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## Mast cell-associated alveolar inflammation in patients with atopic uncontrolled asthma

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1 **MAST CELL-ASSOCIATED ALVEOLAR INFLAMMATION IN**  
2 **PATIENTS WITH ATOPIC UNCONTROLLED ASTHMA.**

3

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19

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21

22 **ABSTRACT**

23 **Background:** A significant proportion of patients with asthma have persistent symptoms  
24 despite treatment with inhaled glucocorticosteroids (ICS).

25 **Objective:** We hypothesized that in these patients the alveolar parenchyma is subjected to  
26 mast cell-associated alterations.

27 **Methods:** Bronchial and transbronchial biopsies from healthy controls (n=8), patients with  
28 allergic rhinitis (AR) (n=8) and patients with atopic uncontrolled asthma (symptoms despite  
29 treatment with ICS: mean dose: 743 µg/day, n=14) were processed for immunohistochemical  
30 identification of mast cell subtypes and mast cell expression of FcεRI and surface-bound IgE.

31 **Results:** Whereas no difference in density of total bronchial mast cells was observed between  
32 asthmatic patients and healthy controls, the total alveolar mast cell density was increased in  
33 the asthmatics (p<0.01). Division into mast cell subtypes revealed that in bronchi of  
34 asthmatics, MC<sub>T</sub> numbers decreased compared to controls (p≤0.05), while MC<sub>TC</sub> increased  
35 (p≤0.05). In the alveolar parenchyma from asthmatics an increased density was found for both  
36 MC<sub>T</sub> (p≤0.05) and MC<sub>TC</sub> (p≤0.05). The increased alveolar mast cell densities were paralleled  
37 by an increased mast cell expression of FcεRI (p<0.001) compared to the controls. The  
38 asthmatics also had increased numbers (p<0.001) and proportion (p<0.001) of alveolar mast  
39 cells with surface-bound IgE. Similar increases in densities, FcεRI expression, and surface-  
40 bound IgE were not seen in separate explorations of alveolar mast cells in patients with AR.

41 **Conclusions:** Our data suggest that patients with atopic uncontrolled asthma have an  
42 increased parenchymal infiltration of MC<sub>T</sub> and MC<sub>TC</sub> populations with increased expression  
43 of FcεRI and surface-bound IgE compared to atopic and non-atopic controls.

44

45 **Clinical Implications:** The present mast cell alterations in the alveolar parenchyma represent  
46 a novel feature of asthma that may have clinical implications and support the rationale to target  
47 the distal airways in uncontrolled asthmatics on ICS.

48

49 **Capsule Summary:** This study demonstrates that in asthmatic patients with persistent  
50 symptoms despite conventional ICS therapy the alveolar parenchyma is infiltrated by  
51 increased numbers of mast cells that have increased expression of the high-affinity receptor  
52 for IgE (FcεRI).

53

54

55 **Key words:** mast cells; asthma; FcεRI; IgE; allergy; peripheral inflammation; alveolar  
56 parenchyma.

57

58 **Abbreviations**

59 IgE: immunoglobulin E

60 FcεRI: high affinity IgE receptor

61 ICS: inhaled glucocorticosteroids

62 GINA: global initiative for asthma

63 COPD: chronic obstructive pulmonary fibrosis

64 CF: cystic fibrosis

65 IPF: Idiopathic pulmonary fibrosis

66 AR: allergic rhinitis

67 ACT: asthma control test

68 MC<sub>TC</sub>: tryptase and chymase positive mast cells (connective tissue mast cells)69 MC<sub>T</sub>: tryptase positive mast cells (mucosal mast cells)

70 HRP: horseradish peroxidase

71 DAB: 3,3' diaminobenzidine

72 AP: alkaline peroxidase

73 PD<sub>20</sub>: cumulative dose of bronchoconstrictor where FEV<sub>1</sub> fell by 20 % or more74 FEV<sub>1</sub>: forced expiratory volume in 1 second

75 FVC: forced vital capacity

76 p.r.n: pro re nata, as needed

77

78 **INTRODUCTION**

79 Asthma is a chronic inflammatory airway disease that is characterized by a reversible airway  
80 obstruction and airway hyperreactivity<sup>1, 2</sup>. Most patients have an allergic component where  
81 the immunoglobulin E (IgE) plays a central role by activating key immune cells through the  
82 high affinity IgE receptor, FcεRI<sup>3, 4</sup>. Although treatment with bronchodilators and inhaled  
83 glucocorticosteroids (ICS) generally provide good control of the disease, a significant  
84 proportion of the asthma patients have persistent symptoms despite conventional therapy<sup>5</sup>.  
85 This phenomenon, referred to as uncontrolled asthma<sup>6,7</sup>, represents a major challenge for  
86 improved asthma control.

87

88 Despite the clinical significance of uncontrolled asthma, little is known about the  
89 inflammatory processes that evoke symptoms in this group of patients. One possibility is  
90 existence of steroid-resistant inflammatory components in the central airways<sup>8</sup>. Another  
91 alternative is involvement of peripheral airways<sup>9, 10</sup>, which are difficult to reach by  
92 conventional inhalation therapy<sup>11</sup>. The few previous studies that have explored transbronchial  
93 biopsies from asthmatics provide clear indications that both small airways and alveolar tissues  
94 may be subjected to a cellular inflammation in asthma<sup>12-14</sup>.

95

96 Mast cells have long been recognized as a key cell of the allergic reaction in atopic asthma, by  
97 virtue of their expression of FcεRI<sup>15</sup>. They are widely present in high numbers in human  
98 peripheral airways, including the alveolar region<sup>10, 12, 16-18</sup>. We recently identified a distinct  
99 mast cell population in the alveolar tissue of normal lungs<sup>19</sup>. These poorly studied alveolar  
100 mast cells, which are characterized by a low FcεRI expression, comprise a large mast cell  
101 population in the human lung<sup>12, 19, 20</sup>.

102

103 Increased numbers of bronchial mast cells and elevated levels of IgE have been described in  
104 allergic asthma<sup>10, 12, 17, 21, 22</sup>. From this, and the fact that high IgE-levels may lead to increased  
105 FcεRI expression on mast cells<sup>23, 24</sup>, we hypothesized that patients with ICS-treated, atopic  
106 uncontrolled asthma have a significantly altered mast cell population where the normally  
107 FcεRI low-expressing alveolar mast cells have acquired an FcεRI-expressing phenotype. To  
108 test this hypothesis mast cell densities and mast cell expression of FcεRI and surface-bound  
109 IgE were analyzed in bronchial and transbronchial biopsies from atopic, uncontrolled  
110 asthmatics and healthy control subjects. Separate comparisons were also made with patients  
111 with atopic allergic rhinitis (AR) with no concomitant asthma.

112

## 113 **METHODS**

114

### 115 **Subjects**

116 *Patients with atopic uncontrolled asthma, non-atopic and atopic control groups:*

117 The present study involved 14 non-smoking patients with uncontrolled atopic asthma  
118 according to GINA guidelines and asthma control test (ACT)<sup>6, 25</sup>. Eight healthy never-  
119 smoking non-atopic subjects that had negative skin prick test (SPT), were not hyper-  
120 responsive to metacholine, and lacked of any history of respiratory symptoms were used as  
121 controls. As a separate control group, representing atopy without asthma, included 8 patients  
122 with clinically confirmed AR<sup>26</sup>.

123

124 From each of the 30 subjects, 5 central airway biopsies and 5 transbronchial biopsies (in total  
125 300 biopsies) were collected during a study period from November 2005 to June 2010 at the  
126 Department of Respiratory Medicine, Lund University Hospital (for methodological details,  
127 see ref<sup>27</sup> and online supplement). All subjects gave their written informed consent to  
128 participate in the study, which was approved by the ethics committee in Lund (LU412-03).

129

130 Lungs from patients with advanced stages of non-atopic patients with chronic obstructive  
131 pulmonary disease (COPD) and cystic fibrosis were included to study the FcεRI expression  
132 on alveolar mast cells in lungs subjected to a non-allergic inflammation. The clinical  
133 characterization of these patients and their matching controls, are presented in the online  
134 supplement and Table E2.

135

### 136 **Allergy screening**

137 Standardized skin prick test (SPT) (Alk Abello, Copenhagen, Denmark) was performed on all  
138 subjects in all cohorts (controls, asthma, AR, COPD and CF) and was used to screen for  
139 sensitization for 10 aeroallergens (birch, timothy, mugwort, cat, dog, horse, *D. pteronyssinus*,  
140 *D. farinae*, *Aspergillus fumigatus* and *Cladosporium herbarum*). Atopy was defined as a  
141 positive SPT (weal reaction larger or equal to histamine positive control) to one or more  
142 allergens. Patients with positive SPT to pollen without any other sensitivity were classified as  
143 seasonal, whereas patients with multiple sensitivities (pollen, animal, mould and/or mite)  
144 were classified as perennial. For all subjects with positive SPT to seasonal pollen,  
145 bronchoscopy procedures were performed outside pollen season.

146

## 147 **Tissue Processing**

### 148 *Bronchial and Transbronchial biopsies*

149 All biopsies from uncontrolled asthmatics and 4 out of 5 bronchial and 4 out of 5  
150 transbronchial biopsies from the healthy controls were immediately placed in 4% buffered  
151 formaldehyde, dehydrated, embedded in paraffin. Serial sections from all paraffin blocks were  
152 stained with Mayer's haematoxylin and these were used to select 2 bronchial and 2  
153 transbronchial biopsies from each patient that had a well-preserved morphology and were  
154 without any crush, or mechanically-induced stretch artifacts. The selected biopsies were used  
155 for quantification of mast cell-related parameters.

156

157 The remaining biopsies from the control patients and biopsies the rhinitis cohort were  
158 immersed in periodate-lysine containing 1% paraformaldehyde (1% PLP) for 4 h at 4°C.  
159 Specimens were embedded in OCT (Tissue-Tek, Miles Laboratories, IN), and frozen. Serial  
160 cryo sections from all biopsies were generated and stored until histological assessments (see  
161 below).

## 162 **Immunohistochemistry**

163 All antibodies used have been extensively validated for staining of paraffin embedded human  
164 tissue sections (and cryo sections) in research and routine clinical diagnosis (Table E1). For  
165 details on immunohistochemical protocols and specificity controls<sup>19, 20</sup>, see online  
166 supplement). Staining was absent in sections using isotype-matched control antibodies (Dako,  
167 Glostrup, Denmark). Staining was performed identically for all patient groups.

168

### 169 *Double Immunohistochemical Staining of MC<sub>TC</sub> and MC<sub>T</sub>*

170 A double staining protocol was used for simultaneous visualization of MC<sub>TC</sub> and MC<sub>T</sub> cells<sup>18-</sup>  
171 <sup>20, 28-32</sup>. The immunohistochemistry protocol was performed using an automated  
172 immunohistochemistry robot (Autostainer, Dako) with EnVision™ G|2 Doublestain System  
173 (K5361, Dako). For protocol details, see Table E1 and online supplement.

174

### 175 *Immunohistochemical Identification of FcεRI<sup>+</sup> and IgE<sup>+</sup> Mast Cells*

176 A triple staining immunofluorescence protocol<sup>19, 20, 33, 34</sup> was used to simultaneously visualize  
177 both MC<sub>TC</sub> and MC<sub>T</sub> populations together with their expression of the IgE receptor (FcεRI) or  
178 surface-bound IgE (see online supplement and Table E1).

179

## 180 **Histological Analysis and Quantification**

### 181 *Quantification of Densities of Mast Cell Subtypes*

182 High-resolution images of sections -stained for MC<sub>TC</sub> and MC<sub>T</sub> were generated through a 20x  
183 microscope lens by an automated digital slide-scanning robot (Scanscope CS™, Aperio,  
184 Vista, CA). In bronchial biopsies the densities of MC<sub>T</sub> and MC<sub>TC</sub> was also calculated in sub-  
185 anatomical compartments: *epithelium, subepithelial tissue (excluding smooth muscle and*  
186 *glands), smooth muscle tissue and subepithelial glands*. An image analysis program

187 (ImageScope, v10.0.36.1805, Aperio) calculated the tissue area within the delineated region  
188 by automatically excluding any non-tissue regions (i.e. regions without any tissue  
189 components) and the proper tissue density (expressed as cells / mm<sup>2</sup> tissue) was calculated for  
190 each mast cell subtype<sup>14, 19, 20, 30, 35-37</sup>.

191

### 192 *Quantification of FcεRI<sup>+</sup> and IgE<sup>+</sup> Mast Cells*

193 After triple immunofluorescence staining, the filter setting was adjusted to reveal the tryptase-  
194 positive mast cells at 488 nm. By alternating the filter settings each tryptase-positive cell was  
195 examined for presence of chymase (647 nm) as well as expression of FcεRIα or surface-  
196 bound IgE (555 nm). The density of mast cells expressing FcεRI and IgE was calculated by  
197 multiply the percentage of MC<sup>FcεRI<sup>+</sup></sup> or MC<sup>IgE<sup>+</sup></sup> with the total mast cell density in the same  
198 tissue region (for further details and quantification on COPD and CF, see ref <sup>18</sup> and online  
199 supplement).

200

### 201 **Statistical Analysis**

202 Data were analyzed statistically on mean values from each patient, using Mann-Whitney rank  
203 sum test for comparison between two groups (disease vs. control) using GraphPad Prism v. 5  
204 (GraphPad Software Inc., La Jolla, CA). For all outcomes, a p-value ≤ 0.05 was considered  
205 significant (\* denotes p ≤ 0.05, \*\* p < 0.01 and \*\*\* < 0.001).

206

207

208

209 **RESULTS**

210

211 **Clinical Characteristics**

212 An overview of the patient characteristics is presented in Table 1.

213 *Uncontrolled asthma:* The 14 asthma patients included in the study had symptomatic  
214 uncontrolled asthma (ACT score ranging from 11 to 21). All were atopic (i.e. positive SPT),  
215 and all but one had rhinitis. All asthma patients were treated with inhaled glucocorticosteroids  
216 (Budesonid) and inhaled bronchodilators (Table 1). Two patients were treated with  
217 leukotriene-receptor antagonists and 3 had nasal corticosteroids and anti-histamines p.r.n. In  
218 addition, 1 patient was treated for hypertension (losartan potassium/hydrochlorothiazide), 2  
219 for gastritis (omeprazole) and 1 with vitamin B substitute. None of the patients were treated  
220 with anti-IgE therapy. Two patients had seasonal and 12 had perennial allergy. *AR:* The 8  
221 patients with AR with no concomitant asthma all had positive SPT and were not hyper-  
222 responsive to metacholine (PD<sub>20</sub> > 2000 µg). None of the AR patients were treated with  
223 inhaled bronchodilators or glucocorticosteroids. Two had nasal corticosteroids and 4 had anti-  
224 histamines p.r.n. One patient had seasonal and 7 had perennial allergy. *Healthy controls:* All  
225 healthy controls were without any respiratory symptoms, had normal lung function, and  
226 negative SPT and metacholine challenge test (PD<sub>20</sub> > 2000 µg). FEV<sub>1</sub> % predicted was lower  
227 in patients with asthma (81 [63-108] FEV<sub>1</sub> % pred.) compared to healthy controls (98 [72-  
228 116] FEV<sub>1</sub> % pred., p = 0.03) and patients with rhinitis (107 [96-138] FEV<sub>1</sub> % pred., p =  
229 0.001). No difference in FEV<sub>1</sub> % pred. was found between controls and rhinitis (p = 0.3). In  
230 addition, 5 CF and 10 COPD patients were investigated<sup>19, 20</sup> (for patient and protocol details,  
231 see online supplement).

232

233 **Characterization of Mast Cell Phenotypes in Uncontrolled Asthma and Healthy**  
234 **Controls**

235 *Densities of MC<sub>T</sub> and MC<sub>TC</sub> Populations*

236 In central airways, the total tissue density of mast cells did not differ between patients with  
237 uncontrolled asthma and healthy control subjects (Table 2). In contrast, the alveolar  
238 parenchyma displayed increased numbers of mast cells in patients with uncontrolled asthma  
239 compared to healthy controls (Table 2). The unaltered total mast cell numbers in central  
240 airways in uncontrolled asthma was a result of a decrease in MC<sub>T</sub> numbers combined with an  
241 increase in MC<sub>TC</sub> numbers compared to healthy controls (Table 2 and Figure 1). The  
242 significant increase in total alveolar mast cell numbers in uncontrolled asthmatics was due to  
243 an increase in both MC<sub>T</sub> cells and MC<sub>TC</sub> numbers compared to healthy controls (Table 2 and  
244 Figure 1).

245

246 *Microlocalization of Mast Cell Subtypes in Central Airways*

247 The highest density of mast cells was found in the lamina propria for both control subjects  
248 and asthmatics. No difference in the distribution of mast cells was found for the MC<sub>T</sub> subclass  
249 in asthmatics compared to controls (Table E3). The MC<sub>TC</sub> density increased in the smooth  
250 muscle layer in asthmatics (7.1 [0-25] mast cells per mm<sup>2</sup>) compared to controls (1.0 [0-5]  
251 mast cells per mm<sup>2</sup>,  $p = 0.01$ ) (Table E3).

252

253 *Expression of FcεRIα and IgE on Bronchial and Alveolar Mast Cells*

254 Both FcεRIα and IgE immunoreactivity displayed a characteristic membrane staining in  
255 triple-stained immunofluorescence sections. As previously shown<sup>12, 19, 38</sup>, the proportion of  
256 mast cell expressing FcεRIα was high in central airways in healthy subjects, and no  
257 significant difference in expression to uncontrolled asthmatics was observed (Figure 2A and

258 Table 2). The mast cell expression of FcεRIα did not differ between controls and asthmatics,  
259 neither for MC<sub>T</sub> (p = 0.4) nor for the MC<sub>TC</sub> subtype (p = 0.5). In contrast, in the alveolar  
260 parenchyma, the mast cell expression of FcεRIα was low in healthy controls and significantly  
261 higher in uncontrolled asthma (Figure 2B, C-D and Table 2). The increased FcεRIα  
262 expression in uncontrolled asthma was further confirmed using a computerized image  
263 analysis approach was used to calculate the area of FcεRIα immunoreactivity on individual  
264 mast cells (see online supplement).

265

266 In central airways, the proportion of IgE<sup>+</sup> mast cells was low in healthy controls and  
267 significantly increased in uncontrolled asthmatics (Figure 2E and Table 2). Also in the  
268 alveolar parenchyma, the proportion of IgE<sup>+</sup> mast cells was low in controls and significantly  
269 increased in the alveolar parenchyma (Figure 2F, G-H and Table 2). As for the expression of  
270 FcεRIα, no difference in mast cell-bound IgE was found between MC<sub>T</sub> and MC<sub>TC</sub> subclasses,  
271 neither in central airways (controls: p = 0.5, asthma: p = 0.2) nor in alveolar parenchyma  
272 (controls: p = 0.4, asthma: p = 0.1).

273

274 In alveolar parenchyma, the tissue density of FcεRIα positive mast cells was increased in  
275 uncontrolled asthmatics (132 [9-591] MC<sup>FcεRIα+</sup>/mm<sup>2</sup>) compared to controls (1.5 [0-27]  
276 MC<sup>FcεRIα+</sup>/mm<sup>2</sup>, p = 0.0003) (Figure 3A). Also an increase in the density of mast cells positive  
277 for surface-bound IgE was found in the asthmatics (133 [8-591] MC<sup>IgE+</sup>/mm<sup>2</sup>) compared to  
278 controls (0 [0-3] MC<sup>IgE+</sup>/mm<sup>2</sup>, p = 0.0001) (Figure 3B).

279

280 **FcεRIα and IgE expression on Alveolar Mast Cells in AR and Non-Allergic**  
281 **Inflammatory Diseases**

282 *Allergic Rhinitis*

283 In AR, no significant change in total mast cell numbers or the density of MC<sub>T</sub> and MC<sub>TC</sub> was  
284 found in central airways or in alveolar parenchyma compared to healthy controls (Table 2).  
285 No increase in the proportion of mast cells expressing the FcεRIα could be found in central  
286 airways or in the alveolar parenchyma in patients with AR compared to controls (Table 2). No  
287 significant increase in the proportion of mast cells with surface bound IgE was found in  
288 central airways. However, an increase in the proportion of mast cells with surface bound IgE  
289 was found in alveolar parenchyma in patients with AR compared to controls (Table 2).

290

291 *Non-Allergic Lung Diseases: Comparison to COPD and CF*

292 The FcεRIα expression was high in central airways in controls compared to the same  
293 compartment in COPD and CF. In alveolar parenchyma, the mast cell FcεRIα expression was  
294 low in controls; no significant change was found in COPD and CF patients (see online  
295 supplement).

296

297 **DISCUSSION**

298

299 Accumulated evidence from physiological studies and tissue explorations suggest that  
300 inflammatory processes in the distal airways contribute to asthma pathogenesis<sup>39</sup>. The present  
301 study advances our insight about the nature of this inflammation by identifying an alveolar  
302 infiltration of altered MC<sub>T</sub> and MC<sub>TC</sub> populations as a novel histopathological feature.

303

304 The present study took advantage of our possibility to obtain bronchial as well as  
305 transbronchial biopsies, not only from patients with uncontrolled asthma, but also from  
306 healthy control subjects. Thus, our approach allowed the first exploration of how mast cells in  
307 both bronchial and alveolar compartments in uncontrolled asthmatics differ from healthy  
308 base-line conditions.

309

310 The discovery of increased FcεRI-expression on alveolar mast cells in uncontrolled asthma  
311 represents a major finding in this study. Mast cells in most types of tissues, especially those  
312 facing the external environment, have a high basal expression of FcεRI<sup>15</sup>. The alveolar mast  
313 cells, however, have under healthy conditions a very low FcεRI expression<sup>19</sup>. In this study the  
314 number of FcεRI expressing mast cells in the alveolar parenchyma in uncontrolled asthma  
315 was 40-fold higher than what could be observed in healthy controls. Furthermore, this was  
316 associated with an equally robust (500-fold) increase in numbers of mast cells with surface-  
317 bound IgE, suggesting that the alveolar mast cells in uncontrolled asthma have acquired a  
318 phenotype fully capable to classical IgE-mediated activation. Importantly, this feature seems  
319 to be specific to asthma since we by same staining techniques could not detect similar  
320 changes in patients with severe non-allergic alveolar inflammation (end-stage CF, or COPD)  
321 as well as atopic patients with AR.

322

323 The low expression of FcεRIα on alveolar mast cells in AR, present in our study, indicates  
324 that atopy *per se* does not cause the altered alveolar mast cell phenotypes found in  
325 uncontrolled asthma. It should however be noted that the situation may be different in AR  
326 patients during episodes of increased allergen exposure. Although we could not find increased  
327 FcεRI expression in central airways, previous studies have reported increased numbers of  
328 bronchial FcεRI<sup>+</sup> mast cells in atopic, non-asthmatic subjects<sup>38</sup>. The vast majority of patients  
329 with asthma have rhinitis<sup>42</sup> and rhinitis, especially at severe stages, is a major risk factor for  
330 developing asthma<sup>43-45</sup>. Hence, in future studies it seems important to explore the FcεRI  
331 expression on alveolar mast cells in high-risk rhinitis patients and newly diagnosed asthma  
332 patients with rhinitis. If high FcεRI and IgE-expressing alveolar mast cells are present in  
333 additional asthma cohorts needs to be further investigated. Furthermore, we could not detect  
334 similar changes in other airway diseases characterized by extensive alveolar inflammation  
335 and/or remodeling like COPD and cystic fibrosis, despite a rich occurrence of alveolar mast  
336 cells in these diseases. It should however be noted that CF and COPD differs from the  
337 uncontrolled asthmatic group, not only in pathological features but also in treatment. Despite  
338 their medication, these patients have a significant remaining inflammatory response in the  
339 alveolar parenchyma. In this inflammation the alveolar mast cells have a low expression of  
340 the IgER.

341

342 Although most of the inhaled allergens are deposited in the conducting airways, common  
343 allergens may well be transported by respirable particles all the way to the alveolar region<sup>46-</sup>  
344 <sup>48</sup>. For patients sensitized to systemic allergens, the presence of FcεRI<sup>+</sup> mast cells in the  
345 alveolar parenchyma could theoretically contribute to the increased risk of anaphylaxis  
346 associated with asthma<sup>49</sup>. If occurring, an IgE-driven allergic inflammation in the alveolar

347 parenchyma is likely to have pathophysiological implications. Several mast cell mediators  
348 have pro-fibrotic and matrix-modulating properties and may thus contribute to the structural  
349 alterations that recently have been observed in alveolar tissues from asthmatics<sup>27</sup>.

350

351 In 2002, Brightling *et al*<sup>17</sup> showed that the density of mast cells in the airway smooth muscle  
352 layer increased in asthmatic subjects. This phenomenon was also found in the present study,  
353 where an increased density of MC<sub>TC</sub> cells, but not MC<sub>T</sub>, was found in the bronchial smooth  
354 muscle layer of uncontrolled asthmatics compared to the same compartment in healthy  
355 controls. Given that this mast cell subtype is thought to be steroid insensitive, and that several  
356 mast cell mediators have the ability to cause airway bronchoconstriction, hyperresponsiveness  
357 and remodeling, this finding supports the proposed pathophysiological effects of smooth  
358 muscle-associated mast cells in asthma<sup>54, 55</sup>.

359

360 In this study, we found no direct correlations between mast cell parameters and lung function  
361 values within the uncontrolled asthma cohort in this study (see online supplement). However,  
362 the number of patients was small and previous studies have shown that conventional lung  
363 functional parameters might not accurately represent distal lung inflammation<sup>56</sup>. Indeed, it has  
364 been demonstrated that measurements of thoracic gas volume and total lung capacity better  
365 represents peripheral inflammation, and that these parameters correlate to e.g. distal  
366 eosinophilic inflammation in patients with nocturnal asthma<sup>37</sup>.

367

368 In support of a beneficial effect of the ongoing treatment with ICS, the MC<sub>T</sub> population  
369 decreased in central airways of asthmatics, while the MC<sub>TC</sub> numbers increased. As steroids  
370 have previously been showed to reduce mast cell numbers in central airways and to mainly  
371 affect the MC<sub>T</sub> population<sup>57</sup>, this observation implies an effect of ICS on mast cells in the

372 bronchi. In contrast, both MC<sub>T</sub> and MC<sub>TC</sub> subpopulations increased significantly in the less  
373 steroid-exposed alveolar parenchyma, which indicates that alveolar mast cell populations are  
374 not well targeted by conventional ICS therapy.

375

376 Our data support the notion that patients who do not respond to conventional ICS therapy may  
377 have a peripheral airway inflammation and should thus benefit from treatment strategies with  
378 improved targeting of the distal lung<sup>11, 58</sup>. ICS, which are the foundation treatment of choice  
379 for asthma patients<sup>6, 59, 60</sup>, were originally developed to primarily treat the central airways.  
380 Anti-IgE therapy (Omalizumab; Xolair®) was developed on the basis of the proposed IgE-  
381 driven allergic inflammation in the conducting airways<sup>63-65</sup>. Omalizumab down-regulate both  
382 IgE and FcεRI-bearing mast cells in asthmatic bronchi<sup>3, 66</sup>. Future studies are now needed to  
383 investigate if anti-IgE therapy yields similar effects in the alveolar compartment.

384

385 In summary, this study has demonstrated that atopic asthma patients with persistent symptoms  
386 despite conventional ICS therapy have increased MC<sub>T</sub> and MC<sub>TC</sub> populations in the alveolar  
387 parenchyma. These expanded populations are characterized by markedly elevated expression  
388 of FcεRI and surface-bound IgE. Apart from advancing the concept of a distal airway  
389 inflammation in asthma, this observation provides important indications regarding how to  
390 improve treatment strategies for uncontrolled asthma.

391

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395

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**TABLE 1. SUBJECT CHARACTERISTICS FOR UNCONTROLLED ASTHMA, AR AND HEALTHY CONTROLS**

Subject	Asthma / Controls	Gender (M/F)	Age (yrs)	FEV1 (L)	FEV <sub>1</sub> % of pred.	PD20 ( $\mu$ g)	Atopy (y/n)	Rhinitis (y/n)	ICS/day ( $\mu$ g)	ACT score	Smoking (y/n)
1	control	M	29	5.11	116.4	>2000	n	n	0	N/A	n
2	control	F	23	3.68	95.4	>2000	n	n	0	N/A	n
3	control	M	39	4.91	109.8	>2000	n	n	0	N/A	n
4	control	M	23	5.38	113.5	>2000	n	n	0	N/A	n
5	control	F	23	3.71	100.7	>2000	n	n	0	N/A	n
6	control	F	22	2.38	72.1	>2000	n	n	0	N/A	n
7	control	F	23	3.53	95.1	>2000	n	n	0	N/A	n
8	control	F	21	3.25	89.4	>2000	n	n	0	N/A	n
9	rhinitis	M	22	3.98	108.7	>2000	y (p)	y	0 <sup>a</sup>	N/A	n
10	rhinitis	F	25	2.98	96.3	>2000	y (p)	y	0	N/A	n
11	rhinitis	F	36	3.21	103.8	>2000	y (p)	y	0	N/A	n
12	rhinitis	M	59	3.01	138.0	>2000	y (p)	y	0 <sup>a</sup>	N/A	n
13	rhinitis	F	24	3.42	96.0	>2000	y (p)	y	0	N/A	n
14	rhinitis	F	24	3.21	105.0	>2000	y (p)	y	0	N/A	n
15	rhinitis	M	29	4.69	114.6	>2000	y (s)	y	0	N/A	n <sup>b</sup>
16	rhinitis	F	31	3.68	109.3	>2000	y (p)	y	0	N/A	n
17	asthma	M	45	4.25	104.2	243.4	y (p)	y	400	13	n
18	asthma	M	59	3.17	81.0	672.0	y (p)	y	800	19	n
19	asthma	M	27	3.86	81.7	>2000	y (p)	n	800	20	n
20	asthma	M	22	3.58	79.9	251.5	y (p)	y	400	21	n
21	asthma	M	58	2.80	63.3	68.0	y (p)	y	400	13	n
22	asthma	M	30	4.01	90.1	1620.0	y (p)	y	800	18	n
23	asthma	F	50	2.14	74.7	69.3	y (p)	y	800	16	n
24	asthma	F	24	2.35	72.5	381.9	y (s)	y	1200	20	n
25	asthma	F	50	2.07	72.3	138.2	y (p)	y	800	17	n <sup>c</sup>
26	asthma	F	52	2.37	81.5	279.1	y (p)	y	800	14	n
27	asthma	M	38	4.64	108.0	541.0	y (p)	y	1200	17	n
28	asthma	M	37	4.14	96.0	500.0	y (p)	y	400	18	n <sup>d</sup>
29	asthma	M	25	3.35	73.3	59.5	y (p)	y	800	18	n
30	asthma	F	42	2.55	81.8	326.0	y (s)	y	800	11	n

M = male, F = female, FEV<sub>1</sub> = forced expiratory volume in 1 second, PD20 = provocative dose (metacholine) producing a fall in FEV<sub>1</sub> of 20 %, s = seasonal, p = perennial, ICS = Inhaled glucocorticosteroid, ACT = asthma control test, y = yes, n = no, <sup>a</sup> nasal corticosteroid p.r.n., <sup>b</sup> ex-smoker since 2003, <sup>c</sup> ex-smoker since 1985, <sup>d</sup> ex-smoker since 2001

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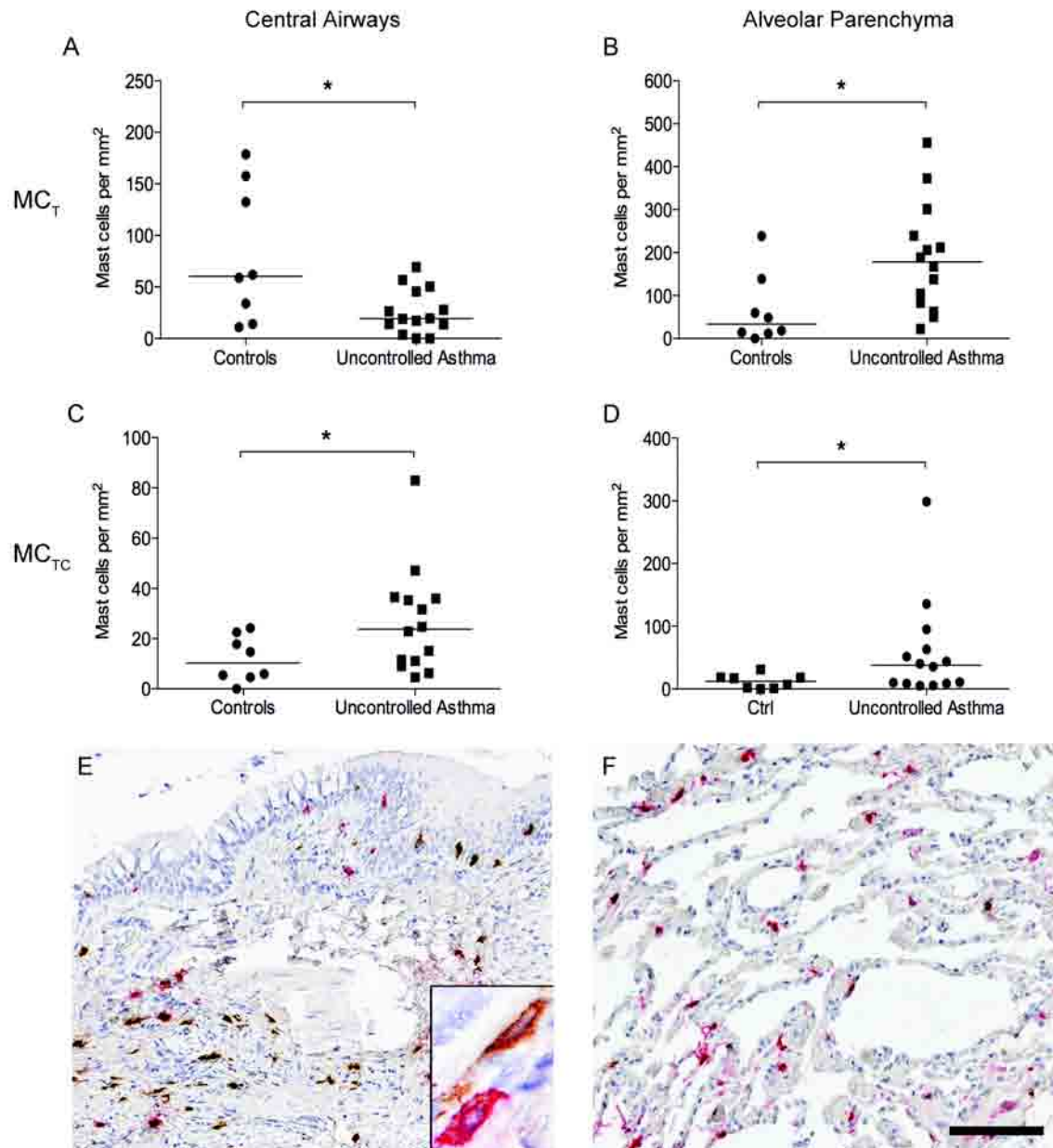
**TABLE 2.** MAST CELL DENSITIES AND EXPRESSION OF FcεRI AND MAST CELL BOUND IgE IN PATIENTS WITH UNCONTROLLED ASTHMA AND AR

		Central Airways			Alveolar Parenchyma		
<b>Uncontrolled Asthma</b>		<b>Controls<sup>a</sup></b>	<b>Uncontrolled</b>		<b>Controls</b>	<b>Uncontrolled</b>	
Density (per mm <sup>2</sup> )		<b>(n = 8)</b>	<b>asthma (n=14)</b>	<b>p-value</b>	<b>(n = 8)</b>	<b>asthma (n=14)</b>	<b>p-value</b>
	Total	69 (16-185)	40 (12-109)	0.2	53 (0-241)	210 (59-591)	0.006
	MC <sub>T</sub>	60 (11-179)	19 (0-69)	0.05	33 (0-239)	178 (22-456)	0.01
	MC <sub>TC</sub>	10 (0-24)	24 (5-83)	0.05	12 (0-31)	38 (5-299)	0.04
Expression (%)	FcεRI	69 (43-100)	86 (50-100)	0.1	3 (0-11)	81 (8-100)	0.0002
	IgE	31 (11-75)	91 (30-100)	0.003	0 (0-12)	73 (13-100)	0.0001
<b>AR</b>							
Density (per mm <sup>2</sup> )		<b>Controls<sup>b</sup></b>	<b>AR</b>		<b>Controls</b>	<b>AR</b>	
		<b>(n=8)</b>	<b>(n=8)</b>	<b>p-value</b>	<b>(n=8)</b>	<b>(n=8)</b>	<b>p-value</b>
	Total	79 (31-155)	83 (65-319)	0.7	61 (0-179)	79 (32-186)	0.2
	MC <sub>T</sub>	72 (10-155)	66 (14-265)	1.0	46 (0-149)	64 (0-180)	0.6
	MC <sub>TC</sub>	15 (0-28)	21 (8-61)	0.3	10 (0-30)	18 (6-68)	0.2
Expression (%)	FcεRI	73 (40-100)	76 (40-100)	0.6	0 (0-29)	0 (0-19)	0.8
	IgE	13 (0-50)	30 (0-51)	0.2	0 (0-17)	11 (0-37)	0.02

Data presented as median (range). <sup>a</sup> Paraformaldehyde fixated paraffin embedded control tissue, <sup>b</sup> PLP fixated cryo control tissue. n = number of patients in the group. A mean value per each patient was calculated from 2 bronchial and 2 transbronchial biopsies, respectively. The difference between the control group and disease group were then calculated using Mann-Whitney test. Result is considered significant for  $p \leq 0.05$ .

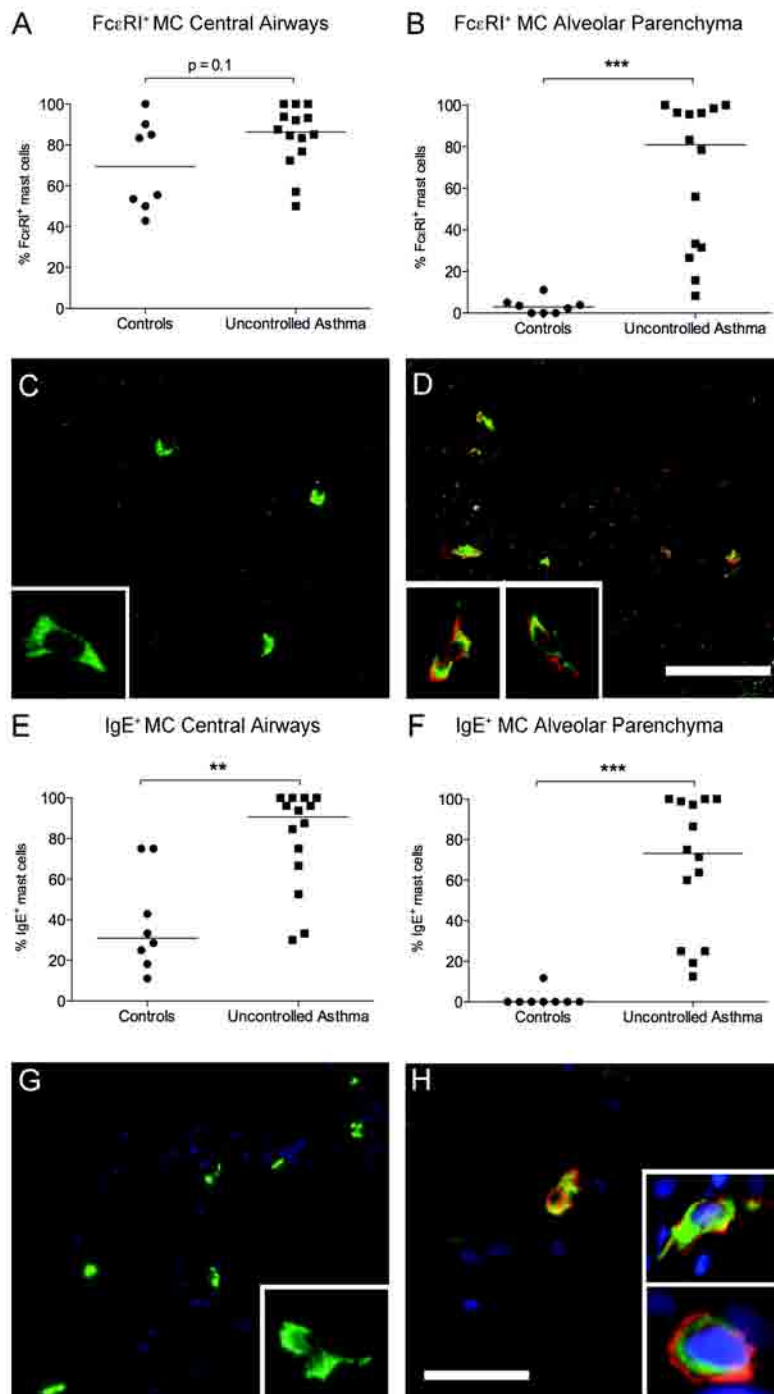
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573 **Figure 1.** MC<sub>T</sub> and MC<sub>TC</sub> in central airways (A, C) and alveolar parenchyma (B, D) in  
 574 uncontrolled asthma compared to healthy controls. E (central airways) and F (alveolar) show  
 575 representative micrographs from asthmatic patients, double stained for MC<sub>T</sub> and MC<sub>TC</sub>. Scale  
 576 bar: E-F = 100  $\mu$ m. Inset in (E) represents a close-up image (600 $\times$ ) of neighboring MC<sub>TC</sub> and  
 577 MC<sub>T</sub> cells. Horizontal bars indicates median value.

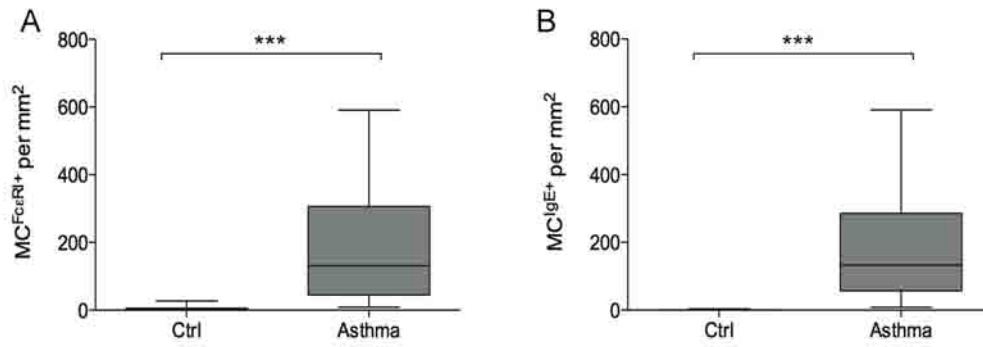
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580 **Figure 2.** Mast cell expression (%) of FcεRI (A-B), panel C-D show representative  
 581 micrographs of FcεRI<sup>+</sup> mast cells in alveolar parenchyma from controls (C) and asthmatic  
 582 patients (D). E-F show mast cell bound IgE (%), panel G-H show representative micrographs  
 583 of IgE<sup>+</sup> mast cells in alveolar parenchyma from controls (G) and asthmatic patients (H). Scale  
 584 bar: C-D, G = 50 μm and H = 25 μm. Insets represents image (600×) of mast cells double  
 585 positive for tryptase and FcεRIα or IgE. Horizontal bars indicates median value.

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587

588 **Figure 3.** Density of mast cells expressing FcεRIα (A) and mast cell bound IgE (B) in  
589 alveolar parenchyma in uncontrolled asthma compared to healthy controls. Data are presented  
590 as box and whiskers.