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REVIEW

# How to assess prognosis after cardiac arrest and therapeutic hypothermia

Fabio Silvio Taccone<sup>1\*</sup>, Tobias Cronberg<sup>2</sup>, Hans Friberg<sup>3</sup>, David Greer<sup>4</sup>, Janneke Horn<sup>5</sup>, Mauro Oddo<sup>6</sup>, Sabino Scolletta<sup>7</sup> and Jean-Louis Vincent<sup>1</sup>

## Abstract

The prognosis of patients who are admitted in a comatose state following successful resuscitation after cardiac arrest remains uncertain. Although the introduction of therapeutic hypothermia (TH) and improvements in post-resuscitation care have significantly increased the number of patients who are discharged home with minimal brain damage, short-term assessment of neurological outcome remains a challenge. The need for early and accurate prognostic predictors is crucial, especially since sedation and TH may alter the neurological examination and delay the recovery of motor response for several days. The development of additional tools, including electrophysiological examinations (electroencephalography and somatosensory evoked potentials), neuroimaging and chemical biomarkers, may help to evaluate the extent of brain injury in these patients. Given the extensive literature existing on this topic and the confounding effects of TH on the strength of these tools in outcome prognostication after cardiac arrest, the aim of this narrative review is to provide a practical approach to post-anoxic brain injury when TH is used. We also discuss when and how these tools could be combined with the neurological examination in a multimodal approach to improve outcome prediction in this population.

## Review

### Clinical scenario

A 52-year old woman collapsed on the street and underwent bystander cardiopulmonary resuscitation. Emergency medical personnel arrived 8 minutes later and found the patient in asystole; cardiopulmonary resuscitation was continued and return of spontaneous circulation was finally obtained 23 minutes after arrest, after a total of 4 mg intravenous epinephrine. The initial electrocardiogram suggested an inferior ST-elevation myocardial infarction. The patient was transferred to the emergency department and underwent emergency coronary angiography, which revealed a proximal right coronary artery occlusion, eventually treated by percutaneous stenting. At 90 minutes post arrest, the patient arrived in the ICU, hemodynamically stable but comatose, with bilateral flexor responses to painful stimuli and absent pupillary reflexes; she was not receiving sedative agents. Therapeutic hypothermia (TH) was immediately initiated. When the patient's relatives arrived, they immediately asked about the extent of

brain damage and chances for survival and neurological recovery.

### Hypoxic–ischemic encephalopathy: the problem

Cardiac arrest (CA) is a devastating event associated with mortality rates in excess of 90% [1]. Among patients who achieve return of spontaneous circulation, more than 40% survive to ICU admission and nearly 30% are discharged alive from the hospital. The most important reason for poor outcome remains the post-cardiac arrest syndrome, a pathophysiological process characterized by three main components: brain injury, myocardial dysfunction, and systemic ischemia/reperfusion response [2]. The severity of these disorders is not uniform and may depend on the cause, the duration of the CA and the extension of the ischemic injury; however, brain injury remains the most common cause of death in these patients [3,4].

### How to predict neurological outcomes in hypoxic–ischemic encephalopathy

Prognostication in hypoxic–ischemic encephalopathy (HIE) is a particular challenge because decisions to withdraw

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life-sustaining therapies largely depend on predicted prognosis [4]. A systematic review highlighted how the neurological examination (absent pupillary light response or corneal reflexes, absent or posturing motor responses to pain, myoclonus status epilepticus), high serum neuron-specific enolase (NSE) and bilateral absence of cortical somatosensory evoked potentials (SSEPs) could reliably predict poor outcome in comatose survivors after CA [5]. Nevertheless, such an approach has been questioned recently; specifically, introduction of TH as a neuroprotective intervention after return of spontaneous circulation [6] may significantly influence the neurological examination and also potentially modify the prognostic value of circulating biomarkers of brain damage or the accuracy of SSEPs [7-9].

The aim of this review is to provide a practical approach to the comatose patient surviving after anoxic injury and treated with TH, and to outline when and how the available tools should be combined with the neurological examination in a multimodal approach to improve the quality of prognostic assessment (Figure 1).

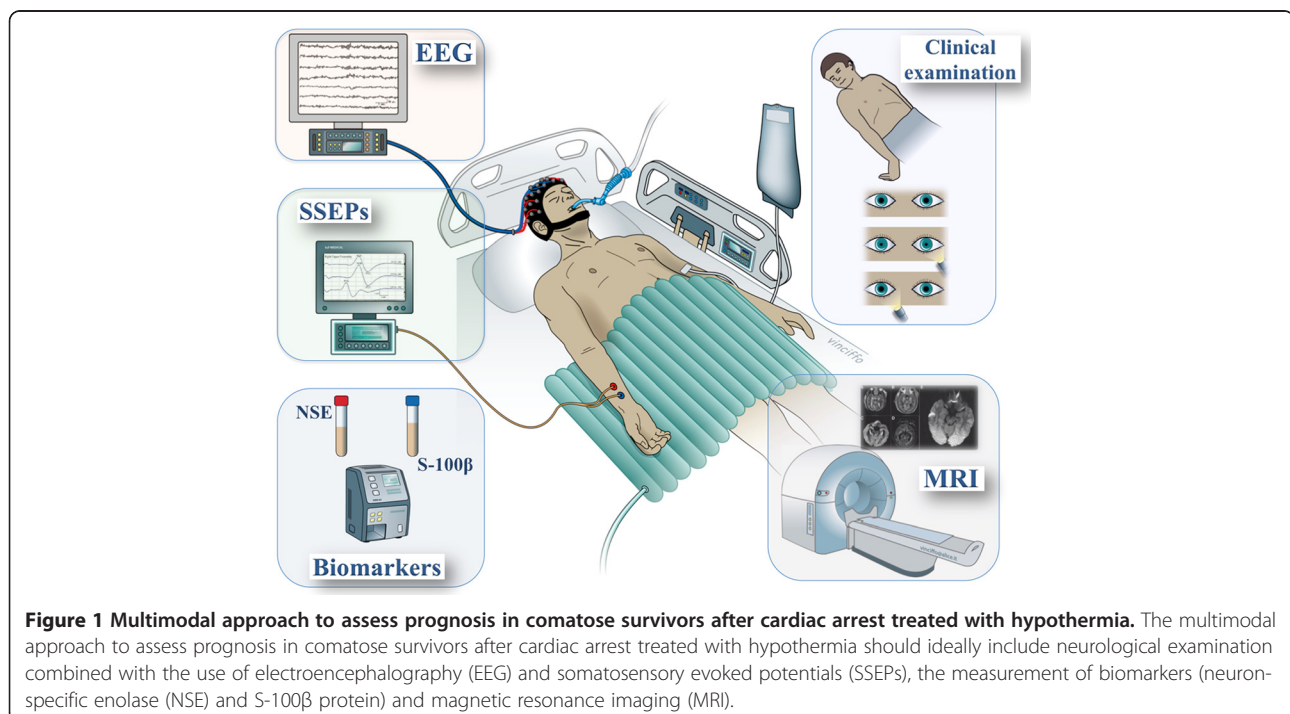
#### Clinical examination

Clinical manifestations after CA depend on the susceptibility of different brain regions to anoxia (for example, the cerebral cortex, thalamus and cerebellum are more susceptible than subcortical areas and the brainstem). After reperfusion, brainstem functions, including spontaneous breathing and reflexes, return more rapidly than

those related to deep brain structures (for example, response to pain).

In patients not treated with TH, a lack of motor response or extensor response to pain (Glasgow Coma Score – Motor Response (GCS-M)  $\leq 2$ ), bilateral lack of pupillary reflexes and bilateral lack of corneal reflexes at 72 hours after CA have all been regarded as reliable signs of a poor prognosis [10]. Today, however, the clinical course of neurological recovery is obscured by sedation and muscle paralysis associated with TH during the first 24 to 48 hours post arrest, a period during which most survivors without significant brain injury might recover responsiveness. In addition, hypothermia may prolong the metabolism of sedative agents and induce drug accumulation, with significant impact on the timing of neurological recovery [11]. As such, early assessment of a poor prognosis based only on the clinical examination may contribute to withdrawal of life-sustaining therapy in nearly 20% of patients who may otherwise have shown complete neurological recovery [12]. Use of sedatives could negatively influence the predictive value of clinical examination within the first 72 hours after TH initiation [13,14]. The amount of sedation used probably varies considerably between centers, and this may explain why the clinical examination has been reported as reliable in some studies and less reliable in others.

During the initial period after CA, when cooling and concomitant sedation is used, the only reliable prognostic information is limited to a minority of patients who



develop dilated, unreactive pupils and loss of all brainstem functions as signs of total brain infarction and/or herniation [4]. The detection of asymmetrical motor response or stretch reflexes should indicate the need for a neuro-radiological investigation to detect focal brain injury; however, use of neuromuscular blockers with TH may limit these clinical signs to being present only on admission. Great care should be taken to avoid a premature diagnosis of brain death because recovery of brainstem function may occur even in more severe cases [15]. In clinical practice, additional tools – including electroencephalography (EEG) and neuroimaging – should be used to confirm extensive brain injury and swelling.

When sedation is weaned during rewarming, recovery of at least a localizing motor response to pain (GCS-M  $\geq 5$ ) is a sign of a favorable prognosis [16] and no further diagnostic tests are necessary if the patient continues to improve over subsequent days. On the other hand, if the patient remains unresponsive to pain or with only a stereotypic motor response (GCS-M  $< 4$ ), the prognosis worsens each day without sedation and complementary prognostic tests have to be considered. Indeed, GCS-M  $\leq 2$  alone on day 3 was associated with a false positive rate (FPR; that is, the proportion of patients misclassified when this variable is used) for poor outcome of 12 to 24% [17,18], whereas the combination of such abnormalities with myoclonus or absent brainstem reflexes (that is, pupillary and corneal reflexes) reduced the FPR to  $< 4\%$  [17]. Pupillary and corneal reflexes should be tested daily in all patients, because their absence has been associated with poor prognosis in several studies [18–20]. However, few data are available about changes in these reflexes over time and the reactivity of constricted pupils or reaction to corneal stimulation may be difficult to assess reliably and can also be altered by deep sedation. Finally, the optimal timing to define prognosis using the neurological examination remains unknown. One could propose the need to delay prognosis assessment until 72 hours after normothermia has been achieved (that is, 4 to 5 days after CA), but no reliable data are available on the reliability and predictive value of clinical tests at this timepoint [14].

Clinical seizures are reported in about one-quarter of CA victims [21,22], but various forms of motor manifestations are often misinterpreted as seizures [23]. Myoclonus is a common event following CA that may or may not be an epileptic manifestation [24]. Occasional myoclonic jerks have little prognostic value in patients suffering from HIE. In a recent study, post-hypoxic myoclonus was reported in 20% of patients, 9% of whom had a good outcome [24]. Generalized (including face and limbs) myoclonus is often associated with burst suppression on EEG and is an ominous sign, especially if it occurs within the first 24 hours and persists for more

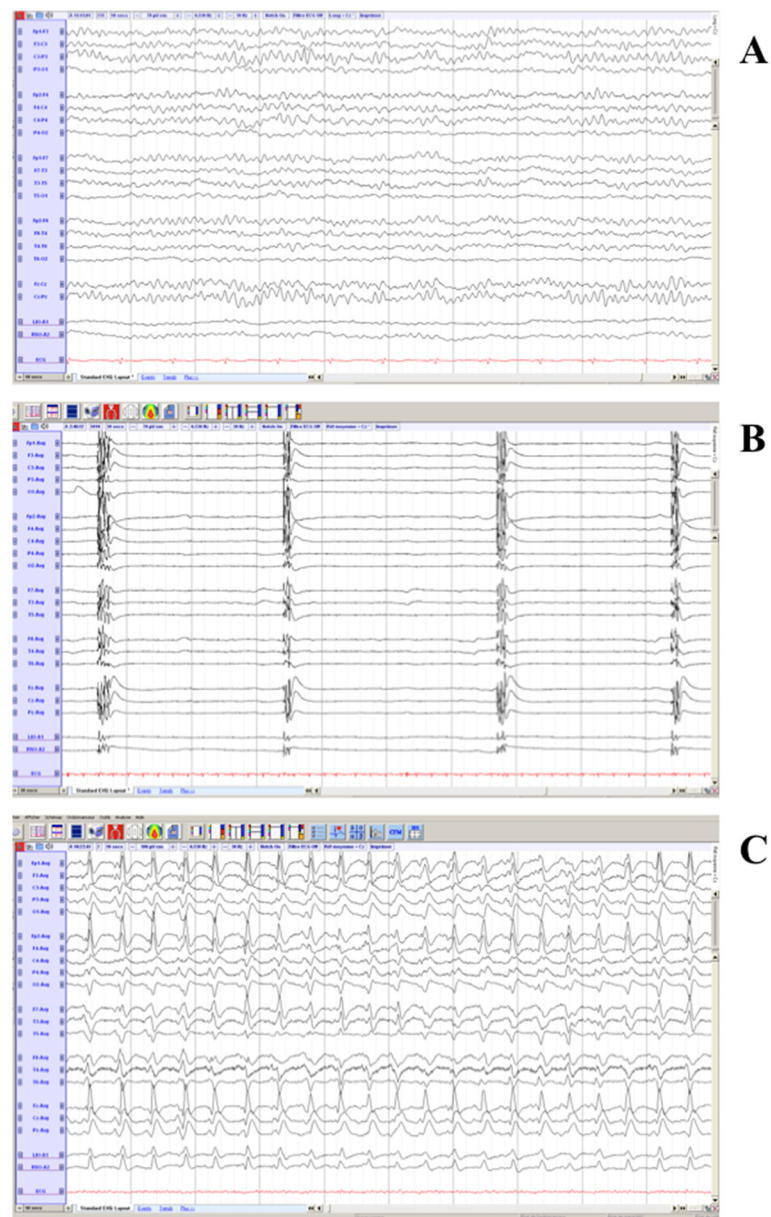
than 30 minutes (that is, status myoclonus) [25]. Before the introduction of TH, status myoclonus was considered a reliable sign of poor prognosis if it occurred following a primary CA [5], whereas good outcome was occasionally reported if the arrest was of hypoxic origin [26]. Since myoclonus usually occurs early and is usually suppressed by sedatives, status myoclonus is less frequently observed in hypothermia-treated CA survivors and cannot constitute by itself a sign of poor outcome [27].

### **Electroencephalography**

The use of EEG is advocated to detect seizures and postanoxic status epilepticus, which occur in 10 to 40% of patients and are associated with a poorer outcome [19,21,22], particularly when detected early during TH [28]. Nevertheless, good neurological outcome has been reported following aggressive anti-epileptic therapy for seizures occurring in the rewarming phase [29], especially in selected patients (that is, those with preserved brainstem reflexes, present cortical response on SSEPs and a reactive EEG).

In addition to seizure detection, EEG has been used to identify specific patterns associated with outcome during HIE (Figure 2). A dichotomized definition of EEG patterns, such as malignant or benign, has been developed. EEGs are considered to have a malignant pattern if postanoxic status epilepticus, alpha coma or burst suppression or generalized suppression is present. Other EEG patterns, including a generalized slowing activity, generalized alpha–theta frequencies or the presence of epileptiform discharges, are considered benign or of unclear significance. In CA patients not treated with TH, 84% of survivors had benign patterns whereas 88% of nonsurvivors had malignant patterns [30]. In a recent study, 12% of survivors and 86% of nonsurvivors after CA had a malignant EEG pattern within the first 3 days [19]; interestingly, a malignant EEG pattern was associated with a 0% FPR to discriminate patients with good and poor outcome in those treated with TH in this study. However, benign EEG patterns could not distinguish patients with neurological recovery in another study [31]. These data suggest that a malignant pattern could be used to predict extensive brain injury after CA, regardless of the use of TH; however, other benign findings or findings of unclear significance are not predictive of neurological recovery.

Dynamic changes in the EEG (that is, cerebral reactivity) are dependent on the integrity of the ascending reticular activating system and are strongly associated with arousal [32]. A nonreactive EEG, defined as the absence of any reproducible change in amplitude or frequency upon patient stimulation, was significantly associated with in-hospital mortality after CA [17,19]. EEG



**Figure 2** Electroencephalogram findings from resuscitated patients after cardiac arrest. **(A)** Electroencephalogram (EEG) recorded during therapeutic hypothermia, showing an example of continuous EEG: the patient had complete recovery of consciousness. **(B)** Burst-suppression findings during normothermia; the patient had concomitant myoclonus and bilateral absent N20 cortical responses to somatosensory evoked potentials, and eventually died. **(C)** Generalized periodic epileptiform discharges at 36 hours after hospital admission; we decided to withdraw care on day 5 because of persistent coma with posturing and absent pupillary reflexes.

reactivity also had a better predictive value for neurological outcome than malignant/benign EEG patterns and predicted awakening in patients with an EEG pattern of alpha-coma after CA [33,34]. Nevertheless, standard EEG in these studies was performed only at the end of treatment, under normothermic conditions. In patients treated with TH monitored with continuous EEG, a nonreactive EEG was strongly associated with a poor outcome [17,19], with an even better predictive

value than SSEPs [28]. On the other hand, a reactive EEG is often associated with a good recovery [28]. Moreover, the presence of a continuous EEG pattern (as opposed to an EEG showing flat periods and/or spontaneous burst-suppression patterns) early during TH was associated with a high probability of recovery [35,36]. Thus, when an EEG is performed in comatose survivors after CA, both the EEG pattern and reactivity should be recorded to assess the extent of brain injury.

Importantly, EEGs have several limitations in this setting. First, lack of a universally accepted classification for different EEG patterns can lead to differences in definitions. As such, post-anoxic paroxysms – classified as periodic electrographic discharges by some neurologists – could be considered as post-anoxic status epilepticus by others. Recently, a simplified classification with four major EEG patterns after CA was proposed: flat pattern, continuous pattern, suppression-burst pattern, and electrographic status epilepticus [35,37]. This approach provided valuable prognostic information, could be easily interpreted even by non-neurologists and may facilitate data comparison among studies; however, these findings need to be validated in a larger patient cohort.

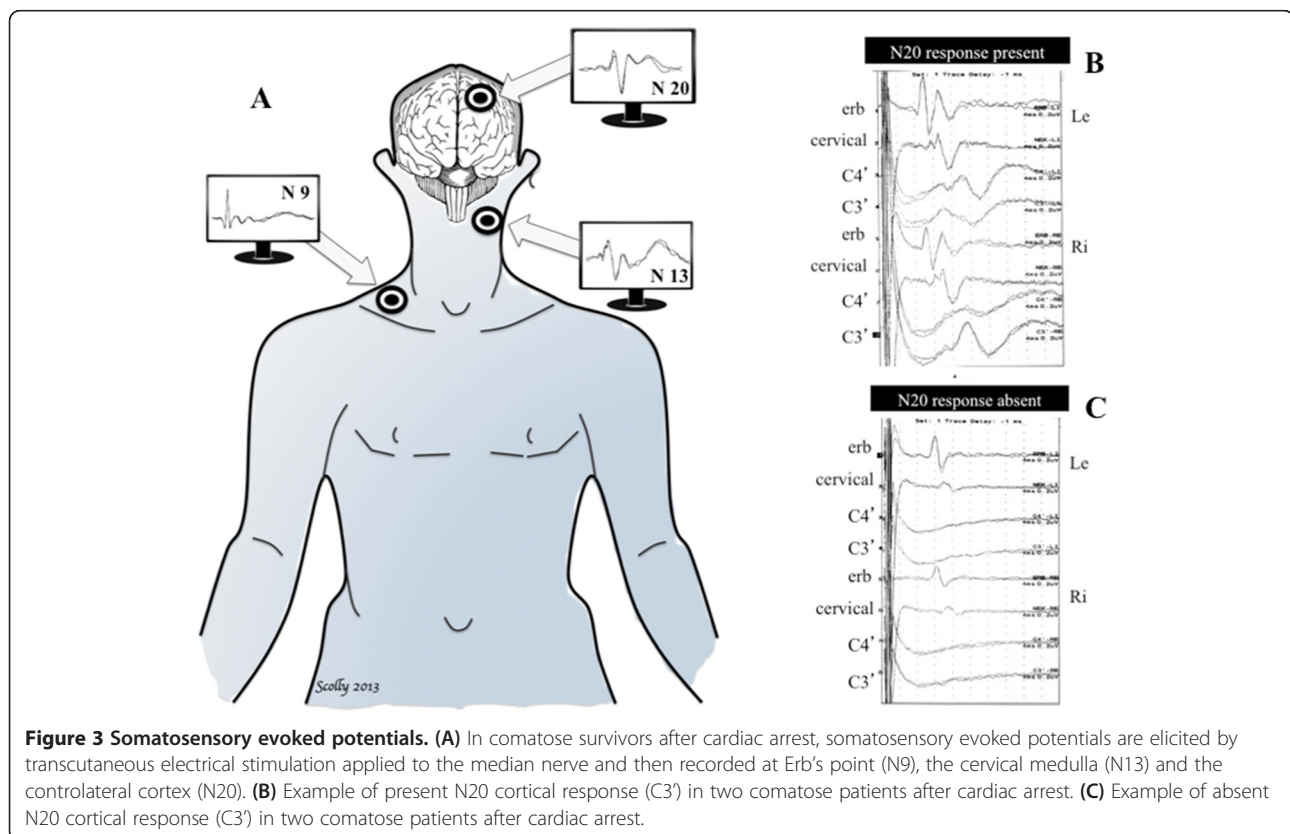
Second, the diagnosis of absent/present EEG reactivity relies on the experience and expertise of the neurologist who interprets the EEG. The exact stimulus needed to reproduce EEG changes and reactivity has not been clearly standardized. Moreover, muscle activity after weaning from sedation may produce artifacts and necessitate muscle relaxation. Reactivity can thus be easily tested only during TH, but few data are available on the time course of EEG reactivity after CA.

Finally, continuous EEG may enable a better evaluation of brain function over time; however, a recent statement recommended continuous EEG over intermittent EEG only for seizure detection in patients with

refractory status epilepticus, whereas both techniques are effective at improving prognostication in post-anoxic coma [38].

### Somatosensory evoked potentials

The SSEP is a small (<10 to 50  $\mu$ V) electrical signal that can be recorded non-invasively from the skull after administering a set of electrical stimuli to one of the peripheral nerves. In CA patients, the median nerve is most commonly stimulated bilaterally at the wrist. Electrodes are then placed at the elbow, Erb's point, the cervical medulla (peripheral) and on the parietal and frontal cortex (cortical); specific responses are commonly identified as N9 for Erb's point, N14 for the cervical medulla and N20 for cortex [39]. The cortical responses can only be reliably interpreted when the peripheral and spinal responses are also present (Figure 3). If peripheral responses are not present, this may be due to peripheral nerve damage. For prognosis of a poor outcome after CA, only the short cortical latencies (N20, expected to appear 20 milliseconds after median nerve stimulation) are used. In order to have absent SSEPs, predictive of a poor outcome, cortical responses have to be absent bilaterally in a technically well-performed test [40]. In patients who remain comatose after CA, SSEPs have been shown to reliably predict poor outcome [5,14].



**Figure 3 Somatosensory evoked potentials. (A)** In comatose survivors after cardiac arrest, somatosensory evoked potentials are elicited by transcutaneous electrical stimulation applied to the median nerve and then recorded at Erb's point (N9), the cervical medulla (N13) and the contralateral cortex (N20). **(B)** Example of present N20 cortical response (C3') in two comatose patients after cardiac arrest. **(C)** Example of absent N20 cortical response (C3') in two comatose patients after cardiac arrest.

Cortical N20 responses are not influenced by moderate sedation or metabolic disturbances and remain present even at a sedation level that is sufficient to induce an isoelectric EEG [39]. Propofol produces minimal to <10% suppression of the SSEP amplitude [41]. Midazolam and opioids also have only a moderate effect on SSEP amplitude and latency. Remifentanyl can suppress the cortical SSEP components by 20 to 80% when given at high doses (0.8 µg/kg/minute), as used during neuromonitoring in the operating room [42].

A systematic review of studies on CA patients before TH implementation showed that bilateral absence of N20 on day 1 or day 3 after CA could certainly predict poor neurological outcome. Some false positives were identified when SSEPs were performed too early (within 1 day) after the anoxic injury; thus, this test should not be performed earlier than 24 hours after arrest [43]. In two large prospective studies performed in patients treated with TH, the bilateral absence of N20 at rewarming (that is, on day 2 or day 3) was a reliable tool to predict poor outcome, with a FPR of 0% [17,18], and a pooled analysis of recent studies [14,17,18,44,45] still gave a very low FPR of <0.5%. Unfortunately, only a small proportion of patients with a poor outcome after resuscitation have absent SSEPs, resulting in a low sensitivity [46]. Moreover, preservation of the N20 response does not imply a favorable outcome; indeed, almost one-half of the patients with a present N20 will have a poor outcome.

A limitation of SSEP is that it has only moderate interpretation reproducibility. In one study, SSEP recordings from 56 patients with HIE were interpreted independently by five experienced clinical neurophysiologists. The inter-observer agreement was moderate (kappa 0.52, 95% confidence interval = 0.20 to 0.65) with the main source of disagreement related to noise levels [47], which should therefore be reduced as much as possible – for example, by giving muscle relaxants to patients with too much muscular activity. Electrical ICU equipment that can interfere with readings should also be turned off whenever possible. Providing more stimuli (up to 1,000 or more) and increasing the stimulus intensity can also improve the signal-to-noise ratio [47].

### **Biomarkers**

Biomarkers are quantifiable biological substances, usually peptides, which can be easily measured in peripheral blood. Biomarkers of brain injury in comatose survivors from CA include NSE and S-100β [48,49].

Before widespread use of TH, serum NSE levels >33 µg/l at 72 hours after CA were strongly associated with poor prognosis [40,50]; the reported FPR varied from 0 to 3% [5]. However, Tiainen and colleagues showed that hypothermia may significantly reduce

serum NSE levels, probably by selective attenuation of neuronal injury [8]. The cutoff values for NSE predictive of a poor outcome were also significantly higher in patients treated with TH than in those without cooling [51]. Finally, a single high NSE measurement on day 3 after CA and TH remained associated with a poor outcome but with a FPR ranging from 7 to 29% [18,19], and much higher NSE cutoff values (>50 to 80 µg/l) would be necessary to predict poor outcome without false positives [52,53].

High concentrations of S-100β have also been found in patients remaining comatose after CA; however, different cutoff levels, ranging from 0.2 to 1.5 mg/l, have been proposed to predict poor neurological outcome in this setting [54,55]. Before widespread use of TH, S-100β levels within the first 2 days after hospital admission could predict outcome in comatose patients with HIE with a FPR of 2 to 5% [5]. More recent studies have compared the predictive values of NSE and S-100β after TH, yielding conflicting results [8,40]. Although S-100β has a very short half-life and could potentially be more sensitive for detecting extensive brain damage within the first 24 hours of hypoxic injury, most of the existing data relate to NSE, which remains the most commonly used biomarker in this setting. Furthermore, NSE levels have been shown to have a strong correlation to several other prognostic indicators after CA, including EEG changes [56,57].

Importantly, both biomarkers have important pitfalls; because NSE is found in red blood cells and platelets levels may increase in cases of hemolysis or NSE-producing masses, whereas S-100β can be released from adipocytes and chondrocytes, and levels may thus increase as a result of chest compressions [58]. Variability in handling of blood samples, including storage, centrifugation and freezing procedures, are other potential causes of error [59]. Disadvantages also include the lack of standardized assays, which may explain the differences in reported cutoff levels reported in the literature [60]. Finally, if CA occurs concomitantly with other brain diseases, such as stroke or traumatic brain injury, the contribution of HIE to elevated biomarker levels may be difficult to distinguish.

### **Imaging**

Current guidelines state that available data are insufficient to support the use of computed tomography (CT) imaging in neuro-prognostication of comatose CA survivors [61]. Nevertheless, early CT could be helpful to rule out a cerebral cause of coma and/or CA, especially in cases with preceding neurological symptoms, in cases with nonshockable rhythms or in young patients without cardiovascular risk factors [62,63]. Moreover, as brain death may occur in up to 10% of patients in the days

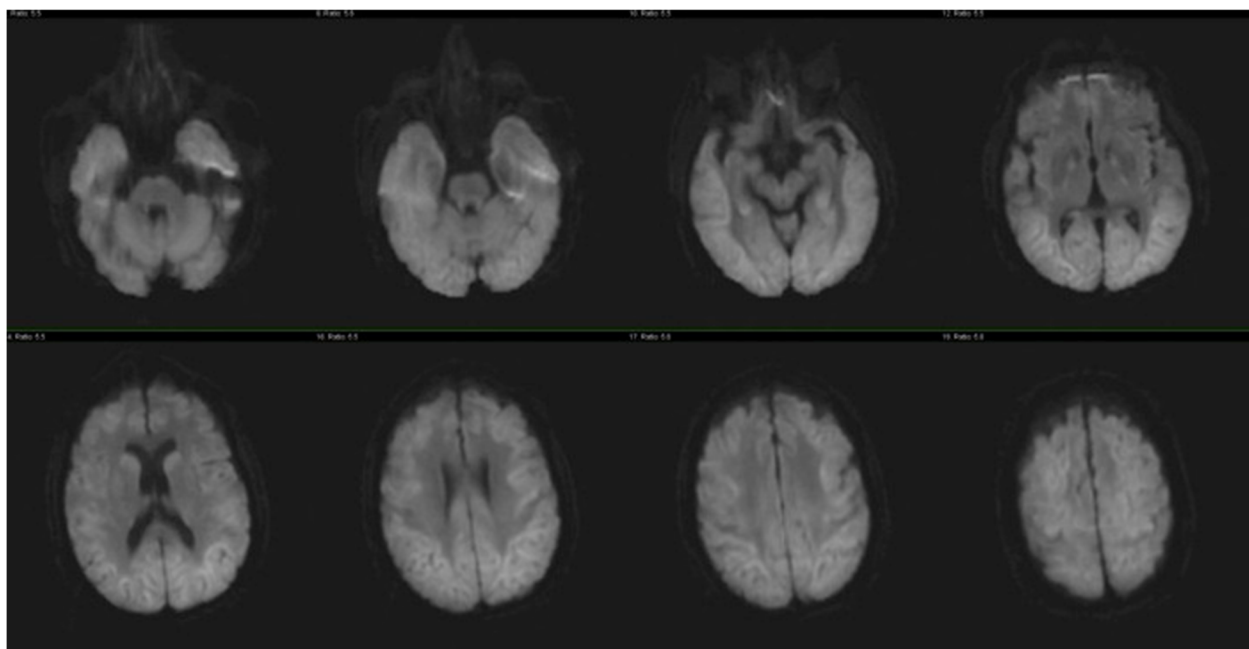
following CA, CT can provide evidence of an irreversible neurological catastrophe in patients being considered for brain death determination.

Some studies have evaluated the predictive value of CT for predicting neurological outcome after CA. A loss of distinction between gray and white matter, indicating cerebral edema, has been associated with a lower likelihood of good outcome [64-66]. Other studies have suggested that a ratio of Hounsfield units in the gray matter versus the white matter  $<1.18$  can accurately predict poor outcome [67,68]. However, these studies are limited by the small numbers of patients. The largest study to date, including 151 patients, illustrated the utility of CT imaging by incorporating whole brain Hounsfield units; a decrease in this value, especially in combination with traditional clinical features, was highly specific for predicting poor outcome while maintaining acceptable sensitivity [69].

Magnetic resonance imaging (MRI) provides a more sensitive indication of brain injury after CA compared with CT, and the use of apparent diffusion coefficient values has recently helped to quantify the degree of injury (Figure 4) [70-72]. Apparent diffusion coefficient maps are used to create diffusion-weighted images (DWI), a technique that relies on the concept of restricted diffusivity of water across dysfunctional cell membranes, such as those of ischemic neurons. Cytotoxic edema occurs secondary to failure of ion pumps, leading to increased intracellular water content as ions

are no longer adequately pumped out of ischemic neurons. Fluid-attenuated inversion recovery (FLAIR) sequences are T2-weighted, but with suppression of the cerebrospinal fluid signal, allowing for high sensitivity but relatively weak specificity for lesions. FLAIR is particularly sensitive to vasogenic edema.

Regional (that is, the occipital and temporal lobes), spatial (that is, widespread and diffuse lesions) or temporal (that is, absence of resolution in FLAIR abnormalities) MRI findings may provide better insight into the degree and mechanisms of hypoxic-ischemic brain injury, and hence better predict outcome in comatose survivors, including those treated with TH [73-75]. Bilateral hippocampal hyperintense signals on DWI and FLAIR also appear to be specific imaging indicators of poor prognosis in patients who suffer global hypoxic-ischemic injury [76]. The ideal timing to perform MRI would be 2 to 5 days after CA (or after TH, if performed); during this time, DWI abnormalities in combination with the neurological examination on day 3 improved the sensitivity for predicting poor outcome by 38%, while maintaining 100% specificity [70]. However, one should emphasize that most studies of neuroimaging for CA patients are limited by their retrospective nature, heterogeneous populations (for example, not all patients were comatose), and the self-fulfilling prophecy of early withdrawal of life-sustaining therapy (that is, the falsely pessimistic interpretation of prognostic variables concurrently used to forgo life support) [77]. Additionally,



**Figure 4 Diffusion-weighted magnetic resonance imaging.** Diffusion-weighted magnetic resonance imaging scan of a 68-year-old man who suffered a ventricular fibrillation cardiac arrest with prolonged resuscitation. Diffuse cortical hyperintensities are observed, consistent with severe global anoxic injury.



the prediction of good outcome remains even more uncertain than that of poor outcome, and both CT and MRI need to be further evaluated in well-conducted prospective studies in the CA population.

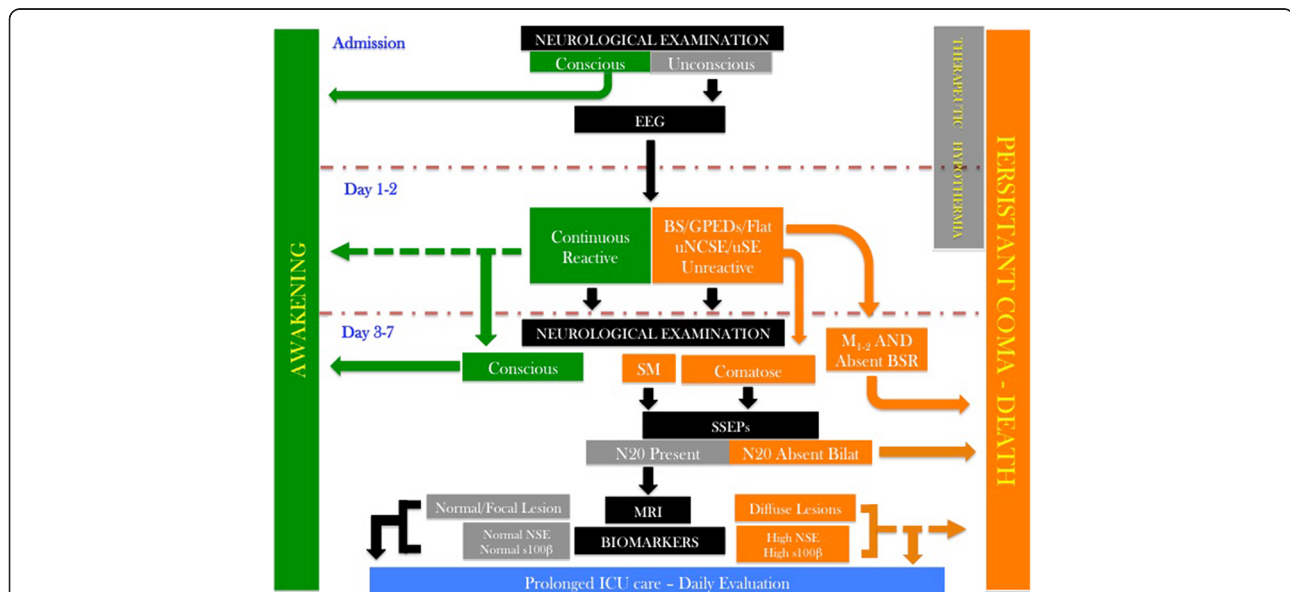
### Multimodal prognostic algorithm

Previous studies have suggested some benefits of a multimodal approach. Before the implementation of TH, the addition of NSE measurements to the Glasgow Coma Scale and SSEP increased the predictability of poor neurological outcome from 64% to 76% [78]. Combination of the neurological examination, EEG findings, SSEPs and two serum biomarkers improved prognostic value with no false positives for death [79]. In patients treated with TH, the use of SSEPs, NSE and EEG increased the number of patients identified as having a poor outcome from 75% to 88% [52]. Also, the combination of arrest duration, Glasgow Coma Score and non-contrast brain CT findings on admission was a strong predictor of mortality with severe neurological disability, showing a 100% specificity and positive predictive value [67]; however, the quite small cohort population and the absence of clear recommendation for withdrawal of care are major confounders for this study.

Importantly, the precise multimodal approach will vary among centers depending on the availability of electrophysiological monitoring, technical expertise and laboratory

facilities, and the use of several prognostic tools does increase costs. Also, self-fulfilling prophecy should be avoided and considerable efforts have to be addressed to combine the different variables to accurately predict neurological outcome. Finally, decisions to withdraw life support should also require good education and training, should require good communication between the ICU team, and should be based on the ethical principles of autonomy, beneficence, nonmaleficence and justice.

Taking into account all of the previous referenced studies, we thus propose a combination of several prognostic tools to improve the accuracy of predicting good and poor outcomes after HIE and TH (Figure 5). After initial neurological examination (at hospital admission and before sedation and muscular paralysis to induce TH, whenever possible), continuous or repeated standard EEG monitoring should be started already during TH to identify the presence of early seizures, of malignant EEG patterns and of EEG reactivity. Evidence of reactive EEG or continuous background activity indicates a high probability of good neurological recovery, and these patients usually wake up rapidly after rewarming and discontinuation of sedation. In contrast, malignant patterns – including burst suppression, generalized periodic epileptiform discharges and cerebral inactivity (that is, a flat EEG or unreactive background) – are associated



**Figure 5 Multimodal prognostication of coma after cardiac arrest and therapeutic hypothermia.** Summary of the suggested timing after cardiac arrest of all available tools that are used to predict poor outcome or neurological recovery from coma. This algorithm suggests that poor prognosis or neurological recovery should be considered when specific findings are present but this does not necessarily mean that withdrawal of care should be initiated, because this approach has not been validated. Dashed lines, lack of strong evidence to support the suggestion. High biomarker levels did not relate to a specific cutoff value because of several limitations affecting their measurements and accuracy (see text). BS, burst suppression; BSR, brainstem reflexes; EEG, electroencephalogram; GPED, generalized periodic epileptiform discharge; M<sub>1-2</sub>, absent motor response or posturing; MRI, magnetic resonance imaging; N20, cortical responses to somatosensory evoked potentials; NSE, neuron-specific enolase; SM, status myoclonus; SSEP, somatosensory evoked potential; uNCSE, unreactive nonconvulsive seizures; uSE, unreactive status epilepticus.

with poor outcome. Immediate initiation and prolonged therapy should be considered for post-anoxic status epilepticus, especially if it occurs in the rewarming phase in the absence of other signs of extensive brain injury; in contrast, early nonconvulsive seizures are often associated with a poor outcome, especially if associated with an unreactive EEG and nonresponse to anti-epileptic treatment. The effect of treatment on this kind of seizures is uncertain.

Importantly, no EEG finding should be used alone to predict poor outcome, and these negative EEG patterns need to be correlated with neurological examination at 48 to 72 hours after the end of TH, when sedation has been withheld for at least 24 hours. If patients have generalized persistent status myoclonus during the first 24 hours, poor outcome can be predicted if it is associated with bilateral absence of N20 after rewarming. If SSEPs are not available, a malignant EEG pattern increases the likelihood of poor prognosis but further diagnostic work-up (including brain imaging and biomarkers) should be considered. It is reasonable to assume a poor neurological outcome in patients with absent pupillary/corneal reflexes and GCS-M  $\leq 2$  on day 3 after CA. However, prognostic accuracy is increased if these signs are associated with an unreactive EEG or malignant patterns. In other comatose patients, bilateral absence of N20 potentials 48 to 72 hours after CA indicates irreversible brain damage. If these findings (that is, malignant EEG, poor clinical findings and bilateral absence of N20 potentials) are absent, prognostication becomes more difficult. The combination of high NSE and S-100 $\beta$  levels with widespread DWI or FLAIR MRI lesions should be considered as additional tools to identify extensive cerebral injury. Because these methods have some limitations, they should not be used to make final decisions on treatment levels. Rather, a prolonged observation period (1 to 2 weeks) should be considered to allow for delayed neurological recovery.

#### **Perspectives and areas for future clinical investigation**

Considering the limits of the neurological examination, certain electrophysiological tests have been proposed. A simplified EEG method with a limited number of EEG electrodes, in combination with amplitude-integrated EEG, could be used in comatose survivors of CA, and may provide relevant and rapid bedside information that could be evaluated also by non-neurologists [35,37]. Functional deficiency of the auditory–frontal cortex network of auditory discrimination is a common finding shared by different neurological disorders with cognitive impairment [80]. This deficiency can be measured with the so-called mismatch negativity, an EEG finding that is elicited during a specific auditory stimulus using sounds that differ in pitch, duration or loudness. Mismatch

negativity has been shown to better predict awakening than SSEPs in patients remaining comatose several days after CA [81]. In a study by Tzovara and colleagues, all nonsurvivors showed deterioration in mismatch negativity between two measurements, whereas all those patients who had an early improvement in auditory discrimination regained consciousness [82]. Finally, long latency evoked potentials, such as P70 and P300, have been correlated with neurological status in CA survivors after 3 and 6 months; however, these potentials are difficult to perform in the early phase and do not provide additional predictive value to the available electrophysiological tests [9,83].

Analysis of the time course of biomarkers could also improve their predictive value. An increase in NSE levels by  $>2$   $\mu\text{g/l}$  between 24 and 48 hours after CA was reported as a strong predictor of poor outcome [48]. Preliminary studies have shown that other blood biomarkers, including glial fibrillary acidic protein, neurofilaments or tau protein, may represent alternative biomarkers [84-86]. Also, because the post-CA syndrome activates a systemic inflammatory response syndrome similar to that of sepsis [2], C-reactive protein, copeptin and especially procalcitonin could be considered adjunctive tools to assess prognosis [87-89]. The combination of such molecules with biomarkers of heart injury, such as cardiac troponins and brain natriuretic peptide, along with novel biomarkers, including circulating cell-free DNA and micro-RNAs, could create a multipanel of biomarkers to incorporate into the prognostication process of CA patients [58].

Advanced MRI techniques could provide interesting information, although their usefulness is less clear than conventional neuroimaging techniques. Some of the newer techniques include diffusion tensor imaging, fractional anisotropy, functional MRI (including resting-state and task-specific functional MRI) using blood oxygen level-dependent contrast, and high angular resolution diffusion imaging tractography. These imaging techniques can evaluate brain oxygenation, regional activation in response to external stimuli, neuronal structure and axonal myelination, and all these findings may give new insight into the investigation of post-anoxic brain injury.

#### **Conclusions**

Accurate prognostication of comatose patients suffering from HIE and treated with TH can be obtained only 72 to 96 hours after CA and requires a multimodal approach. The neurological examination remains the gold standard; however, motor responses may be delayed up to 5 days in patients undergoing TH and neurological examination is not sufficient to accurately predict prognosis. The addition of EEG could improve prognostic

accuracy, in particular the presence of an early (within 24 hours from CA) reactive EEG pattern as a finding suggestive of good prognosis, whereas a nonreactive or burst-suppressed EEG pattern is associated with a poor outcome. Bilateral absence of N20 at 48 to 72 hours is almost invariably associated with a poor prognosis. Serum biomarkers of brain damage (NSE and S-100 $\beta$ ) may be useful to assess the severity of acute brain injury; however, they should never be used alone and further studies are needed to better define predictive cutoff levels. Findings from MRI investigations could potentially help to identify patients with extensive hypoxic-ischemic brain injury. Additional tools are under investigation to further improve the quality of prognosis assessment in this population.

### Key messages

- The clinical examination remains the gold standard for assessing prognosis in comatose survivors after CA; however, the use of sedatives and cooling procedures severely limit the early use of clinical findings in this setting.
- Previous guidelines should no longer be applied in clinical practice to assess brain function in patients treated with TH.
- There is no optimal timing to assess prognosis after CA.
- We recommend the use of a multimodal approach, including full neurological examination with at least SSEPs and EEG, to help with coma prognostication after CA and TH.

### Abbreviations

CA: Cardiac arrest; CT: Computed tomography; DWI: Diffusion-weighted images; EEG: Electroencephalography; FLAIR: Fluid attenuated inversion recovery; FPR: False positive rate; GCS-M: Glasgow Coma Score – Motor Response; HIE: Hypoxic-ischemic encephalopathy; MRI: Magnetic resonance imaging; NSE: Neuron-specific enolase; SSEP: Somatosensory evoked potential; TH: Therapeutic hypothermia.

### Competing interests

HF received lecture fees from Natus® Inc. The remaining authors declare that they have no competing interests.

### Authors' contributions

FST planned and drafted the manuscript. All the authors contributed to literature research, data interpretation and critical revision of the manuscript. All authors read and approved the final manuscript.

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