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# Performance of the AGATA $\gamma$ -ray Spectrometer in the PreSPEC Set-up at GSI

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## Abstract

1 In contemporary nuclear physics, the European Advanced GAMMA Tracking  
2 Array (AGATA) represents a crucial detection system for cutting-edge nuclear  
3 structure studies. AGATA consists of highly segmented high-purity germanium  
4 crystals and uses the pulse-shape analysis technique to determine both the po-  
5 sition and the energy of the  $\gamma$ -ray interaction points in the crystals. It is the  
6 tracking algorithms that deploy this information and enable insight into the  
7 sequence of interactions, providing information on the full or partial absorption  
8 of the  $\gamma$  ray. A series of dedicated performance measurements for an AGATA  
9 set-up comprising 21 crystals is described. This set-up was used within the re-  
10 cent PreSPEC-AGATA experimental campaign at the GSI Helmholtzzentrum  
11 für Schwerionenforschung. Using the radioactive sources  $^{56}\text{Co}$ ,  $^{60}\text{Co}$  and  $^{152}\text{Eu}$ ,  
12 absolute and normalized efficiencies and the peak-to-total of the array were mea-  
13 sured. These quantities are discussed using different data analysis procedures.

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14 The quality of the pulse-shape analysis and the tracking algorithm are evaluated.  
15 The agreement between the experimental data and the Geant4 simulations is  
16 also investigated.

*Keywords:* AGATA, gamma-ray spectroscopy, gamma-ray tracking, nuclear  
structure, pulse shape analysis, HPGe detectors

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## 17 **1. Introduction**

18 Numerous exciting nuclear-structure phenomena can be probed by in-beam  
19  $\gamma$ -ray spectroscopy experiments. Innovative approaches in design of dedicated  
20 detection systems during the past decades led to significant advances in position  
21 sensitivity, photopeak efficiency and peak-to-total ratio ( $P/T$ ) in  $\gamma$ -ray spec-  
22 troscopy. Moreover, the most recent  $\gamma$ -ray spectrometers, such as AGATA [1]  
23 and GRETA [2], brought about the new concept of high-resolution germanium  
24 tracking arrays. This paper starts out with a retrospective overview of large  
25  $\gamma$ -ray arrays (Sec. 2) in order to introduce the developments and requirements  
26 of the new tracking arrays.

27 Here, the focus is the performance of AGATA in the framework of the re-  
28 cent PreSPEC-AGATA campaign at the GSI Helmholtzzentrum für Schwerio-  
29 nenforschung, Darmstadt, Germany [3, 4]. Incoming particle identification is  
30 done event by event by Fragment Separator (FRS) detector systems [5]. De-  
31 tails of the AGATA subarray configured for the PreSPEC-AGATA campaign  
32 are presented in Sec. 3.

33 Using Monte Carlo simulations based on the Geant4 toolkit [6], extensive  
34 characterization studies of AGATA were performed [7, 8]. Nevertheless, it is im-  
35 portant for the feasibility and the success of the present and future experiments  
36 to check experimentally the validity and reliability of this simulation tool, as  
37 well as the calculated performance figures. Therefore, a dedicated source mea-  
38 surement was performed and is described in detail in Sec. 4. Furthermore, the  
39 quantities such as photopeak efficiency, normalized efficiency as a function of  
40 the  $\gamma$ -ray energy and  $P/T$  were investigated following the procedure outlined in

41 Sec. 5. The results of the analysis performed on the data alongside their inter-  
42 pretation and effect on other measurements are presented in Sec. 6. Moreover,  
43 these results were confronted to the output of the Geant4 simulation and their  
44 agreement is presented in Sec. 7.

45 Finally, the paper concludes with a short summary and an outlook for further  
46 investigations of performance of AGATA at GSI.

## 47 **2. Concept of $\gamma$ -ray Detection with AGATA**

48 The strength of AGATA is the ability to obtain positions and deposited ener-  
49 gies of individual  $\gamma$ -ray interactions. Applying  $\gamma$ -ray tracking makes it possible  
50 to determine the sequence of the interactions.

51 The sophisticated design of AGATA came about only after a series of ad-  
52 vancements of large  $\gamma$ -ray detector arrays [9, 10]. At a very early stage of HPGe  
53 detectors' development, studies of nuclear structure could benefit from larger  
54 individual detectors, in comparison with Li-drifted Ge detectors. Further im-  
55 provements focused on the increase of both the number of detectors and the solid  
56 angle covered by an array. This led to an enhancement of detection properties,  
57 mainly efficiency and energy resolution, and to some extent  $P/T$ . Additionally,  
58 a technique of background reduction was developed by means of Compton sup-  
59 pression. These efforts gave rise to the first arrays of HPGe detectors actively  
60 shielded by scintillating materials, which provided a substantial improvement  
61 of  $P/T$ .

62 Once a  $\gamma$  ray interacts with the detector medium, the energy recorded by  
63 those conventional arrays is the signal of any individual Ge-detector crystal.  
64 Typically, the absolute photopeak efficiency here depends on the intrinsic effi-  
65 ciency of the detector and its distance to the source. The  $P/T$  is determined  
66 by the intrinsic  $P/T$  of the individual detector elements, i.e. Ge detector plus  
67 surrounding Compton-suppression shield, and its geometry.

68 The next generation of Ge arrays relied on the novel idea of producing com-  
69 posite detectors, in particular the clover [11] and the cluster [12, 13] detectors.

70 Such detectors overcame the size limitation of the germanium crystals, while  
71 maintaining high granularity. This is important for the detection of long cas-  
72 cades of coincident  $\gamma$  rays. Arrays based on composite detectors increased effi-  
73 ciency over a large energy range and showed excellent  $P/T$  performance, thanks  
74 to the 'add back' concept [14], that uses signals from neighbouring Ge-detector  
75 crystals. Not only are the events originating in individual detectors summed to  
76 generate the total energy signal, but also the fraction of energies is recorded in  
77 cases of scattering between the crystals.

78 However, those detectors cover relatively large solid angles. This implies an  
79 uncertainty in  $\gamma$ -ray detection angle and quickly leads to Doppler-broadened  
80 peaks when studying  $\gamma$ -ray decays of fast-moving sources [15]. Secondly, it is  
81 difficult to distinguish two (or more)  $\gamma$  rays interacting at the same time in the  
82 same detector. This can lead to summing effects of coincident  $\gamma$ -ray transitions.  
83 The fact that those two  $\gamma$  rays are counted as one reduces the gain in efficiency  
84 and  $P/T$  provided by the advancement of composite detectors. Therefore, in  
85 the next generation of large  $\gamma$ -ray arrays the granularity was increased by means  
86 of additional contact segmentation [16, 17].

87 The innovative concept of segmentation ensured smaller opening angles of  
88 the individual granuli, which allowed for shorter detector-to-source distance,  
89 without deteriorating energy resolution due to Doppler broadening. As a con-  
90 sequence, the efficiency improved significantly [8]. The first arrays had longitu-  
91 dinal segmentation and made the localisation of the first interaction point in a  
92 two-dimensional plane possible [16, 17]. In this generation of detector arrays it  
93 was not the opening angle of the crystal as a unity that affected the Doppler  
94 broadening, but that of an individual segment instead. The above mentioned  
95 summing effects are also significantly reduced. Finally, the  $P/T$  of such detector  
96 arrangements can be enhanced.

97 The most recent developments followed the line of segmentation introduced  
98 above, and the idea of  $\gamma$ -ray tracking was realized through the three-dimensional  
99 segmentation (longitudinal and azimuthal) of HPGe crystals of specific tapered  
100 shape. The prerequisite to tracking are the determined interaction points pro-

101 vided by the pulse-shape analysis (PSA). As a consequence, Compton-suppression  
102 shields can be excluded. This allows to fill significantly more solid angle with  
103 Ge detectors. Currently two systems based on this principle are operational,  
104 one being in the U.S.A., GRETINA [2], and one in Europe, AGATA [1, 18–20].

105 The present work provides the feedback on the application of PSA algo-  
106 rithms and helps to evaluate the reconstruction quality with respect to all three  
107 coordinates,  $x$ ,  $y$  and  $z$ .

108 There are two types of algorithms dealing with the tracking of the subsequent  
109 interactions of a  $\gamma$ -ray in a Ge crystal. The first one, which is called back-  
110 tracking [21, 22], is based on the reconstruction of the  $\gamma$ -ray path by starting  
111 the tracking procedure from the final interaction point. The second one is called  
112 forward-tracking [23–25] and starts by first recognizing clusters of interaction  
113 points. In this work, the forward-tracking algorithm is used and the results of  
114 the optimization are presented in Sec. 6.

### 115 **3. AGATA Detector Configuration at GSI**

116 In preparation for the HISPEC experiment at the FAIR-NuSTAR facility  
117 [26], the PreSPEC-AGATA campaign [3, 4] was conducted at GSI in 2012 and  
118 2014. Here, secondary radioactive beams are produced by fission or fragmenta-  
119 tion of a primary stable beam delivered by GSI accelerator complex and selected  
120 by the FRS [5]. These beams are directed to a secondary target at relativistic  
121 energies of several hundred MeV/u. The in-flight emitted  $\gamma$  rays coming from  
122 the secondary reactions are therefore affected by a significant Doppler shift:  
123 the sources are moving with velocities of about 50 % of the speed of light. The  
124 products of secondary nuclear reactions were discriminated using the Lund York  
125 Cologne CALorimeter (LYCCA) [27].

126 The AGATA subarray, composed of 21 encapsulated detectors was placed  
127 at its nominal distance of 23.5 cm to the centre of the secondary target. Such  
128 a configuration ensured optimal energy resolution of Doppler-corrected  $\gamma$ -ray  
129 spectra, alongside the improved efficiency of the array compared with the earlier

130 RISING fast-beam set-up [15]. However, compared with the full AGATA array,  
 131 this geometrical configuration results in only about 60 % of the crystal surfaces  
 132 in contact with neighbouring ones. Thus the probability of  $\gamma$  rays escaping  
 133 the active Ge volume is rather large, which limits the tracking performance  
 134 compared to a full  $4\pi$  tracking array.

135 According to the original design [1], AGATA consists of triple clusters of Ge  
 136 crystals (cf. Fig. 1). Hosting AGATA at the final focal plane of the FRS required  
 137 a modified arrangement. Because of the rather large beam-spot size, the most  
 138 inner ring of five triple clusters needed to be replaced. Newly developed double  
 139 clusters were then put in place to guarantee angular coverage at forward angles.  
 140 This is due to the Lorentz boost, which has to be considered in case of  $\gamma$  rays  
 141 emitted from nuclei moving at relativistic energies.

142 The arrangement of AGATA detectors in doubles and triples is shown in  
 143 Fig. 1. The triples are enclosed by blue lines and the doubles by green lines.  
 144 Dashed lines refer to missing crystals in two triple clusters, as well as one crystal  
 145 from an AGATA double. Its electronics was used for the EUROBALL reference  
 146 capsule (see Sec. 4).

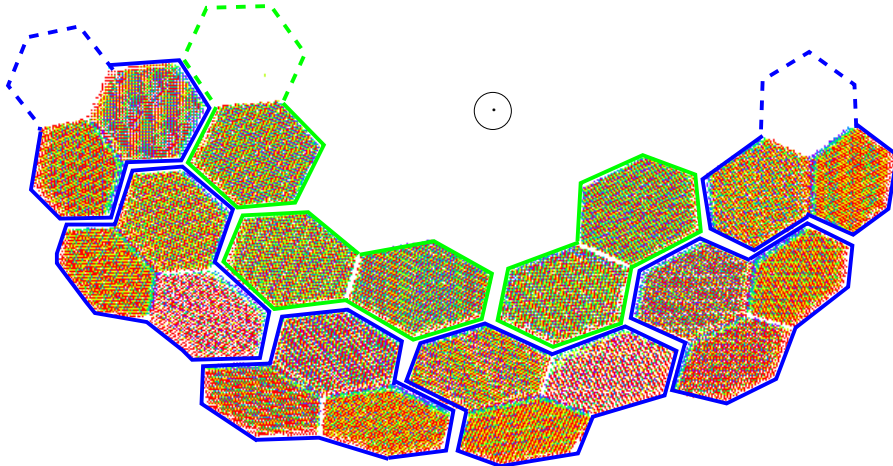


Figure 1: Configuration of AGATA at GSI during the PreSPEC-AGATA campaign. AGATA triples are enclosed by blue lines and AGATA doubles by green lines. Dashed lines indicate missing crystals. The  $\odot$  symbol marks the beam direction.

#### 147 4. Source Measurements

148 In order to analyze the in-beam experimental data, it is necessary to de-  
149 termine the response of the spectrometer by measuring efficiency and  $P/T$ .  
150 As mentioned before, simulations can be an excellent way to characterize, in  
151 a broad energy range, the performance figures for the campaigns employing  
152 AGATA. Nevertheless, simulated figures need to be checked thoroughly and,  
153 therefore, source measurements are required.

154 Early measurements at both LNL and GSI were severely hampered by fac-  
155 tors such as the reduced number of encapsulated detectors present in the set-up,  
156 the uncertainties about the source position, the radiation background, the data  
157 acquisition dead time, to name but a few. Hence, a series of dedicated source  
158 measurements focusing on the determination of the absolute efficiency was per-  
159 formed within the scope of the PreSPEC-AGATA campaign at GSI in 2014.

160 The principal set-up comprised 21 36-fold segmented AGATA crystals po-  
161 sitioned at the nominal target-array distance of 23.5 cm and one external non-  
162 segmented and electrically cooled detector [28], based on an EUROBALL cap-  
163 sule [12] as a reference (cf. Fig.2). It was intended to extract the absolute  
164 quantities, such as photopeak efficiency and  $P/T$ , in the most reliable manner.  
165 This was ensured by an approach, which is based on prompt coincidences of  
166 cascading  $\gamma$  rays between the external reference detector, i.e. the EUROBALL  
167 capsule, and all AGATA crystals.

168 Each of the AGATA crystals provides 38 signals: 36 for the segments and  
169 two for the core, namely two gains corresponding to a 5-MeV and a 30-MeV  
170 full range. The output of the respective preamplifier is digitized by means of a  
171 a 100-MHz 14-bit ADC. This information is then sent via optical links to pre-  
172 processing cards, which perform the task of extracting the energy and time of a  
173 particular detector element [1]. To access the energy and time information, the  
174 Moving-Window Deconvolution (MWD) technique [29] and a leading-edge algo-  
175 rithm have been used, respectively. The outputs of this stage are transmitted  
176 to a computer farm performing further data processing, the overview of which



177 is given in Ref. [30]. For more details on the complete data acquisition system  
178 employed in the PreSPEC-AGATA campaign, see Ref. [31].

179 For the source measurements, the electrically cooled EUROBALL capsule  
180 was integrated into the system in such a way that the signal from its preamplifier  
181 was sent to one of the AGATA digitizers. This ensured the same treatment of  
182 all crystals used for this measurement during data-taking. However, the fact  
183 that not all AGATA-tailored processing algorithms can be applied to or are  
184 relevant for the EUROBALL capsule led to further differentiation between these  
two detector types in the offline analysis. Data has been taken with standard

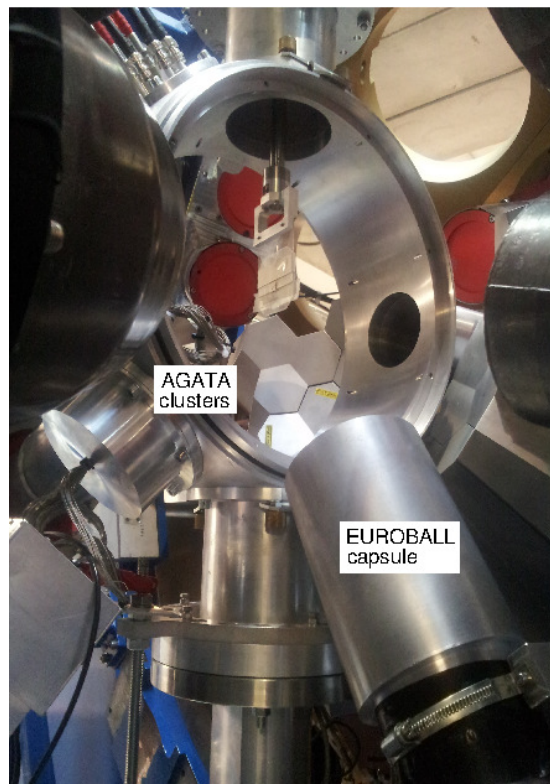


Figure 2: Part of the experimental set-up with the EUROBALL capsule, target station, and some AGATA clusters visible in the back. The EUROBALL capsule is located in the lower right corner.

185

186  $\gamma$ -ray sources:  $^{56}\text{Co}$ ,  $^{60}\text{Co}$  and  $^{152}\text{Eu}$ . Each source was placed at the target

187 position in the center of the PreSPEC-AGATA scattering chamber. During  
188 the in-beam experiments, this chamber holds the secondary target, so that the  
189  $\gamma$  rays emitted from the target are to be detected by the surrounding array.  
190 For the measurements described here, the side parts of the scattering chamber  
191 were dismantled, whereas the holding ring structure was left in place. This  
192 can be seen in Fig. 2. The self-triggered data acquisition was handling the data  
193 generated by event rates up to 4 - 5 kHz per crystal.

194 In order to make a reliable efficiency estimate of direct use for the anal-  
195 ysis of the stopped-beam experiments, the  $^{60}\text{Co}$  and  $^{152}\text{Eu}$  sources were also  
196 placed in front of and behind the plastic stopper. This 1 cm thick stopper  
197 was located 15 cm downstream from the focal point of the AGATA subarray.  
198 Then, averaging measurements of these two source positions, the efficiency val-  
199 ues are extracted for the center of the plastic stopper. This position is denoted  
200 'close position'. However, since these measurements were performed in between  
201 two in-beam experiments, additional material was present around the scattering  
202 chamber, namely its side parts and a 2 mm thick lead shielding. This has to be  
203 taken into account when interpreting particularly the low energy region of the  
204 spectra recorded under these conditions.

## 205 5. Analysis

### 206 5.1. Fine Tuning Prior to the Analysis

207 The processing of the signals from individual AGATA crystals and the es-  
208 sential calibration aspects are detailed in Ref. [30]. The processing takes place  
209 on two levels: on the *local* level all crystals are handled separately; on the *global*  
210 level the streams of processed data from individually treated crystals are assem-  
211 bled on the basis of time-stamp and processed further as events. The sequence  
212 of processing stages and a schematic overview are outlined in Appendix A.

213 In order to derive the interaction positions a number of tests with several  
214 PSA algorithms was performed. Although different, those algorithms had no  
215 apparent effect on the results and the analysis was conducted with the standard

216 PSA algorithm, Adaptive Grid Search [33], considering single interaction in a  
217 segment.

218 Since the EUROBALL capsule was integrated as if it were one of the AGATA  
219 crystals, its data was processed in the same way as an AGATA crystal.

220 In this measurement events were constructed using all the data from the  
221 crystals within a time window of 100 ns. Thereafter, the tracking algorithm  
222 was applied on the AGATA data exclusively, which is discussed thoroughly in  
223 Sec. 5.2.

## 224 5.2. Absolute Efficiency and Peak-to-Total

225 One of the main tasks of the data analysis was to determine the absolute effi-  
226 ciency of the AGATA array, depending on data treatment and parametrization.  
227 Thereby, two different approaches have been employed. The data taken with a  
228  $^{60}\text{Co}$  source utilizes its cascade of two coincident  $\gamma$  rays at 1332 and 1173 keV.  
229 In the first approach, the so-called *external trigger method*, the coincidences be-  
230 tween AGATA crystals and the EUROBALL capsule as a reference are studied.  
231 The second approach is the *sum-peak method*, focusing on AGATA crystals only  
232 where no coincidences were used. In the external trigger method, a  $\gamma\gamma$  angular  
233 correlation correction of 0.981(5) is applied for the  $^{60}\text{Co}$  cascade, corresponding  
234 to the average angle between the AGATA crystals and the EUROBALL capsule.

### 235 5.2.1. External Trigger Method

236 Events which fulfilled the trigger requirement from the reference detector  
237 within a 100 ns time window were selected for this approach. The energy spectra  
238 representative for the whole array were created, depending on the modes in  
239 which AGATA can be operated at the data-analysis stage:

- 240 • core common: takes into account individual energies registered by the  
241 central contacts;
- 242 • calorimetric: total sum of energies recorded by all central contacts of all  
243 AGATA crystals;

- 244 • tracked: uses the reconstructed energy, which is subject to the tracking  
245 performance and thus choice of tracking parameters.
- 246 • tracked, excluding single interaction: same as the previous mode except  
247 that it discards events with only a single interaction point up to the energy  
248 of 800 keV.
- 249 • add-back: selectively sums single hits in an event found within a sphere  
250 of 100 mm radius. The reference point for this approach was the hit with  
251 maximum energy deposition.

252 The absolute efficiency at 1173 keV in all five analysis modes is extracted  
253 from the ratio of the intensity in the 1173 keV peak measured by AGATA  
254 crystals over the intensity of the 1332 keV peak measured by the EUROBALL  
255 capsule. In this case,  $P/T$  was calculated as a ratio of the yield of the peak at  
256 1173 keV and the total number of counts in the spectrum.

257 Furthermore, in case of the tracking mode of analysis, the impact of the  
258 AGATA tracking algorithms on the performance was studied. This is explained  
259 in more detail in Sec. 6.3.

### 260 *5.2.2. Sum-Peak Method*

261 In this approach, the absolute efficiency was determined using the sum-peak  
262 method [34, 35]. Data collected by the reference detector was not used in this  
263 case. AGATA was treated as a calorimeter, resulting in a total spectrum where  
264 the energies from all central contacts have been summed up. Thus, the absolute  
265 efficiency at 1173 keV was measured from the ratio of the intensity in the sum-  
266 peak at 2505 keV over the intensity of the 1332 keV peak. In this case,  $P/T$  was  
267 calculated as a ratio of the sum of the  $^{60}\text{Co}$  peaks intensities and the total counts  
268 in the spectra up to 1350 keV. For a reliable efficiency estimate, a correction  
269 for random coincidences was performed, quantifying it from the activity of the  
270 source used in the measurement. Additionally, rare cases of multiple cascades  
271 have also been accounted for.

272 The use of the external trigger method was motivated in Sec. 4 as the most  
273 reliable method to extract the absolute efficiency, hence the thorough considera-  
274 tion of different analysis modes. In contrast, for the sum-peak method only the  
275 calorimetric mode of analysis was used to simply cross check the values obtained  
276 with the external trigger method.

### 277 *5.3. Normalized Efficiency*

278 Data taken with the  $^{56}\text{Co}$  and  $^{152}\text{Eu}$  sources provide the energy dependence  
279 of the efficiency in the  $\gamma$ -ray energy range from 120 to 3300 keV. To combine  
280 the two data sets collected with the two aforementioned sources separately, the  
281 spectrum of the former was normalized with respect to the 867-keV line of the  
282 latter, since the  $^{56}\text{Co}$  source emits a  $\gamma$  ray of similar energy, namely 847 keV.  
283 For this method, calorimetric, core common and the tracked mode of analysis  
284 were used.

285 Data taken with the  $^{152}\text{Eu}$  source alone has also been analyzed by means  
286 of the add-back routine. To normalize the yields obtained in this way, the  
287 absolute efficiency from the external trigger method was utilized (see Sec. 5.2.1).  
288 Furthermore, performance of the tracking has been tested on the data taken with  
289 the  $^{152}\text{Eu}$  source only (see Sec. 6.3).

290 In order to obtain the normalized efficiency curve for the stopped-beam data  
291 from the PreSPEC-AGATA campaign, data collected with the  $^{152}\text{Eu}$  source at  
292 the so-called 'close position' (see Sec. 4) has been analyzed. Thereby, the energy  
293 information from the central contact of all crystals was employed. Finally, the  
294 yields of standard  $\gamma$  lines recorded at two different positions were averaged and  
295 normalized to the absolute efficiency.

## 296 **6. Results**

### 297 *6.1. Absolute Efficiency and Peak-to-Total*

298 The values obtained for the absolute efficiency and  $P/T$  values at 1173 keV  
299 are shown in Table 1.

Table 1: Efficiency and  $P/T$  at 1173 keV obtained for different modes of data treatment. The statistical uncertainties are indicated in parenthesis. Tracking refers to default parameters (cf. Sec. 6.3). See text for details.

Input	Efficiency (%)	$P/T$ (%)
AGATA (external trigger method)		
Core Common	2.38(2)	18.3(2)
Calorimetric	3.30(2)	32.2(3)
Tracked with single interactions	2.55(3)	37.5(4)
Tracked without single interactions	2.53(3)	42.3(5)
Add-back 100 mm	2.86(4)	24.6(2)
Geant4 simulations (external trigger method)		
Core Common	2.84(9)	22.5(6)
Calorimetric	4.21(8)	42.5(10)
Tracked with single interactions	2.53(8)	58.2(19)
AGATA only		
Sum-peak calorimetric	3.25(4)	30.0(5)

300 As seen in the table, the values derived for the absolute efficiency,  $\epsilon$ , differ  
 301 significantly for the various modes of extracting the energies from the AGATA  
 302 detectors. In the conventional approach, the efficiency was determined only  
 303 taking into account energy information from the central contact of each single  
 304 crystal. This core-common treatment results in the lowest value of  $\epsilon = 2.38(2)$  %  
 305 and the poorest  $P/T = 18.3(2)$  %. Since AGATA has no Compton-suppression  
 306 shields, about 60 % of the Compton-scattered events escaping the crystals will  
 307 increase the background of the spectra by producing counts in both neighbouring  
 308 crystals. Therefore, such low value of the  $P/T$  is understood. A pronounced  
 309 increase in both efficiency and  $P/T$  is observed when referring to AGATA as  
 310 a calorimeter, namely  $\epsilon = 3.30(2)$  % and  $P/T = 32.2(3)$  %, respectively. The  
 311 calorimetric mode takes into account not only full-absorption in a crystal, but  
 312 also Compton-scattering into neighbouring crystals. Therefore, more events are  
 313 registered in the full-energy peak, simply because energy portions, which the  
 314 core-common mode predominantly interprets as background, are summed up.  
 315 In general, the calorimetric mode is sensitive to summing up multiple  $\gamma$  rays,

316 particularly in case of high-fold cascading  $\gamma$  rays.

317 In order to apply tracking algorithms on the present data sets, an adjustment  
318 in the data processing was implemented. The absolute efficiency measurement  
319 relies on coincidences between AGATA and the reference EUROBALL capsule,  
320 but only AGATA crystals are included in the tracking routine. Therefore, two  
321 classes of detectors have been defined in the analysis procedure: one for the  
322 EUROBALL capsule alone and the other one for all AGATA crystals, which  
323 registered a signal in a coincident event. This allowed for a separate treatment  
324 of different detectors taking part in coincident events, yet being implemented  
325 in the same DAQ system. Finally, this approach led to an efficiency of  $\epsilon =$   
326  $2.55(3) \%$  and  $P/T = 37.5(4) \%$ . The efficiency is obviously lower than the one  
327 in calorimetric mode of analysis, but  $P/T$  shows a significant improvement.

328 The results of the calorimetric mode suggest that summing up all energies  
329 recorded by all crystals could enhance lower-energy contributions, leading to  
330 somewhat deteriorated  $P/T$ . Additionally, this approach does not allow for  
331 rejection of partially absorbed  $\gamma$  rays and, as stated in Sec. 3, around 40 % of  
332 the detector surface is not covered by other neighbouring detectors. Therefore,  
333 all partially absorbed  $\gamma$  rays are included in the calorimetric spectrum.

334 As compared to the calorimetric mode, the tracked mode results in better  
335  $P/T$ . Tracking relies on properly extracted sequences of  $\gamma$ -ray energies and  
336 points and rejection of the  $\gamma$  rays that could not be reconstructed. Hence, it  
337 replaces the Compton suppression shields to some extent. If performed success-  
338 fully, it suffers less from background contributions.

339 As explained in Sec. 5.2, the single-interaction contributions, being clusters  
340 with single hits in a detector, could be excluded from the spectrum obtained  
341 after tracking. This modification yields an efficiency of  $\epsilon = 2.53(3) \%$  and  $P/T =$   
342  $42.3(5) \%$ . The single interactions are largely responsible for the low-energy part  
343 of the spectrum, hence the better  $P/T$  values as seen in Tab. 1. Fig. 3 depicts  
344 this property of the spectra obtained with and without single interactions. Due  
345 to a hard-coded limit, the spectral response of single interactions extends up  
346 to 800 keV. Recent work [36] suggests that those events account for  $\sim 20 \%$  of

347 the photopeak yield at 1173 keV. Therefore, the efficiency value reported here  
 348 might show a corresponding increase if setting the energy acceptance limit for  
 349 the single interactions as high as the  $\gamma$  rays of  $^{60}\text{Co}$ .

350 The sum-peak method (see Sec. 5.2.2) yields results similar to the calorimetric  
 mode, namely  $\epsilon = 3.25(4) \%$  and  $P/T = 30.0(5) \%$ .

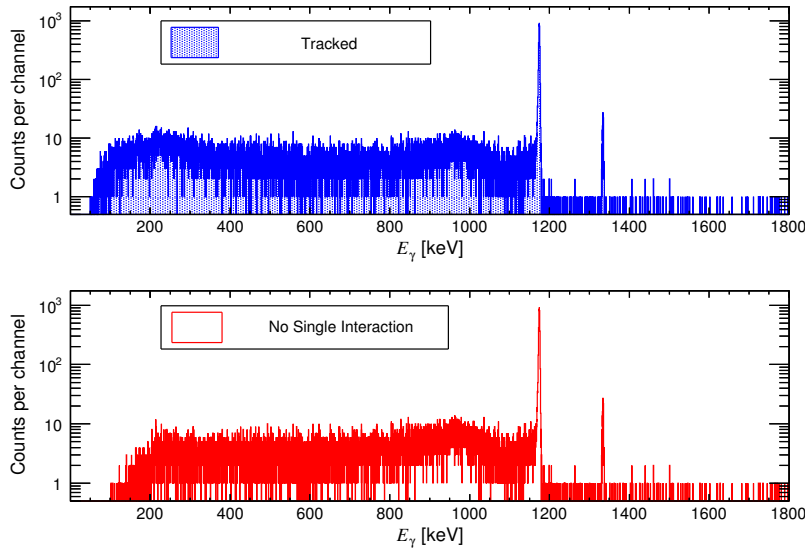


Figure 3: Spectra obtained with the MGT tracking algorithm [24] including (upper panel) and excluding single interaction points up to 800 keV (lower panel).

351

## 352 6.2. Normalized Efficiency

353 Different in-beam experiments performed with AGATA at GSI focused on  
 354 different  $\gamma$ -ray energy regions. Therefore, a reliable reference in terms of an  
 355 energy-dependent efficiency curve is needed. In this work, the energy extends  
 356 up to  $\sim 3.3$  MeV, i.e. one of the  $\gamma$ -ray transitions originating from the  $^{56}\text{Co}$   
 357 source measurement. Three modes of operating AGATA at the data-analysis  
 358 stage have been considered for the combined data set of  $^{56}\text{Co}$  and  $^{152}\text{Eu}$ : core  
 359 common, calorimetric, and tracked with default parameter values (*Figure of*  
 360 *Merit* FOM = 10, see Sec. 6.3). For the analysis of the three respective cases, two



361 spectra-analysis programs were used: tv [37] and Tkt [38]. All  $\gamma$ -ray lines were  
362 least-squares fitted several times with a convolution of a Gaussian, a function  
363 that accounts for eventual tails on either right or left side of the centroid and  
364 another set of functions used to estimate the background. These fit results,  
365 including systematic uncertainties, were then sent to the code EFFIT, included  
366 in the Radware software package, which is using the parametrization detailed  
367 in [39] to extract the efficiency values from the measured peak intensities. The  
368 function used to fit the data points from the  $^{56}\text{Co}$  and  $^{152}\text{Eu}$  data sets is [39]:

$$\ln \epsilon(E_\gamma) = \{(A + B * x + C * x^2)^{-G} + (D + E * y + F * y^2)^{-G}\}^{-1/G} \quad (1)$$

369 with  $x = \ln(E_\gamma/100)$ ,  $y = \ln(E_\gamma/1000)$ ,  $E_\gamma$  in units of keV and  $A$ ,  $B$ ,  $C$ ,  
370  $D$ ,  $E$ ,  $F$ ,  $G$  as fit parameters. Provided the absolute values of efficiency at  
371 1173 keV (see Sec. 6.1 and Table 1), the aforementioned efficiencies can be  
372 readily normalized to the absolute efficiencies of the respective mode:

$$\epsilon_{\text{abs}}(E_\gamma) = N \cdot \epsilon(E_\gamma) \quad (2)$$

373 The efficiency curves according to Eq. 1 for different modes of analysis, alongside  
374 the experimental values for the calibration sources, are shown in Figs. 4, 5 and 7.  
375 The values of the fit and normalization parameters for all the curves are listed  
376 in Table 2.

Table 2: Fit parameters using the program EFFIT [39]. In all cases the parameters  $C = 0$  and  $G = 12$  were kept fixed. See text for details.

Dataset	Mode	Parameters					
		$A$	$B$	$D$	$E$	$F$	$N$
$^{152}\text{Eu}$ and $^{56}\text{Co}$	Core Common	8.42(19)	2.66(21)	6.410(3)	-0.573(6)	-0.071(6)	0.00454(3)
	Calorimetric	7.43(4)	1.69(5)	6.579(2)	-0.391(5)	–	0.00513(3)
	Tracked	6.80(5)	5.60(11)	6.3882(25)	-0.452(5)	–	0.00478(4)
$^{152}\text{Eu}$	Tracked FOM = 1.0	6.89(6)	5.73(12)	6.374(3)	-0.438(5)	–	0.00460(4)
	Tracked FOM = 0.1	7.7(3)	6.7(4)	6.274(4)	-0.421(6)	–	0.00440(5)
$^{152}\text{Eu}$	Add-back 100 mm	7.77(5)	1.86(6)	6.5653(24)	-0.413(5)	–	0.00423(5)
	Close Position	3.11(7)	2.9(3)	4.375(5)	-0.377(20)	-0.272(20)	0.038(2)

377 In case of the calorimetric spectrum, it is obvious that certain data points lie  
 378 somewhat away from the least-squares fit (green stars in Fig. 4). Comparison  
 379 of the  $\gamma$ -ray spectra has shown enhanced yields or slight modification in peak  
 380 shapes. These differences in the shape of the peak in the calorimetric spectrum  
 381 can arise from another process resulting in very similar energy deposition, i.e.  
 382 summing of either two coincident  $\gamma$  rays or a  $\gamma$  ray and an  $X$  ray.

383 The drop in tracking efficiency below 100 keV is in part related to the ap-  
 384 proximation made to compute effective distances in Ge. The approximation of  
 385 a Ge sphere leads to an overestimation of the distance traveled by photons into  
 386 the detector by up to a few mm. This overestimation is extremely penalizing  
 387 for low-energy photons, which have very small ranges in Ge and are therefore  
 awarded a poor figure of merit.

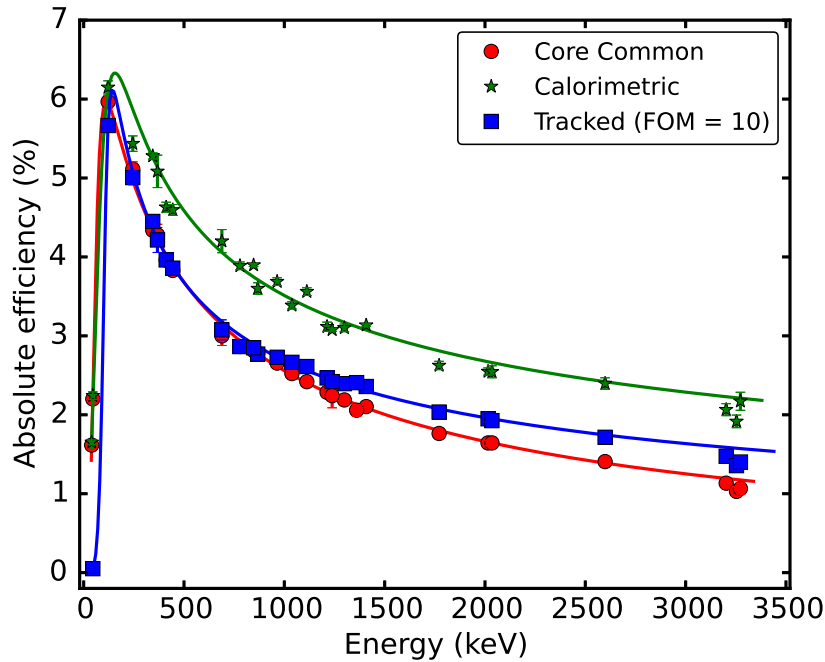


Figure 4: Efficiency curves obtained with spectra collected with  $^{56}\text{Co}$  and  $^{152}\text{Eu}$  normalized to the absolute efficiency determined at 1173 keV and confirmed by an external trigger method with the  $^{152}\text{Eu}$  source data.

388 The results with the  $^{152}\text{Eu}$  source at 'close position' (cf. Sec. 4) as well as the  
 389 add-back treatment in case of the nominal position of the source are shown in  
 390 Fig. 5. The two mentioned curves are compared to the core-common efficiency  
 391 derived from the data collected with the same source at nominal position. In case  
 392 of the core common at the close position the low-energy part of the spectrum is  
 393 strongly affected by the lead shielding around the scattering chamber. Another  
 394 cause of the attenuated yields is that this curve was derived by placing the  $^{152}\text{Eu}$   
 395 source both in front and behind the plastic stopper. Consequently, in the first  
 396 case the  $\gamma$  rays had to travel through the plastic medium, which reduced the low-  
 397 energy contributions. In contrast to low energies, in the region of  $E_\gamma \gtrsim 500$  keV  
 398 the enhancement in the efficiency is ensured by the vicinity of the source.

### 399 6.3. Influence of the tracking algorithms

400 Two codes based on the forward-tracking algorithm mentioned in Sec. 2, both  
 401 used by the AGATA community, have been employed to further investigate the  
 402 effect of tracking on the performance. The details of the OFT performance are  
 403 discussed in Ref. [36], whereas this work focuses on the MGT performance. The  
 404 details of its implementation are, however, not subject of this work. They can  
 405 be found in Ref. [24].

406 MGT and OFT tracking algorithms start by grouping certain interaction  
 407 points which may be a part of the same physical event, resulting in one *track*.  
 408 These groups of candidates are called *clusters*. The interaction points in each  
 409 cluster are thus accepted in a given sequence or eventually rejected based on  
 410 the conditions demanded by the algorithm.

411 In general, for the so-called FOM only one MGT parameter is varied, which  
 412 defines how restrictive the algorithm is to the data sent as an input [24]. It  
 413 quantifies divergence from the accepted  $\chi^2$  value, which is calculated between  
 414 the ideal angle-energy sequence and the measured one. The higher the FOM  
 415 value, the more data satisfy the MGT criteria, because the clusters are evaluated  
 416 with greater 'tolerance', and vice versa. Consequently, for very high values of

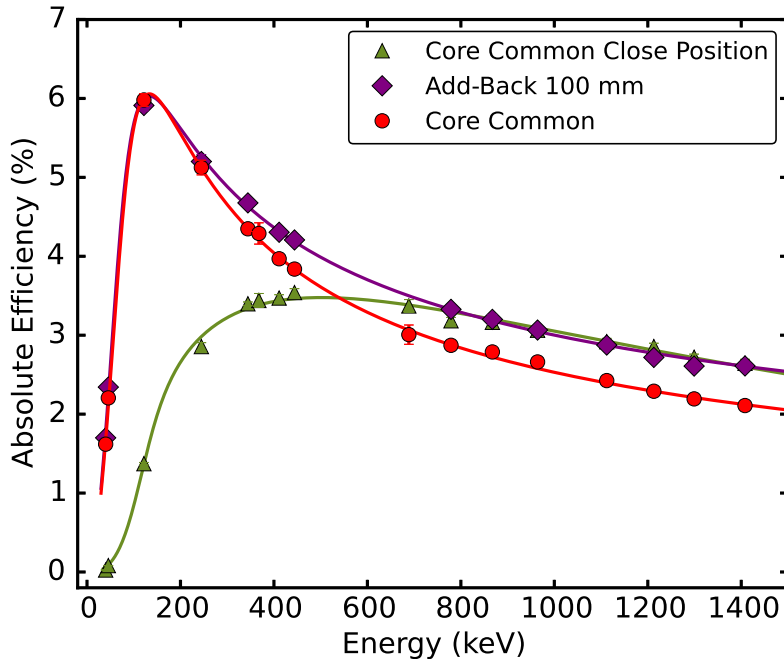


Figure 5: Efficiency curves obtained with spectra collected with  $^{152}\text{Eu}$  normalized to the absolute efficiency determined at 1173 keV. The green curve (triangle up) and the red curve (circle) both represent the results when utilizing core common energy information but at two different positions: the green curve being closer to the array and the red at the nominal position. The purple curve (diamond) is obtained after adding back all hits in an event, which occurred within 100 mm radius from the reference point (highest energy release).

417 the FOM, more data has been interpreted as 'good'. But it also happens that  
 418 the algorithm considers more events as background or it simply, due to the  
 419 possible surplus of lower-energy contributions, does not classify the events in  
 420 clusters well enough as a part of a real Compton scattering sequence.

421 The behaviour of tracking efficiency and  $P/T$  with respect to the absolute  
 422 tracking efficiency has been tested in MGT [24] and OFT [25, 36], respectively.  
 423 This was done by 'tuning' the FOM by changing the tracking parameters which  
 424 are left free for the user to modify.

425 The effect of changing the FOM can be seen in Fig. 6. The curves show

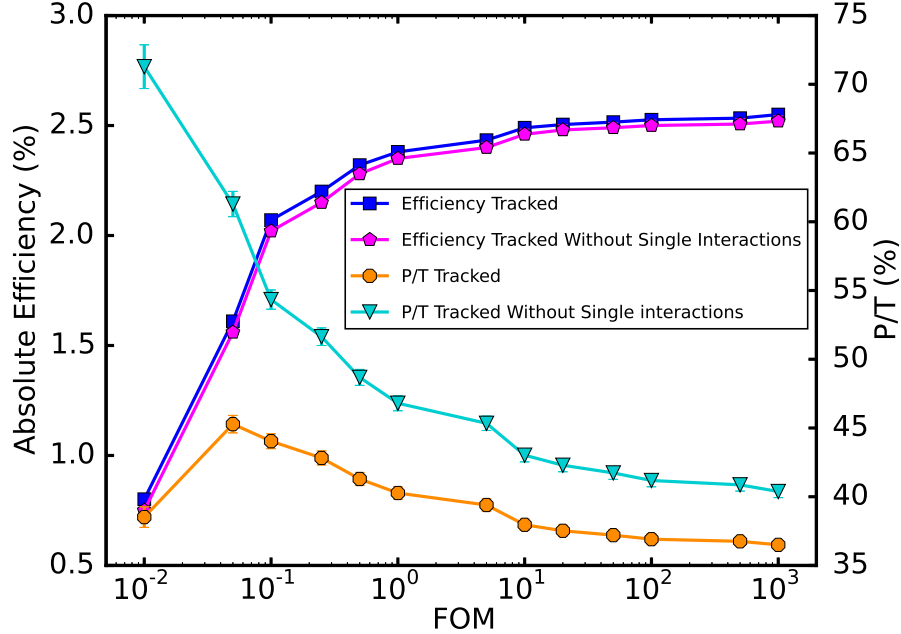


Figure 6: Influence of the FOM on the efficiency and  $P/T$ . FOM values range from 0.01 (left) to 1000 (right). All curves are obtained after applying the MGT tracking algorithm on  $^{60}\text{Co}$  data. The blue curve (squares) represents the tracked efficiency trend for varying FOM. The magenta curve (pentagons) is a result of the same procedure, only without single interactions being treated. The orange curve (octagon) shows how the tracked  $P/T$  is affected by different values of the FOM. Similarly, the turquoise curve (triangle down) shows the behaviour of the same quantity, only referring to the tracked data without single interactions.

426 how the efficiency at 1173 keV and  $P/T$  change as the FOM varies. The ef-  
 427 ficiency is increasing with higher FOM, unlike the  $P/T$ . For higher values of  
 428 the FOM, more events have fulfilled the requirement of the algorithm. Hence,  
 429 one can expect enhancement in the intensity of the full-energy peak, thus in  
 430 the absolute efficiency. This increase comes about at the cost of deteriorated  
 431  $P/T$ . However, after subtracting single-interaction contributions in the tracked  
 432 spectra (see Sec. 6.1), a significant enhancement in the  $P/T$  is obtained (see  
 433 Fig. 6). In the range of the tested FOM values the absolute efficiency exhibits  
 434 an increasing trend for the lower values of the FOM. This behaviour is less

435 pronounced for the rest of the range, as the absolute efficiency could not raise  
436 infinitely. Additionally, the further decrease of the  $P/T$  and the interplay of  
437 the two quantities suggest that the overall sensitivity of the system might not  
438 continue to improve significantly as the FOM increases. Therefore, the optimum  
439 value of the FOM should be decided by the user, in such a way to benefit from  
440 the changes in the values of the absolute efficiency and  $P/T$ . The MGT default  
441 value is set to  $\text{FOM} = 10$  [24].

442 Moreover, consideration of the optimum FOM value is essential when ap-  
443 plying tracking algorithms to different in-beam data sets. Beside Fig. 6, which  
444 shows that there is practically no increase in efficiency for  $\text{FOM} \gtrsim 10$ , there are  
445 several criteria to be considered. Firstly, how the value of the FOM might affect  
446 the results in an energy region of interest for a certain experiment. Secondly, if  
447 choosing the tracked spectrum with or without single interactions could serve  
448 as a reference alone, again depending on the energy region of interest. Finally,  
449 the selection of the best FOM might also depend on  $\gamma$ -ray multiplicity.

450 Additionally, the analysis of the  $^{152}\text{Eu}$  data after tracking provides decisive  
451 input for treatment of the in-beam data. This implies the consideration of  
452 the  $^{152}\text{Eu}$  dataset in the tracked mode alone, whilst varying the FOM. As in  
453 Section 6.2, the measured values of efficiency were normalized with respect to  
454 the absolute efficiency for different values of FOM and the fitting routine [39]  
455 generated the corresponding curves. Figure 7 shows that the general trend of  
456 the efficiency curve is independent of the variation in FOM. Instead, only the  
457 absolute value of efficiency is affected by changes of the FOM. As in case of  
458  $^{60}\text{Co}$  data, efficiency increases as the FOM increases. Following the analysis  
459 with different values of the FOM (see Fig. 6), the three values of the FOM  
460 were selected and displayed in Fig. 7, since further increase of the FOM does  
461 not affect the values of absolute efficiency significantly. This property is, as  
462 expected, in accordance with the analysis performed on the  $^{60}\text{Co}$  data, which  
463 strengthens the argument of choosing the appropriate FOM value.

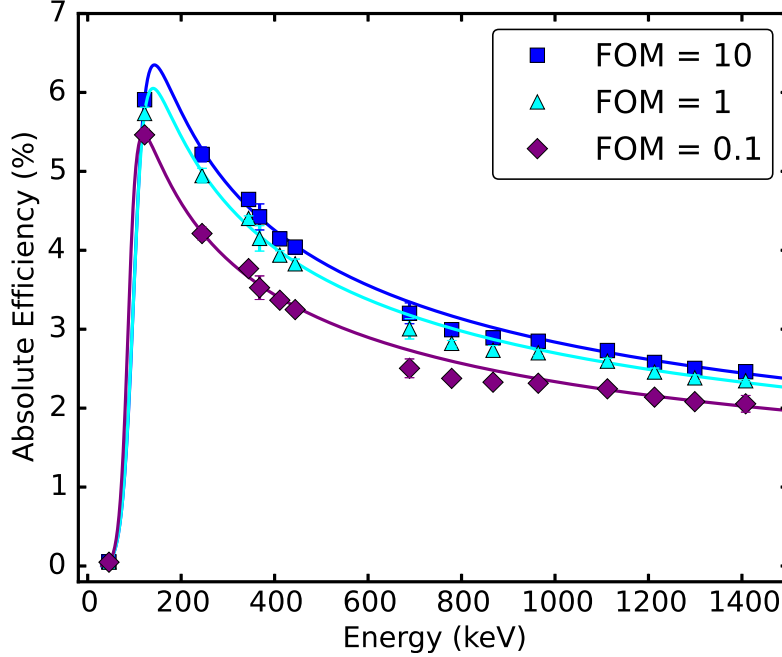


Figure 7: Efficiency curves obtained with a  $^{152}\text{Eu}$  source by varying the FOM in the MGT tracking algorithm.

## 464 7. Geant4 Simulations

465 The developed Geant4 simulation comprises a realistic implementation of  
 466 the set-up used during the source measurement including the scattering station  
 467 with the holding ring structure as seen in Fig. 8. The evaluated results suggest  
 468 the absolute efficiency for the core-common treatment of  $\epsilon = 2.84(9) \%$  and  
 469  $P/T = 22.5(6)\%$ ,  $\epsilon = 4.21(8) \%$  and  $P/T = 42.5(10) \%$  for operating AGATA  
 470 in calorimetric mode and  $\epsilon = 2.53(8) \%$  and  $P/T = 58.2(19)\%$  for the tracking  
 471 approach. The results from the simulation are somewhat higher than the ex-  
 472 perimental ones (see Table 1). They are also free from random coincidences. To  
 473 first order, this can be associated to the difference between ideal detectors in  
 474 the simulation and real detectors used for the experimental campaign at GSI.  
 475 Despite these small discrepancies, detailed Geant4 simulations are a valuable

tool in optimizing the tracking parameters for (in-beam) data analysis.

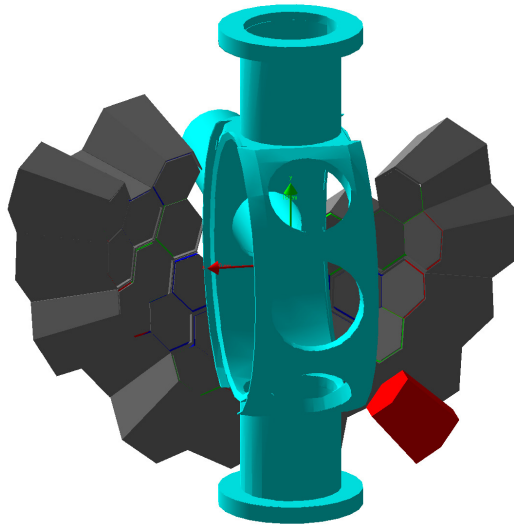


Figure 8: Geant4 visualization of the set-up. All AGATA crystals placed around the scattering chamber and the holding structure and the EUROBALL capsule are depicted solid. When used in the full PreSPEC-AGATA set-up, the beam enters from the front side. The EUROBALL capsule, shown in red, is located in the lower right corner.

476

## 477 **8. Summary**

478 The performance of the AGATA subarray at GSI has been presented, with  
479 the main figures absolute efficiency and  $P/T$  being evaluated. Twenty one  
480 AGATA crystals were employed in the experimental campaign at GSI, after  
481 which the characterization measurements using calibration sources were per-  
482 formed. Several practical aspects of applying the tracking algorithms on the  
483 source data have been described, as well as some issues which need to be con-  
484 sidered in case of in-beam data taken during the PreSPEC-AGATA campaign  
485 at GSI. Additionally, the same data has been analyzed by exploiting only the



486 energy recorded by the central contact of all crystals, in the so-called core-  
487 common mode, as well as summing up energies recorded by all crystals, in the  
488 calorimetric mode. The measured values of the absolute efficiency do vary, but  
489 they do so in a predictable manner, as shown by the calorimetric efficiency be-  
490 ing larger than the core-common. This consideration affects the in-beam data  
491 in such a way that the optimal treatment should be found for each experiment  
492 individually.

493 Moreover, further studies should focus on high  $\gamma$  multiplicity effects by  
494 both adding events recorded during measurements with sources and in in-beam  
495 events. This aspect should help understand the properties of  $\gamma$ -ray spectra taken  
496 in in-beam conditions.

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#### 506 **Appendix A. Overview of Data Processing**

507 All the operations on the data are performed with dedicated Narval [32]  
508 chains - the so-called actors on the data - implemented via C++ classes.

509 The data from the EUROBALL capsule was processed in the same way as  
510 from an AGATA crystal but with one exception, namely the *Tracking* actor.  
511 Furthermore, the EUROBALL capsule is a single non-segmented HPGe detec-  
512 tor and the PSA was only formally performed on it. In practice, the algorithm

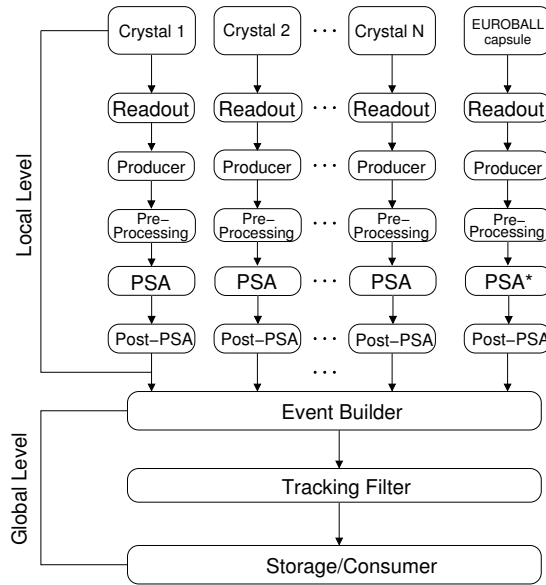


Figure A.1: Structure of AGATA Data Processing; here  $N = 21$ . Each box corresponds to a Narval actor. The EUROBALL capsule is also integrated in the system. The PSA associated to it was marked with an asterisk due to the fact that it was applied only formally. See text for details.

513 applied to it differs significantly from the sophisticated AGATA-tailored algo-  
 514 rithms. Basically, every interaction is treated as if it had happened in the center  
 515 of the crystal.

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