

## LUND UNIVERSITY

#### Self-Directedness and Cooperativeness, Psychosocial Dysfunction and Suffering in **ESSENCE**

Garcia, Danilo; Anckarsäter, Henrik; Lundstrom, Sebastian

Published in: The Scientific World Journal

DOI: 10.1155/2013/416981

2013

Link to publication

Citation for published version (APA): Garcia, D., Anckarsäter, H., & Lundstrom, S. (2013). Self-Directedness and Cooperativeness, Psychosocial Dysfunction and Suffering in ESSENCE. The Scientific World Journal, Article 416981. https://doi.org/10.1155/2013/416981

Total number of authors: 3

**General rights** 

Unless other specific re-use rights are stated the following general rights apply:

- Copyright and moral rights for the publications made accessible in the public portal are retained by the authors and/or other copyright owners and it is a condition of accessing publications that users recognise and abide by the
- legal requirements associated with these rights

· Users may download and print one copy of any publication from the public portal for the purpose of private study You may freely distribute the URL identifying the publication in the public portal

Read more about Creative commons licenses: https://creativecommons.org/licenses/

#### Take down policy

If you believe that this document breaches copyright please contact us providing details, and we will remove access to the work immediately and investigate your claim.

LUND UNIVERSITY

**PO Box 117** 221 00 Lund +46 46-222 00 00



### **Research Article**

# Self-Directedness and Cooperativeness, Psychosocial Dysfunction and Suffering in ESSENCE

Danilo Garcia,<sup>1,2</sup> Henrik Anckarsäter,<sup>1,2,3</sup> and Sebastian Lundström<sup>1,2,4,5</sup>

<sup>1</sup> Centre for Ethics, Law and Mental Health (CELAM), University of Gothenburg, Wallinsgatan 8, Mölndal, 431 41 Gothenburg, Sweden

<sup>2</sup> Institute of Neuroscience and Physiology, The Sahlgrenska Academy, University of Gothenburg, Wallinsgatan 8, Mölndal, 431 41 Gothenburg, Sweden

<sup>3</sup> Department of Clinical Sciences, Lund University, 221 00 Lund, Sweden

<sup>4</sup> Swedish Prison and Probation Service, R&D Unit, Wallinsgatan 8, Mölndal, 431 41 Gothenburg, Sweden

<sup>5</sup> Gillberg Neuropsychiatry Centre, Institute of Neuroscience and Physiology, University of Gothenburg, Gothenburg, 405 30 Gothenburg, Sweden

Correspondence should be addressed to Danilo Garcia; danilo.garcia@neuro.gu.se and Sebastian Lundström; sebastian.lundstrom@neuro.gu.se

Received 10 February 2013; Accepted 19 March 2013

Academic Editors: E. Fernell and H. Minnis

Copyright © 2013 Danilo Garcia et al. This is an open access article distributed under the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited.

*Background.* The acronym ESSENCE (Early Symptomatic Syndromes Eliciting Neurodevelopmental Clinical Examinations) highlights that children seeking clinical treatment are often multiply impaired, thus requiring treatment from several specialties. The aim was to map and relate, on a population level, ESSENCE to two salient predictors of health and adaptation to adversities, namely, Self-Directedness and Cooperativeness and also to dysfunction and suffering. *Methods.* Participants were twins (N = 1892) aged 9 or 12 whose parents were interviewed with the Autism-Tics, ADHD and other Comorbidities inventory (A-TAC), and the Junior Temperament and Character Inventory (J-TCI). The A-TAC was first used to discern four ESSENCE-related screening diagnoses: autism spectrum disorders, attention deficit hyperactivity disorder, learning disabilities, and developmental coordination disorder; second, to quantify dysfunction and suffering in important social areas. *Results.* ESSENCE symptoms were continuously and categorically associated with deficiency in Self-Directedness and Cooperativeness and higher ratings of dysfunction and suffering. The impact of ESSENCE symptoms on these measures of mental health was found in a milder form in about 16% of all children and in a severe form in about 2%. *Conclusion.* Therapeutic interventions focusing on Self-Directedness and Cooperativeness might provide a novel method for child psychiatry in its approach to ESSENCE.

#### 1. Introduction

During the last decades, the notion of mutually exclusive criteria for psychiatric disorders has been questioned [1]. Not only do mental disorders and symptoms coexist, but also share etiology. Twin studies, for example, have shown that the same etiological factors behind autism spectrums disorders (ASDs) also give rise to attention deficit hyperactivity disorder (ADHD), learning disabilities (LDs), and developmental coordination disorder (DCD) [2]. In addition, specific molecular genetic and chromosomal variants and abnormalities found in family studies of ASDs have been shown to give rise to heterogeneous arrays of clinical symptoms, corresponding to different psychiatric categorical diagnoses (e.g., learning disabilities and/or ADHD) [3, 4]. Further evidence for the lack of clear demarcations between neuropsychiatric disorders as defined in current diagnostic manuals has come from clinical and family studies, the quest for valid biomarkers, and the development of atypical neuroleptics which influence symptoms rather than diagnoses (as reviewed by [5]). Therefore, it has been concluded that coexisting disorders are, indeed, the rule rather than the exception in child psychiatry [6].

Recently, Gillberg [7] coined the acronym ESSENCE (Early Symptomatic Syndromes Eliciting Neurodevelopmental Clinical Examinations) to highlight that children seeking clinical treatment are often impaired in multiple domains and require treatment from several specialities. The ESSENCE perspective not only acknowledges the possibilities of shared aetiologies behind seemingly different conditions but also puts emphasis on cognitive problems, developmental deficits and treatment opportunities that are similar across diagnostic categories. Hence, advocating broad clinical assessments, and avoidance of compartmentalisation into specific diagnoses and "disease-specific clinics." In addition it highlights the understanding of diagnostic shifts (i.e., language impairments to ASDs, or ADHD to ASDs) [8, 9] and also that children with ESSENCE conditions would benefit from a wide array of treatment possibilities that include, but are not limited to, pediatricians, social workers, language therapists, child neurologists, psychologists, and geneticists.

The named conditions of ESSENCE were initially thought of as discrete categories (a child either had or did not have ASDs or ADHD), but population-based studies have invariably shown that the symptoms thought to identify these conditions are dimensionally distributed in the general population without "zones of rarity." In addition, recent studies have failed to identify any etiological demarcations between autistic-like traits and ASDs [10] or ADHD-related traits and ADHD [11]. The distribution of traits varies; few children have, for example, conduct problems while a majority have had some ADHD problem, at least "to some degree," at some stage of their lives [12].

The named conditions of ESSENCE have theoretical and clinical links with personality disorders in adulthood; Asperger's disorder was initially described as a form of schizoid personality disorder in children [13], conduct disorder is by definition a prelude to antisocial personality disorder [14], anorexia nervosa has been linked to anancastic and alexithymic personalities, and longitudinal studies have shown that ADHD carries an increased risk for antisocial personality disorder, and a growing clinical literature assesses its links with borderline personality disorder [15]. Moreover, even if most ESSENCE conditions have been classified on the DSM-IV Axis I, learning disorders and ASDs have had their place on Axis II alongside with the personality disorders. Personality traits are, for instance, assumed to be normally distributed in populations, and rating scales have been developed and normalized accordingly [16, 17].

To advance our understanding of ESSENCE, the focus of the present study will be on specific developmental cognitiveemotional capacities as measured by the Temperament and Character Inventory's (TCI) [18] scales of Self-directedness and Cooperativeness. These metacognitive strategies to direct behavior are partly learned, language dependent, and serve as principles to guide executive functions [18]. In contrast to executive functions, that are trained to become automatized, Self-directedness and Cooperativeness require metacognition, that is, thinking about thinking, a "theory of mind" in relation to oneself and to others, in order to achieve the simultaneous experiencing of being a person, being with others, understanding what happens in this being, and being able to adjust behavior to constructive strategies. In adult and child psychiatry, these personality dimensions have been salient predictors of health and adaptation to adversities [19–23]. Self-directedness and Cooperativeness have also been inversely linked with ASD and ADHD in a continuous model in the normal population [24]. Self-directedness indicates how responsible, purposeful, and resourceful an individual is when it comes to achieving his or her goals and values and to identify the self as autonomous. Cooperativeness indicates how well adapted the individual is in getting along with others fairly and flexibly, combing intuition with ethical principles and to identify the self as an integral part of groups and society. Low scores have been found in personality disorders, mood disorders, and psychotic disorders. These scales have, therefore, been proposed to form an overall measure of mental health and adaptive skills, with low scores as a general marker of mental health problems [17, 25].

Based on this literature, we expected that children with different combinations of ESSENCE would consistently show low scores in Self-directedness and Cooperativeness, and that the scores would be specifically associated with dysfunctions and/or suffering in important areas (at school or home, in peer groups). If so, Self-directedness and Cooperativeness could be suggested as a dimensional global measure of the impact of the different, mostly-genetic ESSENCE symptom profiles (i.e., ADHD, ASDs, LDs, or DCD). Interventions promoting Self-directedness and Cooperativeness could reasonably be assumed to improve the individual's possibilities to cope with his or her ESSENCE disabilities (e.g., inattention, communication problems, tics, eating problems, opposition, or compulsions). It is, to the best of the authors' knowledge, unknown if different constellations of ESSENCE are associated with Self-directedness and Cooperativeness and if this can be discerned on a population-level, taking the population distribution into account.

The aim of the present study was twofold:

- to map, continuously and categorically, ESSENCE in relation to Self-directedness and Cooperativeness and dysfunction and suffering;
- (2) to relate ESSENCE to Self-directedness, Cooperativeness and dysfunction and suffering on a population level.

#### 2. Methods

2.1. Subjects. The participants in this study were recruited from the ongoing Child and Adolescent Twin Study in Sweden (CATSS). Parents of all 9-year-old twins in Sweden born from 1992 and onward (the years 1993–1995 also included 12-year-old twins) were asked to participate in a telephone interview containing the Autism-Tics ADHD and other Comorbidities inventory (A-TAC) [26, 27]. The response rate in CATSS is roughly 80% and currently comprises >22 000 twins. Parents of twins born between 1992 and onwards, where one or both twins in a pair were screened positive in the A-TAC for ADHD, ASDs, conduct disorder, oppositional defiant disorder (OCD), developmental coordination disorder and learning disabilities, plus healthy controls, were invited to participate in a followup study (CATSS-questionnaire). CATSS-questionnaire has answering response frequency of 60% [12] and includes, among other instruments, a parental version of the Junior-Temperament and Character Inventory (J-TCI) [28]. The sample used here consists of 2032 individuals of whom 140 were not eligible due to missing scores on the J-TCI (>5% missing responses), which rendered a final sample of 1892 (boys = 1040, girls = 852; 1121 aged 9 years old, 771 aged 12 years old). For a detailed description of CATSS, please see Anckarsater and colleagues' article [12].

#### 2.2. Measures

#### 2.2.1. A-TAC

ESSENCE. The A-TAC is a parental telephone interview that was designed to screen for neu-rodevelopmental disorders; it has been validated three times crosssectionally [26, 27, 29, 30], once longitudinally [11], and once independent of the creators research group [31]. The A-TAC consists of 96 items that are scored "1" for "yes," "0.5" for "yes, to some extent," and "0" for "no." The ASDs-domain in A-TAC consists of three modules: language (6 items), social interaction (6 items), and flexibility (5 items), collapsed these modules give an ASDs-score ranging from 0 to 17. The ADHD-domain consists of two modules: concentration and attention (9 items) and impulsiveness and activity (10 items), which collapsed give an ADHD-score ranging from 0 to 19. The LDs consist of one module comprising three items (score ranging between 0 and 3). The motor control module, corresponding to DCD, consists of one item (score ranging between 0 and 1). In the present study, we used the cut-offs derived by Larson et al. [27]: for ASDs  $\geq$  4,5 (sensitivity .91/specificity .80), for ADHD  $\geq$  6.0 (.91/.73), for LDs  $\geq$ 1.0 (.92/.60), and for DCD  $\geq$  0.5 (.63/.68). Distribution, heritability estimates, and Cronbach's  $\alpha$  for all scales are given elsewhere in other publications [12, 27].

*Dysfunction and Suffering.* For each module, in which at least one items is scored "0.5" or "1," the parents were asked (1) if the endorsed symptoms have led to dysfunction at school, among peers, or at home, or (2) if the child suffers from the symptoms. These questions are also scored "1" for "yes," 0.5 for "yes, to some extent," and "0" for "no." A scale measuring dysfunction and suffering was created using the answers from the aforementioned seven modules in the A-TAC, and thus, theoretically, the raw score ranged from 0 to 14. Using the means and standard deviations from the full CATSS-sample (i.e.,  $0.26 \pm 1.15$ ), the scale was standardized by transforming the raw scores into T-scores.

2.2.2. Junior-Temperament and Character Inventory (J-TCI). The J-TCI was designed to measure temperament and character during childhood [32] according to the psychobiological model of personality [18]. In the present study, we used the parent-rated version of the J-TCI, which comprises 108 items that are answered using a binary scale ("yes" coded as 1, "no" coded as 0). Here, we focus on the Self-directedness (20 items, e.g., "My child does not blame other people or circumstances

for his/her choices") and Cooperativeness (20 items e.g., "My child treats everyone with kindness and respect no matter how unimportant or bad they are") scales. For each scale, the raw score was transformed to T-scores using the means and standard deviations from the Swedish validation of the parent version of the J-TCI [28] (for Self-directedness:  $16.8 \pm 2.7$ ; for Cooperativeness: 16.8  $\pm$  2.5). Moreover, as the sum of Self-directedness and Cooperativeness is commonly used as a measure of character maturity [33], we also summarized the raw scores into a single scale (SD + CO). Using the means and standard deviations of the SD + CO composite (33.6  $\pm$ 4.4) from the Swedish validation of the J-TCI [28], the sum was then transformed to T-scores. In T-scores, 50 represents the mean and a difference of 10 from the mean indicates a difference of one standard deviation. With regard to Selfdirectedness and Cooperativeness, immaturity is measured as 2 standard deviations below the mean, that is, a T-score of 30 [33].

#### 2.3. Analyses

2.3.1. Continuous. The mean T-score of SD + CO, Selfdirectedness, and Cooperativeness were calculated for each A-TAC score. The distributions of autistic traits, ADHDtraits, LD-traits, and DCD-traits were converted into population percentiles based on the results from the baseline CATSS-study,  $n = 17\ 220\ [12]$ . The mean T-scores for the SD + CO composite were then calculated for each population percentile, in order to describe the impact of ESSENCE traits on a population level. Similarly, T-scores of dysfunction and suffering were calculated for each A-TAC score and each population percentile.

2.3.2. Categorical. Using the four categorical screening diagnoses, ten different categories of coexisting conditions that always included ADHD or ASDs were created taking all different constellations of coexisting conditions into account (i.e, ADHD + ASDs + DCD + LDs or ASDs + DCD, etc.). In addition, four "pure" categories were created: ASDs, ADHD, LD, or DCD only). All categories were mutually exclusive; that is, it was not possible to belong to more than one category. Mean T-scores for Self-directedness, Cooperativeness, SD + CO, and dysfunction and suffering were then calculated for all of the 14 categories.

#### 3. Results

3.1. Continuous Measures of ESSENCE Conditions. For each increasing A-TAC scale step (i.e., one more endorsed symptom question on ADHD, ASDs, LDs, or DCD), the mean SD + CO score decreased and the number of reported dysfunctions and sufferings increased (Tables 1 and 2). This pattern was similar for all four types of ESSENCE A-TAC scores (see Tables S1 and S2 of the Supplementary Material available online on http://dx.doi.org/10.1155/2013/416981 for results regarding Self-directedness and Cooperativeness).

As compared to the ASDs score, a higher ADHD score was required to affect Self-directedness and Cooperativeness

and to cause dysfunction and/or suffering (at 7 or more points in the ADHD score; mean SD + CO was lowered by more than one standard deviation, while the corresponding effect was noted at 3 points on the ASDs score). The number of reported areas of dysfunction and/or suffering increased at about the same scores.

3.2. Analysis of ESSENCE Groups/Categories. The mean Tscores in Self-directedness, Cooperativeness, SD + CO, and dysfunction and suffering are reported for all diagnostic groups in Table 3. In Figure 1, we have plotted the mean T-scores of SD + CO and dysfunction and suffering. The presence of any ESSENCE condition was related to a decrease in Self-directedness and Cooperativeness, including DCD (i.e., motor discoordination) that is often overlooked in psychiatry. The diagnostic combinations that included ASDs resulted in Self-directedness and Cooperativeness scores at least two standard deviations below the mean. A trend could also be discerned showing that the higher the number of concomitant conditions, the greater the decrease in Selfdirectedness and Cooperativeness. For instance, the combination of ASDs + ADHD+ DCD + MR displayed a mean T-score of 21 while ADHD + LDs and ASDs + MR had mean scores of 37 and 32, respectively, while higher mean SD + CO T-scores could be seen in groups without any concomitant conditions (ASDs = 34; ADHD = 38; LDs = 41; DCD = 46). Again, a decrease in Self-directedness and/or Cooperativeness was accompanied by reports of dysfunction and suffering.

3.3. Deficits in Self-Directedness and Cooperativeness and Dysfunction and Suffering in relation to the Population Distribution of ESSENCE. In a subsequent step, to avoid the effects of scoring ESSENCE problems by symptom scales that contain different numbers of items and are ordinal data, the different A-TAC symptom scores were transformed into population percentiles. Mean T-scores of SD + CO and dysfunction and suffering were plotted against these population percentiles (see Figure 2). A consistent pattern of population impact across the ESSENCE conditions (DCD with is limited distribution only vaguely reflected the pattern) ensued. Going from the average towards the extreme end of the population distribution, dysfunction and suffering were first noticeable at about the 82-84th percentile of ESSENCE symptom scores, where also the SD + CO score had decreased by about one standard deviation. At about the 98 percentile in ADHD, ASDs, and LDs, a surge in dysfunction and suffering was mirrored by a rapid decrease in mean SD + CO (Figure 2). At the 99th percentile, SD + CO was 2 standard deviations below the mean, mirroring high ratings of dysfunction and suffering. The seemingly different impact of each scaling step in the A-TAC algorithms thus disappears when transformed into percentiles.

#### 4. Discussion

In this paper, we forward the knowledge of the field by showing that (1) the number of symptoms of ESSENCE



FIGURE 1: The graph shows means in SD + CO and dysfunction and suffering (T-scores) as a function of ESSENCE profiles. SD + CO: left-axis scale; dysfunction and suffering: right-axis scale.



FIGURE 2: The graph shows means in SD + CO and dysfunction and suffering (T-scores) as a function of the population percentile. SD + CO: left-axis scale; dysfunction and suffering: right-axis scale.

is continuously associated with both deficient development of Self-directedness and Cooperativeness and psychosocial dysfunction and suffering on a population level in children aged 9 or 12. (2) Combinations of ESSENCE, especially those including ASDs, were associated with particularly low scores in Self-directedness and Cooperativeness and with higher

TABLE 1: Number of individuals (N), means (M; bold typed), and standard deviations (sd) in SD + CO (T-scores) for each gate score in the A-TAC modules: ASDs, ADHD, LDs, and DCD.	umber	of indi	ividual	s (N), I	neans	(M; bo	ld type	d), and	stand	ard dev	viations	s (sd) i	n SD +	CO (J	-score	s) for e	ach ga	te scor	e in the	e A-TA	C modu	ıles: A	sDs, A	DHD,	LDs, ai	nd DC	Ū.
A-TAC score	ė	0		0.5 1.0 1.5	1.5	2.0	2.5	3.0	3.5 4.0		4.5	5.0	5.5	6.0	6.5	7.0	7.5	8.0	8.5	9.0	.5 10	.0 10	.5 11	.0 11	9.5 10.0 10.5 11.0 11.5 12.0	0 ≥12.5	5
	N	657	291	215	141	121	75	69	57	35	33	22	24	6	19	18	10	11	83*								
ASDs	Μ	50.7	47.0	44.7	41.3	42.3	34.8	35.1	38.4	37.6	31.8	32.3	29.9	30.2	22.2	21.2	28.9	30.5	16.0								
	Sd	9.6	11.4	13.2	15.1	12.4	13.7	14.1	15.1	11.3	16.8	20.4	17.1	16.2	13.6	18.2	16.5	13.5	16.2								
	N	504	164	139	98	80	80	57	56	56	46	51	46	39	37	33	29	32	25			24 27		24 2	23 16		4
ADHD	Μ	51.3	49.5	49.1	46.9	45.1	44.3	41.8	41.5	41.3	<b>40.7</b>	35.8	41.0	39.1	35.1	33.9	37.9		37.5 3	39.7 3	34.2 31	31.9 34	34.8 31.1		29.6 26.1		
	Sd	9.5	11.3	9.7	10.9	12.2	14.0	13.0	15.6	13.6	13.7	17.4	12.4	13.9	14.1	15.2	14.2	13.7			15.5 15	15.7 17	2 15.6	.6 16.9	.9 16.2		9
	N	1180	203	157	106	102	55	88																			
LDs	Μ	46.6	42.0	41.6	35.5	35.2	29.7	29.6																			
	Sd	13.0	15.3	15.4	16.7	18.2	18.3	16.5																			
	Ν	1591	211	89																							
DCD	Μ	44.9	34.7	31.7																							
	Sd	13.9	17.6	20.4																							
* ≥8.5.																											

LDs, and DCD,	Ð.																										
A-TAC score	e	0		1.0	1.5	2.0	2.5									7.0	7.5	8.0	8.5	9.0	9.5	0.0	10.0 10.5 11.0 11.5 12.0	1.0 1	1.5 12	.0 ≥12.5	2.5
	Ν	657	291	215	141	121	75									18	10	11	83*								
ASDs	Μ	48.9	51.9	54.8	59.5	63.3	67.9									00.2	80.8	103.5	112.3								
	Sd	4.0	8.5	11.5	14.0	16.1	18.7	19.3	18.9	20.9	20.0	23.4	26.6 2	24.5	26.5	23.7	20.2	21.7	30.4								
	Ν	504	164	139	98	80	80									33	29	32	25								4
ADHD	Μ	<b>48.8</b>	49.7	51.0	52.1	52.8	54.0									73.7	67.2	78.4	74.3	74.8 7	78.6 8	80.3 7	75.9 9	92.1 8	87.1 92	92.6 99	99.4
	Sd	3.7	5.1	7.3	9.3	8.0	11.2									21.9	21.4	24.5	19.8								8.
	Ν	1180	203	157	106	102	55	88																			
LDs	Μ	53.5	60.2	66.6	67.9	80.7	86.6	96.5																			
	Sd	13.2	18.7	24.5	20.6	27.1	28.3	29.5																			
	N	1591	211	89																							
DCD	Μ	56.5	78.0	91.8																							
	Sd	16.1	28.9	35.0																							
* ≥8.5.																											

TABLE 2: Number of individuals (N), means (M; bold typed), and standard deviations (sd) in dysfunction and suffering (T-scores) for each gate score in the A-TAC modules: ASDS, ADHD, LDs, and DCD.

The Scientific World Journal

ESSENCE profile	Ν	Self-directedness	Cooperativeness	SD + CO	Dysfunction and suffering
ASDs + ADHD + DCD	25	23	26	20	102
ASDs + ADHD + DCD + LDs	79	23	28	21	113
ASDs + ADHD + LDs	50	24	29	22	97
ADHD + ASDs	28	33	28	27	98
ASDs + DCD + LDs	9	28	35	28	68
ASDs + DCD	9	39	29	31	72
ASDs + LDs	9	30	41	32	79
ASDs	20	37	35	34	68
ADHD + DCD + LDs	44	33	44	36	88
ADHD + LDs	105	34	44	37	75
ADHD	151	39	41	38	65
ADHD + DCD	28	40	43	40	72
LDs	181	43	48	41	57
DCD	75	46	47	46	54

TABLE 3: Number of individuals and mean (T-scores) in Self-directedness, Cooperativeness, SD + CO, and dysfunction and suffering across ESSENCE profiles.

rating scores of dysfunction and suffering. (3) The impact of symptoms of ESSENCE on deficient Self-directedness and Cooperativeness and psychosocial dysfunction and suffering was found in a milder form in about 16% of all children and a severe form in about 2%, which corresponds to an underlying normal distribution of overall mental health.

Based on the findings presented here, the following conclusions may be drawn. First, not only in adults [25, 33, 34] and adolescents [35-41] but also in childhood, the Self-directedness and Cooperativeness scales measure an intrinsic aspect of global mental health. At least, low-to-very low scores identify something shared by individuals who (also) exhibit symptoms of mental disorders and associated functional deficits and/or suffering. It may be argued that low Self-directedness and Cooperativeness is merely an epiphenomenon, a "marker" of the neuropsychiatric dysfunctions, and that lacking sense of responsibility, self-control, and social skills such as tolerance to others, empathy, and the ability to be helpful and showing compassion is part of the definitions of ADHD and ASDs. The clear association to the dysfunction and suffering scale here, however, speaks against this stance. How successful a person is in influencing his or her own behavior and in interacting with others depends on sophisticated metacognition (i.e., thinking about thinking, taking different perspectives, and evaluating possible consequences of actions on others and on oneself) based on intuition and other emotions, mental strength and education-given knowledgeable insights, goals, and respect. Deficiencies in these processes, expressed as undercontrolled behavior patterns with destructive consequences, may be seen as "endophenotypes" of the ESSENCE conditions. This is supported by recent results [24], where cross-twin crosstrait correlations indicate commonalities in the etiology in ADHD, ASDs, and Self-directedness and Cooperativeness. A viable approach for future twin studies would be to disentangle the etiological association between Self-directedness and Cooperativeness and ESSENCE. The results presented here indicate that goal setting, effortful control, respect for

the own person and for others (all descriptions of high Selfdirectedness and Cooperativeness) are important in order to avoid developing the full picture of psychosocial dysfunction and suffering associated with severe forms of ESSENCE.

Second, the results seem to indicate worse trajectories for those that are impaired in multiple ESSENCE domains, which further highlights the need for broad assessments. Further studies should investigate whether the number of conditions is an independent risk factor even when the total score is taken into account.

Third, the continuous population association results further advance the notion that there is no qualitative demarcation between traits and disorders [10, 30]. The mirroring of the SD + CO to dysfunction and suffering suggests that ESSENCE traits, or a third factor associated to both ESSENCE and SD + CO, give rise to mental health vulnerability in a considerable group of developing individuals, while the impact is severe on a small group of individuals; that is, if problems are prolonged, they may be more prone to develop deleterious disorders like schizophrenia.

4.1. Clinical Implications. The main focus of interventions for ESSENCE has been directed towards the core-symptoms of the disorders per se (e.g., pharmacotherapy for inattention/hyperactivity, special education for learning problems, and sociocommunicative training for ASDs). However, there is now evidence from children [24], adolescents [42], and adults [20] to state that Self-directedness and Cooperativeness are intrinsic to ADHD and ASDs, and conversely, that behavior problems referred to as personality disorders, deviant personality traits, destructive behavior patterns, or merely poor education, on the population level and in many individual cases, have antecedents in the form of childhood neuropsychiatric problems as included in the ESSENCE definition.

Recent population-based longitudinal studies show increases in Self-directedness and Cooperativeness (which

is an indicator of increasing responsibility and relatedness) with age (from 20 to 45) [43]. Prospective studies show that parental care giving and home environment are more strongly associated with offspring's Self-directedness and Cooperativeness than with offspring's temperament later in adulthood [44]. Moreover, the possibility to treat deficiency in Self-directedness and Cooperativeness in relation to ESSENCE in the developing years is supported by a recent adolescent study, where the possibility to develop a sense of responsibility (i.e., self-directed behavior) and cooperation even when constrained by genetic and environmental adversity was assessed [42]. Monozygotic cotwins of probands reporting severe personality problems (i.e., extremely low in Self-directedness and Cooperativeness) were found to vary widely into the normal range. This pattern was also found among monozygotic co-twins to probands who had a parent-rated DSM-IV disruptive behaviour disorder (i.e., attention-deficit/hyperactivity disorder, oppositional defiant disorder, or conduct disorder). In other words, Self-directedness and Cooperativeness are to some degree malleable even under genetic and environmental adversity. Thus, the identification of Selfdirectedness and Cooperativeness as a core deficit in ESSENCE might be a promising starting point to focus on for professionals in the treatment of children impaired within multiple domains. The success of such collective effort might end in the alleviation of dysfunctions and self-related suffering.

According to Cloninger [45], therapeutic interventions focusing on the development of positive emotions and different constructs of Self-directedness and Cooperativeness (e.g., sense of responsibility and purpose, helpfulness, and empathy) have been shown to enhance well-being and provide alleviation for problems and disabilities in the general population, as well as in most, if not all, mental disorders [33, 45–50]. When compared with cognitive behavioral therapy or psychotropic medications alone, these interventions show improvements in Self-directedness and Cooperativeness and in treatment adherence among individuals with mental disorders [45]. The question whether Self-directedness and Cooperativeness can be increased among children with ESSENCE, however, remains to be formally tested in controlled trials, before personality disorders and their spectra of associated mental health problems are established in early adulthood. It is plausible to suggest that the adolescent years provide a window of opportunities for improving an individual's Self-directedness and Cooperativeness. Indeed, neuroimaging research suggests that cognitive and behavioral changes occurring during adolescence might be understood from the perspective of increased "executive functioning" (e.g., attention, response inhibition, regulation of emotion, organization, and long-range planning; for a review, see [51]).

4.2. Limitations. The findings in this paper should be viewed in the light of some limitations. (1) The scores for dysfunctions and suffering have not been formally validated. In support of the assumption that these questions provide valid information on children, it may be argued that they are concrete questions asked after describing every possible diagnostic symptom of the condition, and that they have convergence with the symptom scores and the scores presented here. (2) The finding that the presence of multiple ESSENCE domains was associated with a more severe impairment might have been an artifact from the rating process, as broader problems in several modules gave more opportunities to answer dysfunction and suffering questions. However, the concomitant decrease in SD + CO would speak against the notion of this as merely an artifact. In addition, it is unusual that individuals with ASDs do not report one single ADHD symptom [52], and since only one fully or partially endorsed question in any of the A-TAC modules would have led to the questions of dysfunction and suffering, it seems unreasonable that this would explain a large part of the observed effect. (3) The low sensitivity and specificity of the DCD-cutoff warrants caution when interpreting the results, at the same time it was associated ( $\pm 0.5$  standard deviations) with a decreased SD + CO and an increase in dysfunction and suffering.

#### 5. Conclusion

Self-directness and Cooperativeness are related to a number of problems included in the ESSENCE and to dysfunction and suffering in individuals screening positive for ASDs, ADHD, LDs, and DCD. These associations can also be discerned on a population level. Based on symptom scores, it would seem that ASDs have a stronger influence, compared to that of ADHD, on Self-directedness and Cooperativeness, but this effect disappeared when the population distribution was accounted for. The results from the present study would indicate that developmental deficits in Self-directedness and Cooperativeness affect a group of about 16% of all children moderately and 2% of all children severely.

Medicalization of problems ("it was the ADHD that smashed the window" or "it's a disease, nothing he/she can help") may contribute to the development of even lower Selfdirectedness and Cooperativeness and thereby even more severe mental health problems. Therapeutic interventions focusing on Self-directedness and Cooperativeness might provide a novel method for child psychiatry in its approach to ESSENCE and also provide constructive ways to acknowledge the reality of neurocognitive problems.

#### Acknowledgments

The CATSS is supported by the Swedish Council for Working Life and Social Research, the Swedish Research Council, Systembolaget, the National Board of Forensic Medicine, Swedish prison and Probation Services, and the Bank of Sweden Tercentenary Foundation.

#### References

- C. Gillberg, *Clinical Child Neuropsychiatry*, Cambridge University Press, Cambridge, UK, 1995.
- [2] P. Lichtenstein, E. Carlström, M. Råstam, C. Gillberg, and H. Anckarsäter, "The genetics of autism spectrum disorders and

related neuropsychiatric disorders in childhood," *The American Journal of Psychiatry*, vol. 167, no. 11, pp. 1357–1363, 2010.

- [3] D. T. Miller, Y. Shen, L. A. Weiss et al., "Microdeletion/ duplication at 15q13.2q13.3 among individuals with features of autism and other neuropsychiatric disorders," *Journal of Medical Genetics*, vol. 46, no. 4, pp. 242–248, 2009.
- [4] C. Betancur, "Etiological heterogeneity in autism spectrum disorders: more than 100 genetic and genomic disorders and still counting," *Brain Research*, vol. 1380, pp. 42–77, 2011.
- [5] H. Anckarsäter, "Beyond categorical diagnostics in psychiatry: scientific and medicolegal implications," *International Journal* of Law and Psychiatry, vol. 33, no. 2, pp. 59–65, 2010.
- [6] M. Coleman and C. Gillberg, *The Autisms*, Oxford University Press, New York, NY, USA, 4th edition, 2012.
- [7] C. Gillberg, "The ESSENCE in child psychiatry: early symptomatic syndromes eliciting neurodevelopmental clinical examinations," *Research in Developmental Disabilities*, vol. 31, no. 6, pp. 1543–1551, 2010.
- [8] K. Chawarska, A. Klin, R. Paul, S. Macari, and F. Volkmar, "A prospective study of toddlers with ASD: short-term diagnostic and cognitive outcomes," *Journal of Child Psychology and Psychiatry and Allied Disciplines*, vol. 50, no. 10, pp. 1235–1245, 2009.
- [9] C. Miniscalco, G. Nygren, B. Hagberg, B. Kadesjö, and C. Gillberg, "Neuropsychiatric and neurodevelopmental outcome of children at age 6 and 7 years who screened positive for language problems at 30 months," *Developmental Medicine and Child Neurology*, vol. 48, no. 5, pp. 361–366, 2006.
- [10] S. Lundström, Z. Chang, M. Råstam et al., "Autism spectrum disorders and autistic-like traits: similar etiology in the extreme end and the normal variation," *Archives of General Psychiatry*, vol. 69, no. 1, pp. 46–52, 2012.
- [11] T. Larson, N. Kerekes, E. Norén Selinus et al., "Test-retest reliability of the Autism—tics, ADHD and other comorbidities inventory (A-TAC)," Manuscript under editorial evaluation.
- [12] H. Anckarsäter, S. Lundström, L. Kollberg et al., "The child and adolescent twin study in Sweden (CATSS)," *Twin Research and Human Genetic*, vol. 14, no. 6, pp. 495–508, 2011.
- [13] H. Asperger, "Die "Autistischen Psychopathen" im Kindesalter," Archiv für Psychiatrie und Nervenkrankheiten, vol. 117, no. 1, pp. 76–136, 1944.
- [14] American Psychiatric Association (APA), *Diagnostic and Statistical Manual of Mental Disorders (DSM-IV)*, American Psychiatric Association, Washington, DC, USA, 4th edition, 1994.
- [15] S. Bernardi, S. V. Faraone, S. Cortese et al., "The lifetime impact of attention deficit hyperactivity disorder: results from the national epidemiologic survey on alcohol and related conditions (NESARC)," *Psychological Medicine*, vol. 42, no. 4, pp. 875–887, 2012.
- [16] S. Brändström, S. Sigvardsson, P. O. Nylander, and J. Richter, "The Swedish version of the temperament and character inventory (TCI): a cross-validation of age and gender influences," *European Journal of Psychological Assessment*, vol. 24, no. 1, pp. 14–21, 2008.
- [17] C. R. Cloninger, T. R. Przybeck, D. M. Svrakic, and R. D. Wetzel, *The Temperament and Character Inventory (TCI): A Guide to Its Development and Use*, Washington University Center for Psychobiology of Personality, St. Louis, Mo, USA, 1994.
- [18] C. R. Cloninger, D. M. Svrakic, and T. R. Przybeck, "A psychobiological model of temperament and character," *Archives of General Psychiatry*, vol. 50, no. 12, pp. 975–990, 1993.

- [19] H. Söderström, M. Råstam, and C. Gillberg, "Temperament and character in adults with Asperger syndrome," *Autism*, vol. 6, no. 3, pp. 287–297, 2002.
- [20] H. Anckarsäter, O. Ståhlberg, T. Larson et al., "The impact of ADHD and autism spectrum disorders on temperament, character, and personality development," *The American Journal* of *Psychiatry*, vol. 163, no. 7, pp. 1239–1244, 2006.
- [21] J. T. Nigg and B. J. Casey, "An integrative theory of attentiondeficit/hyperactivity disorder based on the cognitive and affective neurosciences," *Development and Psychopathology*, vol. 17, no. 3, pp. 785–806, 2005.
- [22] J. T. Nigg, K. R. Silk, G. Stavro, and T. Miller, "Disinhibition and borderline personality disorder," *Development and Psychopathology*, vol. 17, no. 4, pp. 1129–1149, 2005.
- [23] F. E. van Dijk, M. Lappenschaar, C. C. Kan, R. J. Verkes, and J. K. Buitelaar, "Symptomatic overlap between attentiondeficit/hyperactivity disorder and borderline personality disorder in women: the role of temperament and character traits," *Comprehensive Psychiatry*, vol. 53, no. 1, pp. 39–47, 2011.
- [24] N. Kerekes, S. Brändström, L. Lundström, M. Råstam, T. Nilsson, and H. Anckarsäter, "ADHD, autism spectrum disorder, temperament, and character: phenotypical associations and etiology in a Swedish childhood twin study," Manuscript submitted for editorial evaluation.
- [25] D. M. Svrakic, C. Whitehead, T. R. Przybeck, and C. R. Cloninger, "Differential diagnosis of personality disorders by the seven-factor model of temperament and character," *Archives* of General Psychiatry, vol. 50, no. 12, pp. 991–999, 1993.
- [26] S. L. Hansson, A. S. Röjvall, M. Rastam, C. Gillberg, C. Gillberg, and H. Anckarsäter, "Psychiatric telephone interview with parents for screening of childhood autism—tics, attention-deficit hyperactivity disorder and other comorbidities (A-TAC): preliminary reliability and validity," *The British Journal of Psychiatry*, vol. 187, pp. 262–267, 2005.
- [27] T. Larson, H. Anckarsäter, C. Gillberg et al., "The autismtics, AD/HD and other comorbidities inventory (A-TAC): further validation of a telephone interview for epidemiological research," *BMC Psychiatry*, vol. 10, article 1, 2010.
- [28] N. Kerekes, S. Brändström, O. Ståhlberg et al., "The Swedish version of the parent-rated junior temperament and character inventory (J-TCI)," *Psychological Reports*, vol. 107, no. 3, pp. 715– 725, 2011.
- [29] H. Larsson, H. Anckarsäter, M. Råstam, Z. Chang, and P. Lichtenstein, "Childhood attention-deficit hyperactivity disorder (ADHD) as an extreme of a continuous trait: a quantitative genetic study of 8500 twin pairs," *Journal of Child Psychology and Psychiatry*, vol. 53, no. 1, pp. 73–80, 2012.
- [30] T. Larson, T. Nilsson, S. Lundström et al., "Predictive properties of the A-TAC inventory when screening for childhood-onset neurodevelopmental problems in a population based sample," Manuscript under editorial evaluation.
- [31] E. Cubo, S. S. Velasco, V. D. Benito et al., "Psychometric attributes of the Spanish version of A-TAC screening scale for autism spectrum disorders," *Anales de Pediatría*, vol. 75, no. 1, pp. 40–50, 2011.
- [32] J. L. Luby, D. M. Svrakic, K. McCallum, T. R. Przybeck, and C. R. Cloninger, "The junior temperament and character inventory: preliminary validation of a child self-report measure," *Psychological Reports*, vol. 84, no. 3, pp. 1127–1138, 1999.
- [33] C. R. Cloninger, Feeling Good: The Science of Well-Being, Oxford University Press, New York, NY, USA, 2004.

- [34] C. R. Cloninger and A. H. Zohar, "Personality and the perception of health and happiness," *Journal of Affective Disorders*, vol. 128, no. 1-2, pp. 24–32, 2011.
- [35] D. Garcia, "Two models of personality and well-being among adolescents," *Personality and Individual Differences*, vol. 50, no. 8, pp. 1208–1212, 2011.
- [36] D. Garcia, N. Kerekes, A. C. Andersson-Arntén, and T. Archer, "Temperament, character, and adolescents' depressive symptoms: focusing on affect," *Depression Research and Treatment*, vol. 2012, Article ID 925372, 8 pages, 2012.
- [37] D. Garcia, N. Kerekes, and T. Archer, "A will and a proper way leading to happiness: self-directedness mediates the effect of persistence on positive affectivity," *Personality and Individual Differences*, vol. 53, no. 8, pp. 1034–1038, 2012.
- [38] D. Garcia and S. Moradi, "Adolescents' temperament and character: a longitudinal study on happiness," *Journal of Happiness Studies*, vol. 13, no. 5, pp. 931–946, 2012.
- [39] A. A. Nima, T. Archer, and D. Garcia, "Adolescents' happinessincreasing strategies, temperament, and character: mediation models on subjective well-being," *Health*, vol. 4, no. 10, pp. 802– 810, 2012.
- [40] D. Garcia, "The affective temperaments: differences between adolescents in the big five model and cloninger's psychobiological model of personality," *Journal of Happiness Studies*, vol. 13, no. 6, pp. 999–1017, 2012.
- [41] E. Schütz, T. Archer, and D. Garcia, "Character profiles and adolescents' self-reported happiness," *Personality and Individual Differences*, vol. 54, no. 7, pp. 841–844, 2013.
- [42] D. Garcia, A. Stråge, S. Lundström et al., "Responsibility and cooperativeness are constrained, not determined," Manuscript under evaluation.
- [43] K. Josefsson, M. Jokela, C. R. Cloninger et al., "Maturity and change in personality: developmental trends of temperament and character in adulthood," *Development and Psychopathology*. In press.
- [44] K. Josefsson, M. Jokela, M. Hintsanen et al., "Parental care-giving and home environment predicting offspring's temperament and character traits after 18 years," *Psychiatry Research*, 2013.
- [45] C. R. Cloninger, "Fostering spirituality and well-being in clinical practice," *Psychiatric Annals*, vol. 36, no. 3, pp. 156–162, 2006.
- [46] R. F. D'Souza and A. Rodrigo, "Spiritually augmented cognitive behavioural therapy," *Australias Psychiatry*, vol. 12, no. 2, pp. 148–152, 2004.
- [47] G. A. Fava, C. Rafanelli, M. Cazzaro, S. Conti, and S. Grandi, "Well-being therapy. A novel psychotherapeutic approach for residual symptoms of affective disorders," *Psychological Medicine*, vol. 28, no. 2, pp. 475–480, 1998.
- [48] G. A. Fava, C. Rafanelli, S. Grandi, S. Conti, and P. Belluardo, "Prevention of recurrent depression with cognitive behavioral therapy: preliminary findings," *Archives of General Psychiatry*, vol. 55, no. 9, pp. 816–820, 1998.
- [49] G. A. Fava, C. Ruini, C. Rafanelli et al., "Well-being therapy of generalized anxiety disorder," *Psychotherapy and Psychosomatics*, vol. 74, no. 1, pp. 26–30, 2005.
- [50] M. Seligman, Authentic Happiness: Using the New Positive Psychology to Realize Your Potential for Lasting Fulfillment, Free Press, New York, NY, USA, 2002.
- [51] J. N. Giedd, "The teen nrain: insights from neuroimaging," *Journal of Adolescent Health*, vol. 42, no. 4, pp. 335–343, 2008.
- [52] S. Lundström, Z. Chang, N. Kerekes et al., "Autistic-like traits and their association with mental health problems in two

10

nationwide twin cohorts of children and adults," *Psychological Medicine*, vol. 41, no. 11, pp. 2423–2433, 2011.

