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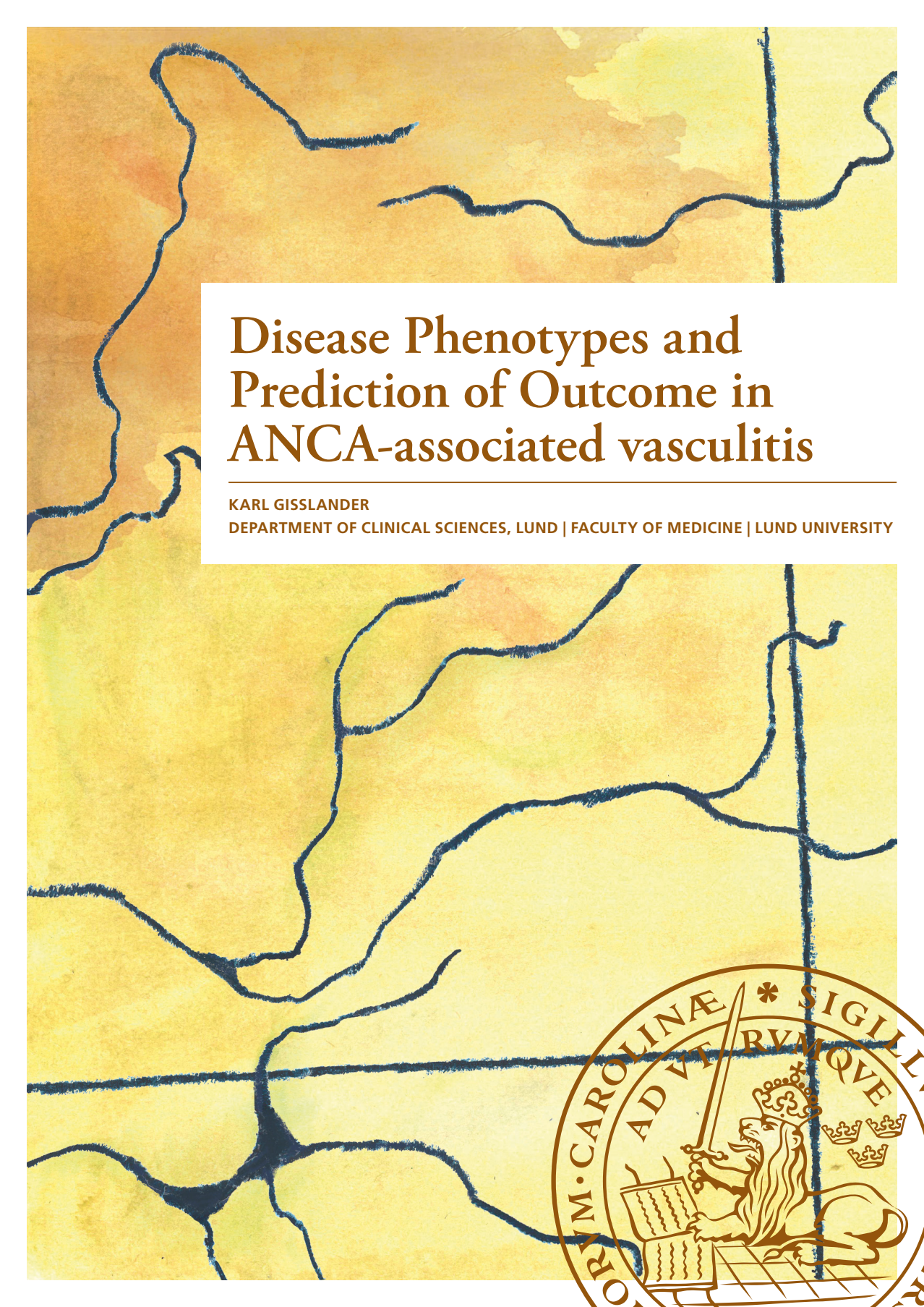
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Disease Phenotypes and Prediction of Outcome in ANCA-associated vasculitis

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Disease Phenotypes and Prediction of Outcome in ANCA-associated vasculitis

Karl Gisslander



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Abstract:

Objectives

Anti-neutrophil cytoplasmic antibody (ANCA)-associated vasculitis (AAV) is a rare systemic autoimmune disease characterised by inflammation and destruction of small blood vessels. With research into the disease suffering from small sample sizes, this thesis aims to (1) address research data fragmentation in AAV through the integration of real-world observational registries, (2) stratify patterns of symptoms at disease onset, and (3) build models for the prediction of disease outcome.

Methods

Data from six European vasculitis registries were integrated using two complementary approaches. First the registries were combined using Semantic Web technologies, allowing federated access to aggregated data through a dedicated web interface. The quality of the underlying data was explored, and the characteristics, treatments and disease outcome of European patients described. Secondly, data was pooled in a central data storage. Using the central data, model-based clustering was used to study and stratify the diverse phenotypic presentations at disease onset. Lastly, prognostic models for key disease outcomes were built using survival modelling.

Results

The federated integration was successful, although some data quality concerns were identified, allowing access to an unprecedented cohort size of 5282 patients. Symptomatology, type of treatments used, mortality rates and rates of end-stage kidney disease were highly variable between the participating registries. Using model-based clustering, five clusters were identified, with distinct phenotypes, biochemical presentations, and disease outcomes – primarily stratified by kidney impairment and systemic inflammation. Building predictive models for disease outcome, known predictors of disease outcome were reidentified and compiled into comprehensive models, outperforming existing models in terms of predictive accuracy.

Conclusion

This thesis presents the first successful federated integration of distributed vasculitis datasets, allowing access to a cohort of unprecedented size. It further reinforces that AAV is beyond a binary construct and that the disease heterogeneity may be better described by five subcategories. While accurate prediction of disease outcome at the time of diagnosis is possible, the benefit of implementation of prediction models for the guidance of clinical decision-making needs further evaluation.

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and I assure you it does nearly as well*

LEWIS CARROLL

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Abstract

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List of papers

- I. **Gisslander K**, Rutherford M, Aslett L, Basu N, Dradin F, Hederman L, Hrušková Z, Kardaoui H, Lamprecht P, Lichołai S, Musiał J, O'Sullivan D, Puéchal X, Scott J, Segelmark M, Straka R, Terrier B, Tesař V, Tesi M, Vaglio A, Wandrei D, White A, Wójcik K, Yaman B, Little MA, Mohammad AJ, on behalf of the FAIRVASC consortium. Data quality and patient characteristics in European ANCA-associated vasculitis registries: data retrieval by federated querying. *Ann Rheum Dis*. 2024 Jan 2;83(1):112-120.
- II. **Gisslander K**, White A, Aslett L, Hrušková Z, Lamprecht P, Musiał J, Nazeer J, Ng J, O'Sullivan D, Puéchal X, Rutherford M, Segelmark M, Terrier B, Tesař V, Tesi M, Vaglio A, Wójcik K, Little MA, Mohammad AJ, on behalf of the FAIRVASC consortium. Data-driven subclassification of ANCA associated vasculitis – model-based clustering of a federated international cohort. *Lancet Rheumatol*. 2024 Aug 22:S2665-9913(24)00187-5.
- III. **Gisslander K**, White A, Segelmark M, Hrušková Z, Lamprecht P, Najibi M, Puechal X, Rathmann J, Rutherford M, Terrier B, Vaglio A, Wójcik K, Little MA, Mohammad AJ, on behalf of the FAIRVASC consortium. Prognostic models for ANCA-associated vasculitis: a real-life observational cohort study of relapse, infection, end-stage kidney disease and mortality. (manuscript)

Abbreviations

AAV	Anti-neutrophil cytoplasmic antibody-associated vasculitis
ACR	American College of Rheumatology
AIC	Akaike information criterion
ANCA	Anti-neutrophil cytoplasmic antibody
ASN	American Society of Nephrology
AUC	Area under the curve of a receiver operating characteristics curve
BIC	Bayesian information criterion
BVAS	Birmingham Vasculitis Activity Score
cANCA	Cytoplasmic anti-neutrophil cytoplasmic antibody pattern
CI	Confidence interval
CHCC	Chapel Hill Consensus Conference
CRP	C-reactive protein
DNA	Deoxyribonucleic acid
eGFR	Estimated glomerular filtration rate
EGPA	Eosinophilic granulomatosis with polyangiitis
ELISA	Enzyme-linked immunosorbent assay
EMA	European Medicines Agency
ESKD	End-stage kidney disease
EULAR	European Alliance of Associations for Rheumatology
EVI	Equal volume, varying shape, and orientation
FAIR	Findable, accessible, interoperable, reusable
FAIRVASC	Findable, accessible, interoperable, reusable, vasculitis
FVSG	French Vasculitis Study Group (registry)
GeVas	Joint Vasculitis Registry in German-speaking countries
GPA	Granulomatosis with polyangiitis
ICD	International Classification of Diseases
IIF	Indirect immunofluorescence
i~HD	European Institute for Innovation through Health Data

IMS	Inflammatory multisystem cluster
HLA	Human leukocyte antigen
MHC	Major histocompatibility complex
MPA	Microscopic polyangiitis
MPO	Myeloperoxidase
MPO-K	Anti-MPO kidney cluster
NET	Neutrophil extracellular traps
OMERACT	Outcome-measures in Rheumatology
pANCA	Perinuclear anti-neutrophil cytoplasmic antibody pattern
POLVAS	Polish Vasculitis Registry
PR3	Proteinase 3
PR3-K	Anti-PR3 kidney cluster
PROM	Patient-reported outcome measure
RCT	Randomised clinical trial
RKD	Ireland's Rare Kidney Disease registry
SK	Severe kidney cluster
VDI	Vasculitis damage index
VVI	Varying volume, varying shape, and orientation
WHO	World Health Organization
YR	Young respiratory cluster

Introduction

Anti-neutrophil cytoplasmic antibody (ANCA)-associated vasculitis (AAV) is a rare systemic autoimmune disorder comprised of three diseases or disease subtypes, granulomatosis with polyangiitis (GPA), microscopic polyangiitis (MPA), and eosinophilic granulomatosis with polyangiitis (EGPA). All disease subtypes are characterised by inflammation and destruction of predominantly small blood vessels and share an association with autoantibodies, ANCA. However, the exact aetiopathology is poorly understood.

Although AAV may involve small blood vessels in any organ or tissue, the upper and lower respiratory tract and kidneys are most often affected. The rarity of the disease along with heterogeneous symptomatology and a wide range of differential diagnoses makes AAV challenging to diagnose and study. Despite this, there have been considerable improvements in the diagnosis, treatment, and prognosis for patients with AAV. Still there is no cure, but what was once a disease with dire short-term prognosis, today is a chronic condition, unfortunately, involving frequent disease relapses.

While much is still to be learned about AAV, the considerable progress made in recent years is largely attributable to increased international collaboration. Being a rare disease, this is a necessity. This thesis describes, and benefits from such international collaboration, to explore the phenotypic spectrum of disease and to improve prognostication of disease progression. This is done using modern-day technologies such as the Semantic Web, unsupervised, and supervised learning. Of course, this has not always been possible, so let us start from the beginning.

A brief history of ANCA-associated vasculitis

In 1982 Davies and colleagues submitted a short report to the British Medical Journal, where they described the presence of “a factor that stained the cytoplasm of neutrophil leucocytes by indirect immunofluorescence” in eight patients with biopsy-proven necrotising crescentic glomerulonephritis.¹ This is the first report of ANCAs, but the history of AAV started much earlier and under other names.

Although vascular and rheumatic diseases have been recognised since antiquity, the first macroscopic description of what is believed to be systemic vasculitis was made

in 1852 by the Austrian pathologist Karl Rokitsky.^{2, 3} The constellation of symptoms and histopathology were further described and named in 1866 by Adolf Kußmaul and Rudolf Maier as 'periarteritis nodosa,' a term later changed to polyarteritis nodosa.^{4, 5}

Over the coming decades it became evident that the term polyarteritis nodosa encompassed a spectrum of different clinical presentations. In 1923 Friedrich Wohlwill described microscopic polyarteritis nodosa, while Heinz Klinger in 1931 described an atypical polyarteritis nodosa which Friedrich Wegener later recognized as a distinct disease with ear-nose-throat symptoms and histopathological findings characterised by a mixture of vasculitis and granuloma formation.^{6, 7, 8, 9} Jacob Churg and Lotte Strauss would in 1949 describe cases of asthma and eosinophilia associated with granulomatous lesions of the vessel wall, and set this apart from classical polyarteritis nodosa, introducing the disease later bearing their names.¹⁰

These three, seemingly all related diseases, would in two cases be known by honorific eponyms, Wegener's granulomatosis (GPA) and Churg-Strauss syndrome (EGPA), while Wohlwill's name was omitted in the naming of microscopic polyarteritis nodosa (MPA). The use of eponyms persisted until the American Society of Nephrology (ASN), the American College of Rheumatology (ACR) and the European Alliance of Associations for Rheumatology (EULAR) in the early 2010's recommended a gradual shift to the disease-descriptive nomenclature we use today.^{11, 12} By this time the pathogenic role of ANCA's had been widely described, but the umbrella term AAV not yet commonly used.

Nomenclature

The nomenclature of AAV is unarguably confusing. First, it is important to distinguish the difference in naming, defining, classifying, and diagnosing a disease. A nomenclature provides a standardised vocabulary aiding clinic and research in a uniform naming of diseases. The first attempt at a standardised vocabulary for the systemic vasculitides was published as the "Nomenclature of systemic vasculitides" in 1994 but is perhaps better known as the Chapel Hill Consensus Conference criteria (CHCC 1994).¹³ Here the systemic vasculitides were subcategorised by the size of the predominantly involved blood vessel and subsequently named. The AAVs (although the umbrella-term AAV was not yet recognised) were categorised as small-vessel vasculitis and named Wegener's granulomatosis, microscopic polyangiitis (microscopic polyarteritis) and Churg-Strauss syndrome. The CHCC 1994 was updated and expanded in 2012, which is the current standardised nomenclature of systemic vasculitis (Figure 1).¹¹ Today, AAV is defined as a concept, categorised as a small vessel vasculitis with three disease subtypes (stripped of eponyms), granulomatosis with polyangiitis (GPA), microscopic

polyangiitis (MPA) and eosinophilic granulomatosis with polyangiitis (EGPA). However, to further add to the confusion, EGPA may sometimes be omitted when discussing AAV.¹⁴

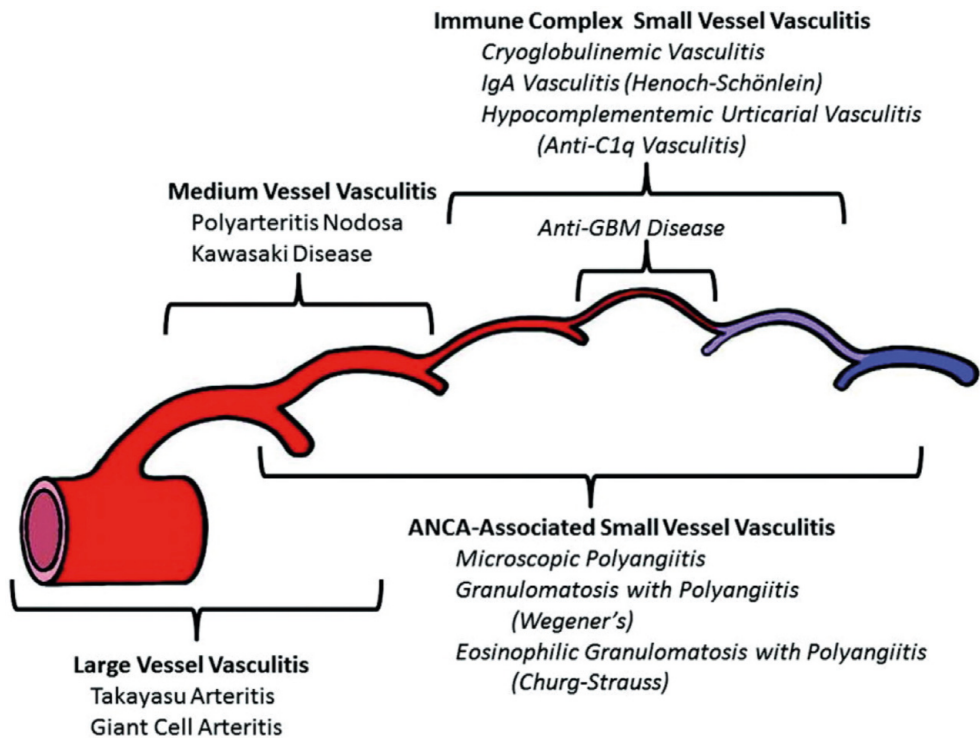


Figure 1. The 2012 Chapel Hill Consensus Conference criteria nomenclature of vasculitis
Distribution of vessel involvement by large, medium and small vessel vasculitis. Note the substantial overlap. The figure depicts (from left to right) aorta, large artery, medium artery, small artery/arteriole, capillary, venule and vein. Reproduced with permission from Wiley.¹¹

Classification

In contrast to a nomenclature, diagnostic criteria and classification criteria provide guidance in the diagnosis and classification of diseases and disease subtypes.¹⁵ Diagnostic criteria are a set of signs, symptoms, and tests with the purpose of accurately identifying patients in routine clinical practice (i.e., to distinguish vasculitis from non-vasculitis). Classification criteria on the other hand are standardised definitions for homogenous case enrolment in research (i.e., require a diagnosis of vasculitis and are used to differentiate types of vasculitis). Diagnostic criteria require high sensitivity and specificity and are notoriously hard to develop.

To date, no diagnostic criteria for AAV have been established. Classification criteria for the systemic vasculitides, however, have been widely available since first developed in 1952 by Pearl M. Zeek.¹⁶ Over the latter half of the 20th century several alternative classifications emerged, gaining limited traction.^{17, 18, 19, 20, 21}

In 1990, the ACR proposed criteria for seven forms of vasculitis, including Wegener's granulomatosis, and Churg-Strauss syndrome, but not microscopic polyangiitis.^{22, 23, 24} Being developed before the wide-spread use of ANCA-testing in clinical practice, ANCAs are not included. Despite this, the ACR 1990 criteria have been widely used for case definition in AAV research.

In 2007, Watts and colleagues introduced the European medicines agency (EMA) algorithm as a consensus application of the ACR 1990 criteria and the CHCC 1994 nomenclature for uniform classification of the AAVs, including MPA.²⁵ To avoid double classification, the algorithm provides a stepwise classification system based on the specificity of the original classification criteria. The algorithm has been validated, extensively used in epidemiological research, and updated to incorporate the CHCC 2012 nomenclature.^{25, 26 27, 28 29}

The latest classification criteria, and the first to incorporate ANCA and modern-day medical imaging, are the 2022 ACR/EULAR criteria (Table 1).^{30, 31, 32} However, concerns have been raised that the new classification criteria contradict the definitions proposed in the CHCC 2012, allowing for granulomas in MPA. As the criteria does not suggest a step-wise classification, the classification of the same individual to multiple disease sub-classes is permitted.³³

Common for all classification criteria in vasculitis are that they have been developed based on the notion of pre-defined distinct diseases or disease subtypes, based on clinical features, serological and histological findings. However, in recent years a re-classification of GPA and MPA, based on the type of ANCA pattern has been proposed, cited to be supported by stronger genetic association, more accurate prediction of clinical outcome and response to treatment.^{34, 35, 36, 37, 38} EGPA on the other hand may be better reflected as either ANCA positive or ANCA negative EGPA.³⁹ These serology-based classifications have gained traction, with ANCA-positivity often required for inclusion in clinical trials and subsequently used for stratification.⁴⁰ However, an ANCA-based classification fails to address the percentage of patients previously classified with ANCA negative GPA or MPA.²⁷ Supported by a data-driven subclassification based on clinical features and serology using unsupervised machine learning some authors propose an extended subclassification of GPA/MPA based on the severity of disease and the ANCA pattern.^{14 41}

Table 1. The 2022 American College of Rheumatology and European Alliance of Associations for Rheumatology Classification Criteria of the ANCA-associated vasculitides

These classification criteria should be applied to classify a patient when a diagnosis of small- or medium-vessel vasculitis has been made. Alternative diagnoses mimicking vasculitis should be excluded prior to applying the criteria.

Granulomatosis with polyangiitis	
Clinical criteria	
Nasal involvement: bloody discharge, ulcers, crusting, congestion, blockage, or septal defect/perforation	+3
Cartilaginous involvement (inflammation of ear or nose cartilage, hoarse voice or stridor, endobronchial involvement, or saddle nose deformity)	+2
Conductive or sensorineural hearing loss	+1
Laboratory, imaging, and biopsy criteria	
Positive test for cytoplasmic antineutrophil cytoplasmic antibodies (cANCA) or antiproteinase 3 (anti-PR3) antibodies	+5
Pulmonary nodules, mass, or cavitation on chest imaging	+2
Granuloma, extravascular granulomatous inflammation, or giant cells on biopsy	+2
Inflammation, consolidation, or effusion of the nasal/paranasal sinuses, or mastoiditis on imaging	+1
Pauci-immune glomerulonephritis on biopsy	+1
Positive test for perinuclear antineutrophil cytoplasmic antibodies (pANCA) or antimyeloperoxidase (anti-MPO) antibodies	-1
Blood eosinophil count $\geq 1 \times 10^9/\text{liter}$	-4
Sum the score: ≥ 5 needed for classification of granulomatosis with polyangiitis	
Microscopic polyangiitis	
Clinical criteria	
Nasal involvement: bloody discharge, ulcers, crusting, congestion, blockage, or septal defect/perforation	-3
Laboratory, imaging, and biopsy criteria	
Positive test for perinuclear antineutrophil cytoplasmic antibodies (pANCA) or antimyeloperoxidase (anti-MPO) antibodies	+6
Fibrosis or interstitial lung disease on chest imaging	+3
Pauci-immune glomerulonephritis on biopsy	+3
Positive test for cytoplasmic antineutrophil cytoplasmic antibodies (cANCA) or antiproteinase 3 (anti-PR3) antibodies	-1
Blood eosinophil count $\geq 1 \times 10^9/\text{liter}$	-4
Sum the score: ≥ 5 needed for classification of microscopic polyangiitis	
Eosinophilic granulomatosis with polyangiitis	
Clinical criteria	
Obstructive airway disease	+3
Nasal polyps	+3
Mononeuritis multiplex	+1
Laboratory, imaging, and biopsy criteria	
Blood eosinophil count $\geq 1 \times 10^9/\text{liter}$	+5
Extravascular eosinophilic-predominant inflammation on biopsy	+2
Positive test for cytoplasmic antineutrophil cytoplasmic antibodies (cANCA) or antiproteinase 3 (anti-PR3) antibodies	-3
Haematuria	-1
Sum the score: ≥ 6 needed for classification of eosinophilic granulomatosis with polyangiitis	

Modified from ^{30, 31, 32}

The anti-neutrophil cytoplasmic antibodies

After first being described by Davies and colleagues, van der Woude and colleagues in 1985 confirmed the presence of ANCA in systemic vasculitides and noted its potential as a novel diagnostic biomarker.^{1, 42} In 1988, Falk and Jennette described two types of autoantibodies with distinct immunofluorescence patterns, one with reactivity with myeloperoxidase (MPO) producing a perinuclear immunostaining of neutrophils (pANCA), and one with no myeloperoxidase reactivity producing a diffuse cytoplasmic pattern (cANCA).⁴³ The diffuse cytoplasmic pattern was shortly after attributable to autoantibodies against a novel serine proteinase, proteinase 3 (PR3).⁴⁴

The antigens

The neutrophil is the most abundant leukocyte in the blood, critical in antibacterial defence, but also modulates the inflammatory process. MPO is a peroxidase enzyme, abundantly expressed in the primary granules of neutrophils and is involved in the microbicidal reaction in the phagosome.⁴⁵ The primary function of PR3, however, is more oblique. While, expressed in the primary granules of neutrophils, PR3 is also expressed on the neutrophil surface, an expression increased by apoptosis. Here PR3 prevent the clearance of apoptotic cells, promoting non-resolving inflammation. PR3 may further disseminate throughout the body as a soluble protein, or via extracellular vesicles released from the cell membrane, contributing to systemic inflammation.⁴⁶

The mechanisms of loss of immunological tolerance, autoantibody formation against MPO and PR3, seen as the key event in the pathogenesis of AAV, are largely unknown. However, the ANCAs present well before the onset of clinical disease.⁴⁷

Detection

Today, two main methods are used for the detection ANCAs, indirect immunofluorescence (IIF) and immunoassay. Following a 2017 consensus statement, immunoassay is the preferred screening method of the two for the diagnosis of AAV, due to an improved specificity of immunoassays and the large variability between IIF methods.^{48, 49, 50} This was a revision of a previous consensus, that IIF was to be used as a primary screening, with samples containing ANCA then being tested by immunoassay.⁵¹ A number of immunoassay methods are available, primarily enzyme-linked immunosorbent assays (ELISA), but also fluoroenzyme immunoassay, chemiluminescent assay and multiplexed flow immunoassay, as well as second (capture) and third (anchor) generation antigen presentations.⁴⁹ Due to a lack of standardisation, the individual titres of ANCA do not correspond between

antigen-specific assays, impacting assessment of ANCA titre progression within one patient and group-level comparisons when using different assays.⁵²

Diagnostic capabilities

The diagnostic capabilities of ANCA have been well established, and ANCA serotype is consistently associated with different clinical presentations and outcomes. Although considerable overlap exists, PR3-ANCA is primarily associated with a clinical presentation consistent with GPA (e.g., systemic inflammatory response and upper and lower respiratory tract disease), while MPO-ANCA is associated with symptoms of MPA (e.g., kidney and lung involvement).

However, despite the name, ANCAs are not a mandatory feature in AAV. Approximately, 5-10% of patients appear to be ANCA negative.²⁷ ANCA negativity is most common in EGPA (approximately 55-65%) and less common in GPA (approximately 5%) and MPA (approximately 5-10%).⁵³ The clinicopathologic findings and prognosis of patients with ANCA negative AAV are similar to those of ANCA-positive patients.⁵⁴ Given the evidence of the key role of ANCA in the pathogenesis of AAV, it has been proposed that conventional assays fail to detect some epitopes of ANCA or the presence of other autoantigens than MPO or PR3. Roth and colleagues have shown that seronegative patients may have an MPO-ANCA epitope masked in serum by a ceruloplasmin fragment, while several other studies have shown other autoantigens, notably human lysosome-associated membrane protein 2 and pentraxin-3 in cases of seronegative AAV.^{55, 56, 57, 58, 59, 60}

In addition to not being mandatory, ANCAs are not specific to AAV, especially when analysed with immunofluorescence.⁶¹ A cytoplasmic or perinuclear ANCA pattern can be seen in inflammatory bowel disease, malignancies, and infections (especially endocarditis), all important differential diagnoses to AAV.⁴⁸ ANCAs can even be present in low titres in healthy individuals, but may then have different epitope specificities.^{55, 62} Additionally, a cytoplasmic or perinuclear ANCA pattern can be caused by reactivity not only to MPO or PR3 but to other antigens, such as lactoferrin (potentially identified already in 1959), elastase and bactericidal permeability-increasing protein.^{63, 64, 65} Dual positivity for PR3- and MPO-ANCA may also occur and is frequently associated with drug-induced vasculitis.⁶⁶

Despite ANCA negative disease and the existence of naturally occurring ANCA in healthy individuals, the evidence for the loss of immunological tolerance to MPO and PR3 and the development of autoantibodies as key events in the pathogenesis of AAV is compelling. The most compelling evidence being that of the *in vivo* pathogenicity of anti-MPO and anti-PR3 in murine models of vasculitis.^{67, 68}

Pathogenesis

AAV is characterised by microvascular endothelial inflammation leading to tissue damage and loss of function. The pathogenic pathways to this are complex and involve both the innate and adaptive immune system (Figure 2). The hypothesised mechanism is in short that genetic, epigenetic, and environmental factors trigger loss of immunological tolerance to MPO or PR3 resulting the development of autoantibodies, ANCA. ANCAs activate neutrophils, which locate to susceptible microvasculature and induce damage, resulting in further release of the autoantigen, mediating further immunological activation and injury.

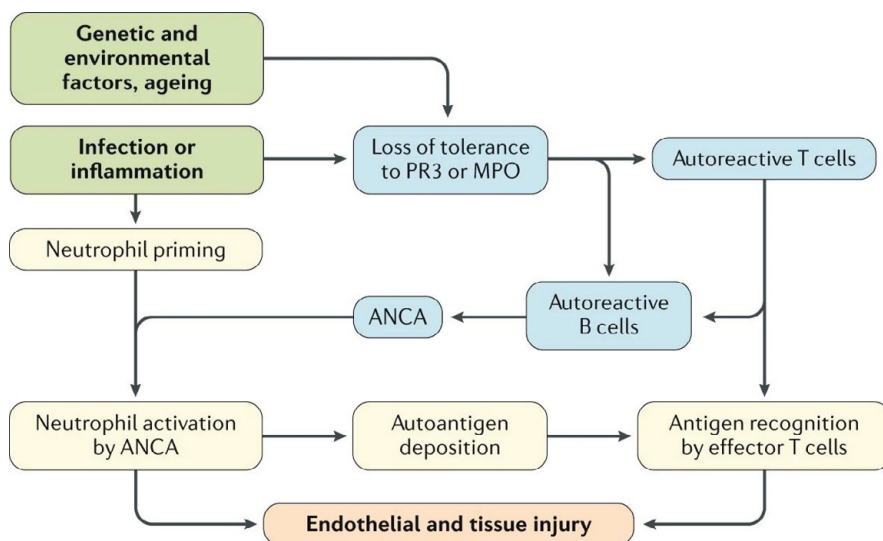


Figure 2. Simplified schematic of the hypothesised pathogenesis of AAV.

Risk factors (green) leading to autoreactivity (blue) and an effector phase (yellow) ultimately resulting in endothelial damage and tissue injury (orange). Reproduced with permission from Springer Nature.⁵³

Loss of tolerance

Immunotolerance, the unresponsiveness of the immune system to substances or tissues that have the capacity to induce an immune response is essential. The breakdown of self-tolerance may result in autoimmune disorders while dysregulated responses to foreign antigens can lead to hypersensitivity and allergic disease. Immunotolerance is achieved through both central and peripheral mechanisms. Defects in both are present in AAV.

Establishing central tolerance, self-reactive T and B cells are eliminated during their development in the thymus and bone marrow, respectively. This process is evidently imperfect as ANCA autoantibodies are present in healthy individuals.⁶² Tan and colleagues, showed how mice depleted of the autoimmune regulator transcription factor (naturally promoting thymic MPO expression and enhance central deletion of autoreactive T cells), produce more autoreactive anti-MPO T cells, higher ANCA titres and glomerular injury when immunised with MPO.⁶⁹

However, peripheral mechanisms are also of importance. Peripheral tolerance ensures that self-reactive immune cells that escape central tolerance mechanisms remain inactive. In the same study as described above, Tan and colleagues, further demonstrated how depletion of peripheral regulatory T cells also led to the production of more autoreactive anti-MPO T cells, higher ANCA titres and glomerular injury.⁶⁹ The potential role of peripheral mechanisms in AAV, through regulatory T and B cells, have also been demonstrated in human studies.^{70, 71, 72}

Neutrophil activation

The suggested key consequence of loss of immunotolerance is the production of ANCAs and the following activation of neutrophils. ANCAs bind to autoantigens and activate neutrophils by altering adhesion molecule expression, changing cell structure rigidity, and stimulating the formation of extracellular fibre networks (NETs) composed of neutrophil DNA and proteins like PR3 and MPO.^{73, 74 75 76, 77} Importantly they induce damage through the production of reactive oxygen species.⁷⁸ The activated neutrophils are recruited to vulnerable microvascular beds through the action of adhesion molecules and chemokines, where they induce injury.

This process is exacerbated through priming of the neutrophils through pro-inflammatory cytokines, resulting in the display of the autoantigens on the cell surface. Central in the priming of neutrophils and the pathogenesis of AAV is also the complement system, and in particular the cell surface interaction of C5a and C5a-receptor. Of the three pathways of complement activation (i.e., the classical, the lectin and alternative pathway) evidence suggest the alternative pathway as the main contributor in AAV. The impact of the complement system is not limited to neutrophil priming but may stimulate chemotaxis, neutrophil activation and further bridge inflammation and coagulation.⁷⁹

Tissue injury

Why disease manifestations have a predilection for certain microvasculatures and organs is unknown. The disease manifesting primarily in small arteries have been suggested to be partly explained by increased membrane rigidity of ANCA-activated neutrophils, leading to their entrapment in smaller capillaries.⁷⁵ However,

vascular beds, both within and between different organs, vary in morphology and function, and may influence immunological cell proliferation, migration, transendothelial passage and injury-response patterns.⁸⁰ The end result, necrotising injury with disrupted blood vessel walls, haemorrhage, release of plasma proteins into the vascular and perivascular tissue, coagulation, resulting in fibrinoid necrosis, seems to be similar across all affected organ systems.

In addition to features of necrotising vasculitis, in GPA, extravascular granulomatous inflammation of the upper and lower respiratory tract is a key manifestation. The mechanisms involved in granuloma formation in AAV are incompletely understood but may be predisposed by inflammation, infection, and dysbiosis of the upper airways.⁸¹

Cellular immunity

As been alluded to, not only innate and humoral immunity contributes to the pathogenesis. ANCA-activated neutrophils release their autoantigen, making it available for recognition by effector T cells. In murine models of anti-MPO glomerulonephritis a role for both CD4⁺ and CD8⁺ T cells in tissue injury has been shown.^{82, 83} Furthermore, CD4⁺ T cells promote the production of ANCA, and CD8⁺ T cell gene expression is associated with clinical disease and disease outcome.^{53, 84} The cellular immunity may have further implications for the prognosis of vasculitis, with signatures associated with T-cell exhaustion (e.g., loss of effector functions) being associated with favourable outcome in autoimmunity, but unfavourable outcome in infection.⁸⁵

Pathology of chronicity and disease relapse

Mechanisms involved in disease induction are likely to be relevant also in disease chronicity and relapse. It has been suggested that chronic nasal colonisation of *Staphylococcus aureus* may facilitate relapse.⁸⁶ Furthermore, a disease manifestation beyond necrotising vasculitis and granulomatosis that has gained increased interest in recent years is pulmonary fibrosis. As opposed to granuloma, common in anti-PR3 positive disease, pulmonary fibrosis is present mainly in anti-MPO disease.⁸⁷ The aetiology, is however, unclear, and interstitial lung disease can be present both as a prodrome and a complication of manifest AAV. Recurrent, sub-clinical intra-alveolar haemorrhage has been suggested in the pathogenesis, but also a direct role of MPO-ANCA through stimulation of fibroblast proliferation and release of fibrosis inducing proteolytic enzymes.⁸⁷

Differences in eosinophilic granulomatosis with polyangiitis

The implication of eosinophil dysfunction in the pathogenesis is unique for EGPA. However, the differences between the ANCA-positive and ANCA-negative forms of disease presentation, and how EGPA differs from other types of AAV remain poorly understood. Supported by differences in genetic association and disease presentation, current evidence suggests distinct differences in the pathogenesis of ANCA-negative and ANCA-positive EGPA, but the phenotypic expression is not dichotomous.^{39, 88, 89} In ANCA-negative disease, dysfunction of the mucosal barrier is implied by genetic associations, while ANCA-positive disease displays associations with mutations of the human leukocyte antigen (HLA) region, indicative of an autoimmune disorder.³⁹ The role of the eosinophil has been further implicated through the presence of eosinophils in the vasculitis lesions of EGPA, and convincingly demonstrated through the clinical effect of interleukin-5 (IL-5) and IL-5 receptor blockade (IL-5 promote eosinophil proliferation and function) in symptom control.^{90, 91}

Aetiology

As apparent by the previous section, the exact aetiology of AAV remains unknown. However, current evidence suggests an interplay of genetic, epigenetic, and environmental factors.

Ageing

AAV can present at any period of life, but disease incidence increases progressively with age.²⁷ Although at first glance paradoxical, this is not uncommon for autoimmune diseases. With complex pathways to loss of immunotolerance, the failure of immunological tolerance checkpoints could accumulate over a lifetime, but also be induced by declining immune competence. Despite being an immune system wide process, the accumulation of effector memory T cells, seen in AAV, and seen in the ageing immune system, have been implicated as a facilitator of chronic inflammation in elders.⁹² Interestingly, incidence not only increases with age, but the phenotypic expression also differs between age groups.⁹³

Genetics

Although several genes associated with susceptibility or resistance to AAV have been identified, the most frequent and strongest ones are found in the HLA region, encoding the MHC II complex.⁹⁴ GPA (and anti-PR3 positive disease) is most strongly associated with the HLA-DP region, while MPA (and anti-MPO positive

disease) with the HLA-DQ.³⁵ Similarly, the HLA DQ region is associated with EGPA, but only in anti-MPO positive disease.³⁹ Associations between the MHC and autoimmune diseases have been known since the 1970s and remain the strongest genetic risk factors in many autoimmune diseases.^{95, 96}

However, estimating the heritability (how much variation in phenotype or disease that can be explained by genetic variants) is challenging. The reported variance attributable to MHC alleles for autoimmune diseases varies from 2 – 30 %, with 6% reported for EGPA.^{39, 96} Differences in frequency of alleles strongly associated with MPA have been seen among East Asian, and European/North American populations, reflecting the epidemiological differences seen in disease pattern, again highlighting the importance of the MHC region in disease presentation.⁹⁷

Yet, genetical associations are not limited to the HLA region. Variation in the PRTN3 region, encoding PR3 is associated with GPA (and anti-PR3 positive disease).³⁵ Similarly, the SERPINA1 gene, encoding α 1-antitrypsin (an inhibitor of PR3) is associated with GPA (and anti-PR3 positive disease).³⁵ Clinically, α 1-antitrypsin deficiency is known to be associated with systemic vasculitis.⁹⁸ When comparing anti-PR3 positive patients with and without heterozygosity for α 1-antitrypsin deficiency, patients with heterozygosity exhibited more extensive organ involvement and poorer prognosis.⁹⁹ Both the genetic variants in PRTN3 and SERPINA1 genes favours an increased expression and higher circulating levels of PR3, facilitating the synthesis of anti-PR3 autoantibodies.¹⁰⁰

Other genes associated with AAV are related to T cell regulation, PTPN22 and CTLA4, and to endothelial cell functions and T and B cell homeostasis, BACH2.¹⁰¹ In ANCA-negative EGPA, gene associations are seen related to eosinophil inflammation and respiratory barrier function, IRF1/IL5 and GPA33, respectively.¹⁰¹

Familial aggregation is a key indicator of complex genetic diseases. Both aggregation of the same autoimmune condition (familial autoimmune disease) and aggregation of diverse autoimmune diseases (familial autoimmunity) are common.¹⁰² In AAV, however, reports of familial aggregation, are scarce, and limited to case series.¹⁰³ Knight and colleagues, have in two population-based studies investigated both familial autoimmune disease and familial autoimmunity in GPA. These indicate an increased risk of GPA in relatives with the disease, but a low risk in absolute terms.¹⁰⁴ Similarly, there is a moderate increase in risk of autoimmune and autoinflammatory disorders in relatives of patients with GPA.¹⁰⁵

Epigenetics and post-translational modifications

In addition to genetic, epigenetic processes regulate gene expression and may influence disease development and phenotype. Methylation of DNA and histones are two such mechanisms identified in AAV, influencing the expression of PRTN3

and MPO, the genes encoding the ANCA-autoantigens.¹⁰⁶ Interestingly, the DNA methylation levels at these sites were lower in patients with active disease compared to patients in remission, and increased methylation of PRTN3 was protective of disease relapse.¹⁰⁶

Post-translational modifications also play a role outside of gene expression, especially through the regulation of the ANCA effector functions. Glycosylation, the formation of glycoconjugates (the addition of sugars to proteins and lipids), have wide extent physiological and pathological implications, including modulation of the inflammatory response.^{107, 108, 109} In AAV, both hypo- and hyperglycosylation of the antibodies are seen, but its correlation with disease activity conflicting.^{110, 111, 112}

Likewise, post-translational modification of the PR3 and MPO antigens also occur and may alter their antigenicity, and changes in antibody avidity has been observed in relapsing disease.^{113, 114} Interestingly, glycosylation has implications beyond the direct post-translational modifications of immune system components. Interaction between endothelial cells and leukocytes, crucial in recruitment to the tissue, is regulated by adhesion molecules affected by endothelial cell-surface glycosylation, suggesting a contribution of post-translational modification in inflammatory vascular disease.^{115, 116}

Environment

Several microbial, occupational, and geoepidemiological exposures have been implicated in the aetiology and activity of disease in AAV. Notable is also, as mentioned, that the incidence of disease increases with age, implying the potential for accumulation of damage related to environmental risk factors.²⁷

Microbial agents

There are several suggested mechanisms for how microbes may induce autoimmunity and vasculitis: molecular mimicry (similarities between foreign and self-peptides), epitope spreading (an immune response against a specific antigen may expand to include other epitopes over time), bystander activation (immunological activation without an antigen), and cryptic antigen (e.g., tissue damage may reveal previously immunologically “hidden” antigens).¹¹⁷

Although several bacteria and fungi have been implicated in the disease aetiology, the most convincing results are regarding chronic nasal colonisation of *Staphylococcus aureus*.¹¹⁸ Patients with GPA have a higher rate of chronic nasal colonisation, which is also associated with an increased risk of relapsing disease.⁸⁶ This is not seen in MPA.¹¹⁹ Related to the nasal colonisation of *Staphylococcus aureus* in GPA, several studies have investigated the wider nasal microbiome.^{120, 121, 122} Dysbiosis is associated with active disease, precede clinical disease onset, and normalise in remission.¹²² Additionally, the impact of dysbiosis of the gut

microbiome in the development and presence of autoimmune disease have raised much interest in recent years.^{123, 124} In AAV, dysbiosis of the intestinal microbiota may be related to disease activity.¹²⁵ The evidence for the influence of infections and microbial agents (i.e., *Staphylococcus aureus*) on disease aetiology is further strengthened by evidence of a protective effect of trimethoprim-sulfamethoxazole on disease relapse.^{126, 127, 128}

Viral pathogens are associated with autoimmune disease, importantly Epstein-Barr virus in multiple sclerosis, rheumatoid arthritis, and systemic lupus erythematosus.¹²⁹ However, evidence to support a role for a viral pathogen in AAV is scarce.¹¹⁸ During the Covid-19 pandemic case-reports were presented with onset of disease following Sars-Cov-2 infection, and Covid-19 is known to exhibit features of and mimic vasculitis.^{130, 131, 132} Similarly, rare case-reports have been made regarding onset of AAV following Covid-19 vaccination.¹³³ Reports on influenza vaccination induced autoimmune disease onset and relapse are relatively common but evidence for this association have not been found in larger scale studies.^{134, 135}

Occupational exposures

Although, a Swedish case-control study found no evidence for an association between occupation and the development of GPA, several occupational or industrially generated exposures have been implicated in the aetiology of AAV.¹³⁶ The most prevalently described being silica and environmental dusts, exposure of which, with available evidence, are considered risk factors.¹³⁷ Relatedly, there are reports of an increased incidence following natural disasters with increased airborne particulate matter, although the reports are conflicting.^{138, 139, 140} Farming, via the exposure to inhaled antigens have also been described as associated with AAV.¹⁴¹

Other occupational exposures implicated (but with low-grade evidence) are mercury, industrial solvents, and carbon monoxide. Interestingly, smoking seems to have a protective effect on the development of vasculitis, but results are conflicting.¹¹⁸

Geoepidemiology

Several environmental risk factors related to geoepidemiology have been suggested in AAV. Seasonality or temporal clustering of disease have been repeatedly reported. Results are conflicting but reports of increased incidence during wintertime are frequent.¹¹⁸ In addition to this there are conflicting studies on cyclic disease occurrence, with a periodicity of none to one to eight year cycles.^{142, 143} However, studies on the seasonality of disease are complicated by the highly variable time from symptom onset to diagnosis and the largely unknown disease prodrome.

Relatedly, a latitudinal gradient has been noted in AAV. Some evidence suggests GPA being more common in the North of the Northern hemisphere, and MPA in the South, with a largely reciprocal finding in the Southern hemisphere.^{144, 145} It has been hypothesised that this is caused by sun exposure, and following levels of 1,25-dihydroxyvitamin D₃ (1,25(OH)₂ D₃), with known immunomodulatory effects.¹⁴⁶ However, levels of 1,25(OH)₂ D₃ are hard to measure, interindividual variance within the same latitude large and a clear latitudinal gradient lacking.¹⁴⁷ Despite this, studies have shown lower serum 25-OH vitamin D levels (the measurable inactive metabolite of 1,25(OH)₂ D₃) in AAV patients compared to healthy controls, with levels falling during relapse, and similar results, related to ambient ultraviolet radiation in an epidemiological study.^{148, 149, 150}

Drugs

In addition to environmental exposures various drugs and medications have been associated with development of AAV or disease-like symptoms. The anti-thyroid drug propylthiouracil, and to some extent other antithyroid medications, are known to cause MPO-ANCA production and is associated with the development of vasculitis.^{151, 152} Other drugs implicated are minocycline (an antibiotic) and hydralazine (an antihypertensive).¹⁵³ The use of cocaine is also associated with AAV, both through mimicking vasculitis (sinonasal destruction following snorting) and through the anti-helminthic agent levamisole (commonly used in cocaine adulteration).¹⁵⁴ Clinically, drug-induced vasculitis often presents with less severe symptomatology, more prominent skin involvement, and concurrent anti-MPO and anti-PR3 positivity. Symptoms often subside with termination of the drug, but immunosuppressive treatment may be required.¹⁵³

Epidemiology

The study of the epidemiology of AAV was long hampered by the lack of universally accepted classification criteria and the rarity of the disease. Since the 1990s considerable progress has been made in understanding the incidence (“How many people developed the disease during the specified time?”) and prevalence (“How many people have the disease during the specified time?”) of AAV. Studies have, however, been largely limited to the Global North.¹⁵⁵

Incidence

Although disputed, an increase in the incidence over time has been reported. While it is possible that the disease truly is becoming more and more common, other possible explanations include the change and development of classification criteria, widely available ANCA serology testing, and increased healthcare provider

awareness.^{27, 155} Interestingly, the increase in incidence is largely driven by MPA. This might reflect a demographic change in the Global North, with MPA being a disease subtype predominant in the elderly. The classification of MPA has also changed over time, with widely used classification criteria not available until 2007, and the disease being recognised by the World Health Organisation (WHO) in the International Classification of Diseases (ICD) as late as 2004.^{25, 156}

The mean annual incidence of AAV in southern Sweden during the period 1997 to 2019 was estimated to 30.1 per million adults (95% CI 27.0-33.1), (GPA: 15.4 [95% CI 13.3-17.6], MPA: 12.8 [95% CI 10.8-14.8], EGPA: 1.8 [95% CI 1.1-2.6]), with no change over time.²⁷ The distribution of patient cases between the three disease subtypes are largely consistent among European and North American cohorts, with some studies, as previously discussed, indicating a latitudinal gradient.¹⁵⁵ However, in East Asia, MPA is the most common disease presentation and GPA, EGPA and PR3-positive disease exceedingly rare.¹⁵⁷

In addition to regional differences, gender differences have been observed. Most studies in AAV demonstrate a slight predominance in men, as opposed to most autoimmune disorders.^{155, 158} Although conflicting results, current evidence suggests GPA being more common in men, and MPA in women. Disparities in study results have been suggested to reflect demographic differences in age distribution between populations.¹⁵⁵ AAV show a clear increase in incidence with age. Rathmann and colleagues, show a peak incidence in individuals 74 years and older, results largely replicated in comparable studies.^{27, 155} A difference between the disease subtypes is also present, with MPA (and MPO-positivity) occurring more frequently in older individuals, than GPA (and PR3-positivity). AAV is however, not a disease limited to elderly, and can develop at any time in life. Although studies are scarce, disease may, but rarely, develop in children. In 2018 the annual incidence of AAV in southern Sweden was estimated to 3.2 per million children (95% CI 1.1-5.4), (GPA: 1.4 [95% CI 0-2.8], (MPA: 1.4 [95% CI 0-2.8], EGPA 0.4 [95% CI 0-1.1]).¹⁵⁹ In a case-report, passive placental transfer from mother to neonate with subsequent disease development in the newborn, has been reported. This is also the first report demonstrating the immunopathogenic potential of MPO-ANCA in humans.¹⁶⁰

Prevalence

While studies of the prevalence of AAV are scarce compared to incidence studies, most reports indicate an increasing prevalence.¹⁵⁵ As AAV is a life-long condition, without any known cure, the increasing prevalence might reflect increasing incidence but also improved survival. The point-prevalence in 2020, in southern Sweden, was estimated to 428.4 (95% CI 350.7-506.0) per million adults (GPA: 241.6 [95% CI 183.3-299.9], MPA: 150.1 [95% CI 104.2-196.1], EGPA: 36.6 [95% CI 13.9-59.3]). This is a significant increase compared to data from the same

population in 2003, 353.6 (95% CI 275.6-431.6) per million adults.²⁷ With an estimated prevalence of approximately 400 per million adults, AAV is to be regarded a rare disease.¹⁶¹

Health-economics

Despite being a rare disease, AAV has substantial health-care economic effects. In addition to the considerable morbidity, placing a heavy burden on the individual, the elevated all-cause healthcare resource use compared to the background population, and following costs, is a health-economic burden.^{162, 163, 164, 165} The age, disease and, treatment related comorbidities are complex, but the increased expenditures are highly attributable to medications and hospitalisation.¹⁶³ Healthcare resource use is correlated with the use of glucocorticoids.¹⁶³ This may be attributable to both morbidities associated with disease activity, but also the treatment side-effects. This highlights the fine line, of over-treating with the risk of treatment related complications (e.g., infections) and under-treating with the risk of disease relapse.

Signs and symptoms

The disease presentations of AAV are complex and heterogenous. While the different types of AAV may share clinical features of non-specific systemic inflammation, such weight loss, arthralgia, myalgia, and malaise, the organ specific features are seen in different frequencies in the different types of disease (although considerable overlap exists) (Figure 3).

The key feature is that of necrotising vasculitis (of small and medium sized vessels) with few or no immune deposits (i.e., pauci-immune) affecting any organ system of the body. In GPA and EGPA, but not MPA granulomatous inflammation may be present.

Granulomatosis with polyangiitis

The hallmark features of GPA are necrotising granulomatous inflammation and vasculitis involving the upper and lower respiratory tract. Consequently, it often presents with ear-nose-throat symptoms (sinusitis, nosebleed, nasal crusting, chronic otitis-media, and destruction of the nasal cartilage). Lung involvement is also commonly seen in with symptoms such as pulmonary nodules and cavitation, and pulmonary capillaritis with lung haemorrhage, manifesting as shortness of breath, cough and potentially haemoptysis. Necrotising glomerulonephritis and kidney symptomatology is frequent, but less so than in MPA. Any organ system

may be affected, but eye involvement (e.g., peripheral ulcerative keratitis, scleritis, uveitis, and retinal vascular manifestations) is more often seen in comparison to the other types of AAV.

GPA is most often a systemic disease but may present with localised ear-nose-throat symptoms, posing considerable diagnostic difficulties. Most patients have positive ANCA serology (95%), with 65-75% being PR3-positive, and MPO-positivity seen in 20-30%.⁵³

Microscopic polyangiitis

Necrotising glomerulonephritis is very common in MPA and seen in almost all patients with symptoms such as haematuria, proteinuria, and hypertension. Lung involvement with pulmonary capillaritis is also frequent. Symptoms from the lung (diffuse alveolar haemorrhage) and kidney (glomerulonephritis), may co-present as the pulmo-renal syndrome.

While MPA is most often a systemic disease, kidney-limited disease presentation is relatively frequent. Like in GPA, this may pose diagnostic challenges. Non-vascular inflammation and granulomatous inflammation are per definition absent in MPA.

One disease presentation more frequently seen in MPA compared to the other AAVs is interstitial lung disease. Most common is a radiographic or histopathological pattern of usual interstitial pneumonia (UIP) that most often presents before or concomitantly with the vasculitis diagnosis.⁸⁷ However, MPO-positive interstitial lung disease without other signs of vasculitis may occur. In a retrospective cohort study including 34 patients with idiopathic pulmonary fibrosis and MPO-ANCA positivity, 28% developed clinical symptoms of systemic vasculitis.¹⁶⁶ Seroconversion to ANCA-positivity in patients with seronegative idiopathic pulmonary fibrosis may also occur.^{167, 168} MPA is associated with MPO-ANCA (55-65%), less so PR3-ANCA (20-30%) and is infrequently (5-10%) ANCA negative.⁵³

Eosinophilic granulomatosis with polyangiitis

Asthma and eosinophilia in blood and tissue in association with necrotising granulomatous inflammation or vasculitis are hallmark features of EGPA. The symptomatology can be localised to the upper (e.g., nasal polyposis and other non-destructive sinonasal symptoms) and lower respiratory tract (e.g., nodular lung disease), but is often systemic. EGPA frequently involves the skin (e.g., haemorrhagic lesions and nodules) and the peripheral nervous system (e.g., peripheral neuropathy and mononeuritis multiplex). Involvement of the heart (e.g., cardiomyopathy), and the gastrointestinal system (e.g., eosinophilic gastroenteritis) are also common, and poor prognostic factors.⁸⁸

In the absence of histologic proof of necrotising vasculitis or eosinophil-rich granulomatous inflammation there are considerable challenges in distinguishing EGPA from primary and secondary hypereosinophilia, or organ-restricted hypereosinophilic presentation (e.g., eosinophilic pneumonia and eosinophilic myocarditis). These difficulties are further exacerbated by EGPA frequently being ANCA negative (55-65%). ANCA-positive EGPA is almost exclusively of MPO-type and PR3-positive EGPA is very rare. Interestingly, the phenotype differs between ANCA-negative and ANCA-positive disease. ANCA-negative EGPA is associated with cardiomyopathy, lung, and gastrointestinal involvement. ANCA-positive disease on the other hand is associated skin disease, peripheral neuropathy and mononeuritis, and kidney involvement.⁸⁸

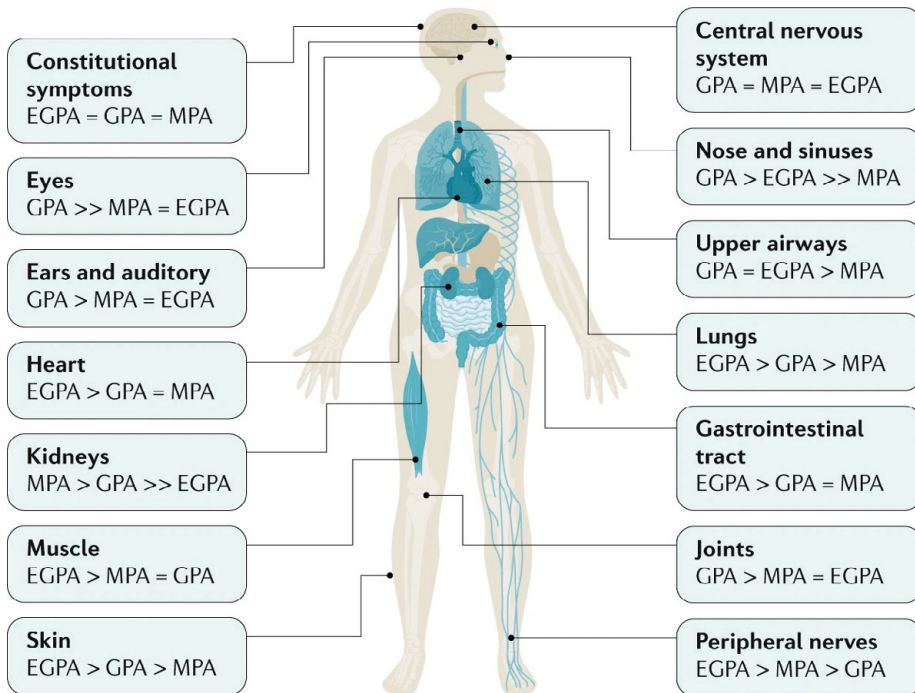


Figure 3. The approximate relative frequencies of organ system involvement in AAV
Reproduced with permission from Springer Nature.⁵³

Diagnosis

There are no diagnostic criteria, and no diagnostic tests for AAV. Consequently, making a diagnosis is challenging and initial misdiagnoses as another systemic rheumatic disease, infection, and malignancy are common.¹⁶⁹ Some differential

diagnoses, such as infective endocarditis not only share clinical features but may also present with a positive ANCA serology.

The plethora of disease mimics, the rarity of the condition, and the lack of pathognomonic features often leads to a significant diagnostic delay. Frequent healthcare encounters prior to a diagnosis are common.¹⁷⁰ In a 2021 survey by the Vasculitis Patient-Powered Research Network 73% of patients were initially misdiagnosed, with a median of five different misdiagnoses. The median time from symptom onset to a correct diagnosis was seven months, and 82% of patients reported that the diagnostic delay had negative health consequences.¹⁷¹ The diagnostic delay in AAV is associated with considerable risk of morbidity and mortality, but also increased healthcare costs.^{172, 173} However, the diagnostic delay has been considerably reduced following the widespread use of ANCA testing.¹⁷⁴

The diagnosis of AAV is a clinical one, supported by serology and histology. Investigations to support a diagnosis and refute differential diagnoses may differ based on symptomatology.

ANCA-testing, in cases of high pre-test probability, dramatically improves the diagnostic certainty, but both false negatives (5-10% of AAV is ANCA negative) and false positives (ANCA are seen in healthy individuals and be associated with differential diagnoses) still occur. However, a higher titre of ANCA may help to discriminate AAV from disease mimics.¹⁷⁵

Assessing disease activity

Disease activity refers to the potentially reversible aspects of a disease (as opposed to damage). In AAV the main validated tool for assessing disease activity is the Birmingham Vasculitis Activity Score (BVAS), first published by Luqmani and colleagues in 1994, now in its third version.^{176, 177} The BVAS form include signs and symptoms from nine organ-systems attributable to active vasculitis. The form provides a numeric score from 0 to 63, shown to correlate with prognosis, and other activity measures. BVAS is recommended for use in clinical trials and clinical practice, to assess disease activity at symptom onset, and for the assessment of remission and relapse.

Disease subtype specific scoring systems, such as the BVAS for Wegener's granulomatosis (i.e., GPA) (BVAS/WG) have been developed and used in clinical trials.¹⁷⁸ Other activity assessment tools include the physician's global assessment (PGA), the disease extent index (DEI), and the prognostic Five-Factor Scores.

Furthermore, a dichotomous division of organ/life-threatening and non-organ/life-threatening disease manifestations often occur in the literature. While these concepts (previously described as severe and non-severe AAV) are ill-defined, the BVAS form provides some guidance through the "major" and "minor" items. This may

help in deciding management strategies or define the severity of the disease manifestation at onset or relapse.¹⁷⁹ Similarly, the Five Factor Score is used for management decision guidance in EGPA.¹⁸⁰

Treatment and management

The treatment of AAV has undergone considerable changes since the introduction of immunosuppressants in the mid 20th century. Since its discovery by chemists Edward Calvin Kendall and Tadeusz Reichstein, and first clinical use by physician Philip Showalter Hench in 1949, cortisone has been a mainstay in the treatment of systemic rheumatic disease. However, severe, debilitating, and even mortal treatment side-effects caused by cortisone toxicity were common.¹⁸¹ In 1954 the first report of the use of a cytotoxic agent in AAV was published, describing symptom relief following intravenous nitrogen mustard in a patient with GPA (Interestingly, snake venom was also tested. Today cobra venom factor is used for complement depletion in research models).¹⁸²

In 1979 Fauci and colleagues, presented paradigm-shifting data of 17 patients with severe systemic necrotising vasculitis treated with the cytotoxic agent cyclophosphamide (a much less toxic nitrogen mustard-derived alkylating agent).¹⁸³ Fourteen achieved complete remission. Over time, side-effects (e.g., haemorrhagic cystitis, infertility, and malignancies) of prolonged cyclophosphamide exposure became evident.¹⁸⁴ Despite issues of treatment side-effects and the emergence of new therapeutic alternatives, glucocorticoids and cyclophosphamide (albeit with changed routines) are still the cornerstones of the pharmacological treatment.

Today's pharmacological treatment of AAV is biphasic, induction of disease remission and following achieved remission, remission maintenance. Foundational for the advances in the treatment of AAV are several randomised clinical trials (RCTs) (Table 2).

Table 2. List of key randomised clinical trials and main results

Trial	Main results
CYCAZAREM (2003) ¹⁸⁵	The non-inferiority of azathioprine to cyclophosphamide in maintenance of disease remission in AAV (GPA or MPA)
NORAM (2005) ¹⁸⁶	The non-inferiority of methotrexate to cyclophosphamide in remission induction in non-severe AAV (GPA or MPA)
MEPEX (2007) ¹⁸⁷	The superiority of plasma exchange to pulsed intravenous glucocorticoids for kidney survival in severe kidney AAV (GPA or MPA)
CYCLOPS (2009) ¹⁸⁸	The non-inferiority of dose-sparing pulsed intravenous cyclophosphamide to daily oral cyclophosphamide for remission induction in AAV (GPA or MPA)
IMPROVE (2010) ¹⁸⁹	The inferiority of mycophenolate mofetil to azathioprine for maintenance of disease remission in AAV (GPA or MPA)
RAVE (2010) ¹⁹⁰	The non-inferiority of the B-cell depleting, anti-CD20 monoclonal antibody Rituximab to cyclophosphamide in the induction of remission in AAV (GPA or MPA)
RITUXVAS (2010) ¹⁹¹	The non-inferiority of Rituximab to cyclophosphamide in the induction of remission in AAV (GPA or MPA)
MAINRITSAN (2014) ¹⁹²	The superiority of Rituximab to azathioprine in remission maintenance in AAV (GPA or MPA)
REMAIN (2017) ¹⁹³	The superiority of continued treatment (azathioprine/prednisolone) to withdrawal (at 24 months after diagnosis) for remission maintenance in AAV (GPA or MPA)
MAINRITSAN 2 (2017) ¹⁹⁴	No difference in rate of relapse between individually tailored (B-cell or ANCA reappearance) to fixed Rituximab (every 6 months) regimens in AAV (GPA or MPA)
MIRRA (2017) ⁹⁰	The superiority of IL-5 inhibitor Mepolizumab over placebo in relapsing and refractory EGPA
MYCYC (2019) ¹⁹⁵	The non-inferiority of mycophenolate mofetil to cyclophosphamide for remission induction (but higher rate of relapse) in AAV (GPA or MPA)
MAINRITSAN 3 (2020) ¹⁹⁶	The superiority of prolonged Rituximab to placebo in remission maintenance beyond 18 months in AAV (GPA or MPA)
PEXIVAS (2020) ¹⁹⁷	No effect of plasma exchange with respect to survival or kidney survival in severe AAV (GPA or MPA), and the non-inferiority of a reduced-dose glucocorticoid regimen compared to standard of care with respect to survival and kidney survival.
ADVOCATE (2021) ¹⁹⁸	The non-inferiority (week 26) and superiority (week 52) of remission maintenance by the complement [C5a receptor] inhibitor Avacopan to glucocorticoids in AAV (GPA or MPA)
MANDARA (2024) ⁹¹	The non-inferiority of IL-5 receptor inhibitor Benralizumab to Mepolizumab for the induction of remission in relapsing or refractory EGPA

Current pharmacological treatment

The latest guidelines for the rheumatological management of AAV, were presented by EULAR in 2022 (Figure 4).¹⁷⁹ Stratifying active GPA or MPA in organ-/life-threatening and non-organ-/life-threatening disease, induction of remission is recommended with a combination of glucocorticoids and rituximab or cyclophosphamide, or a combination of glucocorticoids and rituximab, respectively.

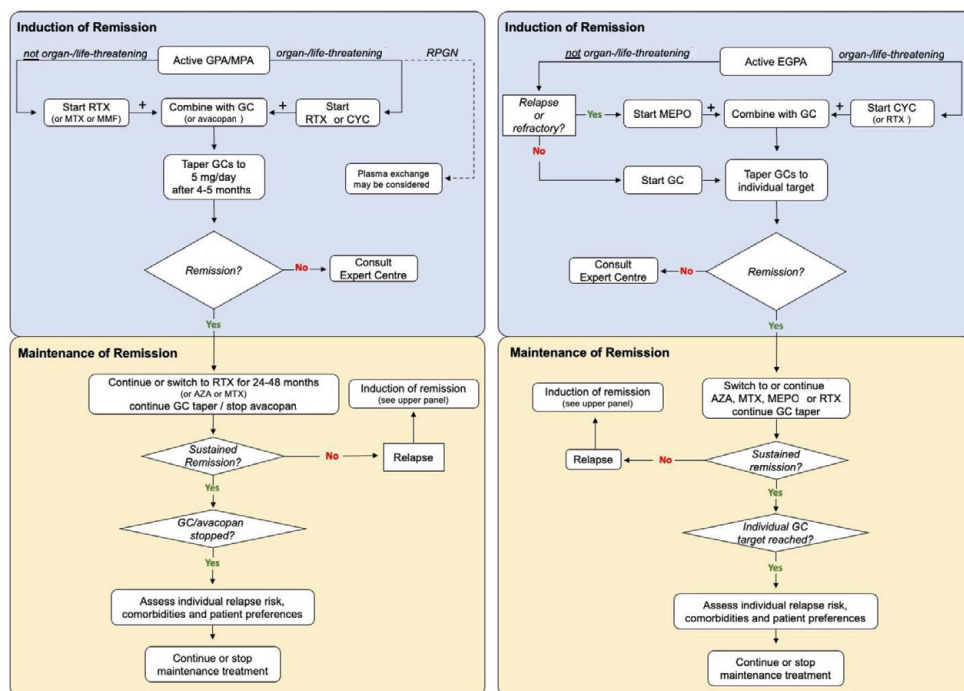


Figure 4. 2022 EULAR algorithm for the treatment of GPA/MPA (left) and EGPA (right)

RPGN = rapidly progressive glomerulonephritis, RTX = rituximab, MTX = methotrexate, MMF = mycophenolate mofetil, GC = glucocorticosteroids, CYC = cyclophosphamide, MEPO = mepolizumab, AZA = azathioprine. Reproduced from EULAR recommendations for the management of ANCA-associated vasculitis: 2022 update, Hellmich B, Sanchez-Alamo B, Schirmer JH, et al, 83:30-47, 2024, with permission from BMJ Publishing Group Ltd.¹⁷⁹

Rituximab is preferred over cyclophosphamide in relapsing disease.¹⁹⁹ The recommended starting dose of oral glucocorticoids is 50-75 mg of prednisolone per day with a following stepwise reduction to 5 mg per day by 4-5 months.¹⁹⁷ Avacopan may be used in combination with rituximab or cyclophosphamide to further reduce glucocorticoid exposure.¹⁹⁸ Plasma exchange may be considered as part of the induction remission therapy in case of serum creatinine >300 µmol/L.²⁰⁰ After remission induction maintenance of remission is recommended to be continued for two to four years or longer with rituximab.^{193, 196, 201}

In EGPA, organ-/life-threatening disease is recommended to be treated with a combination of glucocorticoids and cyclophosphamide.¹⁷⁹ For remission induction of EGPA without organ-/life-threatening symptoms treatment with glucocorticoids are recommended, with mepolizumab recommended for remission induction in relapsing or refractory disease.⁹⁰ Remission maintenance therapy in life-threatening disease is recommended using glucocorticoids and rituximab, mepolizumab, azathioprine, or methotrexate. In the absence of organ-/life-threatening symptoms

glucocorticoids alone, or in relapsing disease, glucocorticoids in combination with mepolizumab are recommended.¹⁷⁹

However, the above medications are associated with several side-effects and risk of complications and adverse events. Additional treatment or prophylaxis to address these are required and recommended.

Some considerations at induction of immunosuppressants

Immunosuppressive treatment is associated with side-effects and risks of complications. Some of the considerations at induction of immunosuppressants are:

- **Fertility:** Cyclophosphamide confers a risk of premature gonadal failure and sterility, and alternative treatments or fertility-preserving measures should be considered.
- **Osteoporosis:** Glucocorticoids increase the risk of osteoporosis (other factors that might be of relevance in AAV contributes such as for example reduced kidney function). Prophylaxis should be considered.
- **Infections:** Immunosuppressive treatment is associated with an increased risk of infections. The use trimethoprim-sulfamethoxazole decreases this risk and is recommended. Vaccinations are recommended.

Non-pharmacological management

The 2022 EULAR management guidelines rests on four overarching principles, highlighting shared decision-making between patient and physician, multidisciplinary management, and access to specific vasculitis expertise. This indicates a considerable change, evident in all fields of medicine, towards person-centred care and increased patient involvement. In AAV, the importance of multidisciplinary management cannot be understated. Being a heterogenous systemic disease with complex phenotypic presentation and a plethora of differential diagnoses, collaboration between medical specialties is key. However, despite recent advances in the development of standardised management guidelines, the care received is still highly varied.²⁰² Furthermore, concordance may be lacking, with patients not following the treatment prescription by the health care provider. While data specific for AAV is lacking, wider studies of rheumatic diseases show medication adherence (acting in accordance with the treatment regimen) and persistence (continuing the treatment for the prescribed duration) varying from 9%

to 94% and 23% to 80% respectively.²⁰³ Structured education programs may increase disease-specific knowledge and behavioural changes.²⁰⁴

Future of treatment

While a cure for AAV remains elusive, research is ongoing in re-establishing self-tolerance in autoimmune disease.^{205, 206} In addition to this, chimeric antigen receptor T-cell (CAR-T) therapies, targeting and killing B-cells have shown promising results of sustained disease remission in other systemic rheumatic diseases and murine models of AAV.^{207, 208, 209} However, there are reports of secondary T-cell neoplasms, highlighting a potential mutagenesis of the genetically modified administered T-cells.²¹⁰

While what the future holds is impossible to know, several clinical trials using both new and established therapies are currently ongoing. With complement inhibition being the latest addition to the treatment alternatives, evidence is emerging for the potential of an extended therapeutic use.²¹¹

Disease progression

As evident from the management guidelines, close follow-up, and vigilance, by both the patient and the healthcare system, are needed in AAV. Today, the disease-course has changed following improved pharmacological treatment. Prior to the introduction immunosuppressants the prognosis for individuals with necrotising vasculitis was abysmal, with an average survival of five months.²¹² With the focus previously largely being on the immediate survival, focus today has extended to the control of a chronic relapsing disease. Akin to extended focus on person-centred care, patient-reported outcome has been increasingly important in the disease follow-up. In AAV, and other autoimmune and musculoskeletal diseases, the OMERACT (Outcome-measures in Rheumatology) initiative is driving how both patient- and disease relevant outcomes are measured in clinical trials.²¹³ A composite outcome measure for AAV, encompassing several clinically relevant outcomes, is under development.²¹⁴

Patient-reported outcomes

Discrepancies in perspective of the disease, its impact, and areas of importance in management between physicians and patients are not uncommon in rheumatic diseases, AAV included.^{215, 216} While only outcomes, such as survival, kidney survival and disease remission and relapse have been the primary outcome measures

of clinical trials, patient-reported outcome measures (PROMs) have been increasingly incorporated in trial protocols.²¹⁷

Two areas consistently highlighted by patients, and affecting quality of life, are fatigue and pain. Interestingly, fatigue does not correlate with measures of disease activity or disease progression. While a patient may achieve physician-assessed clinical disease remission, debilitating fatigue often persists.^{218, 219} Instead of disease activity, fatigue is often associated with anxiety, depression, and pain.^{220, 221} As with fatigue, pain is also often present in patients assessed to be in stable clinical remission.²²² Diffuse musculoskeletal complaints, primarily affecting the lower limbs are common, the causes of which are largely unknown but both chronic damage and sub-clinical inflammation have been suggested.²¹⁸

The interplay of pain, psychological distress, and fatigue and its mechanisms in AAV are largely unexplored. However, persistent fatigue and pain are prominent features of all systemic autoimmune rheumatic diseases, indicating shared mechanisms. Strong evidence supporting how fatigue and pain should be managed in AAV are lacking, but behavioural changes and exercise have been implicated in a smaller feasibility trial.²²³ Furthermore, avacopan has been reported to significantly improve health-related quality of life.²²⁴

The consequences of an AAV diagnosis go beyond that of personal symptomatology. Decreased participation in work and social activities are frequently reported, and economic consequences not uncommon.^{225, 226}

Complications and comorbidities

With improved survival, disease-related comorbidities and complications of immunosuppressive medications are increasingly identified as major adverse outcomes of AAV. These include, but are not limited to cardiovascular and thromboembolic events, infections, malignancies, and hormonal dysfunction.

Cardiovascular events

Myocardial infarction and cerebrovascular insult are leading causes of morbidity and mortality in AAV.^{227, 228, 229} These cardiovascular events may occur both in the acute symptomatology of active disease and secondary to disease and treatment related changes to the cardiovascular system.

The risk of both myocardial infarction and stroke are highest at the time of diagnosis, potentially related to the heightened inflammatory state or direct vascular mechanisms.^{230, 231} Long-term, accelerated atherosclerosis and diffuse endothelial dysfunction have been seen in patients with AAV and glucocorticoid treatment is associated with other metabolic cardiovascular risk factors such as diabetes and hypertension.^{232, 233} Although, the relative risk is highest early in the disease course,

cardiovascular events are one the main causes of death beyond the first year after diagnosis.²²⁹ Anti-MPO-positive patients are at higher risk of cardiovascular death.²²⁷

Thromboembolic events

In a population-based study from southern Sweden almost one in five patients with AAV suffered thromboembolic events (deep vein thrombosis or pulmonary embolism), with high rates seen in other observational studies and clinical trials.^{234, 235, 236, 237} Like with cardiovascular events, the incidence is highest early in the disease course. The mechanisms behind the evident hypercoagulable state in AAV are not well-understood. An interplay between the immune system dysregulation and coagulation is evident. Activated neutrophils may induce hypercoagulability through both tissue-factor-expressing microparticles and NETs, in a mechanism facilitated by C5a and ANCA.²³⁸ In addition to this, several measures of an increased state of hypercoagulability including increased platelet count, fibrinogen, prothrombin, and fibrin degradation product D-dimer are seen in active disease.²³⁹ Interestingly, the levels of, at least some, coagulation factors remain increased also in disease remission.²⁴⁰

Infections

Infections are common in AAV and are the leading cause of mortality.^{227, 229, 241, 242} The exact rate of infections differs greatly between studies, largely attributable to varying definitions and methodology. In a population-based study from southern Sweden, 40% of patients suffered at least one infection requiring hospitalisation.²⁴¹ Infections occur most frequently in the first year following diagnosis.^{241, 243} This may be attributable to the substantial immunosuppression during this time. Higher disease activity, higher s-Creatinine, dialysis dependency, and higher cumulative doses of cyclophosphamide and glucocorticoids have all been associated with an increased risk of infections.^{241, 243, 244, 245} However, the use of rituximab has not been shown to be associated with fewer infectious complications.^{190, 246} Instead, the use of rituximab may be complicated by hypogammaglobulinemia, predisposing infections.^{246, 247}

Other risk factors identified are older age, lung involvement of active vasculitis, and pre-existing chronic obstructive pulmonary disease.²⁴⁸ The respiratory tract is also the most frequent site for infections in patients with AAV, followed by the urinary tract and septicemia.^{241, 243}

In addition to mortality, infections add considerably to the morbidity and the importance of thwarting infections is increasingly recognised. Initially primarily used as a prophylactic against opportunist *Pneumocystis jirovecii* infection, trimethoprim-sulfamethoxazole has been shown to reduce the total risk of severe infections and are recommended to be used in patients receiving high dose glucocorticoids, rituximab, or cyclophosphamide.^{179, 243, 248}

Malignancies

Historically the rates of malignancies in AAV patients have been increased compared to the background population, but the rates appear to be declining.^{249, 250} The high cumulative doses of cyclophosphamide in earlier treatment protocols likely contributed to the increased rates, of especially non-melanoma skin cancer, urothelial cancer, and leukemia.^{251, 252} No increase in risk of malignancies is seen in patients receiving rituximab, compared to general population.²⁵³ In patients receiving low cumulative doses of cyclophosphamide there is no increased incidence of malignancies other than squamous cell carcinoma.^{254, 255} Malignancy is one of the leading long-term causes of mortality in AAV, especially in younger patients.²²⁹

Irreversible organ damage

Damage in AAV is irreversible impairment, not responding to immunosuppressive therapy. Damage may be induced by both disease and treatment and is seen during long-term follow up in more than 90% of patients.^{256, 257} Introduced in 1998, the Vasculitis Damage Index (VDI) is a cumulative scoring system for vasculitis damage, consisting of 64 items in eleven subcategories.²⁵⁸ The items may be attributable to either disease, treatment, or other comorbidities, and all items are of the same weight. Damage needs to be set apart from disease activity, which may be resolved following immunosuppressive treatment. As such, an item can only be scored after being present for three months or more, and only if emerging after vasculitis onset. VDI is one of the key outcome measures in AAV, as identified by OMERACT.²¹³

Relapse

Clinical relapses, that is the return of disease activity after remission, are common in AAV. For relapse to occur, clinical remission must be achieved. Exactly what remission entails may differ between studies, but the absence of disease activity (a BVAS of 0) paired with a low dose of corticosteroids (prednisolone less than or equal to 7.5 mg/day) over a defined period of time are the EULAR recommendations for clinical trial design.²⁵⁹ While overt clinical symptoms, or clear biomarkers of active inflammation (e.g., elevated C-reactive protein), might be easy to detect, there is evidence that subclinical inflammation persists even in patients considered to be in clinical remission. This is, however, not true for all patients. Sustained remission, without need for immunotherapy, while uncommon, is achieved.²⁶⁰

Today, there are identified biomarkers for disease activity, but none have been validated for clinical use in relapse prediction. Urinary soluble CD163 (a protein associated with activated glomerular macrophages) is a biomarker with high precision in separating kidney flare from kidney flare mimics, and may be closest

to wide use in clinical practice.^{261, 262} Other markers include circulating leukocyte subsets, urinary leukocytes, and other urinary leukocyte proteins.

Biomarkers indicative of prognosis are rarer. CD8⁺ T cell gene expression has been shown to be indicative of subsequent relapse, as have changes in serum calprotectin levels.^{84, 263} Additionally, the prognostic capabilities of serial ANCA testing are widely debated. Already proposed in 1985 by van der Woude and colleagues, it was further investigated in a prospective study in 1990 with results indicating that changes in ANCA titres predict changes in disease activity, and a benefit of pre-emptive treatment in preventing relapse.^{42, 264} Since then studies have shown conflicting results, with meta-analyses showing limited utility of serial ANCA measurements to guide treatment decisions.^{265, 266} Despite this, it has been argued that serial measurements during disease remission may serve a purpose in certain clinical settings. Longitudinal ANCA measurements may be more useful in patients with severe disease manifestations, such as alveolar haemorrhage and glomerulonephritis, and after remission induction treatment with rituximab.^{267, 268, 269, 270} The potential prognostic capabilities of antibody titres align with observations of an association of relapse with plasma cell frequencies and B-cell reconstitution in patients treated with rituximab.^{271, 272}

PR3-ANCA positivity is known to be associated with disease relapse. The same is true for clinical diagnosis and phenotypic expression, with relapse being more common in GPA than MPA, and in patients with respiratory and cardiovascular disease involvement. Invertedly, higher s-Creatinine levels at diagnosis are associated with a lower risk of relapse.²⁷³ Evident from clinical trials is also that the rate of relapse depends on the choice of induction and maintenance treatment. In keeping with this the exact rate of relapse is highly variable between different clinical trials and observational studies. The five-year relapse rate in patients induced with cyclophosphamide is estimated at 47%.²⁷⁴

End-stage kidney disease

Patients with AAV presenting with impaired kidney function are at high risk of developing end-stage kidney disease (ESKD). While the exact definition may vary between studies, an estimated glomerular filtration rate (eGFR) of less than 15 per minute per 1.73 m² of body-surface area or dialysis dependency is indicative of ESKD. Considering the significant impact of renal replacement therapy on the quality of life and the increased mortality in patients with impaired kidney function, several attempts of predicting the progression to ESKD have been made. In 2018, Brix and colleagues, developed the renal risk score for early risk prediction of ESKD, combining clinical and histopathological parameters.²⁷⁵ The renal risk score is one of several systems attempting to stratify severity of kidney involvement in AAV. Others include the Berden classification and the Mayo Clinic/Renal

Pathology Society Chronicity Score, both focusing on histopathological changes.^{276, 277, 278}

In a population-based study from southern Sweden 18.4% of patients developed ESKD, with MPO-ANCA positivity being associated with worse kidney survival.³⁷ In the long term follow up of EUVAS clinical trials the rates of ESKD were 20% and 9% for MPA and GPA, respectively.²⁵⁶

Chronic dialysis seems to be protective of disease relapse but is associated with an increased risk of infections. As such, treatment discontinuation in patients in sustained dialysis in absence of extrarenal disease manifestations should be considered.²⁷⁹ However, assessing disease activity in patients on dialysis is notoriously difficult, and the decision to discontinue maintenance needs to be made on an individual basis.

In a large European cohort study, the rate of patients recovering independent kidney function within 90 days after commencing acute dialysis was 5.1%, which is relatively high compared to control groups with kidney disease caused by glomerulonephritis, diabetes, and non-diabetes.²⁸⁰ The same study showed that 22% of patients with AAV in renal replacement therapy received a kidney transplantation. However, there is little consensus how to manage kidney transplantation in AAV.²⁸¹ Overall ten-year graft survival is 50-70%.^{280, 281, 282} In a European survey by Little and colleagues, ANCA positivity at the time of the transplant was associated with severe vasculopathy of the graft (OR: 4.4 [95% CI 1.1-16.8]), which in turn was associated with reduced graft survival.²⁸¹ A short interval between disease remission and transplant was a predictor of patient mortality.

Mortality

While survival in AAV have been dramatically improved, the mortality rate compared to the background population is still increased. In a population-based study from southern Sweden the standardised mortality ratio was 2.8 (95% CI: 2.0-3.7).²⁸³ This is comparable to other observational cohorts.^{227, 284} The mortality in long-term follow-up studies of clinical trials, also show worse survival compared to the background population (when matched for age, sex, calendar year, and country), with 14.2%, 19.9%, 28.8% and 36.3% more deaths at 5, 10, 15 and 20 years following diagnosis respectively.²²⁹ As been described under the respective sub-headings the main causes of death are infections, cardiovascular events, and malignancies. The mortality due to active vasculitis today is comparatively low (3.6% of all deaths in the long-term follow up of clinical trials).²²⁹ However, the disease phenotype at the time of diagnosis still has prognostic implications.²⁸⁵

Few prognostic models for outcome of AAV exists today. In 1996 the French Vasculitis Study Group developed a score for assessing the risk of mortality in

EGPA, polyarteritis nodosa, and MPA, the Five Factor Score.²⁸⁶ The presence of five identified items (proteinuria >1g/day, s-Creatinine \geq 140 μ mol/L, gastrointestinal involvement, central nervous system involvement and cardiomyopathy) at the time of diagnosis generate one point each. The five-year mortality for zero, one and two or more points were 11.9%, 25.9%, 46.0% respectively. In 2009 the score was updated to also include GPA.²⁸⁷ In this version, five items are still indicative of prognosis, but ear-nose-throat involvement is associated with better survival (the absence of which is scored one point [only to be used in GPA and EGPA]), while age > 65 years, cardiac involvement, kidney involvement and gastrointestinal involvement are negative prognostic factors. Today, the original 1996 version is the one primarily used, especially in EGPA, where it may guide the choice of treatment.¹⁸⁰

Real-world data

This short review should have made it evident that while much is known about AAV, much is still to be understood. One of the main obstacles of gaining further knowledge is the rarity of the condition. To tackle this, patient registries, that is systematically organised databases for the collection and storing of observational data, have become increasingly popular in rare disease research. In AAV, several regional and national registries have been developed, providing so-called real-world data (e.g., electronic-health records, health insurance claims or, patient-registries – effectively any data not sourced from clinical trials).

Through real-world data essentially all aspects introduced in the preceding review may be studied, highlighting the asset that is patient registries and observational cohorts. However, one major concern remains. These datasets are generally fragmented, siloed, and lack data sharing mechanisms. In this thesis, we address this research data fragmentation through the integration of real-world observational cohorts and registries in AAV. Using the integrated data, patterns of symptoms are explored and grouped, and models built for prediction of adverse disease outcome.

Rationale and aims

The aim of this thesis is to address research data fragmentation in AAV through the integration of real-world observational cohorts and registries. Using the integrated data I further aim to find, explore, and stratify patterns of symptoms, and build models for the prognostication of disease relapse, infection, ESKD, and mortality.

Rooted in the wider Findable, Accessible, Interoperable, Reusable, Vasculitis (FAIRVASC) project, the patient perspective has been considered throughout this thesis work, with patient representatives involved since inception. Under the auspices of FAIRVASC, discussion workgroups among individuals with lived experience of AAV have been carried out to explore what registries are, what information is stored, data protection and patient rights, and research priorities. From a lived experience perspective, the use of pre-existing information to find patterns of symptoms and predicting adverse outcome were two of the identified research priorities.

Specific aims

In Study I we aim to (1) describe the data structure, federation and harmonisation process, (2) explore data quality and (3) give an overview on the baseline characteristics, treatment and outcomes of AAV across six European registries.

In Study II we aim to identify phenotypically distinct subgroups and develop a data-driven subclassification of AAV.

In Study III we aim build and assess predictive models for disease outcome (i.e., major relapse, infection, ESKD and mortality) in AAV, using commonly collected and recorded data at the time of diagnosis.

Methods

Overarching study design

This thesis work was made within the FAIRVASC project, a European collaboration to enable access to a network of six AAV registries and observational cohorts.

The project addressed a concern present in all studies of rare disease, sample-size. Quantitative clinical research relies upon analysis of a sufficiently large number of observations to allow statistical inference. With a low prevalence, the patient numbers of AAV are small in any single cohort, and existing cohorts have been fragmented, siloed, and not standardised to allow for interoperability.

Resting on the FAIR (Findable, Accessible, Interoperable, Reusable) guiding principles for scientific data management and stewardship, introduced by Wilkinson and colleagues in 2016, the FAIRVASC project set out to connect European vasculitis registries using a Semantic Web approach.²⁸⁸ The Semantic Web is a vision to extend and transform the World Wide Web from a collection of isolated documents to an interlinked information space, enabling automated data processing. This is achieved through standard web technologies such as hyper-text transfer protocol (HTTP) and Uniform Resource Identifiers (URIs) but expands the original concept by enabling encoding of semantics with the data. *Semantics*, in this context, centers around assigning contextual meaning to data, ensuring that it can be effectively used, integrated, and understood by humans, but importantly also machines. Semantic integration is attained through a set of technologies such as Resource Description Framework (RDF), SPARQL Protocol and RDF Query Language (SPARQL), and ontologies (Table 3).

It is here important to distinguish between two general approaches to address data fragmentation, *centralisation* and *federation*. In centralisation, the data-controllers release data from their local repositories to a centralised pool, where the data then can be accessed. Due to the sensitive nature of health-care data, this poses regulatory, legal and ethical challenges related to data protection and privacy. To address these challenges, federation has emerged as an alternative. Here data stays under the data controller, but remote access is enabled. To avoid data-leakage this access is constrained to pre-defined queries or statistical models, effectively bypassing governance obstacles. However, while in some contexts federation can

achieve comparable performance as centralisation, there are still statistical limitations to what can be achieved.

Table 3. Semantic Web dictionary

Word	Description
Hyper-text transfer protocol (HTTP)	The foundational communication protocol used on the web to transfer data between a client and a server, enabling the retrieval and display of web pages.
Uniform Resource Identifier (URI)	A string of characters that uniquely identifies a resource on the internet, such as a web page.
Resource Description Framework (RDF)	A standard model for representing information on the web, using a triple-based structure of subject, predicate, and object to describe relationships between resources in a way that can be understood and processed by machines.
SPARQL Protocol and RDF Query Language (SPARQL)	The query language used to retrieve and manipulate data stored in RDF format.
Ontology	A structured representation, formal naming and definitions of the categories, properties and relationships within a domain, providing a shared vocabulary.
Knowledge graph	The amalgamation of the above concepts, providing an interconnected representation of knowledge that machines can understand and reason over.

While the FAIRVASC project at its core was a project of federation, the requirement for complex statistical modelling, necessitated an ad hoc centralised approach. As such, here Study I rely on federation, while Study II and Study III relies on centralisation. However, the need for interoperability and semantic integration remained, regardless of method of data access.

The data structure and variables collected across the registries in the FAIRVASC project were different. To support the analysis of data across these registries, a process of harmonisation was required. This was achieved through the development of the vasculitis-specific FAIRVASC ontology and the transformation of unstructured tabular registry data to a knowledge graph data format.²⁸⁹

Tabular data and the RDF graph

Tabular data is the usual format for clinical research data. In the example of assigning a patient a diagnosis, the row would usually represent the patient, identified through a unique id, and column represent a specific attribute (in this case the diagnosis). In the the intersection of the row and column (the cell), the value of the specific attribute for the patient would be represented (the type of diagnosis).

Often, for tabular data, the information in the cell would not be self-explanatory but require a separate data-dictionary (also in the format of a table).

Example of tabular data

id	diagnosis
001	1

Example of data dictionary for tabular data

Variable name	Description	Data type	Choices
id	Unique patient identifier	String	
diagnosis	Type of vasculitis diagnosis	Categorical	1. GPA 2. MPA 3. EGPA

In RDF, the same information would be described as a triple. Patient 001 (subject) has diagnosis (predicate) granulomatosis with polyangiitis (object), and represented using (a for the sake of argument somewhat simplified) FAIRVASC ontology as :

<<http://w3id.org/FAIRVASC/patient/001>>

<<http://w3id.org/FAIRVASC/hasDiagnosis>>

<http://orpha.net/ORDO/Orphanet_900>

Here the object links to the Orphanet rare disease-ontology term for granulomatosis with polyangiitis.

The ontology development and data-transformation were done using an iterative approach, guided by competency questions identified by the clinical experts of the project. The end-result was the creation of AAV of unprecedented size,

accessible through either a dedicated web-interface (Study I) or through a central data-store (Study II and III).

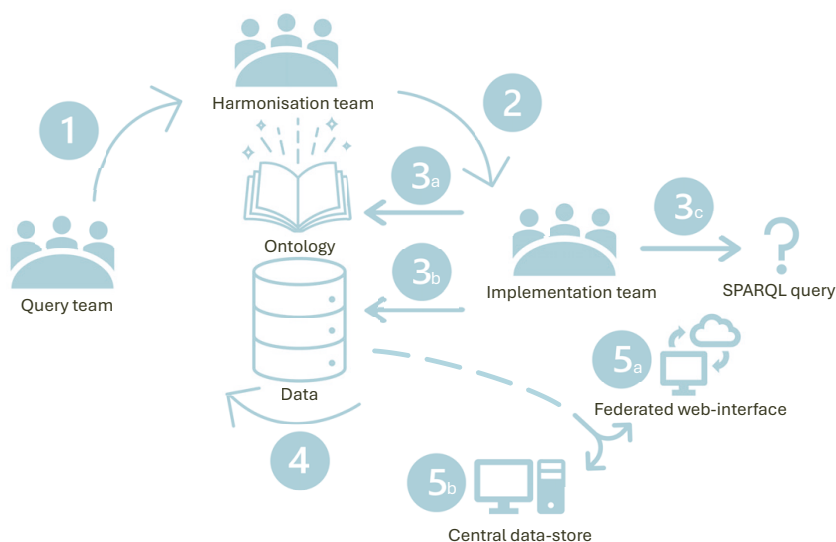


Figure 5. The iterative FAIRVASC interoperability process

1. The *query team* of vasculitis experts pose a competency question. 2. The *harmonisation team* of registry experts identify the terms needed to answer the question and find suitable common names for the terms (preferably using existing ontologies). 3. The *implementation team* of data managers and computer scientists: a) implement the identified terms in the FAIRVASC ontology, b) map the local registry data to the ontology terms using RDF, and c) write the SPARQL query to retrieve the updated information. 4. Feedback from the implementation informs the next iteration. 5. The harmonised data is: a) uploaded to a local server exposed to the web-interface, and b) sent to the central data store for pooling.

Study I

In Study I, we set out to describe the data structure, federation and harmonisation process within FAIRVASC to a clinical audience, explore and describe the quality of the data in the registries, and give an overview on the characteristics, treatment and outcomes of AAV across the participating registries (Table 4).

Table 4. Participating registries meta-data summary

	Czech	FVSG	GeVas	POLVAS	RKD	Skåne
Included diagnoses	EGPA, MPA, GPA, unclassified AAV	EGPA, MPA, GPA	EGPA, MPA, GPA, unclassified AAV	EGPA, MPA, GPA, unclassified AAV	EGPA, MPA, GPA, unclassified AAV	EGPA, MPA, GPA
Classification method	EMA, clinical	CHCC 2012, ACR 1990	CHCC 2012, ACR 1990, clinical	CHCC 2012, ACR 1990	EMA	EMA
Type of recruitment area	National	National	National	National	National	Regional
Name of recruitment area	Czechia	France	Germany, Austria, Switzerland	Poland	Ireland	Skåne, Sweden
Care setting	Secondary/tertiary	Secondary/tertiary	Vasculitis centres	Secondary/tertiary	Secondary/tertiary	Population coverage
Medical speciality	Nephrology	All	All	All	Nephrology	All
Data source	Encounters	Encounters	Encounters	Chart review	Encounters	Chart review
Period of recruitment	2013 – continuous	1983 – continuous	2019 – continuous	2016 – continuous	2012 – continuous	1997 – 2019

Czech: the Czech Registry of AAV, FVSG: the French Vasculitis Study Group Registry, GeVas: the Joint Vasculitis Registry in German-speaking Countries, POLVAS: the Polish Vasculitis Registry, RKD: Ireland's Rare Kidney Disease Registry, Skåne: Sweden's Skåne Vasculitis Cohort, Unclassified AAV: Unclassified ANCA-associated vasculitis, EMA: European Medicines Agency algorithm, CHCC 2012: 2012 Revised International Chapel Hill Consensus Conference Nomenclature of Vasculitides, ACR 1990: The American College of Rheumatology 1990 criteria.

Study design and participants

The participating registries were the Czech Registry of AAV, the French Vasculitis Study Group registry (FVSG), the Joint Vasculitis Registry in German-speaking Countries (GeVas), the Polish Vasculitis Registry (POLVAS), Ireland's Rare Kidney Disease (RKD) registry, and Sweden's Skåne Vasculitis Cohort (Figure 6). Included were all patients with a diagnosis of AAV, regardless of classification method.

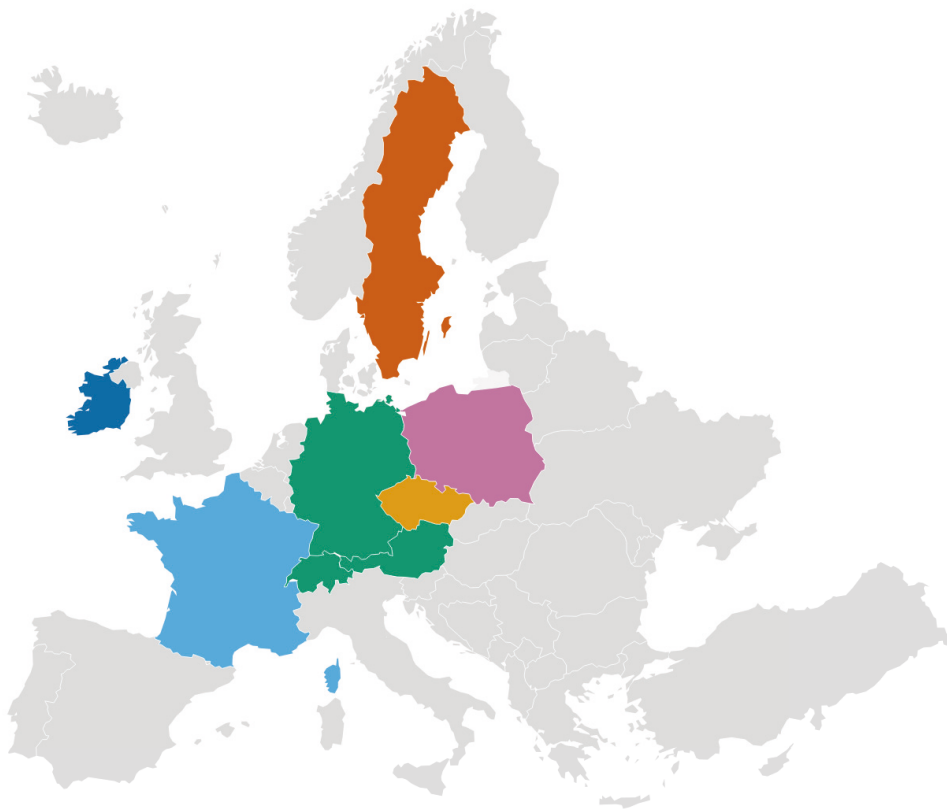


Figure 6. The six participating vasculitis registries of the FAIRVASC project

Czech Registry of AAV (light orange), the French Vasculitis Study Group registry (light blue), the Joint Vasculitis Registry in German-speaking Countries (green), the Polish Vasculitis registry (pink), Ireland's Rare Kidney Disease registry (dark blue), and Sweden's Skåne Vasculitis Cohort (dark orange). Note that the areas of recruitment may not cover the full geographical areas as represented by this map.

Procedures

This study rested upon federation of data. The general workflow can be summarised as: Registry data were quality controlled, harmonised to the common schema developed with the FAIRVASC ontology, and uploaded to a local server with an exposed endpoint. Predefined queries were dispatched from a web interface to the local servers where the query was automatically processed and aggregated non-subject level results returned to the web interface (Figure 7). In the following sections these steps will be further clarified.

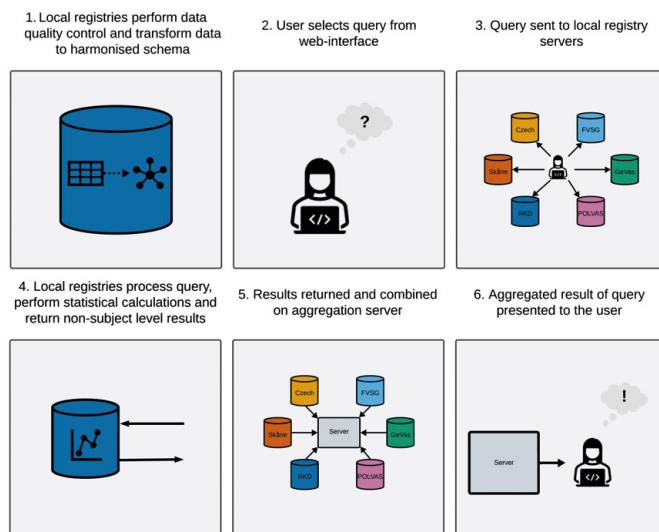


Figure 7. The FAIRVASC federated query workflow

Registry data are quality controlled and harmonised to a common schema. Harmonised data are uploaded to a local server with an exposed endpoint. A researcher dispatches a predefined query from a web interface to the local servers. Non-subject level results sent back. Data are combined and presented to the web-interface user.

Data quality framework

The data quality in the registries were assessed across four core domains: uniqueness, consistency, completeness and correctness. The domains were selected in collaboration with the European Institute for Innovation through Health Data (i~HD), based on a pool of nine candidate dimensions in the i~HD data quality assessment framework.²⁹⁰

Based on these four domains, we developed a data quality worksheet. Using this worksheet, data quality was assessed at each registry site by local investigators, unblinded. The results of the data quality assessment were presented as variable specific percentages, stratified by registry.

In assessing *uniqueness* (absence of duplication of data), we investigated duplicate patient identifiers and potential cases of readmission of the same patient under another unique identifier within each registry. We identified possible cases of duplication by finding individuals sharing date of birth and gender, and further compared these by hand.

We assessed *consistency* (adherence of datatype to what is specified in the registries' data dictionaries) by examining nine key variables in AAV research (gender, date of birth, immunoassay ANCA-type, BVAS at diagnosis, serum creatinine at diagnosis, C-reactive protein (CRP) at diagnosis, induction treatment, date of death and date of ESKD). Under the consistency domain, we also applied logic tests to variables containing dates ("Was date of death greater than date of diagnosis" and "Was date of death greater than date of birth"). We further tested plausibility of numeric values for three variables ("Was serum creatinine at diagnosis within a biologically plausible range of 0–5000 $\mu\text{mol/L}$ ", "Was BVAS at diagnosis within the plausible range of 0–63?", and "Was CRP at diagnosis within a plausible range of 0–1000 mg/L").

We assessed the absence of missing data (*completeness*) across the same variables. Lastly, we assessed *correctness* of the same variables against an electronic health record for at least ten patients per registry.

Data harmonisation

While the harmonisation of data has been described under *Overarching study design*, some additional clarifications are needed here. The process of harmonisation did not alter the source registry data. This data stayed in the format it was, be it a single Excel spreadsheet, as a Research Electronic Data Capture (REDCap) project, or some other relational database management system.

In a process of semi-automated *data mapping*, the new RDF data model was created to sit "on top" of the source registry data, on a local server exposed to the web. Via a dedicated web interface, the data could then be queried using SPARQL (Figure 8).

The federation infrastructure

While there are alternative architectures for federation infrastructures, the architecture of FAIRVASC rested on an aggregation server. The local registry servers hosting the harmonised data were connected to the aggregation server, which was exposed to a password protected web interface (Figure 9). Personal passwords were administered by a data access committee, and the type of query posed, username and time of the query logged on the local servers.

From the web interface a user could select from a range of predefined queries, retrieving non-subject level data. To further ensure data protection and privacy, low cell counts were filtered.

```

PREFIX fvc: <http://w3id.org/FAIRVASC#>
PREFIX bvas: <http://w3id.org/BVAS#>

SELECT (COUNT(DISTINCT ?patient) AS ?gpa_n)
WHERE {
  {
    SERVICE <http://czech_example.org/sparql> {
      ?patient a fvc:Patient.
      ?patient fvc:hasPatientOverview ?PatientOverview.
      ?PatientOverview fvc:hasDiagnosis ?Diagnosis.
      ?Diagnosis fvc:mainDiagnosis ?maindiagnosis.
      FILTER (?maindiagnosis = <http://www.orpha.net/ORDO/Orphanet_900>)
    }
  }
  UNION
  {
    SERVICE <http://fvsg_example.org/sparql> {
      ?patient a fvc:Patient.
      ?patient fvc:hasPatientOverview ?PatientOverview.
      ?PatientOverview fvc:hasDiagnosis ?Diagnosis.
      ?Diagnosis fvc:mainDiagnosis ?maindiagnosis.
      FILTER (?maindiagnosis = <http://www.orpha.net/ORDO/Orphanet_900>)
    }
  }
}
#... All endpoints are listed in the same fashion
}

```

Figure 8. Federated SPARQL query example

An example of a federated query retrieving the number of patients with a diagnosis of granulomatosis with polyangiitis from two registries. Note that the endpoints are made up for this example.

Figure 9. FAIRVASC web interface

Snapshot of the FAIRVASC query interface from 2024-04-07. Note that the Italian vasculitis registry (Italvas) have been added to consortium since Study I.

Patient characteristics retrieval

For this study we analysed patients recruited to the participating registries with a diagnosis of AAV up to 31st of January 2023, with descriptive analysis of demographics, type of diagnosis, organ involvement, serology, serum creatinine level at diagnosis, treatments, follow-up and outcome. Using the pre-defined federated queries available via the interface we retrieved this information from the local registries.

Statistical analysis

As a descriptive study, relying on the native capabilities of SPARQL, the statistical analysis was limited. Continuous variables were summarised with a mean and standard deviation. Categorical variables were summarised as frequencies with valid percentages. The incidence rate of ESKD and all-cause mortality rate per 1000 person-years with a 95% confidence interval, combined across the registries, were estimated using random effects meta-analyses, as available in the R package *metafor*.²⁹¹ To ensure data protection and privacy, the federated queries did not allow for the flow of subject level data from one site to another or to the aggregation server, which prohibited the investigation of summary statistics requiring the global rank of data to be known (e.g., quantiles).

Study II

In Study II, we set out to identify phenotypically distinct subgroups and develop a data-driven subclassification of ANCA-associated vasculitis, using model-based clustering of the large real-world dataset generated through the efforts of Study I. Due to the statistical limitations of the federated approach, described under *Overarching study design*, Study II required the assembling of a central data repository. The central repository allowed access to a subject level dataset, with data minimised for the purpose of Study II and Study III. Following the development of a data sharing agreement between the participating registries we assembled a data pool in Lund, Sweden.

Study design and participants

Again, patients from the Czech registry of AAV, the FVSG registry, the GeVas registry, the POLVAS registry, the RKD registry, and Sweden's Skåne Vasculitis Cohort were included in the study. Inclusion further required a diagnosis of either GPA or MPA (irrespective of classification criteria), a valid date of diagnosis, and the absence of unacceptable data quality concerns.

While classified as AAV, EGPA has limited overlap with GPA and MPA with respect to genetics, pathophysiology, clinical presentation, and therapy. Furthermore, we considered there to be a paucity of data elements essential for the discrimination of EGPA (e.g., asthma, nasal polyposis, and eosinophilia) available in the participating registries. Consequently, in Study II, patients with a diagnosis of EGPA were excluded.

Model-based clustering being an exploratory analysis, we conducted no sample size calculation. However, we anticipated that the size of our observational study cohort would be large enough to reach a minimum number of observations per cluster for adequate statistical power.²⁹²

Procedures

To explore the phenotypic spectrum of AAV we used a total of 17 mixed-type variables as input for the model. Age at diagnosis, and serum creatinine and CRP concentrations at diagnosis, were regarded as continuous, while gender and the involvement of constitutional symptoms, the musculoskeletal system, skin, eyes, mucosa, ear–nose–throat, lung, the cardiovascular system, the gastrointestinal system, kidneys, and the central and peripheral nervous system at diagnosis were regarded as binary. ANCA was regarded as a three-level nominal consisting of ANCA negative, PR3-ANCA positive, and MPO-ANCA positive. These variables were selected through consensus agreement within the author group, guided by data availability and domain-expertise of features considered to be of clinical importance in the stratification of AAV.

In the absence of an antigen-specific immunoassay, patients were assigned to PR3-ANCA or MPO-ANCA if immunofluorescence showed cytoplasmic or perinuclear staining pattern, respectively. Cases positive for both PR3-ANCA and MPO-ANCA were reassigned based on immunofluorescence (i.e., cANCA to PR3-ANCA and pANCA to MPO-ANCA) or clinical diagnosis (i.e., GPA patients were assigned PR3-ANCA and MPA patients assigned MPO-ANCA)

Due to inconsistency in variable definitions compared with the other registries, data concerning serum creatinine and organ pattern from the POLVAS registry were defined as “highest ever” and “at any time”, respectively.

Patients with nine or more missing variables were excluded from analysis. Remaining missing values were imputed using multiple imputation, as available in the R-package *mice*, guided by a framework for multiple imputation in cluster analysis by Basagaña and colleagues.^{293, 294} We used predictive mean matching (continuous data), logistic regression imputation (binary data) and polytomous regression imputation (nominal data) for the imputation. In addition to the 17 variables described above, outcome data, registry affiliation, and the type of vasculitis diagnosis were included in the imputation model to generate predictor

values but were not imputed. Patients known to be under acute dialysis with a missing serum creatinine value were randomly assigned a value ranging from 500 $\mu\text{mol/L}$ to 1000 $\mu\text{mol/L}$.

Statistical analysis

We performed model-based clustering of the imputed datasets using a parsimonious mixture of two latent Gaussian variable models as available in the R package *clustMD*.²⁹⁵ Two mixture models were fitted for 1–5 clusters using a Monte Carlo expectation maximisation algorithm with 500 iterations. The two mixture models used both assume conditional independence between variables and allow for the shape of each cluster to vary. One model (EVI) assumes that the overall volume of each cluster is the same, while this property can also vary for the other type of model (VVI). We selected the optimal combination of number of clusters and model through the highest average approximate Bayesian information criterion (BIC) over the imputed datasets.

As there were potential differences in the assigned cluster affiliation between the imputed datasets, patients were assigned to the cluster with the highest average probability over the imputed datasets fitted with the optimal model and number of clusters, following relabelling to consistent cluster labels using Stephens' method.

To explore the identified clusters, we summarised the demographic, phenotypic and serological characteristics, clinical diagnosis, and outcome. Summary statistics were presented as valid percentage, excluding missing data. The impact of the heterogenous recruitment settings among the source registries was assessed by testing differences in cluster assignment between registries using χ^2 tests. Internal validity, and the impact of the multiple imputation, was assessed through cluster-wise assessment of cluster stability over the ten imputed datasets using the Jaccard coefficient.

While the use of an external validation cohort is common in supervised classification, it is less common and less straightforward for the validation of clustering results. This being an exploratory analysis with no objective ground-truth, we here opted for the use of repeatedly held out data for the validation of the clusters, as adapted from O'Hagan and colleagues.²⁹⁶

To further explore our clustering model, we compared the outcome of the clusters with respect to survival and competing risks time-to-ESKD using Kaplan–Meier estimators and cumulative incidence functions, respectively. As the POLVAS registry did not record the date of ESKD, patients from this registry were excluded from this time-to-event analysis. Patients lost to follow-up were censored at their last known contact date with their respective registry. The potential predictive value of our clustering model was compared to subclassifications based on clinical diagnosis, ANCA specificity, and a previous cluster-based stratification by Mahr

and colleagues.⁴¹ In these models we assessed the predictive accuracy towards survival and kidney survival using Cox proportional hazards models, and Fine–Gray models for competing risk, respectively. Models were fit with only the subclassification labels or with gender, age, and source registry as covariates, and assessed using the Akaike information criterion. The models were pooled over the imputed datasets, following the framework developed by Basagaña and colleagues.²⁹³

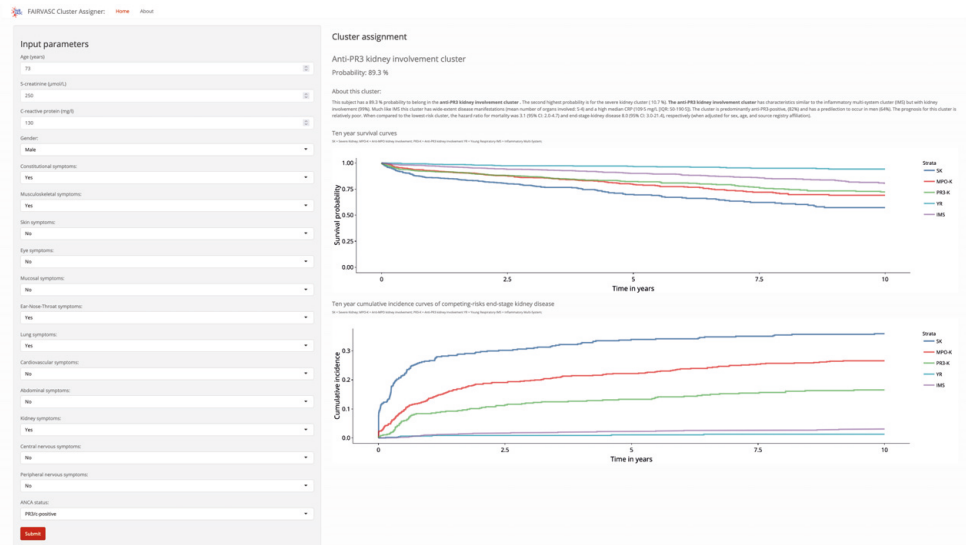


Figure 10. The FAIRVASC web application for cluster assignment

A snapshot of the web application allowing for input of parameters and assignment of a cluster affiliation.

Lastly, we built a web application to allow for cluster assignment of previously unseen patient data, using the R package *shiny* (Figure 10).²⁹⁷ The applications allow for the submission of data and presents probabilities for an individual to belong to the respective clusters, following a simulated expectation-step of the averaged parameters from the cluster models of the main analysis. In addition to limiting the potential range of input, poorly fitting data is flagged. This is achieved through the comparison of the log-likelihood of the component that a data point is assigned to under the estimated clustering model to its likelihood under a uniform noise component that covers roughly the range of the observed data. If the data point fits the uniform component better than the cluster model, the input is flagged (i.e., the patient data does not have a strongly recognisable phenotypic pattern).

Study III

In Study III, we set out to build and assess predictive models for adverse disease progression in AAV, using commonly collected and recorded data at the time of diagnosis. Again, due to the statistical limitations of the federated architecture, this study made use of a central data repository, minimised for the research purpose.

Study design and participants

Inclusion in Study III required a new diagnosis GPA or MPA regardless of classification criteria (again excluding EGPA), with a known date of diagnosis and a complete and standardised disease activity assessment available at the time of diagnosis. This last requirement meant that data from the POLVAS registry was excluded from this study. As such, data was retrieved from the five other participating registries: the Czech registry, the FVSG registry, the GeVas registry, the RKD registry, and the Skåne vasculitis cohort.

However, due to differences in the source registry designs, the inclusion further differed depending on the outcome of interest. Data from all registries were included in the prediction of mortality and ESKD. The Skåne vasculitis cohort was excluded from the study of major relapse, and Czech registry, the FVSG registry, and the GeVas registry excluded from the prediction of serious infection.

Procedures

We retrieved the full BVAS assessment at the time of diagnosis, along with the levels of serum creatinine, gender, age and ANCA status of the patients at the time of diagnosis. Using the 2021 Chronic Kidney Disease Epidemiology Collaboration (CKD-EPI) algorithm, we estimated the eGFR.²⁹⁸

We then retrieved outcome data regarding our four events of interest. Date of death, date of ESKD, and date of last follow up were retrieved directly. The date of the first infectious event with a Common Terminology Criteria for Adverse Event (CTCAE) score of three or higher, following the date of diagnosis for each patient were retrieved.

Lastly, we retrieved the estimated date of major relapse. We first employed a wash-out period of six months after which a patient was estimated to be in disease remission. The date of relapse was then defined as the first visit with major disease activity (i.e., greater to or equal to three minor items or the presence of one or more major activity item as reported by a BVAS assessment) following the estimated disease remission.

We further imputed missing data using random forest imputation, as available in the R-package *missForest*.²⁹⁹ For serum creatinine the missing data was manually imputed through random sampling within the boundaries defined by their disease activity assessment.

Statistical analysis

First, we selected variables for the inclusion in prediction models using random survival forests, as available in the R-package *randomForestSRC*.^{300, 301} Random survival forests are non-parametric supervised machine learning algorithms for time-to-event analysis that can capture complex relationships between predictors and outcome and identify the most important variables for the prediction of outcome.

The ten variables with highest variable importance in the prediction of each outcome, as identified by the algorithm, were selected for inclusion in the respective prediction model. The models were then built using the identified variables, using a Cox proportional hazards model for mortality prediction, and Fine-Gray models for ESKD, infection, and relapse prediction (all with mortality as a competing risk). We trained the models on the full dataset and assessed the performance through ten-fold cross-validation.

To assess the predictive accuracy of the models we visualised the area under the curve (AUC) of receiver operating characteristics curves and the prediction error defined by the Brier score, over the follow-up time. We further evaluated the calibration of the models at 1-year, 3-year, and 5-year post diagnosis. Using the AUC, we visualised the predictive performance of our models as compared to existing predictive models for the respective outcome. As sensitivity analyses, we evaluated the performance of the models for serious infections and ESKD separately for groups known to be receiving infection prophylaxis with trimethoprim-sulfamethoxazole, and plasma exchange, respectively.

Lastly, we estimated the potential utility of the prediction models through decision curve analysis as available in the R-package *dcurves*, again using ten-fold cross-validation.³⁰² In decision curve analysis the net benefit of a model is calculated by putting false positives (harm) on the same scale as true positives (benefit). False positive rates are multiplied by an exchange rate (how many false positives are worth one true positive) defined by a probability threshold. Our proposed thresholds, while arbitrary, and interventions were as follow: For relapse; early discontinuation of immunosuppressive therapy if the five-year risk is below 5%. For infection, prolonged infection prophylaxis if the five-year risk exceeds 10%. For ESKD and mortality, general management guidance if the five-year risk is above 20%. To allow for outcome prediction of previously unseen data we built a web application, using the R package *shiny*.²⁹⁷

Ethical considerations

Within the FAIRVASC project the ethical approvals for the collection, sharing, and analysis of patient data were governed locally and obtained from the relevant ethics committee at each participating registry site. For the Skåne Vasculitis cohort, the studies included in this thesis were approved by the Regional Ethics Review Board in Lund (2010/517) and the Swedish Ethical Review Authority (2020-00697), requiring no informed consent. All other participating registry sites provided written informed consent directly from the patients.

Results

Study I

The process of integration of fragmented and siloed AAV datasets described in detail in the methods section (under the sub-headlines *Overarching study design* and *Study I*) of this thesis was successful. Here, we present the results of the source data quality assessment, and the results of the retrieval of harmonised data from the participating registries.

Data quality

When assessing uniqueness of data entries in the registries, we identified no duplicate patient identifiers. In the POLVAS registry, there were 2.2% potential duplicate entries (i.e., where the same patient was entered into the registry under more than one unique identifier), while no such duplications were found in the other registries.

Due to differences in registry design, not all variables were present in all registries, impacting how consistency, completeness, and correctness could be assessed. However, when consistency could be assessed the consistency of data type was 100% across all variables present in the registries. However, when testing the plausibility of data entries through logic tests, the results for dates were between 93.6% and 100% and, between 98.7% and 100% for numeric variables.

Completeness for demographic data (e.g., gender and date of birth) ranged from 95.1% to 100%, while completeness of laboratory data (e.g., ANCA, serum creatinine and CRP) were poorer, ranging from 49.5% to 99.2%. A BVAS assessment was available at diagnosis for 49.5%–100% of patients. While only four out of six registries provided explicit induction treatment data, at least one induction treatment type was recorded in 96.9% to 100% of the entries in these registries.

In patients who died or reached ESKD by the end of follow-up, a date of death or date of ESKD were available in 75%–100%. When comparing the registry entries towards the electronic health records for a subset of entries, the data correctness was between 60.0%–100% (Figure 11).

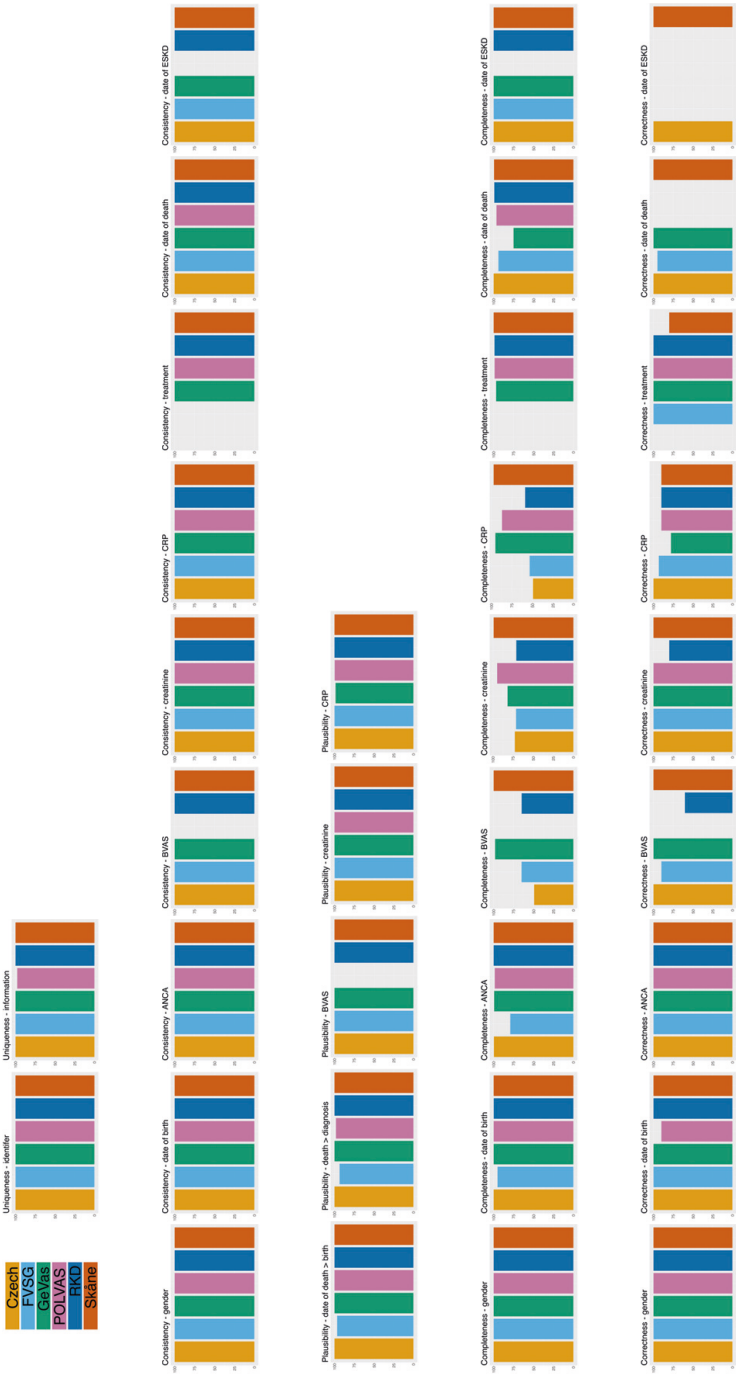


Figure 11. Data quality metrics per registry as percentages per key variable.
The absence of duplication of data (uniqueness), data-type consistency and plausibility of data values (consistency and plausibility), the absence of missing data (completeness) the correctness of data assessed against available electronic health records for a subset of patients (correctness). Variables that were missing from a registry because they were not targeted for collection are shown as the absence of a bar.

Retrieved patient characteristics

A total of 5282 patients (2568 [48.6%] women and 2708 [51.3%] men) were retrieved, and included, across the six participating registries (Table 5). Of these, 2754 (52.1%) were classified as having GPA, 1580 (29.9%) MPA and 937 (17.7%) EGPA. The mean age at diagnosis was 56.0 ± 16.7 years, of 4973 available.

There were 1840 (51.2%) PR3-ANCA positive, 1506 (41.8%) MPO-ANCA positive and 219 (9.0%) immunoassay ANCA negative patients of 3601 available. Most frequent was general symptoms (i.e., constitutional or musculoskeletal symptoms), while specific organ involvement, such as lung involvement was reported in 3281 (65.1%), ear-nose-throat involvement in 2860 (56.7%) and kidney involvement in 2534 (50.2%) of 5043 available. Cardiovascular and abdominal involvement were present in 822 (16.3%) and 658 (13.4%) of 5043 available, respectively. The mean serum creatinine level at diagnosis was 198 ± 266 $\mu\text{mol/L}$ of 3288 available across the registries.

Due to differences in source registry design, the retrieval of treatment information was limited, reflected by a paucity of available data. Cyclophosphamide was the most used primary induction treatment (2073 [72.5%] of 2858), followed by rituximab (505 [17.7%] of 2858). The use of pulsed intravenous glucocorticoids was highly variable and seen in a total of 1735 (55.2%) of 3141 patients. Similarly, there were differences in the use of plasma exchange, seen in a total of 421 (13.4%) of 3141 available. Azathioprine (911 [50.1%] of 1820 available) was the most used maintenance treatment, followed by mycophenolate mofetil (345 [18.9%]), rituximab (282 [15.2%]), and methotrexate (270 [14.8%]), although the practice differed between the registries.

When assessing the follow-up and outcome of the patients in the registries, there were a total of 30 548 person-years of follow-up, with a mean follow-up time of 6.2 ± 5.8 years. During this follow-up, there were 767 deaths occurring with a known date of death, yielding a pooled all-cause mortality rate of 28.8 (95% CI 19.7 to 42.2) per 1000 patient-years. However, there was considerable heterogeneity among the registries ($I^2=96\%$), with the highest mortality rate seen in the Skåne registry (62.8 [95% CI 54.4 to 72.4]), and the lowest in FVSG (19.0 [95% CI 17.0 to 21.3]) per 1000 patient-years (Figure 12). There was considerable heterogeneity also in the incidence-rate of ESKD, seen in a pooled estimate of 24.8 (95% CI 19.7 to 31.1) per 1000 patient-years ($I^2=75\%$) (Figure 13).

Table 5. Patient characteristics summary stratified by registry

Demography and diagnosis		Czech (n=335)	FVSG (n=2806)	GeVas (n=169)	POLVAS (n=932)	RKD (n=668)	Skåne (n=374)	Total (n=5282)
Age, years		60.1 (15.2; 334)	55.1 (16.5; 2529)	59.5 (15.3; 169)	50.5 (15.9; 901)	59.1 (15.6; 666)	64.9 (16.2; 374)	56.0 (16.7; 4973)
Men		169 (50.4)	1441 (51.4)	83 (49.1)	431 (46.2)	384 (57.5)	200 (53.5)	2708 (51.3)
Women		166 (49.6)	1357 (48.4)	86 (50.9)	501 (53.8)	284 (42.5)	174 (46.5)	2568 (48.6)
GPA		143 (42.7)	1390 (49.6)	85 (50.3)	645 (69.3)	299 (44.7)	192 (51.3)	2754 (52.1)
MPA		178 (53.1)	683 (24.4)	54 (31.9)	169 (18.2)	337 (50.4)	159 (42.5)	1580 (29.9)
EGPA		5 (1.5)	731 (26.1)	28 (16.6)	118 (12.7)	32 (4.8)	23 (6.1)	937 (17.7)
Unspecified AAV		8 (2.4)	*	2 (1.2)	†	†	*	10 (0.2)
Laboratory								
PR3 positive		147/333 (44.1)	650/1283 (50.7)	79/169 (46.8)	457/774 (55.9)	320/668 (47.9)	187/374 (50.0)	1840/3601 (51.2)
MPO positive		170/333 (51.1)	615/1283 (47.9)	61/169 (36.1)	173/774 (21.1)	326/668 (48.8)	161/374 (43.0)	1506/3601 (41.8)
ELISA negative		16/333 (4.8)	*	26/169 (15.4)	134/774 (16.4)	17/668 (2.5)	26/374 (6.9)	219/3601 (9.0)
S-creatinine		222 (149; 253)	171 (282; 2017)	156 (187; 138)	*	288 (263; 509)	224 (223; 371)	198 (266; 3288)
Organ pattern involvement								
General		208/332 (62.7)	2093/2574 (81.3)	155/168 (92.3)	823/929 (88.6)	258/668 (38.6)	277/372 (74.5)	3814/5043 (75.6)
Mucous membrane, skin, eye		37/332 (11.1)	1359/2574 (52.8)	67/168 (39.9)	434/929 (46.7)	204/668 (30.5)	66/372 (17.7)	2167/5043 (43.0)
Ear-nose-throat		112/332 (33.7)	1595/2574 (61.9)	94/168 (55.9)	622/929 (67.0)	281/668 (42.1)	156/372 (41.2)	2860/5043 (56.7)
Lung		160/332 (48.2)	1792/2574 (69.6)	112/168 (66.7)	682/929 (73.4)	338/668 (50.1)	197/372 (52.9)	3281/5043 (65.1)
Cardiovascular		7/332 (2.1)	631/2574 (24.5)	17/168 (10.1)	127/929 (13.7)	20/668 (2.9)	20/372 (5.4)	822/5043 (16.3)
Abdominal		10/332 (3.0)	480/2574 (18.6)	11/168 (6.6)	114/929 (12.3)	34/668 (5.1)	9/372 (2.4)	658/5043 (13.4)
Kidney		310/332 (93.4)	720/2574 (27.9)	108/168 (64.3)	575/929 (61.9)	564/668 (84.4)	257/372 (69.1)	2534/5043 (50.2)
Nervous		51/332 (15.4)	1209/2574 (46.9)	64/168 (38.1)	266/929 (28.6)	96/668 (14.3)	51/372 (13.7)	1737/5043 (34.4)
Induction treatment								
Cyclophosphamide	*		395/761 (51.1)	87/149 (58.4)	734/922 (79.6)	562/661 (51.4)	294/365 (45.5)	2073/2858 (60.0)
Rituximab	*		112/761 (14.7)	75/149 (50.3)	112/922 (12.1)	177/661 (26.7)	29/365 (7.9)	505/2858 (17.7)
Plasma exchange		88/283 (31.1)	44/761 (5.8)	9/149 (6.0)	81/922 (8.9)	137/661 (20.7)	62/365 (16.9)	421/3141 (13.4)
Intravenous glucocorticoids		258/283 (91.2)	248/761 (32.6)	122/149 (81.9)	699/922 (75.8)	367/661 (55.5)	41/365 (11.2)	1735/3141 (55.2)
Maintenance treatment								

Azathioprine	*	*	26/69 (37.7)	357/857 (41.7)	322/563 (57.2)	206/331 (62.2)	911/1820 (50.1)
Rituximab	*	*	44/69 (63.8)	19/857 (2.2)	163/563 (28.9)	56/331 (16.9)	282/1820 (15.5)
Methotrexate	*	*	0/69 (0)	210/857 (24.5)	0/563 (0)	60/331 (18.1)	270/1820 (14.8)
Mycophenolate mofetil	*	*	0/69 (0)	172/857 (20.1)	122/563 (21.7)	51/331 (15.4)	345/1820 (18.9)
Outcome							
Death	56 (16.7)	350 (12.5)	3 (1.8)	113 (12.4)	127 (19.0)	187 (50.0)	836 (15.8)
ESKD ‡	49/203 (24.1)	298 (10.6)	5 (2.9)	145 (15.9)	127 (19.0)	55 (14.7)	679/5150 (13.2)
Follow-up, years	3.4 (3.3)	6.4 (5.7)	0.8 (0.9)	6.1 (5.8)	7.3 (6.8)	7.9 (6.2)	6.2 (5.8)

Data are n (%), n/N (%), mean (SD) or median (IQR) or mean (SD; n), or median (IQR) or median (IQR; n) *Not included in registry collection. †Excluded in registry harmonisation. ‡End-stage kidney disease definitions: Czech (dialysis for >90 days; sustained CKD 5 (estimated glomerular filtration rate (eGFR)<15 mL/min/1.73 m²) for >90 days; and/or kidney transplantation), FSVG (dialysis for more than 30 days or death within 30 days of start of dialysis), GeVas (renal replacement therapy; sustained dialysis or CKD 5 in two succeeding visits), Skåne/POLVAS (sustained dialysis), RKD (dialysis for >90 days; sustained CKD 5 (eGFR<15 mL/min/1.73 m²) for >90 days; and/or kidney transplantation).

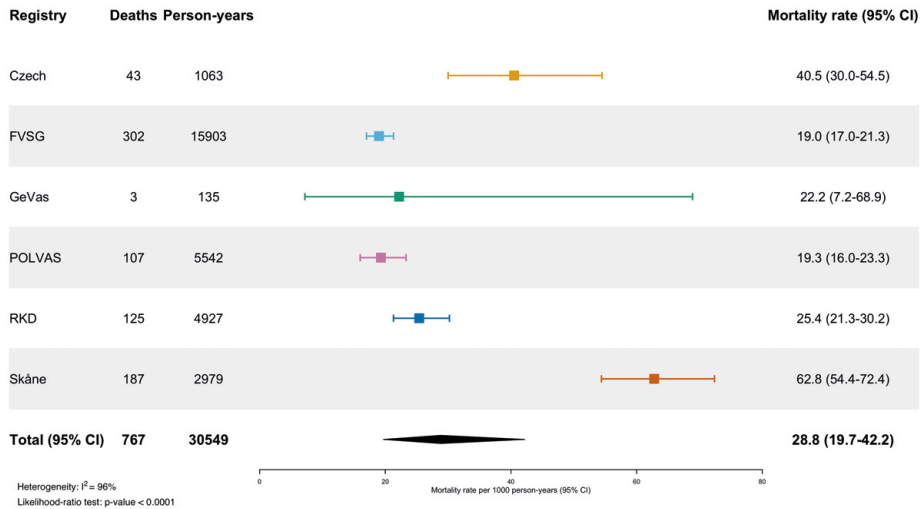


Figure 12. Mortality rate per registry and in total
All-cause mortality rate per 1000 patient years. Per registry and pooled estimates shown with 95% confidence intervals

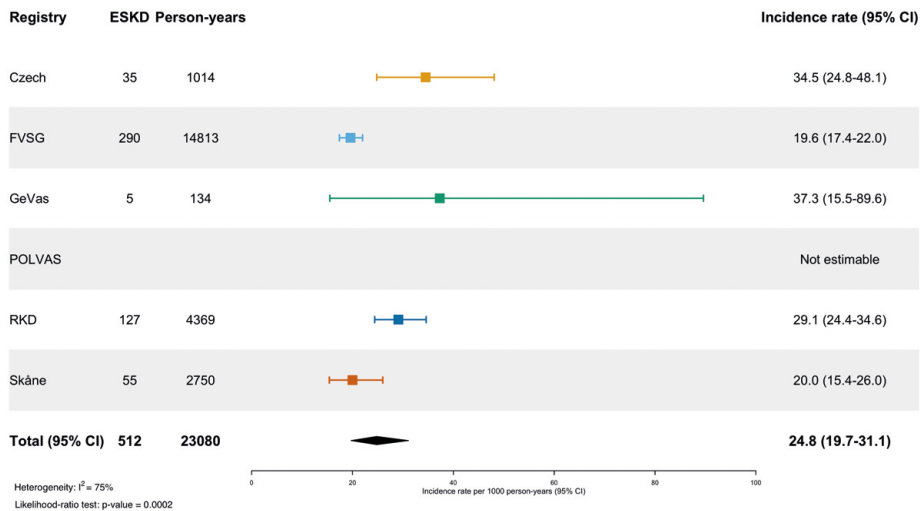


Figure 13. Incidence rate of end-stage kidney disease per registry and in total
Incidence rate of end-stage kidney disease per 1000 patient years. Per registry and pooled estimates shown with 95% confidence intervals.

Study II

In Study II we included a total of 3868 patients, diagnosed with either GPA (2434 [62.9%]) or MPA (1434 [37.1%]) (Table 6). Most cases were contributed from the FVSG registry (1780 [46.0%]), followed by the POLVAS registry (792 [20.5%]), the RKD registry (439 [11.3%]), the Czech registry (371 [9.6%]), the Skåne vasculitis cohort (351 [9.1%]), and the GeVas registry (135 [3.5%]). The mean age at the time of diagnosis was 57.2 ± 16.4 years. There were 2006 (51.9%) men and 1861 (48.1%) women of 3867 included.

Similarly to in Study I, constitutional or musculoskeletal symptoms were most common, present in 2824 (75.5%) of 3738. The most common specific organ pattern presentation, was kidney involvement, seen in 2591 (67.0%), followed by lung symptoms in 1977 (51.1%) of 3865 and ear-nose-throat involvement 1869 (48.3%) of 3867.

Most of the included patient were PR3-positive (1949 (54.0%)), and 1344 (37.3%) MPO-positive, and 314 (8.7%) ANCA negative, of 3607 available. Concerning biochemical presentation at the time of diagnosis, the median serum creatinine and median CRP were 130.0 $\mu\text{mol/L}$ (IQR:80.0-309.0) of 3521 available and 57.0 mg/L (IQR:15.0-133.0) of 2809 available, respectively.

The median follow-up was 4.2 (IQR:1.5-8.7) years; and during this time 642 (16.6%) reached ESKD and 702 (18.1%) patients died. The censoring proportion was 3166 (81.9%) for the survival analysis (all lost to follow-up). For the competing risks time-to-event analysis for ESKD the POLVAS registry was excluded, with a following sample size of 3076 for this analysis. Here, there were 502 (16.3%) events of ESKD, 463 (15.5%) competing deaths, with a censoring proportion of 2111 (68.6%) (all lost to follow-up).

In total, 3.2% of the data were missing, mostly in the variables CRP 1059 (27.4%), serum creatinine 347 (9.0%) and ANCA 261 (6.8%) variables. This data was imputed, as described in the methods section of this thesis, to generate multiple complete datasets.

Table 6. Baseline characteristics and follow-up data within the identified clusters

Demography	SK (N = 555)	MPO-K (N = 782)	PR3-K (N = 683)	YR (N = 646)	IMS (N = 1202)
Age, years	549; 66.7 (12.7)	776; 62.7 (13.8)	679; 55.9 (15.5)	640; 47.7 (17.0)	1,191; 55.0 (16.2)
Men	309/555 (55.7)	392/782 (50.1)	439/683 (64.3)	268/646 (41.5)	598/1,201 (49.8)
Women	246/555 (44.3)	390/782 (49.9)	244/683 (35.7)	378/646 (58.5)	603/1,201 (50.2)
Diagnosis					
GPA	211 (38.0)	185 (23.7)	570 (83.5)	530 (82.0)	938 (78.0)
MPA	344 (62.0)	597 (76.3)	113 (16.5)	116 (18.0)	264 (22.0)
Organ pattern					
Constitutional	323/526 (61.4)	248/723 (34.3)	600/676 (88.8)	249/632 (39.4)	854/1,183 (72.2)
Musculoskeletal	232/526 (44.1)	223/723 (30.8)	541/676 (80.0)	270/632 (42.7)	769/1,183 (65.0)
Cutaneous	40/555 (7.2)	97/782 (12.4)	288/683 (42.2)	135/642 (21.0)	362/1,201 (30.1)
Mucosa	4/551 (0.7)	5/774 (0.6)	53/680 (7.8)	11/634 (1.7)	34/1,192 (2.9)
Eyes	4/551 (0.7)	20/775 (2.6)	173/680 (25.4)	154/635 (24.3)	232/1,193 (19.4)
Ear-nose-throat	111/555 (20.0)	110/782 (14.1)	477/682 (69.9)	450/646 (69.7)	721/1,202 (60.0)
Lung	287/555 (51.7)	275/781 (35.2)	456/683 (66.8)	271/646 (42.0)	688/1,200 (57.3)
Cardiovascular	43/554 (7.8)	34/782 (4.3)	90/682 (13.2)	37/646 (5.7)	84/1,199 (7.0)
Gastrointestinal	33/553 (6.0)	25/780 (3.2)	118/682 (17.3)	17/644 (2.6)	80/1,201 (6.7)
Kidney	554/555 (99.8)	770/782 (98.5)	676/683 (99.0)	147/646 (22.8)	444/1,202 (36.9)
Central nervous system	13/552 (2.4)	15/770 (1.9)	66/682 (9.7)	56/636 (8.8)	141/1,194 (11.8)
Peripheral nervous system	52/552 (9.4)	32/770 (4.2)	170/682 (24.9)	95/634 (15.0)	335/1,192 (28.1)
Organs involved	555; 3.1 (1.2)	782; 2.4 (1.2)	683; 5.4 (1.4)	646; 2.9 (1.5)	1,202; 4.0 (1.5)
ANCA					
PR3/C-positive	198/532 (37.2)	131/759 (17.3)	547/665 (82.3)	340/567 (60.0)	733/1,084 (67.6)
MPO/P-positive	307/532 (57.7)	568/759 (74.8)	85/665 (12.8)	126/567 (22.2)	258/1,084 (23.8)
ANCA-negative	27/532 (5.1)	60/759 (7.9)	33/665 (5.0)	101/567 (17.8)	93/1,084 (8.6)
Laboratory					
Creatinine, $\mu\text{mol/L}$	546; 579.5 (311.3-817.0)	738; 239.5 (162.0-356.0)	657; 221.0 (154.0-364.0)	542; 80.0 (66.0-89.0)	1,038; 79.0 (65.0-90.0)

CRP, mg/L	425; 123.0 (77.0-178.0)	554; 16.0 (6.0-32.0)	544; 109.5 (50.0-190.5)	464; 6.0 (3.0-15.0)	822; 99.0 (60.0-161.0)
Outcome					
Death	169 (30.5)	161 (20.6)	158 (23.1)	36 (5.6)	178 (14.8)
ESKD*	231 (41.6)	221 (28.3)	136 (19.9)	11 (1.7)	43 (3.6)
Follow up, years	2.9 (0.6-5.8)	3.3 (1.4-6.6)	4.3 (1.5-9.0)	6.1 (2.0-11.1)	4.9 (1.8-9.6)
Data are n (%), n/N (%), mean (SD) or median (IQR) or median (IQR); n SK = severe kidney, MPO-K = anti-MPO kidney, PR3-K = anti-PR3 kidney, YR = young respiratory, IMS = inflammatory multisystem. *End-stage kidney disease definitions: Czech (dialysis for >90 days; sustained CKD 5 (estimated glomerular filtration rate (eGFR)<15 mL/min/1.73 m ²) for >90 days; and/or kidney transplantation), FSVG (dialysis for more than 30 days or death within 30 days of start of dialysis), GeVas (renal replacement therapy; sustained dialysis or CKD 5 in two succeeding visits), Skåne/POLVAS (sustained dialysis), RKD (dialysis for >90 days; sustained CKD 5 (eGFR<15 mL/min/1.73 m ²) for >90 days; and/or kidney transplantation).					

Cluster identification

We fitted a total of ten models to each imputed dataset (1 to 5 clusters, with two types of mixture-models). We used the highest average BIC to identify the optimal model, which was seen in a five-cluster solution, retained for the remainder of Study II. The cluster assignments were unevenly distributed over the registries, with the most consistent distribution seen for the Skåne cohort.

While it should be noted that model-based clustering is not hierarchical in nature, the primary separator of cluster assignment was the presence of kidney involvement, separating the cohort into three clusters with kidney involvement and two clusters with limited kidney involvement. Of the three clusters with kidney involvement, one cluster was driven by severe kidney impairment, advanced age, and high degree of inflammation. We chose to name this, the *Severe kidney* cluster (SK). The other kidney involvement cluster, while also being driven by advanced age, saw a paucity of extra-renal involvement (especially constitutional, musculoskeletal, and ear-nose throat involvement), and a low degree of inflammation. As this cluster also saw a high prevalence of MPO-positivity we named this the *MPO kidney* cluster (MPO-K). The last kidney involvement cluster was driven by extra-renal manifestations (all organ systems, but especially constitutional and musculoskeletal symptoms), and a high degree of inflammation. As this cluster saw a high prevalence of PR3-positivity we called this the *PR3 kidney* cluster (PR3-K).

Of the two clusters with limited involvement from the kidney, both saw prevalent involvement of the upper respiratory tract, but were separated largely by the degree of inflammation, age, and the extent of organ involvement outside of the upper respiratory tract. One cluster saw younger patients, lower degree of inflammation and involvement largely isolated to the upper respiratory tract. We called this the *Young respiratory* cluster (YR). The other cluster saw greater involvement outside of the ear-nose throat region, especially constitutional and musculoskeletal symptoms, and a high degree of inflammation. Subsequently, we named this the *Inflammatory multi-system* (IMS) cluster (Figure 14).

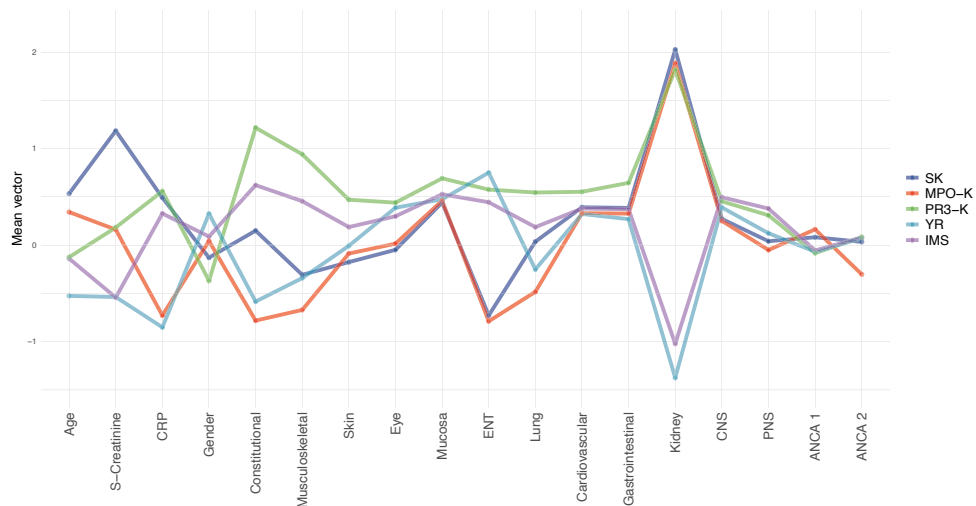


Figure 14. Mean vectors of each cluster across all variables

Illustrating the distinct patterns of variable importance and differences between clusters. Higher or lower mean vector values indicate the key characteristics differentiating the clusters. ANCA, a three nominal is here represented as two “one-hot encoded” levels, ANCA1 and ANCA2.

Cluster evaluation

To provide a clinical evaluation of our model we evaluated the five clusters with respect to survival and ESKD, with the best prognosis for both seen in the YR cluster and worst prognosis for both seen in the SK cluster (Figure 15 and Figure 16). We further compared model-fit for prediction of survival and time-to-ESKD to existing subclassification. Evaluating model-fit our cluster-membership model outperformed models fitted with diagnosis, ANCA status, and an existing cluster-stratification regarding prediction of patient survival (ΔAIC 88, 168 and 124) and kidney survival (ΔAIC 368, 399 and 186). To further allow for a fair comparison we adjusted the prediction model for age, gender, and source registry, retaining the differences for both prediction of survival (ΔAIC 34, 60 and 14) and kidney-survival (ΔAIC 349, 366 and 179). While no absolute threshold exists, a $\Delta\text{AIC} \geq 10$ strongly support the lower AIC model (in all cases being our identified model).

Here it should be noted, that while the description of the clusters was done with a fixed cluster assignment (the patients were assigned to the cluster with the highest average probability in the ten complete datasets following a maximum *a posteriori* estimation), the clinical evaluation allowed for floating cluster affiliations (as each patient may have been assigned to a different cluster in each imputed dataset). However, there was good agreement of subject allocation to clusters in the imputed datasets. As model-based clustering, as opposed to other prevalent clustering methods, is probabilistic, we could further assess the mean assignment probability of each cluster, here being 79.4%.

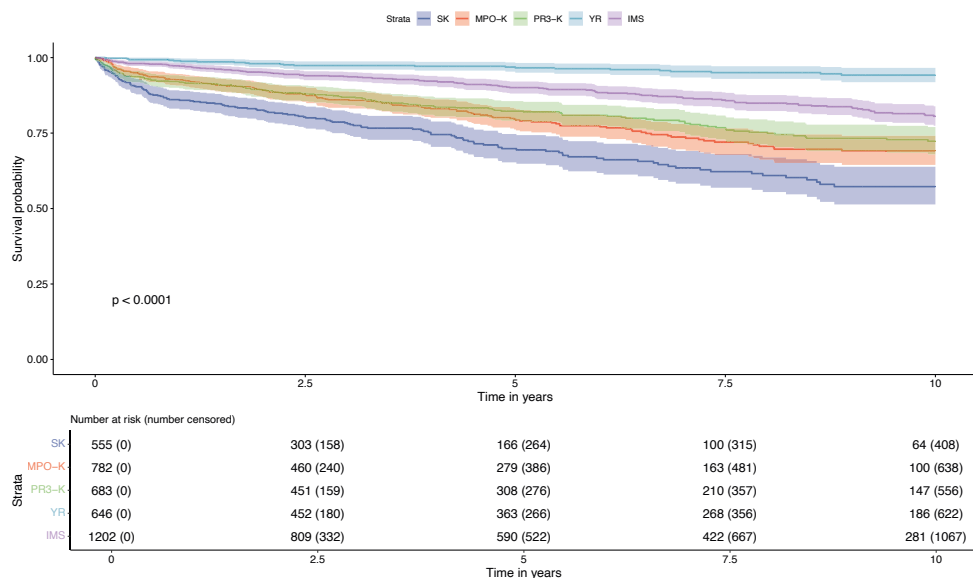


Figure 15. Ten-year survival by cluster affiliation

Kaplan-Meier curve of the ten-year survival with 95% confidence intervals. P-value through log-rank test.

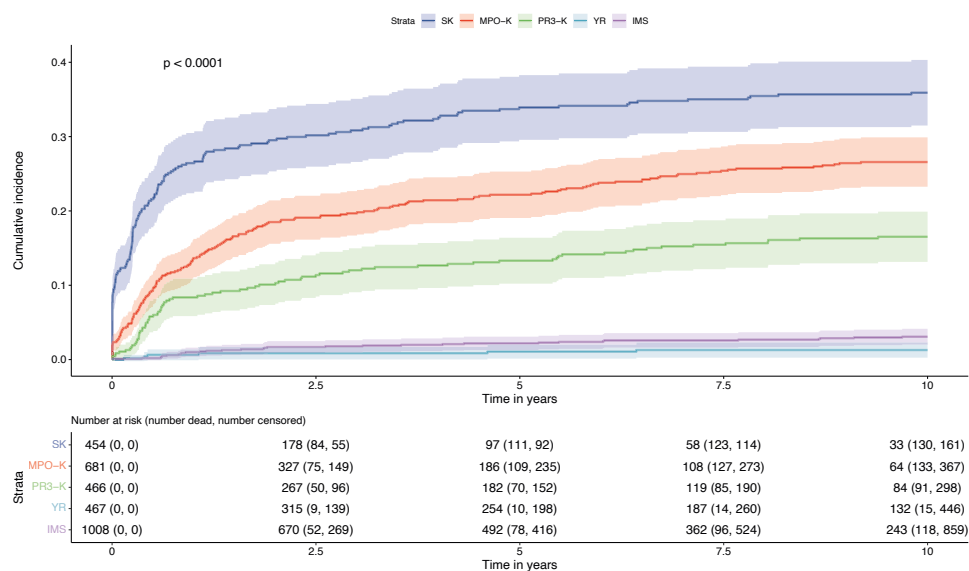


Figure 16. Ten-year cumulative incidence of end-stage kidney disease by cluster affiliation

Cumulative incidence function for the competing ten-year risks of end-stage kidney disease to death with 95% confidence interval. P-value with Gray's test.

To evaluate the robustness of the model, we assessed the cluster-wise stability using the Jaccard index. While no fixed thresholds exist, we interpreted our Jaccard indices ranging from 0.63 (SK) to 0.75 (IMS) as stable clusters. As an alternative to external validation, we performed six leave-one-registry out analyses. Here we assessed the empirical distribution function of the log-likelihoods for data simulated from the model, excluding one registry at the time. The results were stable, with model-fit improving with the exclusion of each registry (Figure 17).

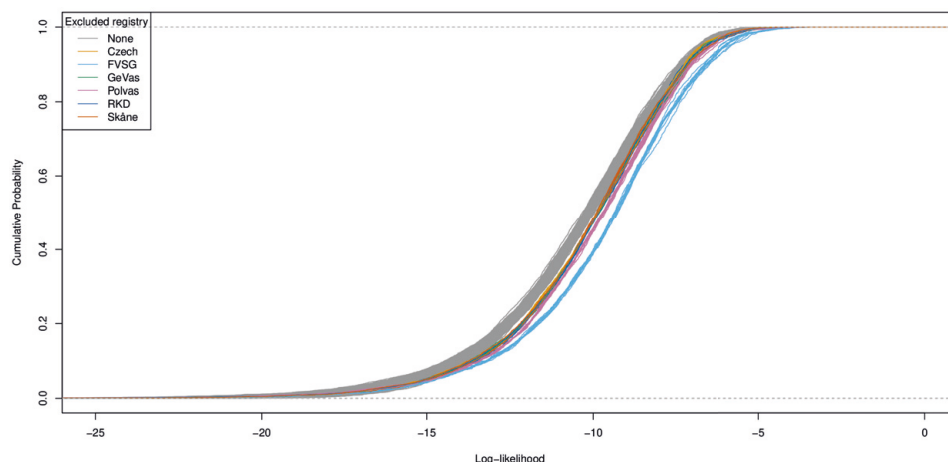


Figure 17. Empirical distribution function of the log-likelihoods for simulations of the main analysis, and six leave-one-registry out analyses

The further to the right the curve is, the better the model fit, indicating robustness of the model.

Lastly, we built the FAIRVASC clustering web application for the assignment of previously unseen data to one of the five identified clusters and made this publicly available at: <https://fairvasc.shinyapps.io/clusteranalysis/>.

Study III

In Study III we included a total of 2849 patients with ANCA-associated vasculitis (1726 [60.6%] with GPA and 1123 [39.4%] with MPA). A total of 353 (12.4%) of the patients faced ESKD, and 342 (12.0%) died over a median follow-up time of 4.4 (IQR: 1.5-5.0) years. A total of 2112/2498 (84.5%) reached the estimated disease remission, with 503/2112 (23.9%) of these experiencing a first major relapsing event over a median follow-up time of 5.0 (n: 2112, IQR: 2.4-5.0) years. A total of 190/736 (25.8%) patients had a first serious infectious event, over a median follow-up time of 4.7 (n: 736, IQR: 2.0-5.0) years (Table 7).

Table 7. Characteristics of the three datasets used in Study III

	Full study (n=2849)	Relapse study (n=2498)	Infection study (n=736)
Demography, registry and diagnosis			
Age, year	2,824; 58.5 (16.2)	2,473; 57.5 (16.0)	734; 62.6 (15.7)
Male	1,513/2,848 (53)	1,322/2,497 (53)	421/736 (57)
Female	1,335/2,848 (47)	1,175/2,497 (47)	315/736 (43)
Czech	157/2,849 (6)	157/2,498 (6)	0/736 (0)
FVSG	1,780/2,849 (62)	1,780/2,498 (71)	0/736 (0)
GeVas	127/2,849 (4)	127/2,498 (5)	0/736 (0)
RKD	434/2,849 (15)	434/2,498 (17)	434/736 (59)
Skåne	351/2,849 (12)	0/2,498 (0)	302/736 (41)
GPA	1,726/2,849 (61)	1,534/2,498 (61)	355/736 (48)
MPA	1,123/2,849 (39)	964/2,498 (39)	381/736 (52)
Disease activity – organ involvement			
Musculoskeletal*	1,560/2,849 (55)	1,357/2,498 (54)	364/736 (49)
Myalgia	844/2,849 (30)	698/2,498 (28)	206/736 (28)
Artritis	1,248/2,849 (44)	1,128/2,498 (45)	262/736 (36)
Constitutional*	1,603/2,849 (56)	1,407/2,498 (56)	331/736 (45)
Fever	1,002/2,849 (35)	868/2,498 (35)	179/736 (24)
Weightloss	1,182/2,849 (41)	1,084/2,498 (43)	219/736 (30)
Skin*	650/2,849 (23)	616/2,498 (25)	95/736 (13)
Infarct	59/2,849 (2)	55/2,498 (2)	9/736 (1)
Purpura	374/2,849 (13)	359/2,498 (14)	49/736 (7)
Ulcer	36/2,849 (1)	32/2,498 (1)	12/736 (2)
Gangrene	37/2,849 (1)	37/2,498 (1)	0/736 (0)
Other skin involvement	290/2,849 (10)	277/2,498 (11)	32/736 (4)
Mucosal involvement*	85/2,849 (3)	76/2,498 (3)	28/736 (4)
Mouth ulcer	76/2,849 (3)	69/2,498 (3)	26/736 (4)
Genital ulcer	5/2,849 (0)	5/2,498 (0)	0/736 (0)
Adnexal	5/2,849 (0)	3/2,498 (0)	2/736 (0)
Eye*	404/2,849 (14)	383/2,498 (15)	50/736 (7)
Proptosis	60/2,849 (2)	57/2,498 (2)	3/736 (0)
Scleritis	192/2,849 (7)	187/2,498 (7)	24/736 (3)
Conjunctivitis	137/2,849 (5)	125/2,498 (5)	16/736 (2)
Blurred vision	48/2,849 (2)	47/2,498 (2)	4/736 (1)
Loss of vision	19/2,849 (1)	18/2,498 (1)	1/736 (0)
Uveitis	26/2,849 (1)	26/2,498 (1)	5/736 (1)
Retinal changes	25/2,849 (1)	22/2,498 (1)	3/736 (0)
Ear-nose-throat*	1,271/2,849 (45)	1,137/2,498 (46)	254/736 (35)
Nasal	880/2,849 (31)	768/2,498 (31)	192/736 (26)
Paranasal	674/2,849 (24)	605/2,498 (24)	112/736 (15)
Subglottic	26/2,849 (1)	24/2,498 (1)	3/736 (0)
Conductive hearloss	236/2,849 (8)	212/2,498 (8)	55/736 (7)
Sensorineural hearloss	178/2,849 (6)	176/2,498 (7)	7/736 (1)
Non-severe lung involvement*	1,072/2,849 (38)	917/2,498 (37)	283/736 (38)
Wheeze	86/2,849 (3)	72/2,498 (3)	30/736 (4)
Nodule	618/2,849 (22)	555/2,498 (22)	116/736 (16)
Pleurisy	97/2,849 (3)	54/2,498 (2)	57/736 (8)
Infiltrate	594/2,849 (21)	512/2,498 (20)	174/736 (24)
Endobronchial involvement	32/2,849 (1)	30/2,498 (1)	3/736 (0)
Severe lung involvement*	477/2,849 (17)	447/2,498 (18)	98/736 (13)
Alveolar haemorrhage	453/2,849 (16)	425/2,498 (17)	93/736 (13)

Respiratory failure	103/2,849 (4)	97/2,498 (4)	30/736 (4)
Cardiovascular involvement*	205/2,849 (7)	188/2,498 (8)	23/736 (3)
Loss of pulses	12/2,849 (0)	10/2,498 (0)	2/736 (0)
Valvular	26/2,849 (1)	26/2,498 (1)	0/736 (0)
Pericarditis	90/2,849 (3)	84/2,498 (3)	11/736 (1)
Cardiac pain	36/2,849 (1)	28/2,498 (1)	8/736 (1)
Cardiomyopathy	22/2,849 (1)	22/2,498 (1)	2/736 (0)
Cardiac failure	46/2,849 (2)	45/2,498 (2)	2/736 (0)
Abdominal*	190/2,849 (7)	184/2,498 (7)	20/736 (3)
Peritonitis	24/2,849 (1)	24/2,498 (1)	6/736 (1)
Diarrhoea	47/2,849 (2)	42/2,498 (2)	5/736 (1)
Abdominal pain	155/2,849 (5)	153/2,498 (6)	11/736 (1)
Kidney involvement*	1,854/2,849 (65)	1,608/2,498 (64)	570/736 (77)
Hypertension	1,854/2,849 (65)	1,608/2,498 (64)	570/736 (77)
Proteinuria	1,379/2,849 (48)	1,230/2,498 (49)	447/736 (61)
Haematuria	1,487/2,849 (52)	1,336/2,498 (53)	474/736 (64)
Creatinine change	833/2,849 (29)	673/2,498 (27)	193/736 (26)
Central nervous system involvement*	218/2,849 (8)	206/2,498 (8)	26/736 (4)
Headache	130/2,849 (5)	127/2,498 (5)	13/736 (2)
Meningitis	22/2,849 (1)	21/2,498 (1)	1/736 (0)
Confusion	16/2,849 (1)	14/2,498 (1)	2/736 (0)
Seizure	3/2,849 (0)	3/2,498 (0)	1/736 (0)
Stroke	41/2,849 (1)	37/2,498 (1)	8/736 (1)
Spinal lesion	4/2,849 (0)	3/2,498 (0)	2/736 (0)
Cranial nerve involvement	49/2,849 (2)	46/2,498 (2)	4/736 (1)
Peripheral nervous system involvement*	529/2,849 (19)	497/2,498 (20)	52/736 (7)
Peripheral neuropathy	473/2,849 (17)	451/2,498 (18)	28/736 (4)
Mononeuritis multiplex	303/2,849 (11)	291/2,498 (12)	27/736 (4)
Laboratory			
PR3/c-positive	1,355/2,640 (51)	1,167/2,289 (56)	372/736 (51)
MPO/p-positive	1,053/2,640 (40)	900/2,289 (43)	346/736 (47)
ANCA-negative	232/2,640 (9)	222/2,289 (10)	18/736 (2)
eGFR, mL/min/1.73 m ²	2,502; 50.6 (18.7, 91.0)	2,154; 51.9 (19.5, 91.5)	698; 50.8 (19.6, 86.3)
Outcome			
Death	342/2,849 (12)	233/2,498 (9)	145/736 (20)
ESKD†	353/2,849 (12)	311/2,498 (12)	106/736 (14)
Remission	2,112/2,498 (85)	2,112/2,498 (85)	383/434 (88)
Relapse	503/2,498 (20)	503/2,112 (24)	104/434 (24)
Infection	190/785 (24)	94/434 (22)	190/736 (26)
Follow up, years	2,849; 4.4 (1.5, 5.0)	2,498; 4.2 (1.4, 5.0)	736; 4.7 (2.0, 5.0)

Data are n (%), n/N (%), mean (SD) or mean (SD; n), or median (IQR) or median (IQR; n) *Composite categories (all below until next composite category). †End-stage kidney disease definitions: Czech (dialysis for >90 days; sustained CKD 5 (estimated glomerular filtration rate (eGFR)<15 mL/min/1.73 m²) for >90 days; and/or kidney transplantation), FSVG (dialysis for more than 30 days or death within 30 days of start of dialysis), GeVas (renal replacement therapy; sustained dialysis or CKD 5 in two succeeding visits), Skåne (sustained dialysis), RKD (dialysis for >90 days; sustained CKD 5 (eGFR<15 mL/min/1.73 m²) for >90 days; and/or kidney transplantation).

Variable importance

We identified several features of some importance for the prediction of relapse, but none stood out. For the prediction of infection, age was most important. Age and eGFR were identified as most important for the prediction of mortality and eGFR respectively (Figure 18).

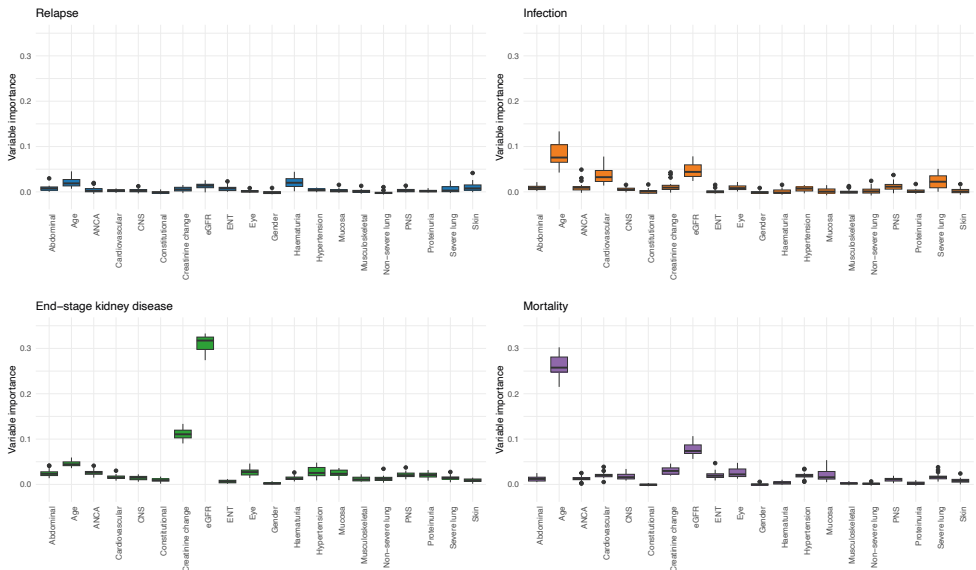


Figure 18. Variable importance per outcome as estimated by the random survival forests
Breiman-Cutler variable importance calculated using bootstrap subsampling.

When assessing the individual components of the prediction models, we saw an increased risk of relapse with the presence of skin involvement, ear-nose-throat involvement, haematuria, better kidney function, advanced age, and the absence of peripheral nerve involvement. A higher age was significantly associated with infection, while a low eGFR was the only independent predictor of ESKD. Age, cardiovascular involvement, a rise in serum creatinine or fall of serum creatinine clearance, eGFR, mucosal involvement, cardiovascular involvement, and the absence of ear-nose-throat involvement were all associated with mortality (Table 8).

Table 8. Subdistribution hazard ratios and hazard ratios of the variables in the prediction models for relapse, infection, end-stage kidney disease and mortality with 95% confidence intervals

	SHR (95% CI)	P-value
Relapse		
Haematuria	1.37 (1.11-1.69)	0.0029
Ear-nose-throat involvement	1.36 (1.13-1.63)	0.0011
Skin involvement	1.28 (1.04-1.57)	0.021
Creatinine change	1.23 (0.96-1.58)	0.095
Age*	1.13 (1.06-1.20)	0.00012
Musculoskeletal involvement	1.10 (0.91-1.33)	0.31
eGFR*	1.06 (1.02-1.09)	0.0040
Abdominal involvement	1.04 (0.74-1.47)	0.82
Peripheral nervous involvement	0.76 (0.60-0.97)	0.024
Hypertension	0.75 (0.53-1.07)	0.11
Infection		
Peripheral nervous involvement	1.53 (0.89-2.61)	0.12
Severe lung involvement	1.35 (0.89-2.05)	0.16
Age*	1.21 (1.08-1.36)	0.0016
Abdominal involvement	1.19 (0.46-3.08)	0.72
Creatinine change	1.19 (0.85-1.65)	0.31
Cardiovascular involvement	1.00 (0.41-2.45)	0.99
eGFR*	0.96 (0.90-1.01)	0.13
PR3-ANCA positive	0.79 (0.58-1.08)	0.14
Central nervous involvement	0.75 (0.27-2.03)	0.57
Eye involvement	0.63 (0.30-1.33)	0.23
ANCA negative	0.19 (0.03-1.19)	0.076
End-stage kidney disease		
Hypertension	1.18 (0.89-1.57)	0.25
Creatinine change	1.11 (0.86-1.43)	0.41
Age*	1.00 (0.93-1.08)	0.89
Eye involvement	0.92 (0.62-1.35)	0.66
Proteinuria	0.88 (0.69-1.13)	0.32
Abdominal involvement	0.78 (0.50-1.23)	0.28
Peripheral nervous involvement	0.76 (0.52-1.09)	0.14
eGFR*	0.66 (0.61-0.71)	<0.0001
Cardiovascular involvement	0.64 (0.39-1.05)	0.077
Mucosal involvement	0.39 (0.15-1.07)	0.068
Death		
Age*	2.16 (1.94-2.40)	<0.0001
Cardiovascular involvement	2.06 (1.45-2.91)	<0.0001
Mucosal involvement	2.00 (1.09-3.67)	0.026
Severe lung involvement	1.58 (1.21-2.06)	0.00075
Creatinine change	1.54 (1.19-1.99)	0.0010
Central nervous involvement	1.21 (0.80-1.84)	0.37
Hypertension	1.17 (0.85-1.60)	0.33
Eye involvement	0.98 (0.64-1.49)	0.91
eGFR*	0.94 (0.90-0.98)	0.0094
Ear-nose-throat involvement	0.64 (0.50-0.83)	0.00065

*10-step increments

Outcome prediction

The predictive accuracy was good for mortality and ESKD, while the accuracy was acceptable for the prediction of serious infection but limited for relapse (Figure 19).

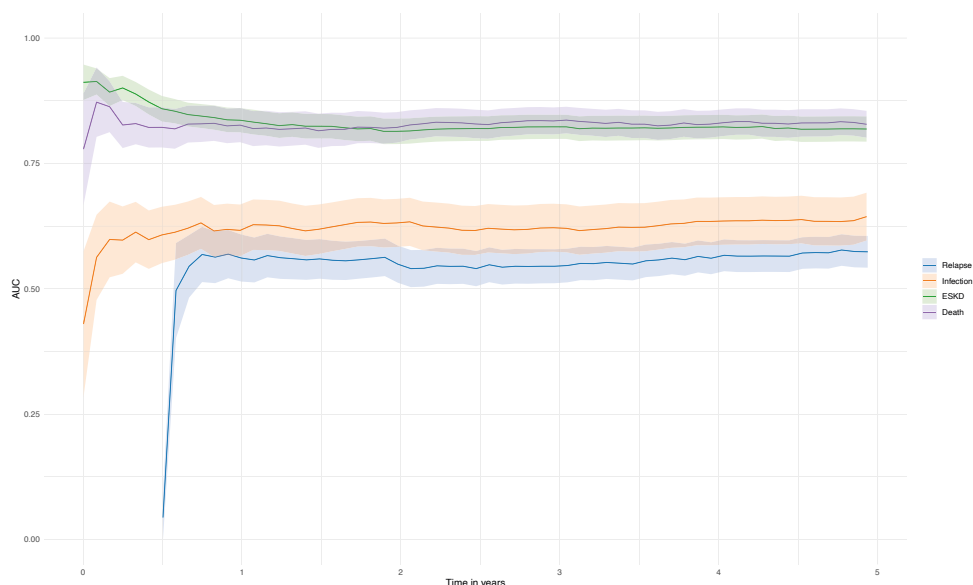


Figure 19. Model performance with 95% confidence interval over follow-up time for relapse, infection, end-stage kidney disease and mortality estimated through ten-fold crossvalidation
AUC: Area under the curve of receiver operating characteristics evaluated monthly over follow up time. Note that the prediction of relapse starts at the estimated remission of six months. AUC of 0.5 is equivalent of chance and 1.0 a perfect predictor.

When comparing the AUC over time of our models with existing prognostic models for adverse disease progression in AAV, we saw improved predictive accuracy for both relapse and mortality. No comparators were available for infection and ESKD. For these models we evaluated the predictive accuracy for serious infection in patients known to receiving prophylaxis with trimethoprim-sulfamethoxazole, and for ESKD in patients known to receiving plasma exchange, with acceptable results (Figure 20).

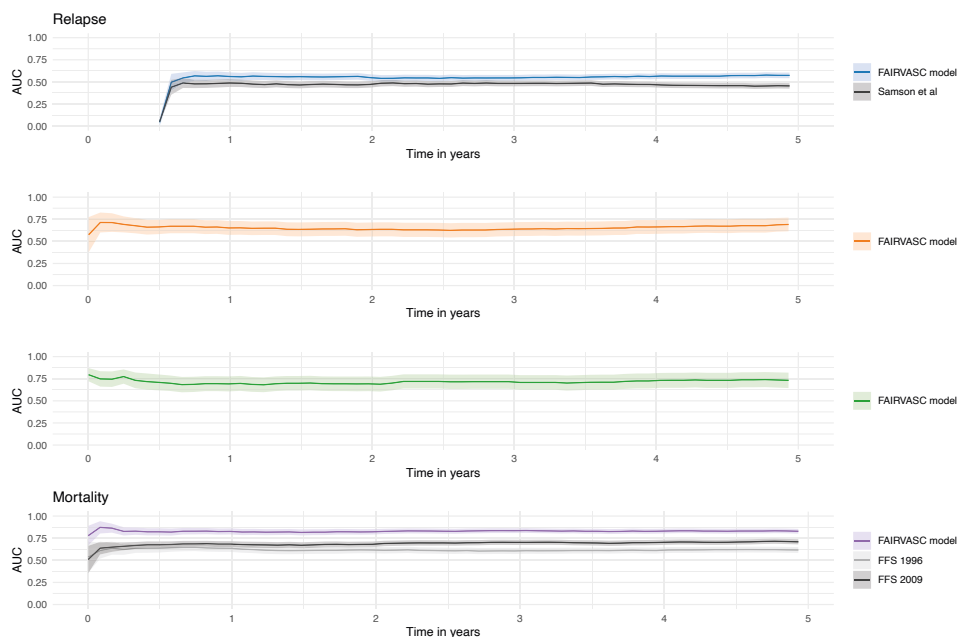


Figure 20. Comparison to existing models (relapse and mortality) and sensitivity analyses (infection and end-stage kidney disease)

Relapse: The model presented in Study III and a model developed by Samson and colleagues.³⁰³

Infection: The model presented in Study III tested in a subset of 301 patients on trimethoprim-sulfamethoxazole. End-stage kidney disease: AUC: The model presented in Study III tested in a subset of 181 patients receiving plasma exchange. Mortality: The model presented in Study III and Five Factor Scores developed by Guillevin and colleagues.^{286, 287}

When estimating the applicability of implementation of the models for clinical practice we noted clear net benefit of model use for guiding intervention in relapse, infection and mortality, and some, although limited, use for ESKD guidance, with the pre-identified thresholds. The final prediction models were made available for implementation to new data at: <https://fairvasc.shinyapps.io/prognosticmodels/> (Figure 21).

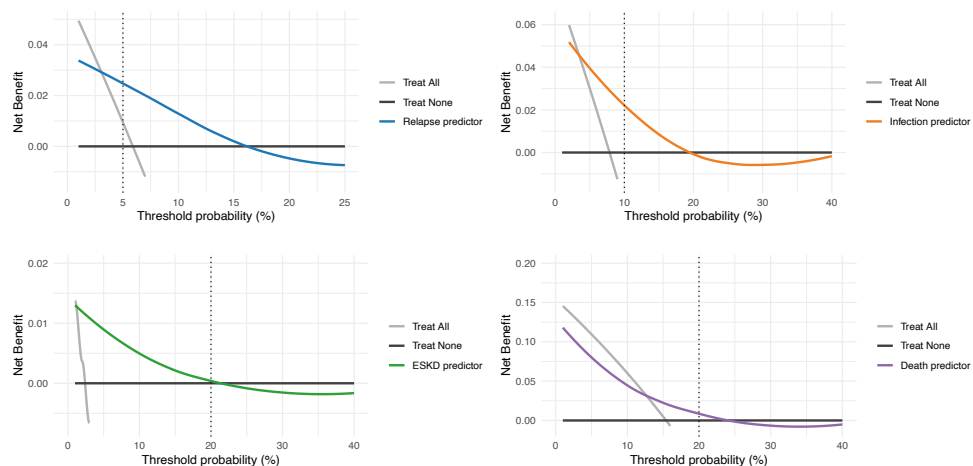


Figure 21. Decision curve analysis for five-year prediction of relapse, infection, end-stage kidney disease and mortality

Decision curve analysis calculates the net benefit by putting false positives (harm) on the same scale as true positives (benefit). False positive rates are multiplied by an exchange rate (how many false positives are worth one true positive) defined by a probability threshold. Our proposed thresholds, while arbitrary, for decisions are presented with dashed lines. Relapse: early discontinuation of immunosuppressive therapy below 5% five year risk. Infection: prolonged infection prophylaxis above 10% five year risk. End-stage kidney disease and mortality: general management guidelines above 20% five year risk. Treat All: All patients receive the intervention, Treat None: No patients receive the intervention, Outcome predictor: Our respective FAIRVASC model is used to guide the decision to intervene. Our thresholds are presented as dashed lines, and at these thresholds all models show net benefit.

Discussion

Data and registry interoperability to address fragmentation in rare disease research is a stated strategy by the European Union.³⁰⁴ Here FAIRification (the implementation of the FAIR principles) is a key concept but what it entails, and how FAIR data is achieved, remains somewhat elusive. The original paper presented by Wilkinson and colleagues do not define the technical infrastructure, but GO FAIR, an initiative for the implementation of the FAIR principles from the group of authors behind the original paper, makes it clear that FAIRification is achieved through Semantic Web technologies.^{288, 305}

As such, the work presented in Study I of this thesis aligns with GO FAIR and is an example of successful FAIRification. Through the implementation of a FAIR Data Point the FAIRVASC project allowed for interoperability of metadata (data about the data) which was not presented in this thesis, while the dedicated web interface presented here allowed for interoperability of the actual data.^a

Prior to this, research data in vasculitis have been siloed. In Study I we present data of integrated non-siloed registries, but the model presented is also scalable. With the scope defined by competency questions, the model was designed primarily for the purpose of integrating the six participating registries. However, since the publication of Study I, one additional registry is queryable, the Italian vasculitis registry (Italvas), highlighting that the process is indeed extendable.

Yet, the technical implementation is not without limitations. Federated analytics, privacy-preserving data analytics over multiple remote datasets, is a rapidly evolving field. While there exist many levels of privacy, in Study I, we wanted to avoid subject level data leakage between the sites, and between the sites and the aggregation server. This was achieved through the blockade of subject level data and low cell-counts at query level. To facilitate the scalability of the project, and to ensure easy development, the infrastructure in Study I was built essentially using native SPARQL. SPARQL is a query language (largely analogous to SQL for relational databases) for the retrieval and manipulation of data, not statistical calculation. As such, there were considerable limitations to the federated analytics in this study. However, extensions to SPARQL, not used here, may facilitate more complex analyses of data.

^a FAIRVASC FAIR Data Point: <https://fairvasc-fdp.adaptcentre.ie/> (accessed: 2024-09-26)

Another potential limitation present in research is data quality. While high-quality data are essential to allow reliable decision-making, there are a paucity of formalised frameworks for the assessment of data quality, and no agreed thresholds recognised for what constitutes sufficient data quality. In Study I, we assessed data quality using the i~HD framework, seeing it being imperfect in all assessed domains. Structural data quality concerns (such as uniqueness, consistency, and to some extent completeness) can be technically addressed through data validation systems. Straightforward (e.g., limitations to the allowed data format or data range) validation systems are already in place in the participating registries, and quality for this type of data are excellent. When adding a level of complexity (e.g., is the data inherently coherent), the data quality worsen as such validation systems are not in place. Still, such data quality concerns are technically easy to address.

However, while missing data are inevitable in real-life datasets, the differences of the registry data and what is reported in the electronic health record (that is correctness) seen in Study I is concerning. These discrepancies may to some extent be explained poor variable definitions and be solved through making what input is requested clearer but may also simply reflect the human error (i.e., a mistake was made at data entry which did not violate the data validation barriers). Here automated input from electronic health records is the obvious solution, but then raises the question: Why do we need a registry in the first place?

While both registries and electronic health records systems are for collecting and storing data, their purpose are different: registries are for the collection of data regarding a specific patient population minimised for research, whereas the electronic health record are comprehensive systems for managing individual patient care. That said, this does not mean that electronic health records cannot be used for research (there is enormous potential in this largely untapped collection of data), but constraints around governance and patient-privacy are vast. Here registries serve a purpose, especially in rare diseases, where scarce data may be systematically organised. Registries may be used to address several questions of relevance in rare diseases, examples being related to the epidemiology, symptomatology, prognosis, real-world treatment data, and equity of care.

In Study I some of the above are addressed, primarily to show the feasibility of the approach. However, the results still provide insights, showcasing the to date, largest cohort in AAV. Firstly, the study demonstrates the considerable heterogeneity of AAV, and of AAV cohorts. AAV presents with a wide range of symptoms and severity levels, making the generalisability of studies highly sensitive to the inclusion criteria and recruitment setting. While the differences of observational cohorts have previously been described, this study convincingly demonstrates that the generalisability of any single centre or registry effort may be limited.

Secondly, Study I give indications of differences in care. Again, the comparison of is hampered by the above-described heterogeneity but also the incompleteness of

treatment data. However, some differences, in particularly regarding the use of intravenous methylprednisolone pulses, warrants discussion. The evidence-basis for its use is thin, but recommended in cases of organ- or life-threatening disease.¹⁷⁹ However, the use may be at the cost of higher rates of infection and diabetes.³⁰⁶ While the difference is inadequately investigated for an extended discussion, it highlights how federated registries may be used for comprehensive benchmarking and improve equity of care.

Lastly, we studied the outcome of AAV patients regarding mortality and ESKD. Using a meta-analytical approach of random effects, we again saw considerable heterogeneity in the rates of both. This is likely partly due to under-reporting of outcome. The Skåne Vasculitis cohort, exhibiting the highest mortality rate, is also the only registry with complete mortality data ascertainment through linkage with official registers. Other issues may be inherent in the registry designs. Allowing for retrospective patient recruitment introduces both a potential survival bias (only those who survived long enough to be recruited are included) and an immortal time bias (the survival bias introduces an issue in the investigation of time-to-event analysis from the date of diagnosis, simply that a patient cannot experience the event of interest before the recruitment to the registry).

Many of the issues described, regarding for example completeness and heterogeneity, can (to some extent) be statistically addressed. Federated learning, that is the training of machine learning algorithms collaboratively without exchanging the data itself, harbours the potential to solve some of these problems.³⁰⁷ While the field of federated learning is rapidly expanding, much of the implementation is still exploratory. As such, further investigations in this thesis used a centralised data-pool, to explore the phenotypic spectrum of AAV, and build prognostic models for adverse disease progression.

In Study II we explored and stratified patterns of symptoms in 3868 patients with AAV using model-based clustering. Exploring disease heterogeneity using unsupervised learning is not unprecedented in AAV, nor in systemic rheumatic diseases in general. In AAV, several studies have been made but only two have attempted to cover the full spectrum of disease at the time of diagnosis, one using multi-national RCT data (Mahr and colleagues), and one Japanese observational cohort study (Watanabe and colleagues).^{41, 308} Of these, Mahr and colleagues' subclassification into five phenotypic groups (renal AAV with PR3-ANCA, renal AAV without PR3-ANCA, non-renal AAV, cardiovascular AAV, and gastrointestinal AAV) has gained most traction.^b

Interestingly, both our study and Mahr and colleagues give limited importance of ANCA type in the stratification of patient symptomatology. Instead, much like in

^b Searching Scopus, the work Mahr and colleagues is cited 201 times, as compared to five times for the work by Watanabe and colleagues. (accessed: 2024-09-01)

the model developed by Mahr and colleagues the main driver of separation in our model was kidney involvement. Here are differences in the rate of kidney involvement between the studies worth discussing, being 67% and 85% in ours and Mahr and colleagues' study, respectively. This reflects a general difference between real-world observational data and RCTs, described already in a comparative study by Pagnoux and colleagues.³⁰⁹ The inclusion criteria for RCTs in AAV often require, or highly favour the inclusion patients with kidney involvement, explaining the difference seen. Evident is also, that severe kidney impairment may arise in both PR3-positive and MPO-positive (and even ANCA-negative) patients.¹⁸⁷ Given the significant impact of impaired kidney function on patient-outcome, a stratification based on kidney involvement has face validity.

The five identified clusters have distinct phenotypic and biochemical presentation, as well as disease outcome. Linking the five-cluster stratification to defining genetic, epigenetic, or other pathogenic precursors is hampered by the paucity of studies stratified by phenotypic expression. Naturally, investigations into the pathogenesis of AAV have been largely focused on the disease groups or ANCA patterns. The subclassification we present highlights the need for studies on the role of inter-organ vascular diversity, systemic inflammatory response, and ageing in phenotypic expression.

As opposed to supervised learning the purpose of unsupervised learning models is not primarily to be applied to new datasets, but to find structure in the data at hand. A model-based clustering model is fitted using an expectation-maximisation (EM) algorithm, consisting of two iterative steps, the E-step (where the probability of each data point belonging to each cluster is calculated using the current parameter estimates), and the M-step (where the model parameter estimates are updated to maximise the expected log-likelihood based on the probabilities from the E-step). To allow for application of the model to new data, we separated the E-step of the final model so that the probability of new datapoints belonging to each cluster could be given using the final parameter settings. Additionally, as probabilities will be given regardless how well the input fits the model, we implemented a flagging system for poorly fitting data.

As the primary function of the clustering algorithm is to find structure in the data at hand, the generalisability of the model is highly dependent on the source cohort. Here the multi-centre real-world setting is a considerable strength, with the cohort reflecting the full spectrum of AAV better than RCT data or any single-centre effort. However, more important is: Is the subclassification relevant?

Some assurance of the relevance of the subclassification may be given by studying and comparing patient outcome. We see distinct outcomes in the five clusters, and when comparing our subclassification with Mahr and colleagues, the clinical diagnosis, and the ANCA-pattern, our models have the highest predictive accuracy. With the estimation of prediction error penalising the complexity of the model, this

study challenges the view of being AAV adequately represented by a binary subclassification. While this notion is not novel, the design and presentation of scientific studies have persisted in a binary subclassification of AAV, potentially impeding our further understanding of the disease. As such, the results of Study II may have significant impact on how AAV is perceived, discussed and presented.

However, Study II is not without limitations. In the assembly of the large cohort from multiple registries there have been inevitable trade-offs between sample size and granularity. There is an absence of data of interest in the subclassification of disease, such as biomarkers, radiographic data (i.e., pulmonary fibrosis data), and histology. It should further be noted that this subclassification is based on a snapshot of data at the date of diagnosis. There is a considerable diagnosis delay in AAV, the extent of which is unknown in this study. Similarly, there is limited follow up data. As such, how the phenotypic expression and cluster affiliation evolve before and after diagnosis would be of interest to investigate further.

While Study II is an exploration of the symptomatology at diagnosis Study III concerns the trajectory of AAV. Here we built and assessed predictive models for adverse disease outcome (i.e., major relapse, serious infection, ESKD and mortality) in AAV, using clinical and laboratory data commonly collected and recorded at the time of diagnosis.

There is a paucity of prognostic models to guide clinical decision making in AAV. However, as a disease with heterogenous disease presentation, prognostication and risk factor identification using clinical and biochemical data available at the time of diagnosis have been repeatedly investigated, but rarely compiled to comprehensive models. Predictive models may lay foundations for new clinical management algorithms and risk profiles, which can drive healthcare savings and improve patient quality of life and ideally provide further understanding of the underlying disease processes. In the following sections we will discuss the four outcomes separately. It should however be noted that while we try to discuss how the predictors influence the model, the causality of relationships is not assessed.

As discussed in the *Introduction* of this thesis disease relapse is major concern in AAV, but the exact rate of relapse is highly variable between studies.²⁷⁴ We here see a major relapse occurring in 24% within the first five years following diagnosis. We further note that we do not see a separation in the rate of relapse between PR3-positive and MPO-positive patients. Instead, the variable of associated with the greatest hazard ratio is haematuria. Persistent haematuria following induction treatment has previously been described as a predictor of relapsing disease, especially kidney relapse, likely reflecting smouldering disease activity.³¹⁰ However, when further investigating the hazard ratios associated with the included variables in the model, skin involvement, ear-nose throat involvement, better kidney function, advanced age and the absence of peripheral nerve involvement all show significant association, all (but the last mentioned) also previously described.^{274, 311}

Our clinical prediction model is however flawed in terms of accuracy. Despite this, it outperforms the only existing clinical prediction model for relapse developed, highlighting the need for external validation in model development.³⁰³

An obvious limitation to our prediction model is the absence of treatment data, as the choice of induction and maintenance treatment influence the rate of relapse.²⁷⁴ Another is the estimation of disease remission at six months following diagnosis. While not an uncommon definition for observational research, not all patients are in a stable remission at this time point.³¹² To avoid the inclusion of smouldering disease activity we further included only major disease activity. Regardless, it is evident from our relapse prediction model, that any attempt at accurate prediction of relapse needs a longitudinal component, or a robust biomarker, that was not available in this study. However, while imperfect, the model in this study may provide some guidance for decision making.

The second outcome of interest, serious infection, is common in AAV but like in relapse the exact rate is cohort-dependent.²⁴² In Study III we see a hospital-care requiring infection in 26 % within the first five years following diagnosis. Again, several risk factors have been identified, but no comprehensive model for prediction of risk developed. Here we identify advanced age as the variable of most importance for the prediction of serious infectious events, which is in line with the existing literature.²⁴¹ The predictive accuracy of our model is acceptable, and we estimate a net-benefit to guide a decision-making at reasonable risk-thresholds. However, the prediction model has limitations. The model is far from perfectly accurate and tends to overestimate the risk of serious infection. Like for relapse, the absence of treatment data is a considerable limitation. The increased incidence of serious infections in AAV compared to the background population is logically a consequence of the immunosuppressive treatment, and today prophylaxis using trimethoprim–sulfamethoxazole is recommended.¹⁷⁹ In our cohort, we could, due to source registry design and the design FAIRVASC ontology, not separate those who received and not received prophylaxis. However, as a sensitivity analysis we could test the model in a subset known to have received prophylaxis, retaining predictive accuracy.

Unsurprisingly, the eGFR at diagnosis is of considerable importance for the prediction of ESKD.³¹³ While the absence of histopathological data prohibits any comparison to existing state of the art models for the prediction of kidney failure, our model based solely on clinical and laboratory data predicts time to ESKD with good accuracy. We further see a net-benefit for model-use in guidance of decision-making at reasonable risk-thresholds, however for this model the decision to be made (and threshold) is ill-defined beyond general guidance. As with the other models the absence treatment data is a considerable limitation. Currently, plasma exchange may be considered in high-risk patients (i.e., with a serum creatinine >300 µmol/L), with current evidence suggesting a reduced risk of progression to ESKD following its use.¹⁷⁹ Again, we could not separate those who were treated and

not treated with plasma exchange. However, as a sensitivity analysis we could test the model in a subset known to have undergone plasma exchange, seeing acceptable performance also in this subset.

As can be expected age is a strong predictor of mortality in AAV and is the main driver of our mortality prediction model. This model shows good predictive accuracy, outperforming existing mortality-prediction models such as both the original and updated Five Factor score. However, as a model for the prediction all-cause mortality its usefulness in guiding clinical decision-making is limited, as the intervention is arbitrary. It does, however, much like the Five Factor scores provide a formalised assessment of general risk. Other factors apart from age, significantly associated with time-to-death are, the absence of ear-nose-throat symptoms, a low eGFR, a recent deterioration of kidney function, cardiovascular involvement and severe lung involvement, all previously described in the literature.^{229, 285, 287} We also see an increased hazard ratio with mucosal involvement, but the presentation is uncommon and consequently the confidence interval wide.

Study III was designed without sample size estimation and we did not penalise the complexity of any of the models. However, the sample size is a considerable strength, as is the real-world type and commonly collected data, all to some extent ensuring the generalisability of the models. While the limitations in terms of a paucity of longitudinal data and treatment information have already been described, the major limitation is the lack of external validation. For implementation in clinical practice the results should be validated in external cohort and the implications of implementation further assessed through thorough decision-analytic techniques.

Both in the separation of phenotypic expression (Study II) and the prediction of outcome (Study III) the diminished importance of the ANCA serotype is striking. The ANCA serotype is widely regarded as a major deciding factor both in subclassification of disease, and in the prognostication of outcome. While this of course may be inherent to the FAIRVASC cohort, the multi-registry design should rather increase the generalisability. However, neither studies presenting the ANCA type as a risk factor nor our studies rest on causal frameworks. Though hard to appropriately design, given our limited understanding of the underlying disease processes, causal investigations of exposures and outcome would be of considerable interest.

Herein lies the true potential of the integration of data and large sample sizes presented in this study. With the digitisation of research data, and the development of complex statistical or machine learning modelling, the potential to understand AAV has never been greater. Through the expansion of the technologies used here we may hopefully be closer to understand the *whys* of vasculitis and autoimmunity. *Why* do adverse events occur, or *why* does an individual present with a particular symptomatology, or ultimately *why* did that individual develop vasculitis? Somewhere, in the answers to these whys, we might also find the *hows* of vasculitis

and autoimmunity. *How* can we cure vasculitis, and ultimately *how* can we prevent it?

Conclusion and implications

We can here conclude that fragmented and siloed registries and cohorts in AAV can be integrated and successfully queried using a framework extendable also to other diseases suffering data fragmentation. However, we note that data quality is variable and needs to be systematically addressed to facilitate federated research. While the inherent differences of the included data somewhat hamper the interpretation and comparison of results, the successful technical integration demonstrate a possibility for a new privacy-preserving federated paradigm of rare disease research.

Still, there are currently technical and statistical limitations to data federation, necessitating traditional centralised data pooling. Exploring and stratifying the symptomatology of thousands of patients with AAV at the time of diagnosis, using unsupervised machine learning over such a data pool, we can further reinforce that AAV is beyond a binary construct. Instead, we suggest a data-driven subclassification based largely on the presence and severity of kidney involvement, and the extent of extra-renal manifestations to stratify patients into five subgroups, displaying distinct phenotypic expression and disease outcome. We further conclude that accurate prediction of key adverse outcome is possible in AAV, using data that is commonly collected at the time of diagnosis. However, the benefit of implementation for the guidance of clinical decision-making needs further evaluation.

While the true implications of these results are yet to be seen, the studies presented in this thesis give an indication of the new era in rare disease research in general, and AAV in particular. An era of interconnectivity. Here, the first wobbling steps of interconnected data are presented, but in the future access to large sample sizes may provide possibilities for the development of diagnostic and prognostic biomarkers, accelerate the development of new therapies, and improve health equity through benchmarking of patient care.

Acknowledgements

Behind all the numbers and models presented in this thesis are real people with ANCA-associated vasculitis. First and foremost, I would like to thank them. To describe a life as a date of birth, a date of diagnosis, and a date of death is of course folly. Between these dates a life happens, good and bad. But perhaps this thesis, somewhere in the long run, may help someone to have a few more of the good days.

In addition, there are many people without whom this work would never have been possible.

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Och alla mina vänner. Jag lovade att jag skulle få med det någonstans, så här kommer det: Låt inte det bästa vara det möjligas fiende.

Och mamma, pappa, Sofia och Emily och alla som inte längre är med oss. Tack! Det är 215 år sedan en Gisslander senast försvarade en avhandling vid Lunds universitet. Jag hoppas på ett bättre öde än stackars Alexander.

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Och slutligen Linn. Min stora kärlek. Utan dig hade det här aldrig gått.

Populärvetenskaplig sammanfattning

Vaskuliter är sjukdomar som kännetecknas av inflammation i något eller några av kroppens blodkärl. Då det i kroppen finns både stora och små blodkärl delas vaskuliterna ofta upp beroende på de huvudsakligen drabbade kärlen, i stor- och småkärlsvaskuliter. Hos patienter med småkärlsvaskulit, uppvisar vissa en speciell sorts antikropp vid blodprovstagning. Den speciella typen av antikropp kallas för ANCA (en förkortning för anti-neutrofil cytoplasma-antikropp). Antikroppar är en del av kroppens immunförsvar och bekämpar i vanliga fall främmande ämnen. Just ANCA däremot, riktar sig mot strukturer på och i kroppens egna vita blodkroppar.

Eftersom det finns små blodkärl i alla delar av kroppen kan en såkallad ANCA-associerad vaskulit (AAV) yttra sig på många olika sätt. Inflammationen i blodkärlen gör att det finns en stor risk att det organ som drabbats skadas allvarligt och permanent, men även att den som drabbats av AAV dör. Därför måste AAV behandlas. Detta görs genom att dämpa inflammationen med en rad läkemedel såsom kortison, immunhämmande läkemedel, och låga doser cellgifter. Med modern behandling har prognosen förbättrats avsevärt men sjukdomen kan inte botas och behandlingen är ofta livslång. Det finns en betydande risk för att drabbas av återkommande sjukdomsskov och de immunhämmande läkemedlen ökar risken för allvarliga infektioner.

Som tur är, är AAV en sällsynt sjukdom. I Sverige idag drabbas cirka 30 personer per miljon vuxna och år. Att sjukdomen är sällsynt innebär dock att den är svår att studera. För att kunna dra säkra slutsatser krävs ofta stora patientunderlag, något som kan vara svårt att uppnå i ett enskilt land. I den här avhandlingen beskriver jag därför ett forskningsprojekt där patientdata från flera europeiska länder har sammanlänkats för att kunna studeras som grupp. Med hjälp av denna stora mängd data har jag sedan djupdykt i hur sjukdomen yttrar sig när den diagnosticeras, men även försökt bygga modeller som kan hjälpa sjukvården att utvärdera risken för att en patient ska drabbas allvarliga komplikationer av sin vaskulit.

Studie 1 i den här avhandlingen beskriver hur vi sammanfört patientdata från sex europeiska länder. Jag beskriver även hur kvaliteten på data är, genom att undersöka att det inte finns dubletter, att data är i korrekt format, att det inte saknas relevant data och att uppgiven data överensstämmer med journaluppgifter. Jag granskar sedan med vilka typer av läkemedel patienter med AAV behandlas runtom i Europa,

samt hur många som drabbas av permanent och dialyskrävande njurskada och hur många som dör.

Att sammanlänka data från olika databaser är inte helt lätt, då variabler och definitioner kan variera kraftigt. För att säkerställa att de olika databaserna pratar om samma sak har det krävts ett extensivt harmoniseringsarbete. Den här studien beskriver ett arbetssätt för denna harmonisering som är överförbart till andra sjukdomstillstånd i behov sammanlänkning. Slutprodukten är en hemsida, från vilken en forskare kan ställa enkla och säkra frågeställningar utan att data lämnar den databas i vilken den befinner sig.

Jag noterade dock i studien vissa brister i datakvaliteten, speciellt att data i vissa fall inte överensstämde med uppgifter i patientens medicinska journal. Jag diskuterar sedan vissa metoder för att säkerställa högre datakvalitet. Totalt sammanlänkade jag i denna studie över 5000 patienter med AAV över sex patientregister. I studien noterade jag att det var stora skillnader gällande ålder vid insjuknande, symptombild, behandling och risk för njursvikt och död mellan de olika databaserna. Detta synliggör att behovet är stort av sammanlänkning för att fånga hela det sjukdomsspektrum som finns vid AAV, och att studier gjorda med data från endast en databas bör tolkas med försiktighet.

Eftersom det finns blodkärl överallt i kroppen varierar symptomen av AAV kraftigt från person till person. Vi vet idag inte varför någon drabbas av AAV, men inte heller varför den som drabbas, drabbas i just de blodkärlen och får den symptombilden som de får. Traditionellt klassificeras patienter med AAV i två subgrupper granulomatos med polyangiit (GPA) och mikroskopisk polyangiit (MPA), med olika symptombild. Denna uppdelning är av vikt då den används vid jämförelser i olika medicinska studier, men även då prognosen verkar skilja sig mellan grupperna. Vidare har patienter med AAV olika typer av ANCA, som riktar sig mot olika enzymer i de vita blodkropparna. Beroende på om en patient har antikroppar mot enzymet proteinas-3 (PR3-ANCA) eller myeloperoxidas (MPO-ANCA) verkar också symptom och prognos skilja sig.

I studie 2 använde jag mig av vårt stora patientunderlag för att undersöka hur AAV yttrar sig och med hjälp av maskininlärning gruppera symptombilder som ofta sammanfaller. Jag fann fem typer av patienter, som skiljde sig gällande symtom, blodprover och prognos. Dessa patientgrupper skiljer sig huvudsakligen baserad på graden av inflammation, antalet drabbade organ och påverkan på njurfunktionen.

Förhoppningen är att vi vidare ska kunna undersöka om dessa grupper skiljer sig gällande svar på behandling, sjukdomsmekanismer och genetik. Detta kräver dock tillgång till ytterligare data.

Prognosen för patienter med AAV skiljer sig kraftigt, men att förutsäga vem som kommer att drabbas av olika sjukdomsutfall är svårt. I studie 3 bygger vi modeller för att försöka förutsäga risken för att en patient med AAV ska drabbas av en

allvarlig infektion, ett allvarligt återfall i sin sjukdom, dialyskrävande kronisk njursvikt eller död inom fem år efter sin sjukdomsdebut. I studien drabbades totalt 26% av en allvarlig infektion, 24% av ett allvarligt sjukdomsåterfall, 12% av dialyskrävande kronisk njursvikt och 12% dog.

Jag noterade ett antal faktorer vid tiden för diagnos som var till hjälp för att förutsäga om en patient skulle drabbas av någon av de ovan nämnda utfallen och sammanställde dessa till sannolikhetsmodeller. Vi undersökte sedan statistiskt om modellerna skulle kunna vara till hjälp för exempelvis en läkare vid beslut kring uppföljning och behandling, och såg att så var fallet. Resultaten behöver dock säkerställas ytterligare innan användning i praktiken rekommenderas.

För att sammanfatta beskriver den här avhandlingen hur vi sammanlänkar olika europeiska databaser med uppgifter om patienter med den allvarliga sjukdomen AAV. Detta är av stor vikt då sjukdomen är sällsynt och antalet patientfall få i varje enskilt land. Stora patientunderlag kan ge oss nya insikter om hur AAV uppstår, yttrar sig och hur prognosen ser ut. Med hjälp av sammanlänkade data fokuserar den här avhandlingen sedan på hur symptombilden ser ut och kan grupperas. Vidare bygger jag modeller för att förutsäga risken för att drabbas av allvarliga komplikationer till följd av sjukdomen. Förhoppningen är att dessa studier ska verka som underlag för vår vidare förståelse av AAV, men också vara ett steg mot en mer individanpassad behandling.

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