have off-target effects even though scrambled shRNA was used as control. 346 Retroviral transduction of BM cells requires cytokine stimulation of HSPCs in vitro, 347 which can change the properties of these cells. Therefore, we have investigated the role of HIF-1 $\alpha$  in FLT-3<sup>ITD</sup>-induced MPN using just transgenic mouse models for both 348 349 genetic alterations.

The first unexpected result was a spontaneous deletion of the floxed *Hif-1* $\alpha$  gene in *Flt-3*<sup>ITD</sup>; *Mx1*-Cre background. We assume that FLT-3<sup>ITD</sup> signaling triggers an interferon response that leads to activation of Cre recombinase via activation of *Mx1* promoter. Our finding is in accordance with the published literature<sup>20, 21</sup> in which mice with either *Runx1*<sup>flox/flox</sup> or *Npm1*<sup>flox-cA/+</sup> in combination with a *Flt-3*<sup>ITD</sup> and *Mx1*-Cre genotype develop AML spontaneously.

356 In contrary to the previously described role of HIFs in LICs of AML and CML, we found 357 that HIF-1 $\alpha$  lost exaggerates FLT-3<sup>ITD</sup>-induced MPN phenotype, as indicated by a 358 shorter survival, higher number of myeloid cells in PB, BM and spleen, leading to a 359 more severe splenomegaly.

360 It has been previously shown that *Flt-3*<sup>ITD</sup> knock-in mice have an expansion of the
361 myeloid progenitor compartment while the LT-HSC population was severely

362 decreased<sup>15, 19, 20</sup>. We observed that this effect is even more aggravated when *Hif-1* $\alpha$  is 363 deleted. Surprisingly, reduction of LT-HSCs was not associated with a dramatic loss of 364 LICs homeostasis, since the MPN could be transplanted into primary recipient animals 365 even after *in vitro* incubation. Interestingly, transplanted MPNs lacking HIF-1 $\alpha$  showed 366 an even more aggressive phenotype as indicated by a shorter survival of transplanted 367 mice. Whether the reduction in LT-HSCs in the bone marrow of primary recipients and the associated low contribution of *Hif-1* $\alpha^{\Delta/\Delta}$  cells in the secondary recipients is due to a 368 369 defect in self-renewal or a displacement by progenitors and mature myeloid cells 370 needs further investigation.

371 Hypoxia-induced HIF expression has been linked to metabolic switch due to a shift 372 from oxidative phosphorylation (OXPHOS) to glycolysis, an effect that has been first 373 described by Warburg and carries his name<sup>22</sup>. Tumors that become hypoxic heavily 374 depend on this mechanism but even tumors that are not hypoxic switch to 375 energetically very inefficient glycolysis for reasons that still remain elusive. Therefore, 376 we investigated the metabolic profile (mitochondrial function and ROS levels) of FLT-377  $3^{\text{ITD}}$ -MPN cells lacking HIF-1 $\alpha$ . It has been described that changes in these parameters 378 can affect self-renewal and differentiation of HSCs. For instance, when mitochondrial potential is blocked, HSCs are unable to initiate differentiation<sup>23</sup> and high levels of ROS 379 380 force cells to go out of quiescence<sup>24, 25</sup>. We found a correlation between cycling profile, 381 ROS levels an mitochondrial function in FLT-3<sup>ITD</sup>-MPN cells (Figure 5d), indicating a more active status of *Hif-1* $\alpha^{\Delta/\Delta}$  cells in spleen, the main (malignant) hematopoietic 382 organ in animals with MPN and leading to a more aggressive disease. 383

384 The fact that, in animals with the MPN, hematopoiesis is taking place in an 385 extramedullary niche (spleen), with very different microenvironmental properties

386 from the BM, including oxygen tension, lead us to postulate that these observed 387 differences are established by cell-intrinsic mechanisms that stabilize HIF-1 $\alpha$ , 388 imprinted already in the primary niche (BM) or by originating genetic alterations of 389 the malignancy.

390 These results are in concordance with our recently findings regarding the role of HIF-391  $1\alpha$  in AML pathogenesis using oncogenes that either do or do not signal directly 392 towards HIF-1α. Expression of *MLL-AF*9 and *MEIS1/HOXA9*, that are supposedly 393 activating HIF-1 $\alpha$ , and AML/ETO9a, a truncated version of the AML1/ETO fusion 394 protein with no known connection to HIF-1 $\alpha$ , induced AML independent of HIF-1 $\alpha$ 395 status<sup>26</sup>. HIF-1 $\alpha$  was not needed for LIC self-renewal, but loss of HIF-1 $\alpha$  rather lead to 396 an accelerated and more severe phenotype, similar to the observations made for the 397 FLT-3<sup>ITD</sup> -induced MPN model described in this paper.

Based on previous studies, it has been proposed that HIF might be used as molecular therapeutic target to interfere with self-renewal of LICs. Our data indicate that targeting HIF-1 $\alpha$  in FLT-3<sup>ITD</sup>-induced MPN rather leads to disease acceleration and a more severe phenotype. Whether the inhibition of HIF in combination with chemotherapy or targeted small molecules can be a useful therapeutic strategy needs further investigation.

404

#### 405 **Conflict of Interest**

406 The authors declare no conflict of interest.

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409

#### 410 Acknowledgements

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417

#### 418 Author's contributions

T.V.-H. designed the research, performed experiments, analyzed data, created figures,
and wrote the manuscript; D.T. performed experiments; J.C. conceived and supervised
the project, designed the research, and wrote the manuscript. All authors read and

- 422 approved the final manuscript.
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#### 559 Figure legends

560

#### 561 **Figure 1.** Loss of HIF-1α accelerates FLT-3<sup>ITD</sup>-induced MPN phenotype.

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(a) Deletion of *Hif-1* $\alpha$  was checked by PCR amplification of DNA extracted from BM 563 564 cells of the analyzed mice. Shown is a representative gel indicating deletion of *Hif-1* $\alpha$  in non-treated *Hif-1* $\alpha$ <sup>flox/flox</sup>; *Mx1-Cre; Flt-3*<sup>ITD/+</sup> mice. (b) Kaplan-Meier survival curve of 565 FLT-3<sup>ITD</sup> mice (*Hif-1* $\alpha^{\Delta/\Delta}$ , n= 10; *Hif-1* $\alpha^{+/+}$ , n= 14). Log-rank (Mantel-Cox) test was used 566 567 to assess statistical significance. (c, d) Blood analysis of mice at different ages, showing increased WBC (c) and myeloid cells (Gr1<sup>+</sup>/Mac1<sup>+</sup> cells) (d) in Hif-1 $\alpha^{\Delta/\Delta}$  mice (Hif-568  $1\alpha^{+/+}$ , n=23; *Hif*- $1\alpha^{\Delta/\Delta}$ , n=18; wt, n=6). (e) Representative FACS plots of PB cells of 12-569 week-old mice, showing an increased myeloid population in PB of  $Hif-1\alpha^{\Delta/\Delta}$  mice. 570 571 Differentiated populations are stained with the following antibodies: CD3 for T cells 572 (T), B220 for B cells (B) and Gr1/Mac1 for myeloid cells (M). (f) Representative blood 573 smears of 12-week-old mice of both genotypes. Scale bar= 10µm. 574 Plots represent mean  $\pm$  SEM. Unless otherwise stated, 2-tailed Student *t* test was used

- 575 to assess statistical significance. \*P<0.05, \*\*P<0.01, \*\*\*P<0.001. wt = wild type.
- 576

## 577 Figure 2. Accumulation of *Hif-1* $\alpha^{\Delta/\Delta}$ -mature myeloid cells in spleen is due to 578 increased cycling and reduced cell death.

579

(a) Representative phenotype of bones and spleens from 20-week-old mice with the indicated genotypes. (b) Increment in spleen and liver weight in *Hif-1* $\alpha^{\Delta/\Delta}$ 20-weekold-mice as a consequence of accelerated MPN in these mice (n=12; wt, n=6). (c)

Percentage of mature myeloid cells  $(Gr1^+/Mac1^+)$  in BM and spleen of 20-week-old 583 584 mice (n=12; wt, n=6). (d) Cytospins of BM cells with different genotypes showing the 585 mature myeloid aspect of predominant cells in this compartment. Scale bar=  $10\mu m.$  (e) 586 Cell-cycle analysis of myeloid cells  $(Gr1^+/Mac1^+)$  from 3 independent experiments (16 587 to 20-week-old mice) (n=12; wt, n=6). (f) Apoptosis analysis of myeloid cells 588 (Gr1<sup>+</sup>/Mac1<sup>+</sup>) from 2 independent experiments (20-week-old mice) (wt, n=6; Hif-1 $\alpha^{+/+}$ , n=7; *Hif-1* $\alpha^{\Delta/\Delta}$ , n=8). Plots represent mean ± SEM. Two-tailed Student *t* test was used to 589 assess statistical significance. \**P*<0.05, \*\**P*<0.01, \*\*\**P*<0.001 590

591

592 Figure 3. LT-HSCs are highly reduced in BM and expanded in spleen of *Hif-1* $\alpha^{\Delta/\Delta}$ 593 mice with FLT-3<sup>ITD</sup>-induced MPN.

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595 (a) Percentage of the indicated populations of undifferentiated cells from total BM or spleen cells (wt, n=5; *Hif-1* $\alpha^{+/+}$ , n=12; *Hif-1* $\alpha^{\Delta/\Delta}$ , n=13). (**b**) Absolute number of cells 596 597 from the indicated population of undifferentiated cells in the BM (6 bones: 2 femurs, 2 tibiae and 2 hips) or spleen (wt, n=5; *Hif-1* $\alpha^{+/+}$ , n=8; *Hif-1* $\alpha^{\Delta/\Delta}$ , n=7). (c) Representative 598 599 FACS plots of BM samples from both genotypes showing the gating strategy used for 600 the analysis of LT-HSCs, MPPs, LSK and LK cells. First shown plots derived from a 601 previous gating of singlets, alive, lineage negative cells. (d) Cell-cycle analysis of the 602 indicated populations of undifferentiated cells from BM or spleen (20-week-old mice) 603 (n= 3; wt, n=6). Plots represent mean  $\pm$  SEM. Two-tailed Student t test was used to 604 assess statistical significance. \**P*<0.05, \*\**P*<0.01, \*\*\**P*<0.001

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# Figure 4. *Hif-1*α-deleted-FLT-3<sup>ITD</sup> MPN-initiating cells are able to engraft and recapitulate the disease in recipient mice.

610

611 (a) BM cells from a single donor were transplanted, without previous culturing *in vitro*, 612 into 2-3 lethally irradiated wt mice. We show the phenotype of transplanted disease by several parameters: Kaplan-Meier survival curve, WBCs and myeloid (Gr1+/Mac1+) 613 cells in PB at the indicated time points after transplantation (*Hif-1* $\alpha^{+/+}$ , n=9; *Hif-1* $\alpha^{\Delta/\Delta}$ , 614 615 n=7). (b) BM cells from 3 different donors of each genotype were pooled together, kept 616 in culture for 2 days and transplanted into 7 lethally irradiated wt mice. We show the 617 phenotype of transplanted disease by several parameters: Kaplan-Meier survival 618 curve, WBCs and myeloid  $(Gr1^+/Mac1^+)$  cells in PB at the indicated time points after 619 transplantation (n=7). Log-rank (Mantel-Cox) test was used to assess statistical 620 significance of the survival curve. Plots represent mean ± SEM. Unless otherwise 621 stated, 2-tailed Student t test was used to assess statistical significance. \*P<0.05, 622 \*\**P*<0.01, \*\*\**P*<0.001

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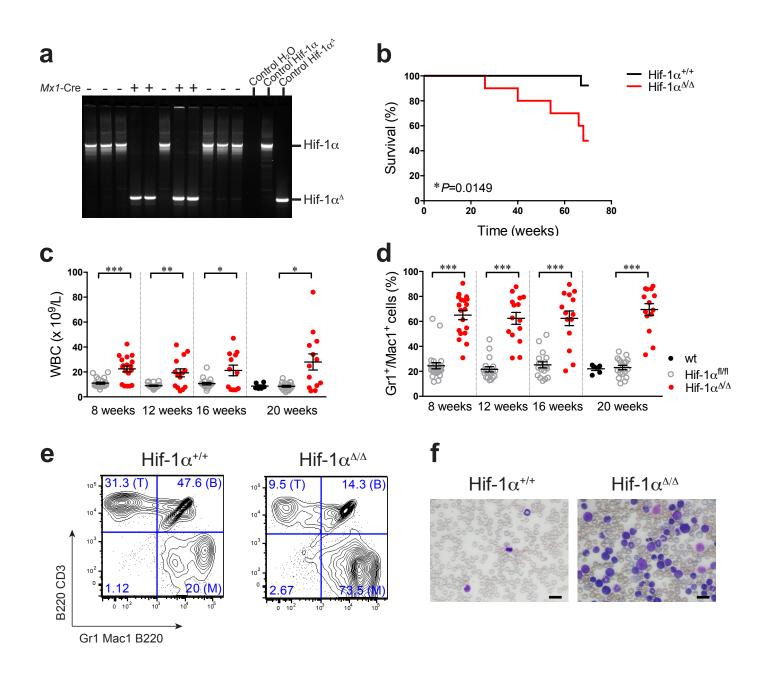
Figure 5. Malignant infiltrating cells in spleen present higher metabolic profile
and oxidative stress.

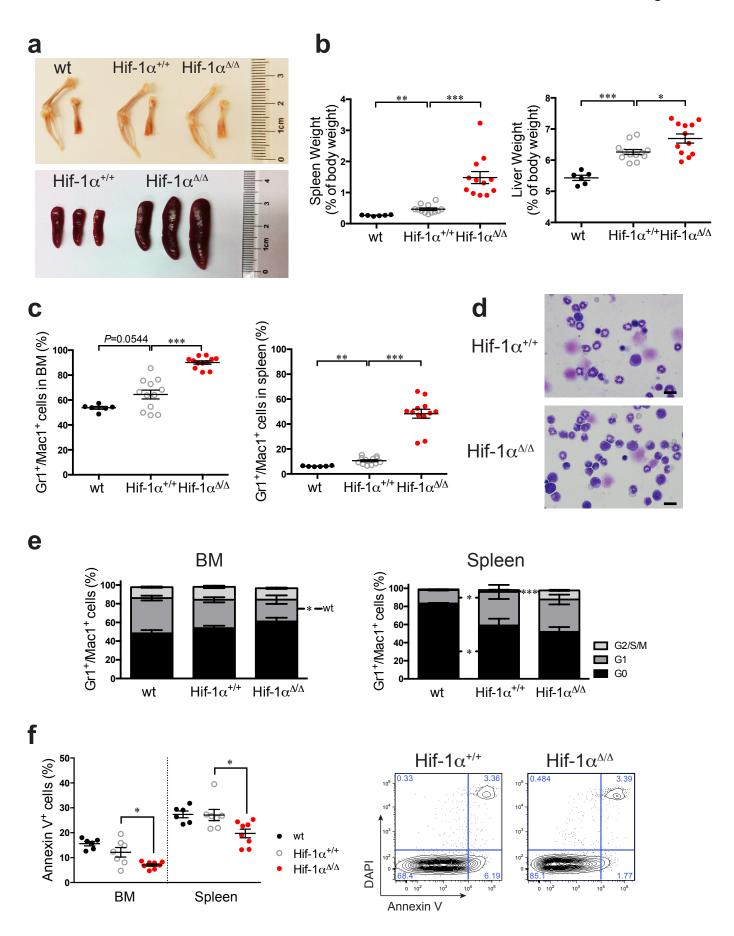
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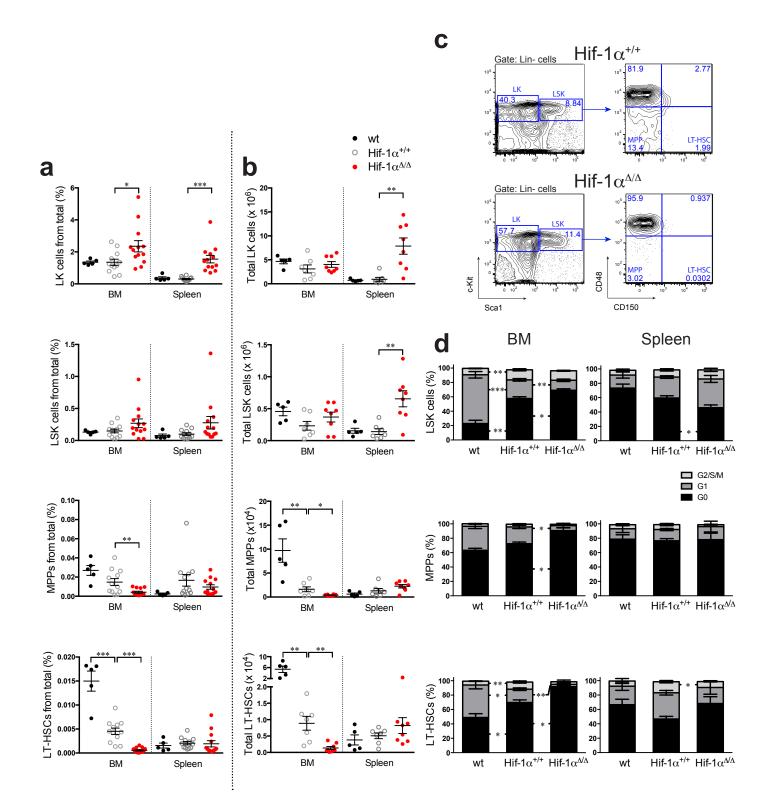
We analyzed cellular levels of ROS (**a**), measured by CellROX Deep Red staining (n=16), and mitochondrial levels of ROS (**b**) measured by MitoSOX staining (*Hif-1* $\alpha^{+/+}$ , n=7; *Hif-1* $\alpha^{\Delta/\Delta}$ , n=8) in myeloid cells (Gr1<sup>+</sup>/Mac1<sup>+</sup>) of BM and spleen. Plots represent normalized MFI respect to controls (mean MFI set at 100%) of each experiment (2-4)

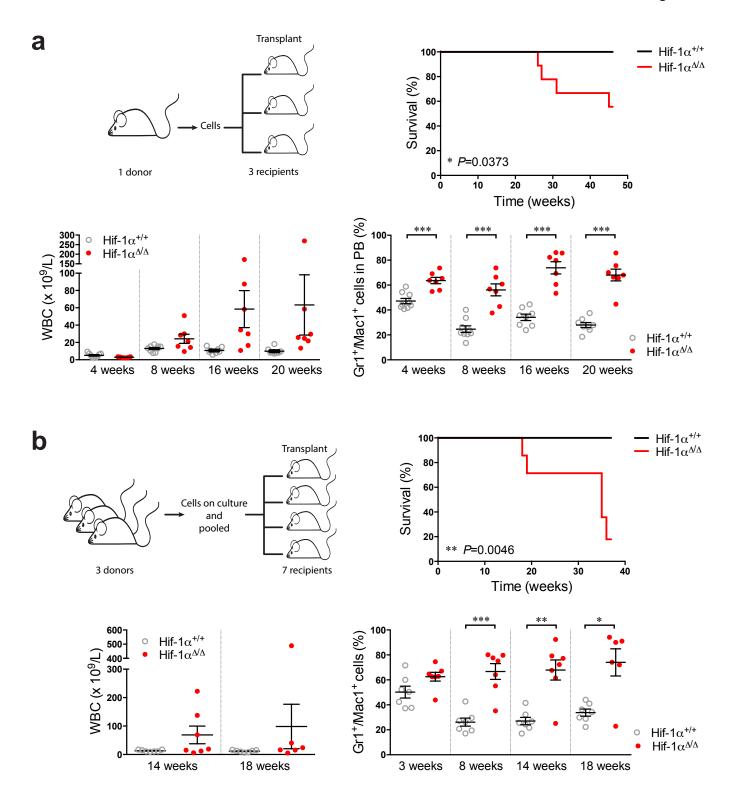
631 independent experiments; 20-week-old mice). (c) Mitochondrial membrane function, measured by MitoTracker Deep Red staining (*Hif-1* $\alpha^{+/+}$ , n=8; *Hif-1* $\alpha^{\Delta/\Delta}$ , n=9), in 632 myeloid cells (Gr1<sup>+</sup>/Mac1<sup>+</sup>) of BM and spleen. We observed 3 different populations 633 634 according to MitoTracker staining that we named M1, M2 and M3 from lower to higher 635 intensity. We determined the percentage of myeloid cells in each of these populations 636 (3 independent experiments; 20-week-old mice). (d) Summary of the metabolic profile of *Hif-1* $\alpha^{\Delta/\Delta}$  and *Hif-1* $\alpha^{+/+}$  myeloid cells in the BM and spleen. Dashed lines indicate 637 638 statistically non significant data. Plots represent mean ± SEM. Two-tailed Student *t* test was used to assess statistical significance. \**P*<0.05, \*\**P*<0.01, \*\*\**P*<0.001 639

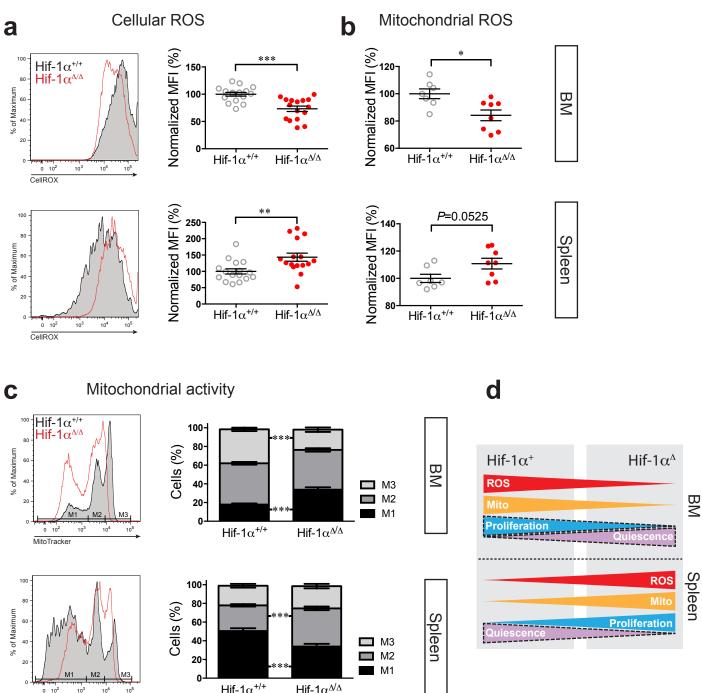
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10 10 10 MitoTracker

Hif-1 $\alpha^{+/+}$  $\mathsf{Hif}\text{-}1\alpha^{\Delta\!/\!\Delta}$ 

Parameter	wt mice	<i>Hif-1</i> α <sup>+/+</sup> mice	<i>Hif-1α<sup>Δ/Δ</sup></i> mice	<i>P</i> -value (+/ $\Delta$ )
RBC (x10 <sup>12</sup> /L)	$10.04 \pm 0.11$	9.275 ± 0.19	7.707 ± 0.39	0.0007 ***
HGB (g/L)	148.7 ± 1.59	$142.8 \pm 2.44$	137.3 ± 3.68	0.2069
НСТ	$0.5158 \pm 0.006$	$0.4795 \pm 0.009$	$0.4507 \pm 0.014$	0.0835
Platelets (x10 <sup>9</sup> /L)	1140 ± 61.11	1093 ± 93.76	880.0 ± 127.2	0.1829

#### Table 1. Peripheral blood counts

RBC: red blood cells; HGB: hemoglobin; HCT: hematocrit. Wt: n=6, *Hif-1* $\alpha^{+/+}$ : n=13, *Hif-1* $\alpha^{\Delta/\Delta}$ : n=9. Values represent mean ± SEM. *P*-values are calculated between *Hif-1* $\alpha^{+/+}$  and *Hif-1* $\alpha^{\Delta/\Delta}$  groups.

## **Supplementary Information**

## Loss of HIF-1a accelerates murine FLT-3<sup>ITD</sup>-induced myeloproliferative neoplasia

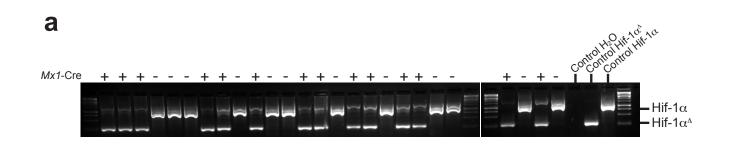
Talia Velasco-Hernandez<sup>1</sup>, Daniel Tornero<sup>2</sup> and Jörg Cammenga<sup>1, 3, 4, 5</sup>

<sup>1</sup>Department of Molecular Medicine and Gene Therapy, Lund Stem Cell Center, Lund University, Lund, Sweden; <sup>2</sup>Laboratory of Stem Cells and Restorative Neurology, Lund Stem Cell Center, Skanes University Hospital, Lund, Sweden; <sup>3</sup>Department of Hematology, Skanes University Hospital, Lund, Sweden, <sup>4</sup>Department of Hematology, Linköping University Hospital and <sup>5</sup>Institution for Clinical and Experimental Medicine, Linköping University, Linköping, Sweden.

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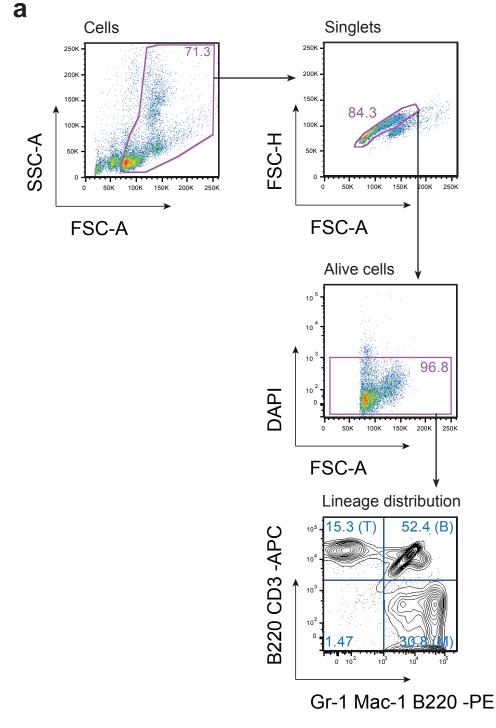
Jörg Cammenga

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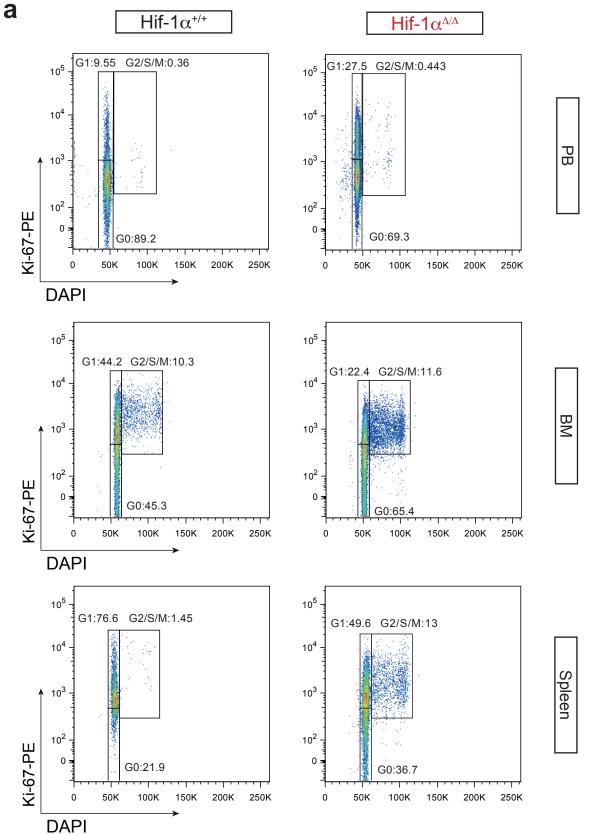
#### Supplementary Figure S1. Spontaneous deletion of *Hif-1* $\alpha$ .

(a) Deletion of Hif-1 $\alpha$  was checked by PCR amplification of the DNA extracted from BM cells of the mice used in this manuscript. All 14 additionally genotyped mice showed deletion of the HIF-1 $\alpha$  gene, providing evidence that spontaneous recombination is a general phenomenon in these mice.



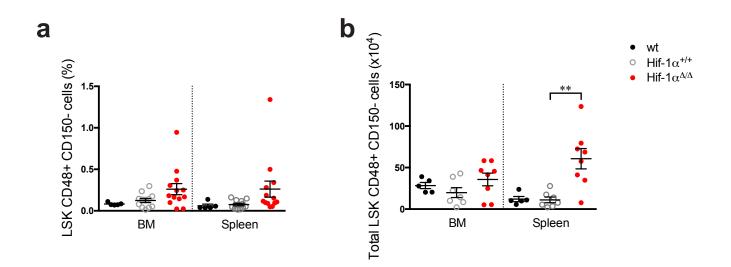
#### Supplementary Figure S2. Gating strategy used for the identification of the different cell lineages.

(a) For the identification of the specific lineage populations, we gated entire cells using FSC-A/SSC-A, singlets according to FSC-A/FSC-H, alive cells discarding the DAPI positive cells and finally a combination of Gr1-PE, Mac1-PE, B220-PE, B220-APC and CD3-APC. PE<sup>+</sup> cells are myeloid cells (M) (Gr1<sup>+</sup>/Mac1<sup>+</sup>), PE<sup>+</sup>APC<sup>+</sup> are B cells (B) (B220<sup>+</sup>) and APC<sup>+</sup> are T cells (T) (CD3<sup>+</sup>).



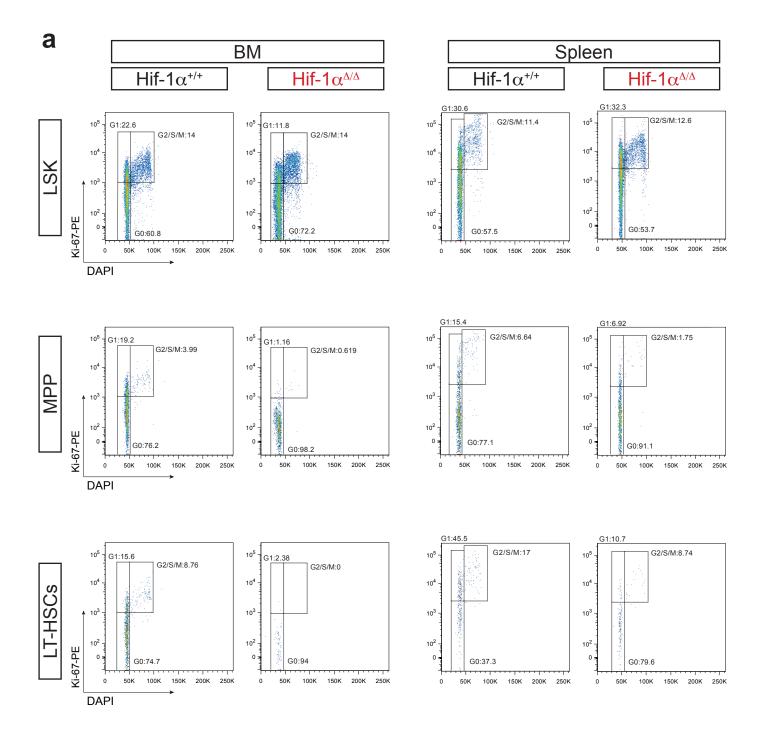
#### Supplementary Figure S3. Cell-cycle analysis of myeloid cells.

(a) Representative plots of the cell-cycle analysis of myeloid cells (Gr1<sup>+</sup>/Mac1<sup>+</sup>) located in BM and spleen of HIF-1 $\alpha^{\Delta/\Delta}$  and HIF-1 $\alpha^{+/+}$  mice .



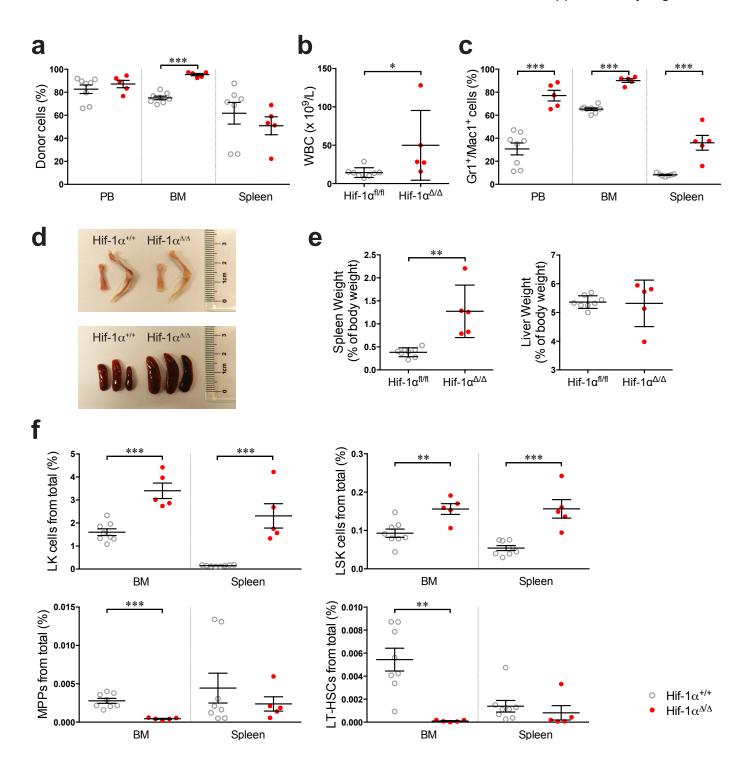
#### Supplementary Figure S4. Percentages and total cell numbers of LSK CD48+ CD150- cells in FLT-3<sup>ITD</sup> mice.

(a) Percentage of LSK CD48+ CD150- cells of total BM or spleen cells (wt, n=5; HIF-1 $\alpha^{+/+}$ , n=12; HIF-1 $\alpha^{\Delta/\Delta}$ , n=13). (b) Absolute number of LSK CD48+ CD150- cells in BM (6 bones: 2 femurs, 2 tibiae and 2 hips) or spleen. Plots represent mean ± SEM. Two-tailed Student *t* test was used to assess statistical significance. \*\**P*<0.01.



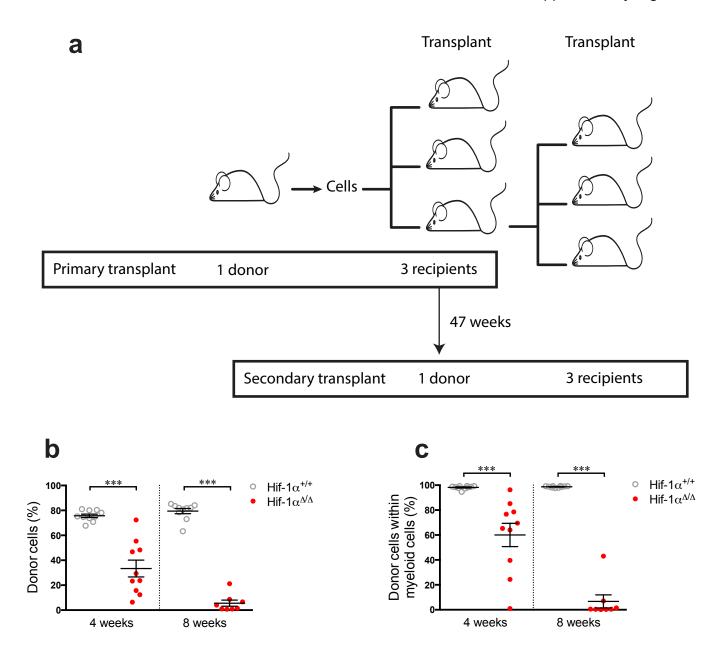
#### **Supplementary Figure S5. Cell-cycle analysis of primitive populations.**

(a) Representative plots of the cell-cycle analysis of the indicated primitive populations located in BM and spleen of HIF-1 $\alpha^{\Delta/\Delta}$  and HIF-1 $\alpha^{+/+}$  mice .



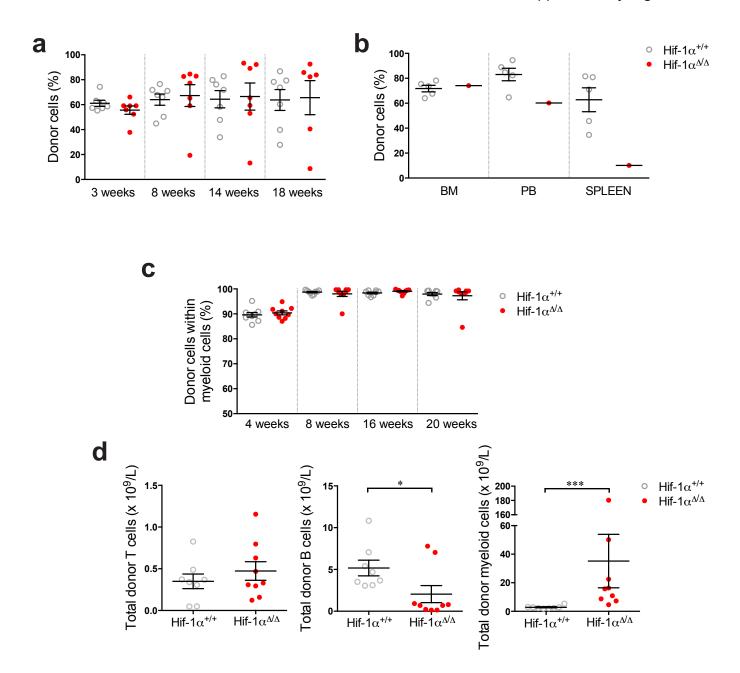
# Supplementary Figure S6. Enhanced FLT-3<sup>ITD</sup>-induced MPN phenotype in mice transplanted with HIF-1 $\alpha^{\Delta/\Delta}$ BM cells.

(a) Donor reconstitution of transplanted mice 47 weeks after transplantation (end point) (n=5-8). (b) Blood analysis of mice at the end point, showing increased WBC (n=5-8). (c) Percentage of mature myeloid cells (Gr1<sup>+</sup>/Mac1<sup>+</sup>) in PB, BM and spleen at the end point (n=5-8). (d) Representative phenotype of bones and spleens at the end point from mice with the indicated genotypes. (e) Spleen and liver weights in relation to body weight for the different genotypes (n=5-8). (f) Percentage of the indicated populations of undifferentiated cells from total BM or spleen cells (n=5-8). Plots represent mean ± SEM. Two-tailed Student *t* test was used to assess statistical significance. \**P*<0.05, \*\**P*<0.01, \*\*\**P*<0.001



#### Supplementary Figure S7. Donor contribution of FLT-3<sup>ITD</sup> cells in secondary recipients.

(a) Experimental design of the secondary transplantation assay. Donor cells from one primary recipient were transplanted into 3 secondary recipients. Donor cells were harvested 47 weeks after transplantation (end point of the experiment). (b) Donor reconstitution of transplanted mice in PB at different time points after transplantation. (n=10). (c) Donor contribution to the myeloid compartment in PB at different time points after transplantation (n=10). Plots represent mean  $\pm$  SEM. Two-tailed Student *t* test was used to assess statistical significance. \*\*\*P<0.001.



#### Supplementary Figure S8. Donor contribution of transplanted FLT-3<sup>ITD</sup> cells.

(a) Donor contribution of mice transplanted with *in vitro* cultured cells (see also Figure 4b) in PB at different time points after transplantation (n=7) and their donor reconstitution (**b**) in BM, PB and spleen at 62 weeks after transplantation (end point). Notice that at this time point, there is only one HIF- $1\alpha^{\Delta/\Delta}$  survivor. (**c**) Donor contribution to the myeloid compartment in secondary recipients (see also Figure 4a) at different time points after transplantation cells and (**d**) donor contribution to the different lineages in total cell numbers from the same transplantation at 20 weeks after transplantation. Plots represent mean ± SEM. Two-tailed Student *t* test, and Mann-Whitney test for (d) due to the different variances, were used to assess statistical significance. \*P<0.05, \*\*\*P<0.001.