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Published in:
Journal of Allergy and Clinical Immunology

DOI:
[10.1016/j.jaci.2011.01.022](https://doi.org/10.1016/j.jaci.2011.01.022)

2011

[Link to publication](#)

Citation for published version (APA):

Andersson, C., Bergqvist, A., Mori, M., Mauad, T., Bjermer, L., & Erjefält, J. (2011). Mast cell-associated alveolar inflammation in patients with atopic uncontrolled asthma. *Journal of Allergy and Clinical Immunology*, 127(4), 905-U123. <https://doi.org/10.1016/j.jaci.2011.01.022>

Total number of authors:
6

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1 **MAST CELL-ASSOCIATED ALVEOLAR INFLAMMATION IN**
2 **PATIENTS WITH ATOPIC UNCONTROLLED ASTHMA.**

3

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16 **Sources of support:** The Heart & Lung Foundation, Sweden, The Swedish Medical Research
17 Council, The Swedish Asthma and Allergy Associations Research Foundation, and The
18 Crafoord Foundation.

19

20 **Word count:** 3256

21

22 **ABSTRACT**

23 **Background:** A significant proportion of patients with asthma have persistent symptoms
24 despite treatment with inhaled glucocorticosteroids (ICS).

25 **Objective:** We hypothesized that in these patients the alveolar parenchyma is subjected to
26 mast cell-associated alterations.

27 **Methods:** Bronchial and transbronchial biopsies from healthy controls (n=8), patients with
28 allergic rhinitis (AR) (n=8) and patients with atopic uncontrolled asthma (symptoms despite
29 treatment with ICS: mean dose: 743 µg/day, n=14) were processed for immunohistochemical
30 identification of mast cell subtypes and mast cell expression of FcεRI and surface-bound IgE.

31 **Results:** Whereas no difference in density of total bronchial mast cells was observed between
32 asthmatic patients and healthy controls, the total alveolar mast cell density was increased in
33 the asthmatics (p<0.01). Division into mast cell subtypes revealed that in bronchi of
34 asthmatics, MC_T numbers decreased compared to controls (p≤0.05), while MC_{TC} increased
35 (p≤0.05). In the alveolar parenchyma from asthmatics an increased density was found for both
36 MC_T (p≤0.05) and MC_{TC} (p≤0.05). The increased alveolar mast cell densities were paralleled
37 by an increased mast cell expression of FcεRI (p<0.001) compared to the controls. The
38 asthmatics also had increased numbers (p<0.001) and proportion (p<0.001) of alveolar mast
39 cells with surface-bound IgE. Similar increases in densities, FcεRI expression, and surface-
40 bound IgE were not seen in separate explorations of alveolar mast cells in patients with AR.

41 **Conclusions:** Our data suggest that patients with atopic uncontrolled asthma have an
42 increased parenchymal infiltration of MC_T and MC_{TC} populations with increased expression
43 of FcεRI and surface-bound IgE compared to atopic and non-atopic controls.

44

45 **Clinical Implications:** The present mast cell alterations in the alveolar parenchyma represent
46 a novel feature of asthma that may have clinical implications and support the rationale to target
47 the distal airways in uncontrolled asthmatics on ICS.

48

49 **Capsule Summary:** This study demonstrates that in asthmatic patients with persistent
50 symptoms despite conventional ICS therapy the alveolar parenchyma is infiltrated by
51 increased numbers of mast cells that have increased expression of the high-affinity receptor
52 for IgE (FcεRI).

53

54

55 **Key words:** mast cells; asthma; FcεRI; IgE; allergy; peripheral inflammation; alveolar
56 parenchyma.

57

58 **Abbreviations**

- 59 IgE: immunoglobulin E
- 60 FcεRI: high affinity IgE receptor
- 61 ICS: inhaled glucocorticosteroids
- 62 GINA: global initiative for asthma
- 63 COPD: chronic obstructive pulmonary fibrosis
- 64 CF: cystic fibrosis
- 65 IPF: Idiopathic pulmonary fibrosis
- 66 AR: allergic rhinitis
- 67 ACT: asthma control test
- 68 MC_{TC}: tryptase and chymase positive mast cells (connective tissue mast cells)
- 69 MC_T: tryptase positive mast cells (mucosal mast cells)
- 70 HRP: horseradish peroxidase
- 71 DAB: 3,3' diaminobenzidine
- 72 AP: alkaline peroxidase
- 73 PD₂₀: cumulative dose of bronchoconstrictor where FEV₁ fell by 20 % or more
- 74 FEV₁: forced expiratory volume in 1 second
- 75 FVC: forced vital capacity
- 76 p.r.n: pro re nata, as needed
- 77

78 **INTRODUCTION**

79 Asthma is a chronic inflammatory airway disease that is characterized by a reversible airway
80 obstruction and airway hyperreactivity^{1, 2}. Most patients have an allergic component where
81 the immunoglobulin E (IgE) plays a central role by activating key immune cells through the
82 high affinity IgE receptor, FcεRI^{3, 4}. Although treatment with bronchodilators and inhaled
83 glucocorticosteroids (ICS) generally provide good control of the disease, a significant
84 proportion of the asthma patients have persistent symptoms despite conventional therapy⁵.
85 This phenomenon, referred to as uncontrolled asthma^{6,7}, represents a major challenge for
86 improved asthma control.

87

88 Despite the clinical significance of uncontrolled asthma, little is known about the
89 inflammatory processes that evoke symptoms in this group of patients. One possibility is
90 existence of steroid-resistant inflammatory components in the central airways⁸. Another
91 alternative is involvement of peripheral airways^{9, 10}, which are difficult to reach by
92 conventional inhalation therapy¹¹. The few previous studies that have explored transbronchial
93 biopsies from asthmatics provide clear indications that both small airways and alveolar tissues
94 may be subjected to a cellular inflammation in asthma¹²⁻¹⁴.

95

96 Mast cells have long been recognized as a key cell of the allergic reaction in atopic asthma, by
97 virtue of their expression of FcεRI¹⁵. They are widely present in high numbers in human
98 peripheral airways, including the alveolar region^{10, 12, 16-18}. We recently identified a distinct
99 mast cell population in the alveolar tissue of normal lungs¹⁹. These poorly studied alveolar
100 mast cells, which are characterized by a low FcεRI expression, comprise a large mast cell
101 population in the human lung^{12, 19, 20}.

102

103 Increased numbers of bronchial mast cells and elevated levels of IgE have been described in
104 allergic asthma^{10, 12, 17, 21, 22}. From this, and the fact that high IgE-levels may lead to increased
105 FcεRI expression on mast cells^{23, 24}, we hypothesized that patients with ICS-treated, atopic
106 uncontrolled asthma have a significantly altered mast cell population where the normally
107 FcεRI low-expressing alveolar mast cells have acquired an FcεRI-expressing phenotype. To
108 test this hypothesis mast cell densities and mast cell expression of FcεRI and surface-bound
109 IgE were analyzed in bronchial and transbronchial biopsies from atopic, uncontrolled
110 asthmatics and healthy control subjects. Separate comparisons were also made with patients
111 with atopic allergic rhinitis (AR) with no concomitant asthma.

112

113 **METHODS**

114

115 **Subjects**

116 *Patients with atopic uncontrolled asthma, non-atopic and atopic control groups:*

117 The present study involved 14 non-smoking patients with uncontrolled atopic asthma
118 according to GINA guidelines and asthma control test (ACT)^{6, 25}. Eight healthy never-
119 smoking non-atopic subjects that had negative skin prick test (SPT), were not hyper-
120 responsive to metacholine, and lacked of any history of respiratory symptoms were used as
121 controls. As a separate control group, representing atopy without asthma, included 8 patients
122 with clinically confirmed AR²⁶.

123

124 From each of the 30 subjects, 5 central airway biopsies and 5 transbronchial biopsies (in total
125 300 biopsies) were collected during a study period from November 2005 to June 2010 at the
126 Department of Respiratory Medicine, Lund University Hospital (for methodological details,
127 see ref²⁷ and online supplement). All subjects gave their written informed consent to
128 participate in the study, which was approved by the ethics committee in Lund (LU412-03).

129

130 Lungs from patients with advanced stages of non-atopic patients with chronic obstructive
131 pulmonary disease (COPD) and cystic fibrosis were included to study the FcεRI expression
132 on alveolar mast cells in lungs subjected to a non-allergic inflammation. The clinical
133 characterization of these patients and their matching controls, are presented in the online
134 supplement and Table E2.

135

136 **Allergy screening**

137 Standardized skin prick test (SPT) (Alk Abello, Copenhagen, Denmark) was performed on all
138 subjects in all cohorts (controls, asthma, AR, COPD and CF) and was used to screen for
139 sensitization for 10 aeroallergens (birch, timothy, mugwort, cat, dog, horse, *D. pteronyssinus*,
140 *D. farinae*, *Aspergillus fumigatus* and *Cladosporium herbarum*). Atopy was defined as a
141 positive SPT (weal reaction larger or equal to histamine positive control) to one or more
142 allergens. Patients with positive SPT to pollen without any other sensitivity were classified as
143 seasonal, whereas patients with multiple sensitivities (pollen, animal, mould and/or mite)
144 were classified as perennial. For all subjects with positive SPT to seasonal pollen,
145 bronchoscopy procedures were performed outside pollen season.

146

147 **Tissue Processing**

148 *Bronchial and Transbronchial biopsies*

149 All biopsies from uncontrolled asthmatics and 4 out of 5 bronchial and 4 out of 5
150 transbronchial biopsies from the healthy controls were immediately placed in 4% buffered
151 formaldehyde, dehydrated, embedded in paraffin. Serial sections from all paraffin blocks were
152 stained with Mayer's haematoxylin and these were used to select 2 bronchial and 2
153 transbronchial biopsies from each patient that had a well-preserved morphology and were
154 without any crush, or mechanically-induced stretch artifacts. The selected biopsies were used
155 for quantification of mast cell-related parameters.

156

157 The remaining biopsies from the control patients and biopsies the rhinitis cohort were
158 immersed in periodate-lysine containing 1% paraformaldehyde (1% PLP) for 4 h at 4°C.
159 Specimens were embedded in OCT (Tissue-Tek, Miles Laboratories, IN), and frozen. Serial
160 cryo sections from all biopsies were generated and stored until histological assessments (see
161 below).

162 **Immunohistochemistry**

163 All antibodies used have been extensively validated for staining of paraffin embedded human
164 tissue sections (and cryo sections) in research and routine clinical diagnosis (Table E1). For
165 details on immunohistochemical protocols and specificity controls^{19, 20}, see online
166 supplement). Staining was absent in sections using isotype-matched control antibodies (Dako,
167 Glostrup, Denmark). Staining was performed identically for all patient groups.

168

169 *Double Immunohistochemical Staining of MC_{TC} and MC_T*

170 A double staining protocol was used for simultaneous visualization of MC_{TC} and MC_T cells¹⁸⁻
171 ^{20, 28-32}. The immunohistochemistry protocol was performed using an automated
172 immunohistochemistry robot (Autostainer, Dako) with EnVision™ G|2 Doublestain System
173 (K5361, Dako). For protocol details, see Table E1 and online supplement.

174

175 *Immunohistochemical Identification of FcεRI⁺ and IgE⁺ Mast Cells*

176 A triple staining immunofluorescence protocol^{19, 20, 33, 34} was used to simultaneously visualize
177 both MC_{TC} and MC_T populations together with their expression of the IgE receptor (FcεRI) or
178 surface-bound IgE (see online supplement and Table E1).

179

180 **Histological Analysis and Quantification**

181 *Quantification of Densities of Mast Cell Subtypes*

182 High-resolution images of sections -stained for MC_{TC} and MC_T were generated through a 20x
183 microscope lens by an automated digital slide-scanning robot (Scanscope CS™, Aperio,
184 Vista, CA). In bronchial biopsies the densities of MC_T and MC_{TC} was also calculated in sub-
185 anatomical compartments: *epithelium, subepithelial tissue (excluding smooth muscle and*
186 *glands), smooth muscle tissue and subepithelial glands*. An image analysis program

187 (ImageScope, v10.0.36.1805, Aperio) calculated the tissue area within the delineated region
188 by automatically excluding any non-tissue regions (i.e. regions without any tissue
189 components) and the proper tissue density (expressed as cells / mm² tissue) was calculated for
190 each mast cell subtype^{14, 19, 20, 30, 35-37}.

191

192 *Quantification of FcεRI⁺ and IgE⁺ Mast Cells*

193 After triple immunofluorescence staining, the filter setting was adjusted to reveal the tryptase-
194 positive mast cells at 488 nm. By alternating the filter settings each tryptase-positive cell was
195 examined for presence of chymase (647 nm) as well as expression of FcεRIα or surface-
196 bound IgE (555 nm). The density of mast cells expressing FcεRI and IgE was calculated by
197 multiply the percentage of MC^{FcεRI⁺} or MC^{IgE⁺} with the total mast cell density in the same
198 tissue region (for further details and quantification on COPD and CF, see ref ¹⁸ and online
199 supplement).

200

201 **Statistical Analysis**

202 Data were analyzed statistically on mean values from each patient, using Mann-Whitney rank
203 sum test for comparison between two groups (disease vs. control) using GraphPad Prism v. 5
204 (GraphPad Software Inc., La Jolla, CA). For all outcomes, a p-value ≤ 0.05 was considered
205 significant (* denotes p ≤ 0.05, ** p < 0.01 and *** < 0.001).

206

207

208

209 **RESULTS**

210

211 **Clinical Characteristics**

212 An overview of the patient characteristics is presented in Table 1.

213 *Uncontrolled asthma:* The 14 asthma patients included in the study had symptomatic
214 uncontrolled asthma (ACT score ranging from 11 to 21). All were atopic (i.e. positive SPT),
215 and all but one had rhinitis. All asthma patients were treated with inhaled glucocorticosteroids
216 (Budesonid) and inhaled bronchodilators (Table 1). Two patients were treated with
217 leukotriene-receptor antagonists and 3 had nasal corticosteroids and anti-histamines p.r.n. In
218 addition, 1 patient was treated for hypertension (losartan potassium/hydrochlorothiazide), 2
219 for gastritis (omeprazole) and 1 with vitamin B substitute. None of the patients were treated
220 with anti-IgE therapy. Two patients had seasonal and 12 had perennial allergy. *AR:* The 8
221 patients with AR with no concomitant asthma all had positive SPT and were not hyper-
222 responsive to metacholine (PD₂₀ > 2000 µg). None of the AR patients were treated with
223 inhaled bronchodilators or glucocorticosteroids. Two had nasal corticosteroids and 4 had anti-
224 histamines p.r.n. One patient had seasonal and 7 had perennial allergy. *Healthy controls:* All
225 healthy controls were without any respiratory symptoms, had normal lung function, and
226 negative SPT and metacholine challenge test (PD₂₀ > 2000 µg). FEV₁ % predicted was lower
227 in patients with asthma (81 [63-108] FEV₁ % pred.) compared to healthy controls (98 [72-
228 116] FEV₁ % pred., p = 0.03) and patients with rhinitis (107 [96-138] FEV₁ % pred., p =
229 0.001). No difference in FEV₁ % pred. was found between controls and rhinitis (p = 0.3). In
230 addition, 5 CF and 10 COPD patients were investigated^{19, 20} (for patient and protocol details,
231 see online supplement).

232

233 **Characterization of Mast Cell Phenotypes in Uncontrolled Asthma and Healthy**
234 **Controls**

235 *Densities of MC_T and MC_{TC} Populations*

236 In central airways, the total tissue density of mast cells did not differ between patients with
237 uncontrolled asthma and healthy control subjects (Table 2). In contrast, the alveolar
238 parenchyma displayed increased numbers of mast cells in patients with uncontrolled asthma
239 compared to healthy controls (Table 2). The unaltered total mast cell numbers in central
240 airways in uncontrolled asthma was a result of a decrease in MC_T numbers combined with an
241 increase in MC_{TC} numbers compared to healthy controls (Table 2 and Figure 1). The
242 significant increase in total alveolar mast cell numbers in uncontrolled asthmatics was due to
243 an increase in both MC_T cells and MC_{TC} numbers compared to healthy controls (Table 2 and
244 Figure 1).

245

246 *Microlocalization of Mast Cell Subtypes in Central Airways*

247 The highest density of mast cells was found in the lamina propria for both control subjects
248 and asthmatics. No difference in the distribution of mast cells was found for the MC_T subclass
249 in asthmatics compared to controls (Table E3). The MC_{TC} density increased in the smooth
250 muscle layer in asthmatics (7.1 [0-25] mast cells per mm²) compared to controls (1.0 [0-5]
251 mast cells per mm², $p = 0.01$) (Table E3).

252

253 *Expression of FcεRIα and IgE on Bronchial and Alveolar Mast Cells*

254 Both FcεRIα and IgE immunoreactivity displayed a characteristic membrane staining in
255 triple-stained immunofluorescence sections. As previously shown^{12, 19, 38}, the proportion of
256 mast cell expressing FcεRIα was high in central airways in healthy subjects, and no
257 significant difference in expression to uncontrolled asthmatics was observed (Figure 2A and

258 Table 2). The mast cell expression of FcεRIα did not differ between controls and asthmatics,
259 neither for MC_T (p = 0.4) nor for the MC_{TC} subtype (p = 0.5). In contrast, in the alveolar
260 parenchyma, the mast cell expression of FcεRIα was low in healthy controls and significantly
261 higher in uncontrolled asthma (Figure 2B, C-D and Table 2). The increased FcεRIα
262 expression in uncontrolled asthma was further confirmed using a computerized image
263 analysis approach was used to calculate the area of FcεRIα immunoreactivity on individual
264 mast cells (see online supplement).

265

266 In central airways, the proportion of IgE⁺ mast cells was low in healthy controls and
267 significantly increased in uncontrolled asthmatics (Figure 2E and Table 2). Also in the
268 alveolar parenchyma, the proportion of IgE⁺ mast cells was low in controls and significantly
269 increased in the alveolar parenchyma (Figure 2F, G-H and Table 2). As for the expression of
270 FcεRIα, no difference in mast cell-bound IgE was found between MC_T and MC_{TC} subclasses,
271 neither in central airways (controls: p = 0.5, asthma: p = 0.2) nor in alveolar parenchyma
272 (controls: p = 0.4, asthma: p = 0.1).

273

274 In alveolar parenchyma, the tissue density of FcεRIα positive mast cells was increased in
275 uncontrolled asthmatics (132 [9-591] MC^{FcεRIα+}/mm²) compared to controls (1.5 [0-27]
276 MC^{FcεRIα+}/mm², p = 0.0003) (Figure 3A). Also an increase in the density of mast cells positive
277 for surface-bound IgE was found in the asthmatics (133 [8-591] MC^{IgE+}/mm²) compared to
278 controls (0 [0-3] MC^{IgE+}/mm², p = 0.0001) (Figure 3B).

279

280 **FcεRIα and IgE expression on Alveolar Mast Cells in AR and Non-Allergic**
281 **Inflammatory Diseases**

282 *Allergic Rhinitis*

283 In AR, no significant change in total mast cell numbers or the density of MC_T and MC_{TC} was
284 found in central airways or in alveolar parenchyma compared to healthy controls (Table 2).
285 No increase in the proportion of mast cells expressing the FcεRIα could be found in central
286 airways or in the alveolar parenchyma in patients with AR compared to controls (Table 2). No
287 significant increase in the proportion of mast cells with surface bound IgE was found in
288 central airways. However, an increase in the proportion of mast cells with surface bound IgE
289 was found in alveolar parenchyma in patients with AR compared to controls (Table 2).

290

291 *Non-Allergic Lung Diseases: Comparison to COPD and CF*

292 The FcεRIα expression was high in central airways in controls compared to the same
293 compartment in COPD and CF. In alveolar parenchyma, the mast cell FcεRIα expression was
294 low in controls; no significant change was found in COPD and CF patients (see online
295 supplement).

296

297 **DISCUSSION**

298

299 Accumulated evidence from physiological studies and tissue explorations suggest that
300 inflammatory processes in the distal airways contribute to asthma pathogenesis³⁹. The present
301 study advances our insight about the nature of this inflammation by identifying an alveolar
302 infiltration of altered MC_T and MC_{TC} populations as a novel histopathological feature.

303

304 The present study took advantage of our possibility to obtain bronchial as well as
305 transbronchial biopsies, not only from patients with uncontrolled asthma, but also from
306 healthy control subjects. Thus, our approach allowed the first exploration of how mast cells in
307 both bronchial and alveolar compartments in uncontrolled asthmatics differ from healthy
308 base-line conditions.

309

310 The discovery of increased FcεRI-expression on alveolar mast cells in uncontrolled asthma
311 represents a major finding in this study. Mast cells in most types of tissues, especially those
312 facing the external environment, have a high basal expression of FcεRI¹⁵. The alveolar mast
313 cells, however, have under healthy conditions a very low FcεRI expression¹⁹. In this study the
314 number of FcεRI expressing mast cells in the alveolar parenchyma in uncontrolled asthma
315 was 40-fold higher than what could be observed in healthy controls. Furthermore, this was
316 associated with an equally robust (500-fold) increase in numbers of mast cells with surface-
317 bound IgE, suggesting that the alveolar mast cells in uncontrolled asthma have acquired a
318 phenotype fully capable to classical IgE-mediated activation. Importantly, this feature seems
319 to be specific to asthma since we by same staining techniques could not detect similar
320 changes in patients with severe non-allergic alveolar inflammation (end-stage CF, or COPD)
321 as well as atopic patients with AR.

322

323 The low expression of FcεRIα on alveolar mast cells in AR, present in our study, indicates
324 that atopy *per se* does not cause the altered alveolar mast cell phenotypes found in
325 uncontrolled asthma. It should however be noted that the situation may be different in AR
326 patients during episodes of increased allergen exposure. Although we could not find increased
327 FcεRI expression in central airways, previous studies have reported increased numbers of
328 bronchial FcεRI⁺ mast cells in atopic, non-asthmatic subjects³⁸. The vast majority of patients
329 with asthma have rhinitis⁴² and rhinitis, especially at severe stages, is a major risk factor for
330 developing asthma⁴³⁻⁴⁵. Hence, in future studies it seems important to explore the FcεRI
331 expression on alveolar mast cells in high-risk rhinitis patients and newly diagnosed asthma
332 patients with rhinitis. If high FcεRI and IgE-expressing alveolar mast cells are present in
333 additional asthma cohorts needs to be further investigated. Furthermore, we could not detect
334 similar changes in other airway diseases characterized by extensive alveolar inflammation
335 and/or remodeling like COPD and cystic fibrosis, despite a rich occurrence of alveolar mast
336 cells in these diseases. It should however be noted that CF and COPD differs from the
337 uncontrolled asthmatic group, not only in pathological features but also in treatment. Despite
338 their medication, these patients have a significant remaining inflammatory response in the
339 alveolar parenchyma. In this inflammation the alveolar mast cells have a low expression of
340 the IgER.

341

342 Although most of the inhaled allergens are deposited in the conducting airways, common
343 allergens may well be transported by respirable particles all the way to the alveolar region⁴⁶⁻
344 ⁴⁸. For patients sensitized to systemic allergens, the presence of FcεRI⁺ mast cells in the
345 alveolar parenchyma could theoretically contribute to the increased risk of anaphylaxis
346 associated with asthma⁴⁹. If occurring, an IgE-driven allergic inflammation in the alveolar

347 parenchyma is likely to have pathophysiological implications. Several mast cell mediators
348 have pro-fibrotic and matrix-modulating properties and may thus contribute to the structural
349 alterations that recently have been observed in alveolar tissues from asthmatics²⁷.

350

351 In 2002, Brightling *et al*¹⁷ showed that the density of mast cells in the airway smooth muscle
352 layer increased in asthmatic subjects. This phenomenon was also found in the present study,
353 where an increased density of MC_{TC} cells, but not MC_T, was found in the bronchial smooth
354 muscle layer of uncontrolled asthmatics compared to the same compartment in healthy
355 controls. Given that this mast cell subtype is thought to be steroid insensitive, and that several
356 mast cell mediators have the ability to cause airway bronchoconstriction, hyperresponsiveness
357 and remodeling, this finding supports the proposed pathophysiological effects of smooth
358 muscle-associated mast cells in asthma^{54, 55}.

359

360 In this study, we found no direct correlations between mast cell parameters and lung function
361 values within the uncontrolled asthma cohort in this study (see online supplement). However,
362 the number of patients was small and previous studies have shown that conventional lung
363 functional parameters might not accurately represent distal lung inflammation⁵⁶. Indeed, it has
364 been demonstrated that measurements of thoracic gas volume and total lung capacity better
365 represents peripheral inflammation, and that these parameters correlate to e.g. distal
366 eosinophilic inflammation in patients with nocturnal asthma³⁷.

367

368 In support of a beneficial effect of the ongoing treatment with ICS, the MC_T population
369 decreased in central airways of asthmatics, while the MC_{TC} numbers increased. As steroids
370 have previously been showed to reduce mast cell numbers in central airways and to mainly
371 affect the MC_T population⁵⁷, this observation implies an effect of ICS on mast cells in the

372 bronchi. In contrast, both MC_T and MC_{TC} subpopulations increased significantly in the less
373 steroid-exposed alveolar parenchyma, which indicates that alveolar mast cell populations are
374 not well targeted by conventional ICS therapy.

375

376 Our data support the notion that patients who do not respond to conventional ICS therapy may
377 have a peripheral airway inflammation and should thus benefit from treatment strategies with
378 improved targeting of the distal lung^{11, 58}. ICS, which are the foundation treatment of choice
379 for asthma patients^{6, 59, 60}, were originally developed to primarily treat the central airways.
380 Anti-IgE therapy (Omalizumab; Xolair®) was developed on the basis of the proposed IgE-
381 driven allergic inflammation in the conducting airways⁶³⁻⁶⁵. Omalizumab down-regulate both
382 IgE and FcεRI-bearing mast cells in asthmatic bronchi^{3, 66}. Future studies are now needed to
383 investigate if anti-IgE therapy yields similar effects in the alveolar compartment.

384

385 In summary, this study has demonstrated that atopic asthma patients with persistent symptoms
386 despite conventional ICS therapy have increased MC_T and MC_{TC} populations in the alveolar
387 parenchyma. These expanded populations are characterized by markedly elevated expression
388 of FcεRI and surface-bound IgE. Apart from advancing the concept of a distal airway
389 inflammation in asthma, this observation provides important indications regarding how to
390 improve treatment strategies for uncontrolled asthma.

391

392 **ACKNOWLEDGEMENTS**

393 We thank Karin Jansner and Britt-Marie Nilsson for skillful technical assistance with tissue
394 processing and immunohistochemical staining.

395

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TABLE 1. SUBJECT CHARACTERISTICS FOR UNCONTROLLED ASTHMA, AR AND HEALTHY CONTROLS

Subject	Asthma / Controls	Gender (M/F)	Age (yrs)	FEV1 (L)	FEV ₁ % of pred.	PD20 (μ g)	Atopy (y/n)	Rhinitis (y/n)	ICS/day (μ g)	ACT score	Smoking (y/n)
1	control	M	29	5.11	116.4	>2000	n	n	0	N/A	n
2	control	F	23	3.68	95.4	>2000	n	n	0	N/A	n
3	control	M	39	4.91	109.8	>2000	n	n	0	N/A	n
4	control	M	23	5.38	113.5	>2000	n	n	0	N/A	n
5	control	F	23	3.71	100.7	>2000	n	n	0	N/A	n
6	control	F	22	2.38	72.1	>2000	n	n	0	N/A	n
7	control	F	23	3.53	95.1	>2000	n	n	0	N/A	n
8	control	F	21	3.25	89.4	>2000	n	n	0	N/A	n
9	rhinitis	M	22	3.98	108.7	>2000	y (p)	y	0 ^a	N/A	n
10	rhinitis	F	25	2.98	96.3	>2000	y (p)	y	0	N/A	n
11	rhinitis	F	36	3.21	103.8	>2000	y (p)	y	0	N/A	n
12	rhinitis	M	59	3.01	138.0	>2000	y (p)	y	0 ^a	N/A	n
13	rhinitis	F	24	3.42	96.0	>2000	y (p)	y	0	N/A	n
14	rhinitis	F	24	3.21	105.0	>2000	y (p)	y	0	N/A	n
15	rhinitis	M	29	4.69	114.6	>2000	y (s)	y	0	N/A	n ^b
16	rhinitis	F	31	3.68	109.3	>2000	y (p)	y	0	N/A	n
17	asthma	M	45	4.25	104.2	243.4	y (p)	y	400	13	n
18	asthma	M	59	3.17	81.0	672.0	y (p)	y	800	19	n
19	asthma	M	27	3.86	81.7	>2000	y (p)	n	800	20	n
20	asthma	M	22	3.58	79.9	251.5	y (p)	y	400	21	n
21	asthma	M	58	2.80	63.3	68.0	y (p)	y	400	13	n
22	asthma	M	30	4.01	90.1	1620.0	y (p)	y	800	18	n
23	asthma	F	50	2.14	74.7	69.3	y (p)	y	800	16	n
24	asthma	F	24	2.35	72.5	381.9	y (s)	y	1200	20	n
25	asthma	F	50	2.07	72.3	138.2	y (p)	y	800	17	n ^c
26	asthma	F	52	2.37	81.5	279.1	y (p)	y	800	14	n
27	asthma	M	38	4.64	108.0	541.0	y (p)	y	1200	17	n
28	asthma	M	37	4.14	96.0	500.0	y (p)	y	400	18	n ^d
29	asthma	M	25	3.35	73.3	59.5	y (p)	y	800	18	n
30	asthma	F	42	2.55	81.8	326.0	y (s)	y	800	11	n

M = male, F = female, FEV₁ = forced expiratory volume in 1 second, PD20 = provocative dose (metacholine) producing a fall in FEV₁ of 20 %, s = seasonal, p = perennial, ICS = Inhaled glucocorticosteroid, ACT = asthma control test, y = yes, n = no, ^a nasal corticosteroid p.r.n., ^b ex-smoker since 2003, ^c ex-smoker since 1985, ^d ex-smoker since 2001

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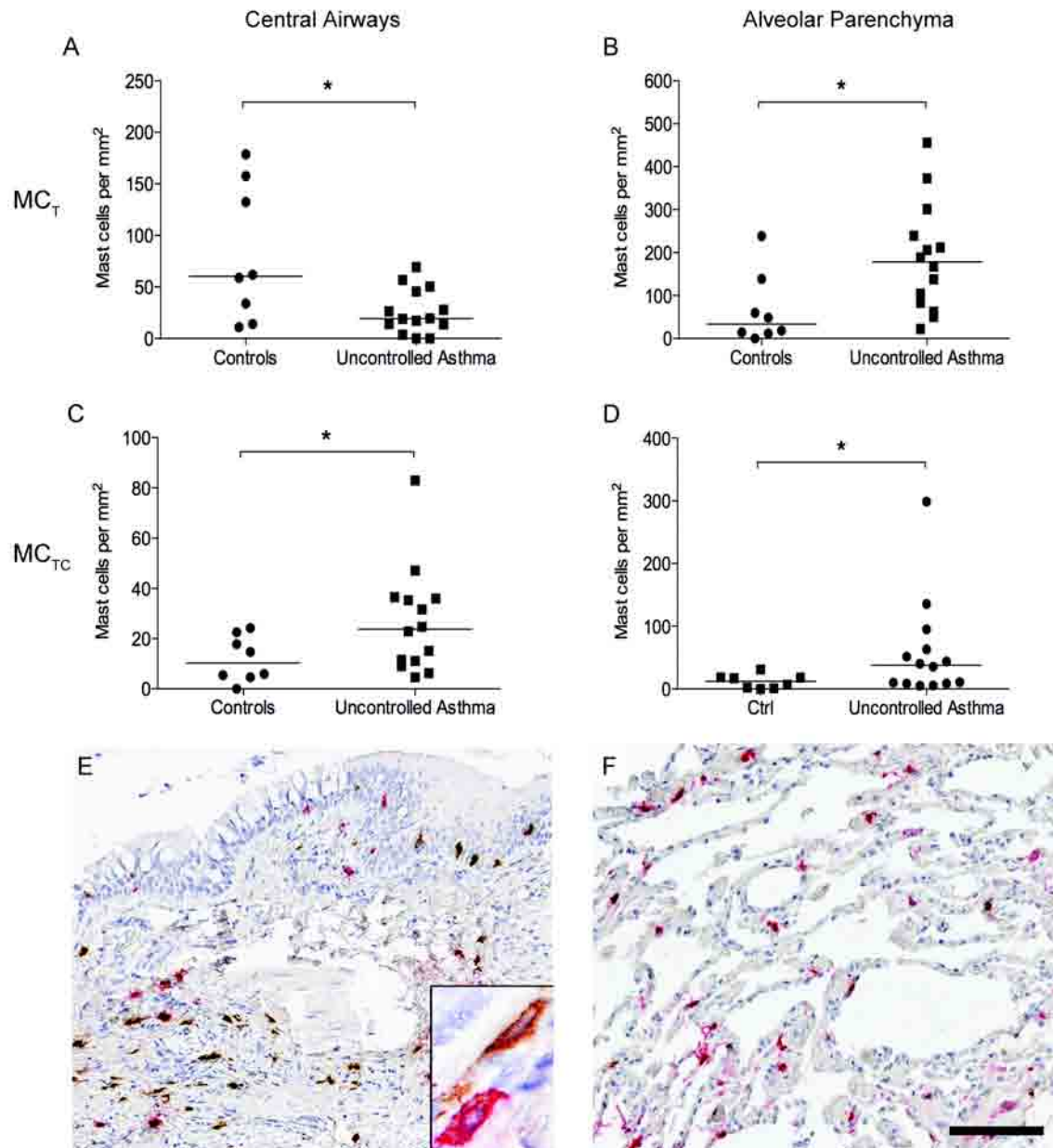
TABLE 2. MAST CELL DENSITIES AND EXPRESSION OF FcεRI AND MAST CELL BOUND IgE IN PATIENTS WITH UNCONTROLLED ASTHMA AND AR

		Central Airways			Alveolar Parenchyma		
Uncontrolled Asthma		Controls^a	Uncontrolled		Controls	Uncontrolled	
Density (per mm ²)		(n = 8)	asthma (n=14)	p-value	(n = 8)	asthma (n=14)	p-value
	Total	69 (16-185)	40 (12-109)	0.2	53 (0-241)	210 (59-591)	0.006
	MC _T	60 (11-179)	19 (0-69)	0.05	33 (0-239)	178 (22-456)	0.01
	MC _{TC}	10 (0-24)	24 (5-83)	0.05	12 (0-31)	38 (5-299)	0.04
Expression (%)	FcεRI	69 (43-100)	86 (50-100)	0.1	3 (0-11)	81 (8-100)	0.0002
	IgE	31 (11-75)	91 (30-100)	0.003	0 (0-12)	73 (13-100)	0.0001
AR							
Density (per mm ²)		Controls^b	AR		Controls	AR	
		(n=8)	(n=8)	p-value	(n=8)	(n=8)	p-value
	Total	79 (31-155)	83 (65-319)	0.7	61 (0-179)	79 (32-186)	0.2
	MC _T	72 (10-155)	66 (14-265)	1.0	46 (0-149)	64 (0-180)	0.6
	MC _{TC}	15 (0-28)	21 (8-61)	0.3	10 (0-30)	18 (6-68)	0.2
Expression (%)	FcεRI	73 (40-100)	76 (40-100)	0.6	0 (0-29)	0 (0-19)	0.8
	IgE	13 (0-50)	30 (0-51)	0.2	0 (0-17)	11 (0-37)	0.02

Data presented as median (range). ^a Paraformaldehyde fixated paraffin embedded control tissue, ^b PLP fixated cryo control tissue. n = number of patients in the group. A mean value per each patient was calculated from 2 bronchial and 2 transbronchial biopsies, respectively. The difference between the control group and disease group were then calculated using Mann-Whitney test. Result is considered significant for $p \leq 0.05$.

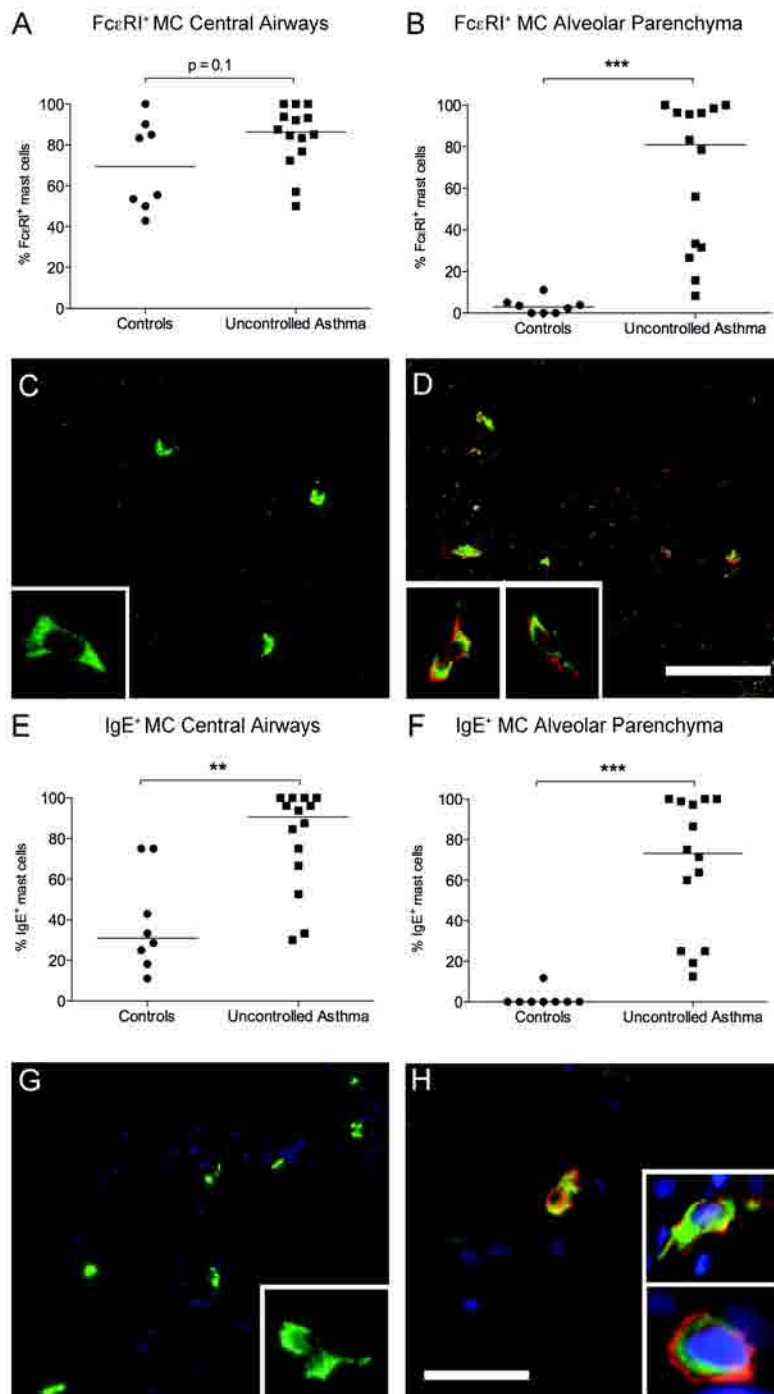
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573 **Figure 1.** MC_T and MC_{Tc} in central airways (A, C) and alveolar parenchyma (B, D) in
 574 uncontrolled asthma compared to healthy controls. E (central airways) and F (alveolar) show
 575 representative micrographs from asthmatic patients, double stained for MC_T and MC_{Tc}. Scale
 576 bar: E-F = 100 μ m. Inset in (E) represents a close-up image (600 \times) of neighboring MC_{Tc} and
 577 MC_T cells. Horizontal bars indicates median value.

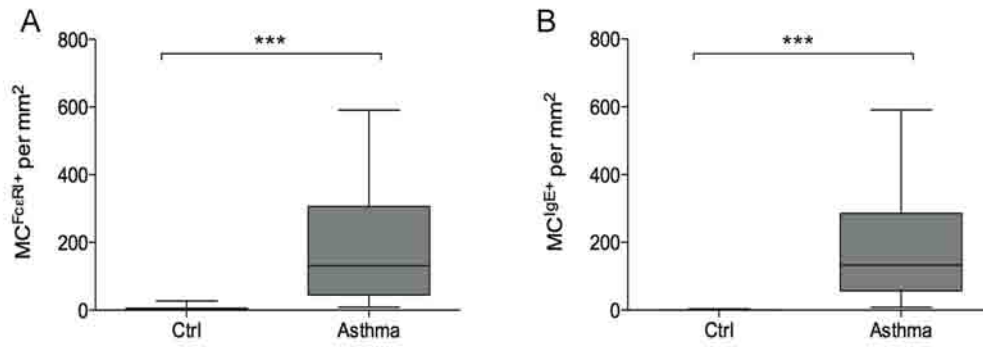
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580 **Figure 2.** Mast cell expression (%) of FcεRI (A-B), panel C-D show representative
 581 micrographs of FcεRI⁺ mast cells in alveolar parenchyma from controls (C) and asthmatic
 582 patients (D). E-F show mast cell bound IgE (%), panel G-H show representative micrographs
 583 of IgE⁺ mast cells in alveolar parenchyma from controls (G) and asthmatic patients (H). Scale
 584 bar: C-D, G = 50 μm and H = 25 μm. Insets represents image (600×) of mast cells double
 585 positive for tryptase and FcεRIα or IgE. Horizontal bars indicates median value.

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588 **Figure 3.** Density of mast cells expressing FcεRIα (A) and mast cell bound IgE (B) in
589 alveolar parenchyma in uncontrolled asthma compared to healthy controls. Data are presented
590 as box and whiskers.