



LUND UNIVERSITY

Self-tuners with Automatic Adjustment of the Sampling Period for Processes with Time Delays

Åström, Karl Johan; Zhou, Zhao-Ying

1981

Document Version:

Publisher's PDF, also known as Version of record

[Link to publication](#)

Citation for published version (APA):

Åström, K. J., & Zhou, Z.-Y. (1981). *Self-tuners with Automatic Adjustment of the Sampling Period for Processes with Time Delays*. (Technical Reports TFRT-7229). Department of Automatic Control, Lund Institute of Technology (LTH).

Total number of authors:

2

General rights

Unless other specific re-use rights are stated the following general rights apply:

Copyright and moral rights for the publications made accessible in the public portal are retained by the authors and/or other copyright owners and it is a condition of accessing publications that users recognise and abide by the legal requirements associated with these rights.

- Users may download and print one copy of any publication from the public portal for the purpose of private study or research.
- You may not further distribute the material or use it for any profit-making activity or commercial gain
- You may freely distribute the URL identifying the publication in the public portal

Read more about Creative commons licenses: <https://creativecommons.org/licenses/>

Take down policy

If you believe that this document breaches copyright please contact us providing details, and we will remove access to the work immediately and investigate your claim.

LUND UNIVERSITY

PO Box 117
221 00 Lund
+46 46-222 00 00

CODEN: LUTFD2/ (TFRT-7229) / 1-074/ (1981)

SELF-TUNERS WITH AUTOMATIC ADJUSTMENT
OF THE SAMPLING PERIOD FOR PROCESSES WITH TIME DELAYS

KARL JOHAN ÅSTRÖM
ZHOU ZHAO-YING

LUND INSTITUTE OF TECHNOLOGY
DEPARTMENT OF AUTOMATIC CONTROL

AUGUST 1981

LUND INSTITUTE OF TECHNOLOGY DEPARTMENT OF AUTOMATIC CONTROL Box 725 S 220 07 Lund 7 Sweden		Document name REPORT	
		Date of issue August 1981	
		Document number CODEN:LUTFD2/(TFRT-7229)/1-074/(1981)	
Author(s) Karl Johan Åström Zhou Zhao-ying		Supervisor	
		Sponsoring organization STU-78-3763	
Title and subtitle Self-Tuners with Automatic Adjustment of the Sampling Period for Processes with Time Delays.			
Abstract Simple self-tuners for processes with time delay and low order dynamics are developed. Methods for automatic adjustment of the sampling period are discussed. The performance of the regulators are illustrated by simulation, and experiments on laboratory processes.			
Key words			
Classification system and/or index terms (if any)			
Supplementary bibliographical information			
ISSN and key title		ISBN	
Language English		Number of pages 74	
Security classification		Recipient's notes	

SELF-TUNERS WITH AUTOMATIC ADJUSTMENT
OF THE SAMPLING PERIOD FOR PROCESSES WITH TIME DELAYS

Karl Johan Åström

Zhou Zhao-ying

Department of Automatic Control
Lund Institute of Technology

August 1981

ABSTRACT

Simple self-tuners for processes with time delay and low order dynamics are developed. Methods for automatic adjustment of the sampling period are discussed. The performance of the regulators are illustrated by simulation, and experiments on laboratory processes.

CONTENTS

1. INTRODUCTION
2. THE CONTROL PROBLEM
 - Regulator Structure
 - Process Models
 - Problem Formulation
3. DIGITAL CONTROL DESIGN
 - No Cancellation of Process Zeros
 - The Process Zero is Cancelled
 - Regulator Design for B polynomials of higher order
4. PARAMETER ESTIMATION
5. ADJUSTING THE SAMPLING PERIOD
 - The Case of Known Parameters
 - Automatic Adjustments 1
 - Automatic Adjustments 2
6. SIMULATION AND EXPERIMENTS
 - Changing time constant
 - Initial Conditions
 - Load Changes
 - Automatic Adjustment of the Sampling Period
 - High Order Process Dynamics
7. REFERENCES

APPENDIX A: SIMNON PROGRAM

Self-tuner with Two More Extra Parameters of B

B: PASCAL PROGRAM 1

C: PASCAL PROGRAM 2

1. INTRODUCTION

There are many industrial processes whose dynamics can be approximated with a time delay T_d and a first order lag with time constant T . If the time delay T_d is much shorter than T the process is easy to control. A proportional regulator with a high gain can for example be used. If the time delay T_d is larger than the time constant T then the process has a considerable phase shift already for very low frequencies. The gain of a proportional controller must then be chosen so small that the total loop gain is less than one. This means that the proportional gain has to be less than the inverse value of the process gain. To control such processes in a reasonable way it is necessary to have controllers with dead time compensation e.g. of the type proposed by Smith (1958). Dead time compensations and taking them into account when determining the control signal. In this way it is possible to maintain a high loop gain.

The problem formulation is given in section 2. The design problem for systems with known parameters is stated and solved in section 3. Section 4 deals with the parameter estimation problem. Different ways of adjusting the sampling period are covered in section 5. Results from simulations and experiments using DEC LSI 11/03 to control processes simulated on an analog computer are given in section 6.

2. THE CONTROL PROBLEM

The problem formulation is discussed in this section. The regulator structure chosen is the same as for the regulator R2 in the DDC package PPCP which was developed at the IBM Nordic laboratory. It is proposed that the regulator should be modified a little to avoid the inherent difficulties with the one-degree-of-freedom structure used. The processes to be controlled are then characterized and a formulation of the control problem is given.

Regulator_Structure

The regulator R2 is characterized by

$$\nabla u(t) + r_1 \nabla u(t-h) + r_2 \nabla u(t-2h) = -s_0 e(t) - s_1 e(t-h)$$

where $u(t)$ is the control signal and

$$e(t) = u_c(t) - y(t)$$

is the error, and ∇ a difference operator. The regulator R2 is a one-degree-of-freedom controller according to Horowitz (1963). Because of this the regulator will always introduce a zero $z = -s_1 / s_0$ in the transfer function. This is a serious limitation because it may result in an unnecessarily large overshoot. It is thus useful to replace the regulator with a two degree of freedom configuration characterized by

$$\begin{aligned} \nabla u(t) + r_1 \nabla u(t-h) + r_2 \nabla u(t-2h) = & t_0 u_c(t) + t_1 u_c(t-h) \\ & - s_0 y(t) - s_1 y(t-h) \end{aligned} \quad (2.1)$$

The control algorithm is incremental. This is because the computer control actions are introduced via increase and decrease functions in the analog part of the system. This can not be changed without drastic modification of the system.

Process_Model

The processes to be controlled are described as a combination of a time delay T_d and a first order lag. Such an approximation is sufficiently accurate for the purpose of control in many cases. The process model is thus

$$\frac{dy(t)}{dt} = -\alpha y(t) + \beta u(t-T_d) \quad (2.2)$$

The corresponding transfer function is

$$G(s) = \frac{k}{1+sT} e^{-sT_d} \quad (2.3)$$

where

$$\left. \begin{aligned} k &= \beta / \alpha \\ T &= 1 / \alpha \end{aligned} \right\} \quad (2.4)$$

Assume that the process is sampled with the sampling period h . The behaviour of the sampled system at times which are synchronized to the sampling instants can be described by the equation

$$y(t) = a y(t-h) + b_1 u(t-kh) + b_2 u(t-kh-h) \quad (2.5)$$

where

$$\left. \begin{aligned} a &= e^{-\alpha h} \\ b_1 &= \frac{\beta}{\alpha} [1 - e^{-\alpha(h - T_d \text{ mod } h)}] \\ b_2 &= \frac{\beta}{\alpha} [e^{-\alpha(h - T_d \text{ mod } h)} - e^{-\alpha h}] \\ k &= 1 + T_d \text{ div } h \end{aligned} \right\} \quad (2.6)$$

If the sampled model (2.5) is given the corresponding parameters of the continuous time system is given by

$$\left. \begin{aligned} \alpha &= -\frac{1}{h} \ln a \\ \beta &= -\frac{b_1 + b_2}{h(1-a)} \ln a \\ T_d &= [k - 1 - \frac{\ln(ab + b_2 / (ab + ab_2))}{\ln a}] h \end{aligned} \right\} \quad (2.7)$$

The process gain K and the time constant is given by

$$\left. \begin{aligned} K &= \frac{b_1 + b_2}{1 - a} \\ T &= -h / \ln a \end{aligned} \right\} \quad (2.8)$$

Problem_Formulation

It is intended to design a regulator with the structure (2.1) which will work well under a variety of circumstances. Some of the applications can be captured by an optimization criterion like minimum variance but for many other applications the goal is more loosely defined e.g. to obtain control loops with reasonable gain and settling times. In the applications considered it is also desirable to have responses without overshoot. In some cases there are also problems with disturbances. It is therefore decided to design for a specified settling time and to include filtering by specifying a first order observer with a given time constant.

3. CONTROL DESIGN

The control system design problem will now be solved. The essence of the problem can be captured by a pole placement design. Since the specifications call for settling time without overshoot and since they are not very strict, it is reasonable to require that the sampled system has zeros at the origin and at

$$z_1 = \exp(-h/T_c)$$

where T_c is the specified settling time. Similarly the observer polynomial is specified by requiring that it has zeros at the origin and at

$$z_2 = \exp(-h/T_o)$$

where T_o is specified. The desired performance is thus controlled by the two parameters T_c and T_o . The regulator is designed so that the transfer function from the command signal to the output is given by

$$H_d(z) = \frac{1 + p_1}{b_1 + b_2} \frac{1}{z} \frac{b_1 z + b_2}{z^k (z+p_1)^2} \quad (3.1)$$

where

$$p_1 = -\exp(-h/T_c)$$

The regulator will thus have a zero at $z = -b_2/b_1$. There will also be a delay of at least two sampling periods. This can not be avoided because of the delay in the process. If the process zeros is well damped it can be attempted to cancel the process zero to obtain the transfer function

$$H_d(z) = \frac{1 + p_1}{b_1} \frac{b_2}{z} \frac{1}{z^{k-1} (z+p_1)} \quad (3.2)$$

The cases (3.1) and (3.2) will be considered separately.

No_Cancellation_of_Process_Zeros

Using z-transform notation the sampled process model (2.5)

can be described by

$$H(z) = \frac{B(z)}{A(z)}$$

where

$$A(z) = z^k (z - a)$$

$$B(z) = b_1 z + b_2$$

Only two cases, $k = 1$ and $k = 2$ are considered. They represent the situations of $h > T_d$ and $2h > T_d$ respectively. Since the desired regulator structure (2.1) is such that it contains an integrator the basic design equation for the pole placement synthesis is

$$\begin{aligned} (z-a)(z-1)(z^2 + r_1 z + r_2) + (b_1 z + b_2)(s z + s) \\ = z^2 (z + p_1)(z + t_1) \quad \text{for } k = 2 \\ (z-a)(z-1)(z-r_1) + (b_1 z + b_2)(s z + s) \\ = z(z + p_1)(z + t_1) \quad \text{for } k = 1 \end{aligned} \quad (3.3)$$

This means that one observer pole is at the origin and that the closed loop system has two additional poles at origin for $k = 2$, or one for $k = 1$.

Equating coefficients of equal powers of z the following equations are obtained for $k = 2$

$$z^3: -a - 1 + r_1 = p_1 + t_1$$

$$z^2: -r_1(a+1) + a + r_2 + b_2 s = p_1 t_1$$

$$z^1: a r_1 - r_2(1+a) + b_1 s + b_2 s_0 = 0$$

$$z^0: a r_2 + b_2 s_1 = 0$$

This set of linear equations can be solved if $b_1 + b_2 \neq 0$ and

$a b_1 + b_2 \neq 0$. The solution is

$$\begin{aligned}
 r_1 &= 1 + a + p_1 + t_1 \\
 r_2 &= \{ b_2^2 [(p_1 t_1 - a) + (1+a)r_1] + b_1 b_2 a r_1 \} / N \\
 s_0 &= \{ b_2^2 [(1+ata)^2 r_1 + (1+a)(p_1 t_1 - a)] \\
 &\quad + b_1 [a(1+a)r_1 + a(p_1 t_1 - a)] \} / N \\
 s_1 &= \{ b_2^2 [-a(1+a)r_1 - a(p_1 t_1 - a)] - a b_1 r_1 \} / N \\
 t_0 &= (1+p_1) / (b_1 + b_2) \\
 N &= (b_1 + b_2)(ab_1 + b_2^2)
 \end{aligned} \tag{3.4}$$

For $k = 1$ we have similarly

$$\begin{aligned}
 s_0 &= \frac{1}{1-a} \left[\frac{(1+p_1)(1+t_1)}{b_1 + b_2} - \frac{a(a+p_1)(a+t_1)}{ab_1 + b_2^2} \right] \\
 s_2 &= \frac{1}{1-a} \left[\frac{a(a+p_1)(a+t_1)}{ab_1 + b_2^2} - \frac{a(1+p_1)(1+t_1)}{b_1 + b_2} \right]
 \end{aligned} \tag{3.5}$$

and

$$r_1 = p_1 + t_1 + a - b s_1 \tag{3.6}$$

The condition

$$b_1 + b_2 = 0$$

implies that the process model has a zero at $z = 1$, which cancels the zero in the regulator. To obtain the controller in that case the factor $(z-1)$ in (3.3) should be cancelled before the polynomials R and S are determined. If this is done the regulator will, however, no longer contain an integrator. This means that if (3.6) holds then there is no regulator of the form (2.1) which will do the job.

The condition

$$ab_1 + b_2^2 = 0 \tag{3.7}$$

implies that there is a common factor in the process model. This factor should then be cancelled before the equation (3.3) is solved. If (3.7) holds the process model reduced to

$$H(z) = \frac{b_1}{z^k}$$

or

$$y(t) = b_1 u(t-kh)$$

The design equation (3.3) reduces to

$$(z-1)(z+r_1) + b_1 s_1^0 = (z+p_1)(z+t_1) \quad \text{for } k = 2 \quad (3.8a)$$

$$(z-1)(z+r_1) + z b_1 s_1^0 = (z+p_1)(z+t_1) \quad \text{for } k = 1 \quad (3.8b)$$

Equating coefficients of equal powers of z in (3.8a) gives

$$z : r_1 - 1 = p_1 + t_1$$

$$z^0 : -r_1 + b_1 s_1^0 = p_1 t_1$$

Hence

$$\left. \begin{aligned} r_1 &= 1 + p_1 + t_1 \\ s_1 &= (r_1 + p_1 t_1) / b_1 \end{aligned} \right\} \quad (3.9a)$$

From (3.8b) we have

$$\left. \begin{aligned} r_1 &= -p_1 t_1 \\ s_0 &= (p_1 + t_1 + 1 - p_1 t_1) / b_1 \end{aligned} \right\} \quad (3.9b)$$

In the particular case (3.7) the coefficients r_2 and s_2 in the control law are thus equal to zero.

The_Process_Zero_is_Cancelled

If the process zero is cancelled $b_1 z + b_2$ must divide

$$z^2 + r_1 z + r_2. \quad \text{Hence}$$

$$z^2 + r_1 z + r_2 = (z+b_2/b_1)(z+r_1')$$

The design equation then becomes

$$(z-a)(z-1)(z+r_1') + b_1 (s_1 z + s_2) = z(z+p_1)(z+t_1) \quad \text{for } k = 2$$

(3.10a)

$$(z-a)(z-1) + b_1 (s_1 z + s_2) = (z+p_1)(z+t_1) \quad \text{for } k = 1$$

(3.10b)

Equating coefficients of equal powers of z in (3.10a) gives

$$z^2 : -1 - a + r'_1 = p_1 + t_1$$

$$z^1 : -(1+a)r'_1 + a + b_1 s_0 = p_1 t_1$$

$$z^0 : ar'_1 + b_1 s_1 = 0$$

This linear equation has the solution

$$r'_1 = p_1 + t_1 + a + 1$$

$$s_0 = [(1+a)r'_1 - a + t_1 p_1] / b_1$$

$$s_1 = -ar'_1 / b_1$$

The remaining parameters of the regulator are then given by

$$r_1 = -b_2 / b_1 + r'_1$$

$$r_2 = r'_1 b_2 / b_1$$

For $k=1$ we have

$$r_1 = b_2 / b_1$$

$$r_2 = 0$$

$$s_0 = (1 + a + t_1 + p_1) / b_1$$

$$s_1 = (t_1 p_1 - a) / b_1$$

Regulator_Design_for_B_Polynomials_of_higher_order

When the sampling period h is smaller than time delay T_d the degree of polynomial B in the estimated model

$$A(z)y(t) = B(z)u(t) + e(t) \quad (3.12)$$

is required such that

$$\deg B > 1 + T_d \text{ div } h \quad (3.13)$$

If the time delay is unknown the safe way to handle the control problem is to use a polynomial B of high order. As an example we consider a process with two extra terms of B as follows.

$$y(t) = a y(t-h) + b_1 u(t-h) + b_2 u(t-2h) + b_3 u(t-3h) + b_4 u(t-4h) \quad (3.14)$$

The linear regulator is given by

$$R(z)u(t) = T(z)y_r(t) - S(z)y(t) \quad (3.15)$$

where observer $T(z) = t_0 T_1(z)$

$$t_0 = \begin{cases} p_s / b_s & \text{if no common factor} \\ p_s / (b_1 + b_2 + b_3 + b_4) & \text{for a common factor} \end{cases}$$

$$b_1 z^3 + b_2 z^2 + b_3 z + b_4 = (z-a)(b_1 z^2 + b_2 z + b_3 c)$$

and R , S and T satisfy the basic design equation

$$A(z)VR(z) + B(z)S(z) = P(z)T_1(z) \quad (3.16)$$

equating coefficients with same power of z (3.16) can be rewritten as a parameter equation

$$DB = C \quad (3.17)$$

When there is no cancellation (3.17) has the form

$$\theta = \begin{bmatrix} r_1 & r_2 & r_3 & 0 & s & s & 1 \end{bmatrix}^T$$

$$D = \begin{bmatrix} 1 & 0 & 0 & 0 & b_1 & 0 \\ -a-1 & 1 & 0 & b_2 & b_1 \\ a & -a-1 & 1 & b_3 & b_2 \\ 0 & a & -a-1 & b_4 & b_3 \\ 0 & 0 & a & 0 & b_4 \end{bmatrix}$$

$$C = \begin{bmatrix} t_1 + p_1 + 1 + a & t_1 p_1 - a & 0 & 0 & 0 \end{bmatrix}^T$$

If there is a common factor then (3.17) becomes

$$\theta = \begin{bmatrix} r_1 & r_2 & s & 1 \end{bmatrix}^T$$

$$D = \begin{bmatrix} 1 & 0 & b_1 \\ -1 & 1 & b_2 c \\ 0 & -1 & b_3 c \end{bmatrix}$$

$$C = [t_1 + p_1 + 1 \quad t_1 p_1 \quad 0]^T$$

The control signal is given by

$$u(t) = t_0 y_1(t) + t_1 t_0 y_1(t-h) - s_0 y_1(t) - s_1 y_1(t-h) + (1-r_1)u(t-h) + (r_1-r_2)u(t-2h) + (r_2-r_3)u(t-3h) + r_3 u(t-4h)$$

The test value

$$T_{\text{com}} = \text{eps} (b_{\text{max}})^4$$

is used to determine whether there is a common factor.

4. PARAMETER ESTIMATION

To adjust the sampling period automatically an extra parameter of polynomial B is also estimated. The model used is thus

$$y(t+1) = ay(t) + b_1 u(t) + b_2 u(t-1) + b_3 u(t-2) \quad (4.1)$$

where the time unit is chosen as the sampling period h. To describe the algorithm the following notation is introduced $\varphi(t) = [y(t-1) \ u(t-1) \ u(t-2) \ u(t-3)]$

$$\theta(t) = [\hat{a}(t) \ \hat{b}_1(t) \ \hat{b}_2(t) \ \hat{b}_3(t)]^T$$

The recursive least squares estimate of the parameters is then given by

$$\theta(t+1) = \theta(t) + P(t+1)\varphi^T(t+1)\varepsilon(t+1)$$

$$P(t+1) = [P(t) - P(t)\varphi(t+1)R^{-1}(t+1)\varphi^T(t+1)P(t)] / \lambda$$

$$R(t) = \lambda + \varphi(t+1)P(t)\varphi^T(t+1)$$

$$\varepsilon(t+1) = y(t+1) - \varphi(t+1)\theta(t)$$

(4.2)

where λ is the forgetting factor.

Because the bias of the process is not estimated the differences of measurement between two neighbourhood are provided to estimation. A filter is also used to improve the quality of signals

$$yf(t) = t_1 yf(t-1) + y(t)$$

$$uf(t) = t_1 uf(t-1) + u(t)$$

(4.3)

$\varphi(t)$ and $\varepsilon(t)$ are replaced by

$$\varphi(t) = [yf(t-2)-yf(t-1) \ uf(t-1)-uf(t-2) \]$$

$$uf(t-2)-uf(t-3) \ uf(t-3)-uf(t-4) \]$$

(4.4)

$$\varepsilon(t) = yf(t) - yf(t-1) - \varphi(t)\theta(t-1)$$

An instrumental variable method has also been tested to avoid the bias problem.

5. ADJUSTING THE SAMPLING PERIOD

The sampling period h is a critical parameter. It is clearly desirable to adjust h automatically. The principles for adjusting h are discussed in this section. A block diagram of the self-tuner with automatic adjustment of the sampling period is shown in Fig.1. A simulation result is given by Fig.2.

The Case of Known Parameters

Since the regulator R_2 is based on the model (2.3) the sampling interval h should be smaller than the time delay T_d . If the parameter b_2 is different from zero the sampling period should also be larger than $T_d / 2$. We thus obtain the following rule for choosing the sampling period

$$0.5 T_d < h < T_d \quad (5.1)$$

If the regulator R_2 should be used the ratio between the time delay T_d and the time constant T should not be too small. A reasonable condition is

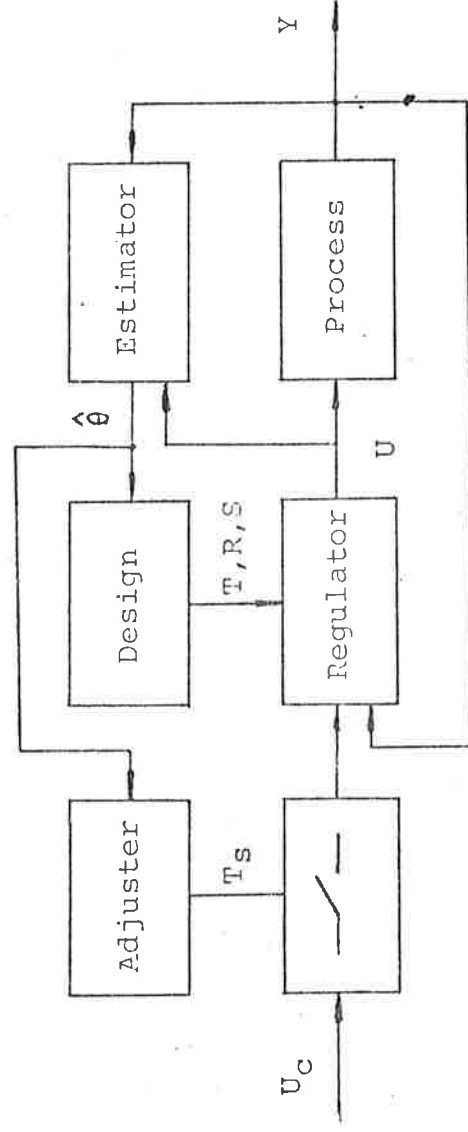


Fig.1. Structure of a self-tuner with automatic adjustment of sampling period.

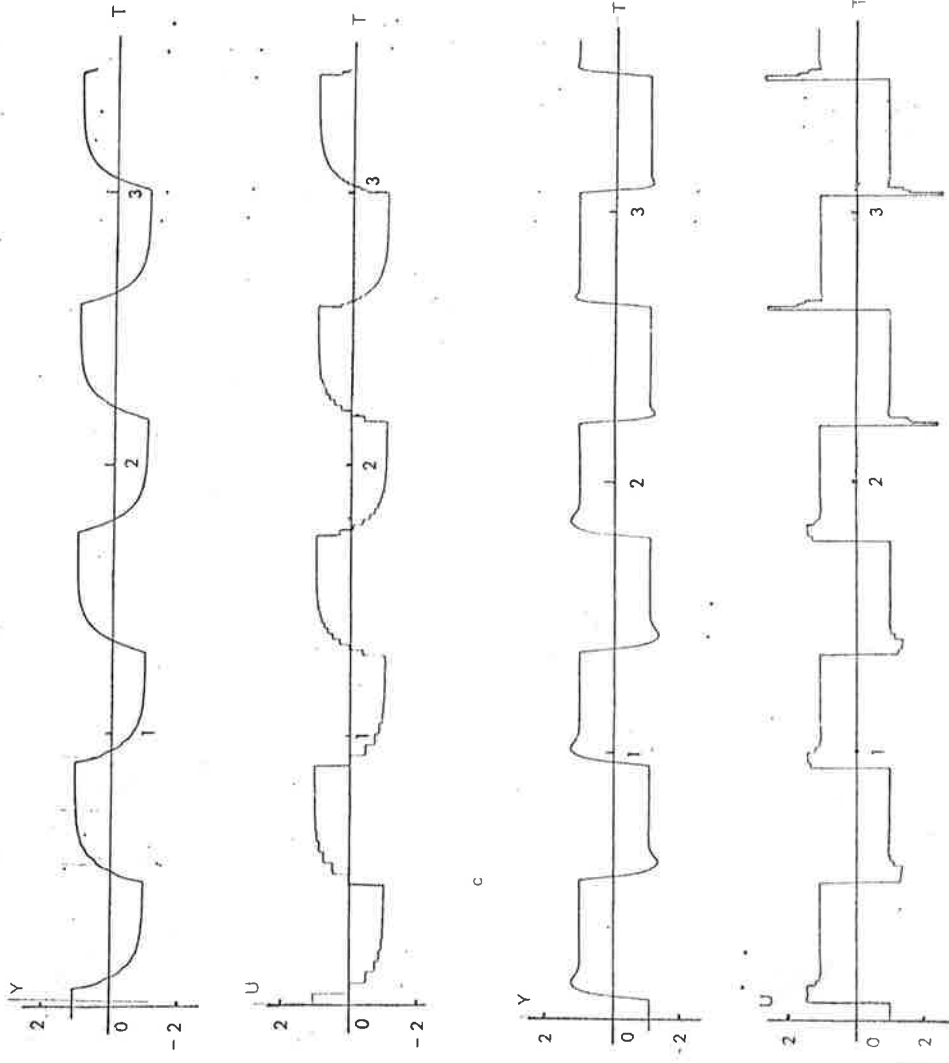


Fig. 2. Automatic adjustment of the sampling period by a simple self-tuner. Process $\exp(-0.4s)/(s+1)$. The sampling period is adjusted from 2.4 to 1.2, then to 0.6 s.

A. Desired pole $p = 0.5$.

B. Desired pole $p = 5$.

$$T_d > 0.2 T \quad (5.2)$$

If this equality is not satisfied it is better to use some other design method. Some methods for automatic adjustment of the sampling period are given below.

Automatic_Adjustment_1

It is natural to use the inequality (5.1) as a basis for an automatic adjustment of the sampling period. A reasonable choice is thus

$$h = 0.75 \hat{T}_d \quad (5.3)$$

If a reliable estimate of the time delay can be produced it is thus straightforward to determine a suitable value of the sampling period. If good a priori estimates are provided the time delay can be estimated from (2.7). It is, however, not

entirely trivial to provide a good estimate of time delay if the initial estimate of h is far from the true value. To see this let w be the impulse response of the system and let $\{w_i, i = 0, 1, 2, \dots\}$ be the pulse response. It follows that

$$w_i = \int_{(i-1)h}^{ih} w(t) dt$$

$$= \begin{cases} b_0 & i = 0 \\ b_1 + ab_0 & i = 1 \\ a^{-3} (b_2 + ab_1 + a^2 b_0) & i = 2 \\ & i = 3 \end{cases} \quad (5.4)$$

For a system with a time delay these equations imply that

$$\begin{aligned} b_0 &= 0 && \text{if } h < T_d \\ b_0 = b_1 &= 0 && \text{if } h < T_d / 2 \\ b_0 = b_1 = b_2 &= 0 && \text{if } h < T_d / 3 \end{aligned}$$

Since the least squares estimates are asymptotically consistent it follows that the estimates of the parameters b_1, b_2 and b_3 will all be zero if the initial value of the sampling period h is smaller than $T_d / 3$. In that case the estimates can not be used to estimate the time delay. However, if the initial value of the sampling period is larger than $T_d / 3$ the time delay can be estimated from (2.7). Several different cases can be distinguished.

Case 1: $|b_1| > \alpha \max(|b_2|, |b_3|)$

$$T_d = - \frac{\ln (b_2 + ab_1) / (b_1 + b_2)}{\ln a} h \quad (5.5)$$

Case 2: $|b_1| < \alpha \max(|b_2|, |b_3|)$

$$|b_2| > \alpha |b_3|$$

$$T_d = \left[1 - \frac{\ln(b_3 + ab_2)}{\ln a} \right] h \quad (5.6)$$

Case 3: If all parameters b_1 , b_2 , and b_3 are small the time delay can not be easily estimated. Neither is it easy to find good test quantities. One possibility is to increase the number of estimated parameters. If an a priori estimate k of the DC-gain is available the variable

$$\frac{b_1 + b_2 + b_3}{k(1-a)}$$

could be used to test if $h < T_d / 3$. A third possibility is to use the observation that if the sampling interval is too small then the estimated parameters b_1 , b_2 and b_3 will be small. The process gain will then be very large and the closed loop system will be unstable. If the control signal is bounded the system will then have a limit cycle which could be detected. A simple describing function analysis indicates that the period of the limit cycle will be between $2 T_d$ and $4 T_d$. A determination of the frequency of the limit cycle will thus provide an estimate of T_d . The automatic adjustment procedure can then be summed up as follows.

Step_1. Choose a sufficient large sampling period $h > T_d / 2$.
Run the self-tuner based on

$$\begin{aligned} Ay &= Bu \\ AR + BS &= PT_1 \end{aligned}$$

Step_2. When the parameters have converged, determine the time delay from estimates with an extra parameter of B. Use (5.5) or (5.6).

Step_3. Adjust h to T_s

$$T_s = \alpha T_d$$

where α is a constant. Before the adjustment, update $\hat{\theta}(h)$ to $\hat{\theta}(T_s)$ according to (2.6).

Step_4. Keep T_s in an admissible range $[T_{s1}, T_{sh}]$, where

$$T_{s1} = \alpha_1 T_d$$

$$T_{sh} = \alpha_2 T_d$$

α_1 and α_2 are constant.

Automatic_Adjustment_2

If the choice of the sampling period is not too strict it is unnecessary to compute the time delay and the adjustment can be arranged to double or half the sampling period. The procedure is given by

Step_1. Choose a sufficient large sampling period $h > T_d / 2$.

Run a self-tuner with estimation of two different models.

$$A_1 y = B_1 u$$

$$A_2 y = B_2 u$$

$$A_1 R + B_1 S = P T_d$$

where

A_1 and B_1 are estimated with sampling period h and

A_2 and B_2 are estimated with sampling period $h/2$.

Step_2. Determination of the range of T_d .

Case 1. When $h > 2T_d$ then

$$\min [b_1, b_2, b_3]_h = b_3(h) \quad \text{and}$$

$$\min [b_1, b_2, b_3]_{h/2} = b_3(h/2)$$

Choose $T_s = h/2$.

Case 2. When $2T_d > h > T_d$ then

$$\min [b_1, b_2, b_3]_h = b_3(h) \quad \text{and}$$

$$\min [b_1, b_2, b_3]_{h/2} = b_1(h/2)$$

Keep $T_s = h$.

Case 3. When $T_d > h$ then

$$\min [b_1, b_2, b_3]_h = b_1(h)$$

Choose $T_s = 2h$.

Step 3. Adjusting the sampling period.

If case 1 or case 2 repeats n consecutive times a decision of decreasing or increasing the sampling period will be given. Before the adjustment update $\hat{\theta}(h)$ to $\hat{\theta}(T_s)$. In case 1

$\hat{\theta}(h/2)$ has been estimated the adjustment will be very smooth.

In case 2 the value $\hat{\theta}(2h)$ must be calculated. The formulae is given as follows. Consider a least squares model for sampling period h

$$y = \varphi(h)\theta(h) \quad (5.7)$$

Then the model for sampling period $2h$ is given as

$$y = \varphi(2h)\theta(2h) + f(\theta(h), \nabla u) \quad (5.8)$$

where ∇u is the variation of control signal in $2h$. The proof of (5.8) is straight forward but tedious. It is given elsewhere. Let it suffice here to show the formula in a special case only. Consider the process with sampling period

$$y(t+2) = -ay(t+1) + b_1 u(t-k+2) + b_2 u(t-k+1)$$

For $2h > T_d$ we have

$$y(t+2) = \varphi(2h)\theta(2h) + \nabla\varphi(h)C(h)$$

where

$$\theta(2h) = [a(2h) \quad b_1(2h) \quad b_2(2h)]^T$$

$$\varphi(2h) = [-y(t) \quad u(t) \quad u(t-2)]$$

$$C(h) = [c_1(h) \quad c_2(h)]^T$$

$$\nabla\varphi(h) = [u(t+1)-u(t) \quad u(t-1)-u(t-2)]$$

Consider several different cases.

1). $T_d = 0$, i.e. $k = 1$, $b_2 = 0$. It results in

$$a(2h) = a^2$$

$$b_1(2h) = b_1(1-a)$$

$$b_2(2h) = 0$$

$$c_1(2h) = b_1$$

$$c_2(2h) = 0$$

2). $h \rangle T_d$, i.e. $k = 1$. We have

$$a(2h) = a^2$$

$$b_1(2h) = -ab_1 + b_1 + b_2$$

$$b_2(2h) = -ab_2$$

$$c_1(2h) = b_1$$

$$c_2(2h) = -ab_1$$

3). $2h \rangle T_d$, i.e. $k = 2$. then

$$a(2h) = a^2$$

$$b_1(2h) = b_1$$

$$b_2(2h) = -ab_2 - a_1b_1 + b_2$$

$$c_1(2h) = 0$$

$$c_2(2h) = -ab_1 + b_2$$

4). $h = T_d$, i.e. $k = 2$ and $b_2 = 0$. then

$$a(2h) = a^2$$

$$b_1(2h) = b_1$$

$$b_2(2h) = -ab_1$$

$$c_1(2h) = 0$$

$$c_2(2h) = -ab_1$$

6. SIMULATION AND EXPERIMENTS

The behaviour of the self-tuner is shown by simulations using SIMNON and by experiments on an analog computer and DEC LSI 11/03 using an interactive program written in Pascal.

Changing_time_constant

The first experiments illustrate how the self-tuner reacts to changes in the time constants of the process. Fig.3 shows the behaviour of a process without control and with self-tuning control. The time constant T of the desired closed loop system can be adjusted very well according to requirements, where $T = 1/p$.

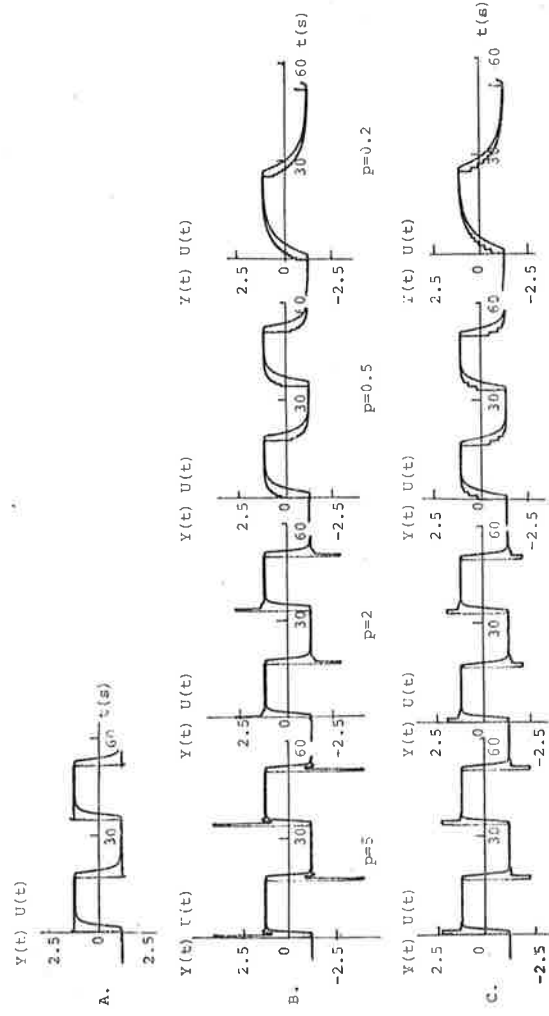


Fig.3. Changing time constant of a closed loop system by self-tuner.

Process $\exp(-0.9s)/(s+1)$.

- A. without control.
- B. self-tuner with different p , $h = 0.7$ s.
- C. self-tuner with different p , $h = 1.2$ s.

Initial_Conditions

When all initial values of estimates are set to zero the control signals in transient will reach its limits and the output changes drastically. See Fig.4. If reasonable initial values are given the transient is however also reasonable.

Load_change

The self-tuner can tolerate large load disturbances. Fig.5 shows the performance of a self-tuner under load changes.

Automatic_adjustment_of_sampling_period

The performance of algorithm 1 is illustrated in Fig.5. The adjustment takes place in both case $h > T_d$ and case $h < T_d$. Similar results for algorithm 2 are shown in Fig.6.

High_order_process_dynamics

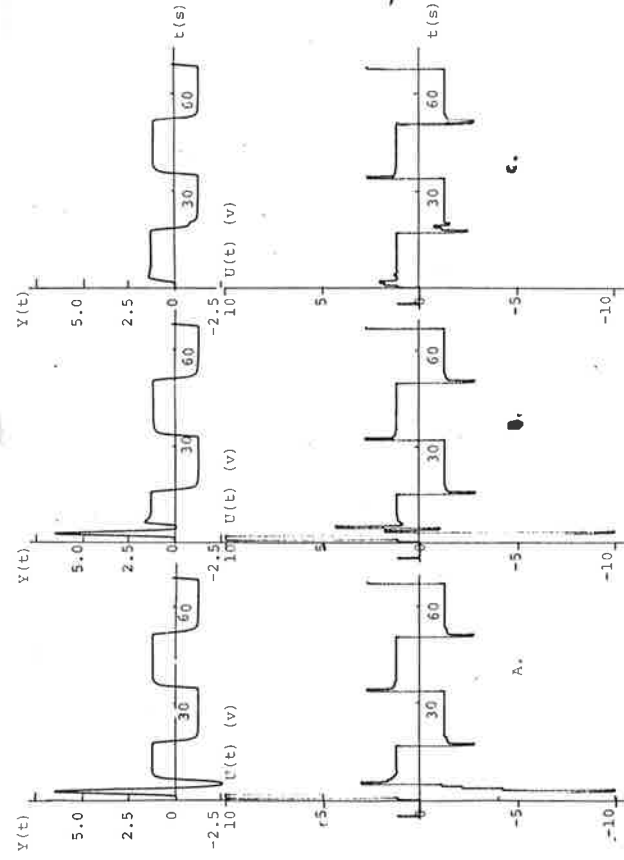


Fig.4. A self-tuner starts from different initial conditions. Process $\exp(0.9s)/(s+1)$, desired closed loop system $p = 2$.

A. $\theta=0.01$, B. $a = -0.5$, $b_1 = b_2 = b_3 = 0.01$,

C. $a = -0.5$, $b_1 = 0.01$, $b_2 = 0.33$, $b_3 = 0.14$.

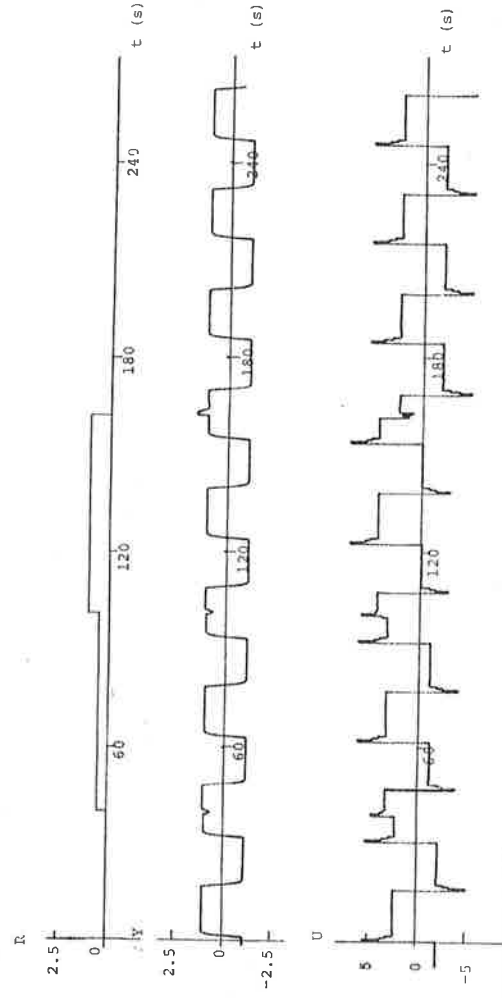


Fig. 5. A self-tuner working under load (R) change. The Process transfer function is $\exp(0.4s)/(s+1)$. The parameters are $p = 4$, $h = 0.6$ s.

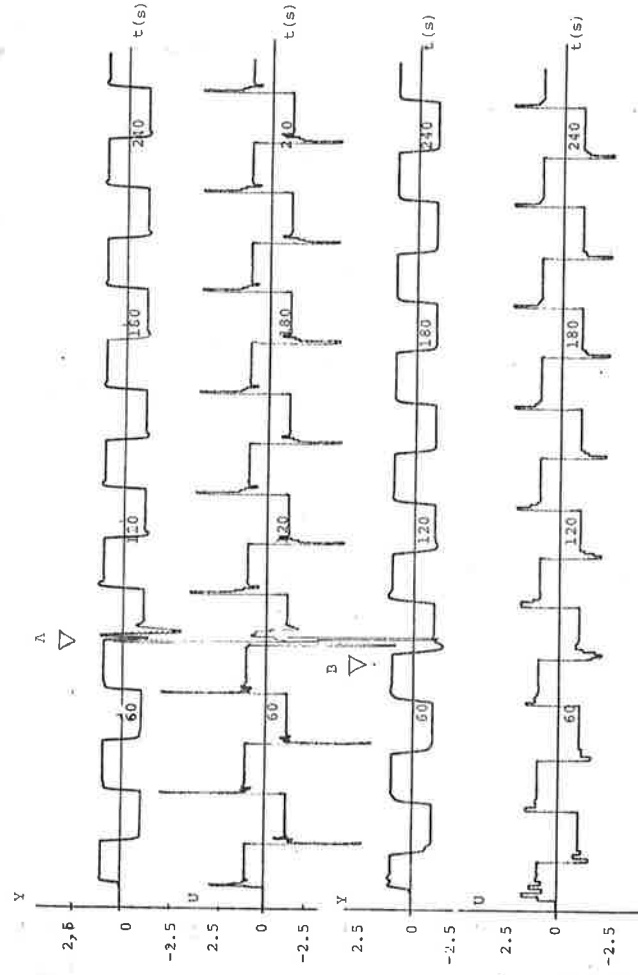


Fig. 6. Simulation of algorithm 1 and a process with the transfer function $\exp(-0.5s)/(s+1)$.

A. The sampling period is adjusted automatically from 0.35 s. to 0.76 s. after 70 s.

B. The sampling period is adjusted automatically from 1.2 s. to 0.6 s. after 60 s.

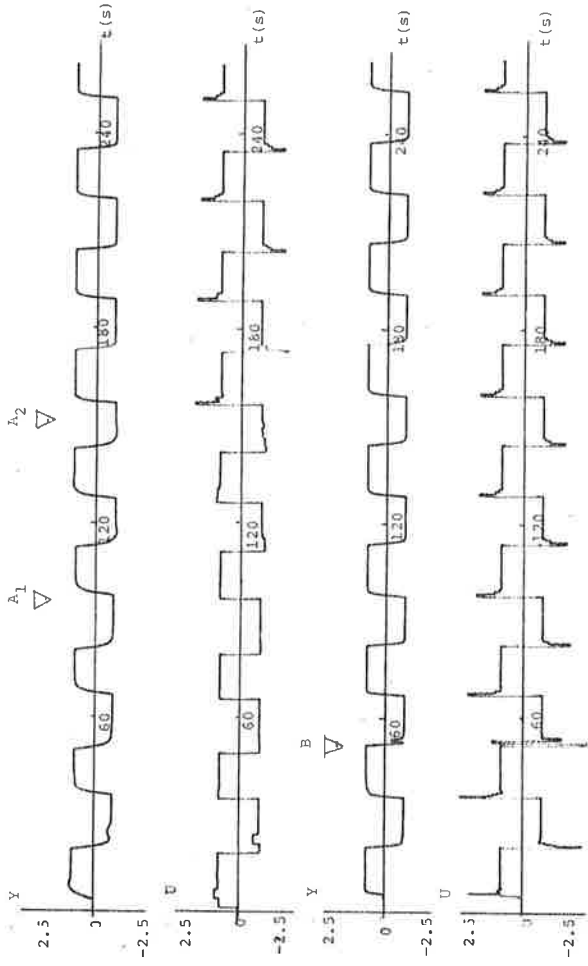


Fig.7. Simulation of algorithm 2 and a Process with the transfer function $\exp(-0.5s)/(s+1)$.

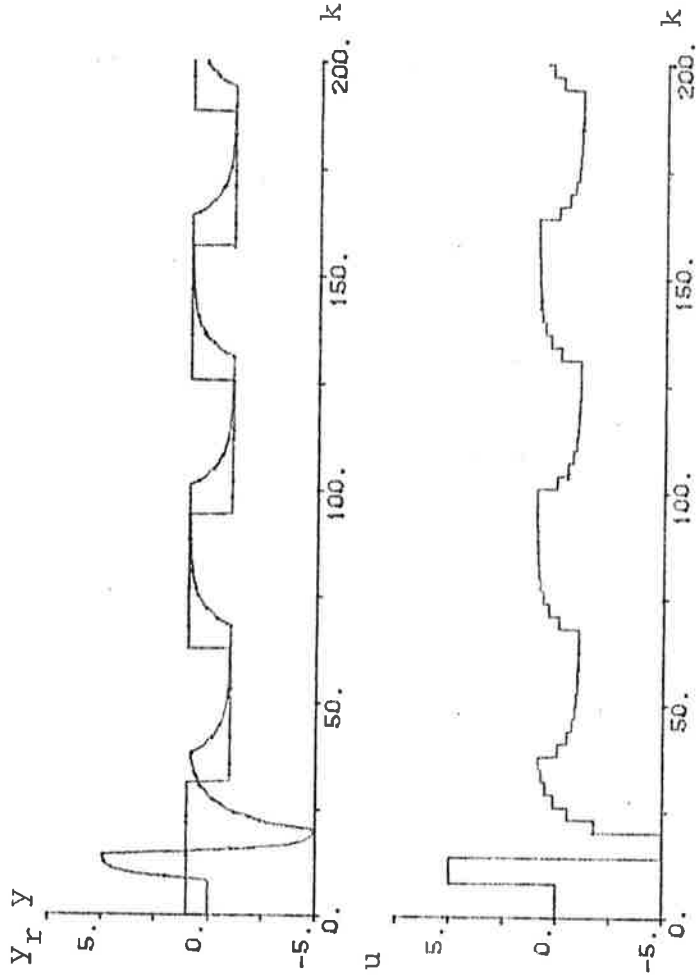
A. The sampling period is adjusted automatically from 2.8 to 1.4, then to 0.7 s.

B. The sampling period is adjusted automatically from 0.35 to 0.7 s.

The simple self-tuner has also been applied to processes having higher order dynamics. Systems with the transfer functions $\exp(-5s)/(s+1)(s+2)$ and $\exp(-5s)/(s+1)(s+2)(s+3)$ are simulated using SIMNON. Fig.8 gives some results.

81.01.17 - 15:35:12 NR: 2
hcopy

Process: 2 exp(-5s)/(s+1)(s+2). Ts=3. Tp=5. Tl=-0.1.



81.01.17 - 15:25:30 NR: 1
hcopy

Process: 2 exp(-5s)/(s+1)(s+2). Ts=3. Tp=0.1. Tl=-0.1.

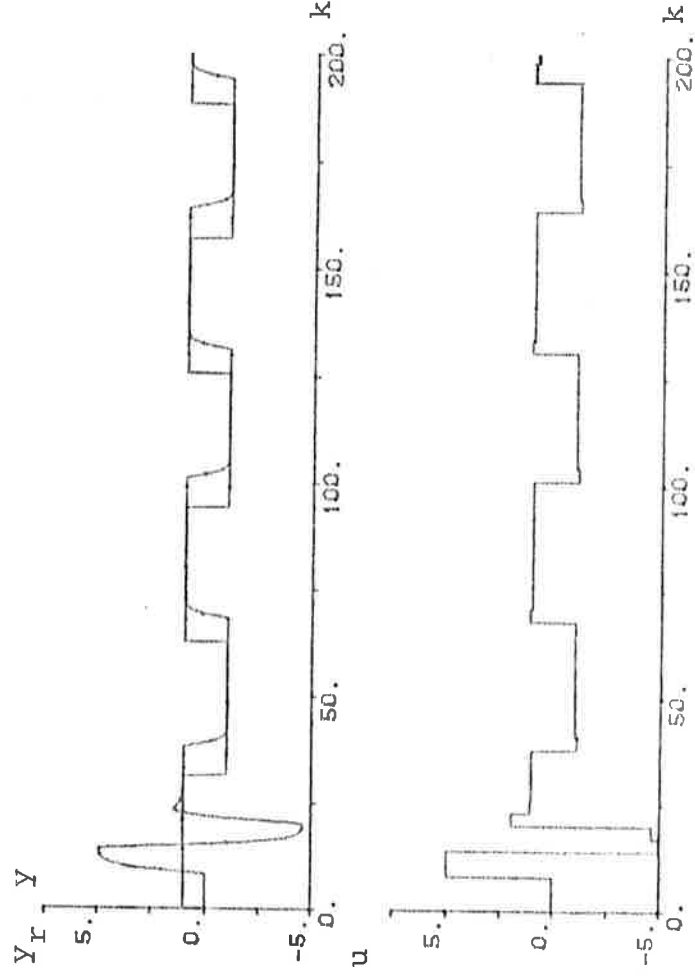


Fig. 8. Simulation results for a process with the transfer function $\exp(-5s)/(s+1)(s+2)$.

7. REFERENCES

1. Horowitz I.M. (1963): Synthesis of Feedback Systems. Academic Press. New York.
2. Astrom K.J (1979): Simple Self-tuners (1). CODEN: LUTFD2 / (TFRT-7184) / 1-063 / (1979).
3. Wittenmark B, Hagander P. and Gustavsson I. (1980): STUPID Implementation of a Self-tuning PID-controller. CODEN: LUTFD2 / (TFRT-7201) / 1-030 / (1980).
4. Smith O. J. M. (1957): Control of Loops with Dead Time. Chemical Engineering Progress. 53 217-219.
5. Young P. C. (1970): An Instrumental Variable Method for Real-time Identification of a Noisy Process. Automatica. 6 271-287.
6. Kurz H. (1979): Digital Parameter-adaptive Control of Processes with Unknown Constant or Timevarying Dead Time. Proc. 5th IFAC Symposium on Identification and System Parameter Estimation. Darmstadt.

APPENDIX A: SIMNON PROGRAM

Self-tuner with Two More Extra Parameters of B.

CONTINUOUS SYSTEM PROC1

"Self-tuner for a first order process with a time delay.
 "The algorithm is based on pole placement and the regulator
 can hand the polynomial B with two more extra parameters.

```
INPUT U
OUTPUT Y
TIME T
STATE X
DER DX
```

```
"Process
```

```
y=if t<nk then x else (x+ak)
dx=(-x+k*u)/tc
```

```
tc:1
```

```
k:1
```

```
nk:1000
```

```
ak:0.5
```

```
END
```

DISCRETE SYSTEM REG1

```
INPUT Y YR
OUTPUT U
TIME T
TSAMP TS
STATE T1 T2 T3 T4 T5 F1 F2 F3 F4 F5
STATE P11 P12 P13 P14 P15 P22 P23 P24 P25
STATE P33 P34 P35 P44 P45 P55
STATE YR1 Y1 U1 U2 U3 U4 YF1 UF1
NEW NT1 NT2 NT3 NT4 NT5 NF1 NF2 NF3 NF4 NF5
NEW NP11 NP12 NP13 NP14 NP15 NP22 NP23 NP24 NP25
NEW NP33 NP34 NP35 NP44 NP45 NP55
NEW NYR1 NY1 NU1 NU2 NU3 NU4 NYF1 NUF1
```

```
"Part 2 Regulator Design
```

```
p1=-exp(-dt/tp)
ps=1+p1
a1=t1
b1=t2
b2=t3
b3=t4
b4=t5
bs=b1+b2+b3+b4
bm1=max(abs(b1),abs(b2))
bm2=max(abs(b3),abs(b4))
bmax=max(bm1,bm2)
w1=a1-1
w3=tt1+p1-w1
w4=tt1*p1+a1
```

```

d12=b2-w1*b1
d22=b3+a1*b1
d23=b2+b4/a1
d33=b3+w1*b4/a1
n=-a1*(d22*(d33+a1*b1)-d23*(d12*a1+b4)+w1*(b1*b4-d33*d12))

"Test common factor
an=abs(n)
tcom=0.001*bmax*bmax*bmax

bc2=b2-a1*b1
bc3=b3-a1*bc2
nc=b1+bc2+bc3

t0=if an<tcom then ps/(b1+bc2+bc3) else ps/bs
dr11=w1-w4/w3
dr12=b2-b1*w4/w3
r11=-a1*w3*(b3*(d33+a1*b1)-d23*(dr12*a1+b4)+w1*(b1*b4-d33*dr12))
r12=(tt1+ps)*(bc3+bc2)-b1*tt1*p1
r1=if an>tcom then r11/n else r12/nc
s01=-a1*w3*(dr11*(w1*d33+a1*d23)+a1*(a1*b1+d33))
so2=tt1+ps+tt1*p1
s0=if an>tcom then s01/n else so2/nc
r21=a1*w3*(dr11*(d33*b3-d23*b4)-a1*(b1*b4-dr12*d33))
r2=if an>tcom then r21/n else bc3*s0
s1=if an>tcom then a1*w3*(dr11*(w1*b4+a1*b3)+a1*(a1*dr12+b4))/n else 0
r3=if an>tcom then b4*w3*(a1*(b4+a1*dr12)+dr11*(a1*b3+b4*w1))/n else 0

"Control signal
u01=(1-r1)*u1+(r1-r2)*u2+(r2-r3)*u3+r3*u4
u0=t0*yr+t0*tt1*yr1-s0*y-s1*y1+u01
u=if u0<ulow then ulow else if u0>uhigh then uhigh else u0

k0=if abs(s0+s1)>0 then t0*(1+tt1)/(s0+s1) else 0

"Part 1 Estimation
yf=-tt1*yf1+y
uf=-tt1*uf1+u
e=yf-yf1-tt1*f1-t2*f2-t3*f3-t4*f4-t5*f5

"Estimator Gain
k1=p11*f1+p12*f2+p13*f3+p14*f4+p15*f5
k2=p12*f1+p22*f2+p23*f3+p24*f4+p25*f5
k3=p13*f1+p23*f2+p33*f3+p34*f4+p35*f5
k4=p14*f1+p24*f2+p34*f3+p44*f4+p45*f5
k5=p15*f1+p25*f2+p35*f3+p45*f4+p55*f5

d=1+f1*k1+f2*k2+f3*k3+f4*k4+f5*k5

"update Estimate
nt1=t1+k1*e/d
nt2=t2+k2*e/d
nt3=t3+k3*e/d
nt4=t4+k4*e/d
nt5=t5+k5*e/d

```

```

"Update Covariance
np11=(p11-k1*k1/d)/lam
np12=p12-k1*k2/d
np13=p13-k1*k3/d
np14=p14-k1*k4/d
np15=p15-k1*k5/d
np22=(p22-k2*k2/d)/lam
np23=p23-k2*k3/d
np24=p24-k2*k4/d
np25=p25-k2*k5/d
np33=(p33-k3*k3/d)/lam
np34=p34-k3*k4/d
np35=p35-k3*k5/d
np44=(p44-k4*k4/d)/lam
np45=p45-k4*k5/d
np55=(p55-k5*k5/d)/lam

```

```

"Update Fi-vector

```

```

nf1=yf1-yf
nf2=uf-uf1
nf3=f2
nf4=f3
nf5=f4
ny1=y
nu1=u
nu2=u1
nu3=u2
nu4=u3
nyr1=yr
nyf1=yf
nuf1=uf

```

```

"Update Sampling Time

```

```

ts=t+dt

```

```

dt:1.5
lam:0.98
t1:1.E-3
t2:1.E-3
t3:1.E-3
t4:1.E-3
t5:1.E-3
p11:100
p22:100
p33:100
p44:100
p55:100

```

```

tt1:0.2
ulow:-5
uhigh:5
tp:2

```

```

END

```

CONNECTING SYSTEM CONR1

TIME T

```
yref=sign(sin(a0*t))
yr[reg1]=yref
y[reg1]=y[proc1]
u[proc1]=y1[delay]
td1[delay]=t-td
u1[delay]=u[reg1]
```

a0:0.05

td:5

END

APPENDIX B: PROGRAM LISTING 1.

```
PROGRAM SELFTUNER2(1);
```

```
{ Author   Zhou Z.Y. and Astrom K.J
  Data     Nov. 1980.
  References
    Astrom K.J. and Zhou (1981): Self-tuners with Automatic
    Adjustment of the Sampling period for processes
    with time delays.
    Zhou Z.Y. (1980) A microcomputer implementation of
    datalogging and recursive least squares estimation.
    Wittenmark B., Hagander P. and Gustavsson I. (1980):
    STUPID Implementation of a self-tuning PID-controller.
    Mattsson S.E.(1978):A simple real-time scheduler.
    report CODEN:LUTFD2/(TFRT-T156)/1-010/(1978).
```

The program performs on-line recursive least squares (RLS) or square root (SR) estimation and several regulator calculations (RC) for simple processes with time delay. All results can be stored in files if respective logging command is given.

The control algorithm based on explicit adaptive pole-zero-placement is described in Astrom (1979). The time delay is calculated from estimated parameters and the sampling period is adjusted automatically.

The program consists of these files:

```
RLS  -- estimator.
RC   -- regulator calculation.
FG   -- foreground program.
OPCOM -- operator communication and main program. }
```

```
{ GLOBAL DATA DECLARATIONS }
```

```
const n=4;
      m=7;
      l=160;

type  specificationtype=record
      pole,tsamp,ta,ni,hhi,hlo,damp1,damph,lambda,po,
      lolim,hilim,soa,boa,bob,boc:real;
      nd,ns,nta,ntb,np,yrchan,ychan,outchan:integer;
      log:boolean;
      end;

estpartype=record
      th,fi:array[1..n] of real;
      p:   array[1..n,1..n] of real;
      end;

regpartype=record
      t0,r1,r2,s0,s1:real;
      end;

logpartype=record
      logth:   array[1..n,1..l] of real;
      logregpar:array[1..m,1..l] of real;
      loguy:   array[0..2,1..l] of real;
      end;

var  specif,compar:specificationtype;
      estpar:estpartype;
```

```
regpar:regpartype;  
logpar:logpartype;  
i,j,k,s,w,period:integer;  
yr,yold,y,yold,u,u1,uold1,uold2,uold3,td,t:real;  
yf,yfold,uf,ufold:real;  
newpar:boolean;  
delu:array[1..100] of real;  
  
{ EXTERNAL PROCEDURE DECLARATION }  
  
Function adin(chan:integer):real;external;  
Function rform(r:real; size:integer):integer;external;  
Procedure daout(chan:integer; value:real);external;  
Procedure schedule(procedure FG; var period:integer);external;  
Procedure clksave;external;  
Procedure clkrestore;external;  
  
{ END OF GLOBAL }
```

```

Procedure estimation(y:real; var estpar:estpartype);
    external;
Procedure regdesign(specif:specificationtype;estpar:estpartype;
    var regpar:regpartype);external;
Procedure delayu;external;
{=====FOREGROUND}
Procedure foreground;
    { The procedure FG is organized as follows:
      Adin inputs yr(t) and y(t);
      Control calculates control signal u(t) from measurements
        and regulator parameters of given process;
      Daout outputs u(t);
      Estimation estimates the parameters of the process;
      Regdesign calculates the regulator parameters ;
      Display display parameters of estimation and regulator
        on screen;
      Logdata prepares data for store in files after running;
      delayu delays the output of control signal;
      Update updates input and output for next step.
    }
{-----control}
Procedure control;
    { calculates control signals u(t) from measurements ( yr
      and y ), regulator parameters ( t0, s0, s1, r1 ) and
      integral compensation ub. }
    var tpoly,spoly,rpoly:real;
    begin
        with regpar,specif,estpar do begin
            tpoly:=t0*yr+t0*ta*yrold;
            spoly:=s0*y+s1*yold;
            rpoly:=(1-r1)*uold1+(r1-r2)*uold2+r2*uold3;
            u:=u1;
            if u1<lolim then u:=lolim;
            if u1>hilim then u:=hilim;
        end
    end; { of control }
{-----display}
Procedure display;
    { displays parameters of the process and the regulator
      on screen }
    begin
        with specif,estpar,regpar do begin
            if sens then begin
                writeln('point',k);
                for i:=1 to n do begin
                    write(' '); write(th[i]:8:4)
                end;
                writeln;
                write(' '); write(t0:8:4);
                write(' '); write(ta:8:4);
                write(' '); write(r1:8:4);
                write(' '); write(r2:8:4);
                write(' '); write(s0:8:4);
                write(' '); write(s1:8:4);
                write(' '); write(u1:8:4);
                write(' '); write(u:8:4);
            end;
        end;
    end;

```

```

writeIn;
write(' ',:3,'tsamp=',tsamp:8:4,' ',:7);
if (abs(td)<1000) and (abs(t)<1000) then
writeIn('td=',td:8:4,' ',:8,'t=',t:8:4);
writeIn;
s:=0
end;
s:=s+1
end
end; { of display }

{-----logdata}
Procedure logdata;
{ prepares data and parameters from k=nta to k=ntb for
store in files after running }
begin
with logpar,estpar,regpar do begin
for i:=1 to n do logth[i,w]:=th[i];
loguy[0,w]:=yr;      loguy[1,w]:=y;
loguy[2,w,w]:=u;
logregpar[1,w]:=t0; logregpar[2,w]:=r1;
logregpar[3,w]:=r2; logregpar[4,w]:=s0;
logregpar[5,w]:=s1; logregpar[6,w]:=u1;
logregpar[7,w]:=u;  w:=w+1
end
end; { of logpar }

{-----update}
Procedure update;
{ updates input and output preparing for next step }
var b1,b2,bs,nxm:real;
begin
with estpar,specif do begin
fi[4]:=fi[3];
fi[3]:=fi[2];
fi[1]:=yfold-yf;
fi[2]:=uf-ufold;
yold:=yr;
yfold:=yf;
ufold:=uf;
yold:=y;
uold3:=uold2;
uold2:=uold1;
uold1:=u;
end
end; { of update }

{-----CODE FOREGROUND}
begin
with specif do begin
yr:=adin(yrchan);
y:=adin(ychan);
control;
daout(outchan,u);
delayu;
estimation(y,estpar);
regdesign(specif,estpar,regpar);
display;
if log then

```



```
    if (k)=nta) and (k<=ntb) then logdata;  
    updatea;  
    k:=k+1;  
    if newpar then begin  
      specif:=compar;  
      period:=round(50*tsamp);  
      newpar:=false  
    end  
  end  
end; { of foreground }
```

```

PROGRAM SELFTUNER2(1);
  { Author   Zhou Z.Y. and Astrom K.J
    Data     Nov. 1980.
    References
    Astrom K.J. and Zhou (1981): Self-tuners with Automatic
      Adjustmnet of the Sampling period for processes
      with time delays.
    Zhou Z.Y. (1980) A microcomputer implementation of
      datalogging and recursive least squares estimation.
    Wittenmark B., Hagander P. and Gustavsson I. (1980):
      STUPID Implementation of a self-tuning PID-controller.
    Mattsson S.E.(1978):A simple real-time scheduler.
      report CODEN:LUTFD2/(TFRT-T156)/1-010/(1978).

The program performs on-line recursive least squares
(RLS) or square root (SR) estimation and several
regulator calculations (RC) for simple processes with
time delay. All results can be stored in files if
respective logging command is given.
The control algorithm based on explicit adaptive
pole-zero-placement is described in Astrom (1979).
The time delay is calculated from estimated parameters
and the sampling period is adjusted automatically.

The program consists of these files:
RLS  -- estimator.
RC   -- regulator calculation.
FG   -- foreground program.
OPCOM -- operator communication and main program. }

{ GLOBAL DATA DECLARATIONS }

const n=4;
      m=7;
      l=180;

type specificationtype=record
  pole,tsamp,ta,ni,hhi,hlo,damp1,damph,lambda,po,
  lolim,hilim,aoa,boa,bob,boc:real;
  nd,ns,nta,ntb,np,yrchana,ychan,uchan,outchan:integer;
  log:boolean;
end;
estpartype=record
  th,fi:array[1..n] of real;
  p:   array[1..n,1..n] of real;
end;
regpartype=record
  t0,r1,r2,s0,s1:real;
end;
logpartype=record
  logth:   array[1..n,1..1] of real;
  logregpar:array[1..m,1..1] of real;
  loguy:   array[0..2,1..1] of real;
end;

var  specif,compar:specificationtype;
     estpar:estpartype;
     regpar:regpartype;
     logpar:logpartype;
     i,j,k,s,w,period:integer;

```

```
yr,yold,y,yold,u,u1,uold1,uold2,uold3,td,t:real;
yf,yfold,uf,ufold:real;
newpar:boolean;
delu:array[1..100] of real;

{ EXTERNAL PROCEDURE DECLARATION }

Function  adin(chan:integer):real;external;
Function  rform(r:real; size:integer):integer;external;
Procedure daout(chan:integer; value:real);external;
Procedure schedule(procedure FG; var period:integer);external;
Procedure clksave;external;
Procedure clkrestore;external;

{ END OF GLOBAL }
```

```

{=====OPCOM}
Procedure Opcom;

{ The procedure OPCOM is organized as follows:
  Initialize identifies and initializes;
  inittestpar initializes estimated parameters;
  initregpar initializes reglator parameters;
  inityu initializes input and output;
  initspecif gives specifications;
  desiredpol calculates desired polynomial.
  Help lists available commands.
  Par modifies parameters;
  getiden reads the identifiers;
  get reads parameter if it is a real;
  take reads parameter if it is a integer;
  askboolean reads command if it is a boolean;
  inittestpar
  desiredpol
  error gives a sensible error message if in error.
  Run runs the selftuner.
  Stop stops the selftuner.
  Disp display the current parameters.
  Store logs inputs and output.
  error
  Resultp logs estimated parameters.
  error
  Resultu logs reglator parameters.
  error
  Exit stops the whole program and exits it into computer.}

{ DECLARATIONS OF OPCOM }

label 999;
const idlength=8;
blank=' ';
type identifier=array[1..idlength] of char;
opindex=(xhelp,xpar,xrun,xstop,xdisp,xstore,xresultp,
         xresultu, xexit,xlastop);
asindex=(spole,stsamp,sdamp1,sdamp,snp,snl,slambda,
         spo,sta,shhi,shlo,slolim,shilim,snd,sns,snta,
         sntb,saoa,sboa,sbob,sboc,slog,syrchan,sychan,
         suchan,soutchan,slastas);
errors=(fewarg,manyarg,noname,prierr,illfile,nolog);
identifier=identifier;
operation=array[opindex] of identifier;
assignment=array[asindex] of identifier;
xop:opindex;
sas:asindex;
ch: char;
logdata,logtheta,logreg:boolean;

{ PROCEDURE FOR OPCOM }

{-----help}
Procedure help;
{ lists available commands and information on screen }
begin
  for i:=1 to 5 do writeln(
    writeln(' Simple Self-tuner');
  writeln;

```

```

writeln('The available commands are as follows:');
writeln;
write(' ',:4,'DISP');          writeln(' ',:12,'STORE');
write(' ',:4,'PAR');          writeln(' ',:13,'RESULTP');
write(' ',:4,'RUN');          writeln(' ',:13,'RESULTU');
write(' ',:4,'STOP');         writeln(' ',:12,'EXIT');
writeln;
writeln('The parameters can be changed:');
writeln;
writeln(' ',:4,'POLE,TSAMP,TA,NI,HHI,HLO,LAMDA,PO,
ND,NS,NTA,NTB,NP,DAMPL,DAMPH,LOLIM,HILIM. ');
writeln;
writeln('The initial values can be assigned:');
writeln;
writeln(' ',:4,'AOA,BOA,BOB,BOC. ');
writeln;
writeln('The channels can be chosen:');
writeln;
writeln(' ',:4,'YRCHAN,YCHAN,UCHAN,OUTCHAN'); writeln
end; { of help }

{-----error}
Procedure error(err:errors);
{ gives a sensible error message }
begin
  case err of
    fewarg: writeln('too few arguments');
    manyarg:writeln('too many arguments');
    prierr: writeln(identifier,'illegal value');
    noname:  writeln(identifier,'illegal argument');
    nolog:  writeln(identifier,'log do not be required');
    illfile:writeln(identifier,'ill file');
  end;
  goto 999
end; { of error }

{-----initestpar}
Procedure initestpar;
{ initializes th, fi and p }
begin
  with compar,estpar do begin
    for i:=1 to n do
      for j:=1 to n do
        if i=j then p[i,j]:=po else p[i,j]:=0.0;
      th[1]:=aoa; th[2]:=boa;
      th[3]:=bob; th[4]:=boc;
    end;
    k:=1; s:=1;
    w:=1;
  end; { of initestpar }
end;

{-----inituy}
Procedure inituy;
{ initializes input and output }
begin
  with estpar do
    for i:=1 to n do fi[i]:=0.0;
  for i:=1 to 20 do delu[i]:=0.0;
  yold:=0.0;
  yfold:=0.0; ufold:=0.0;
  uold:=0.0;
end;

```

```

    uold2:=0.0;    uold3:=0.0;
    daout(0,0.0); daout(1,0.0)
end; { of inity }

```

```

{-----initspecif}
Procedure initspecif;
{ assigns specification }
begin
  with compar,estpar do begin
    pole:=2;      tsamp:=1.2;
    ni:=0.001;   np:=50;
    lambda:=0.98; po:=100;
    ta:=-0.05;   hhi:=0.78;
    hlo:=0.72;   damp1:=0.2;
    damph:=0.9;  lolim:=-1;
    hilim:=1;    nta:=1;
    ntb:=180;    ns:=50;
    aoa:=-0.01;  boa:=0.01;
    bob:=0.01;  boc:=0.01;
    log:=false;  yrchan:=0;
    ychan:=1;   uchan:=2;
    outchan:=1; nd:=5;
  end
end; { of initspecif }

```

```

{-----initregpar}
Procedure initregpar;
{ initializes reglator parameters }
begin
  with regpar do begin
    t0:=1.0;
    r1:=0.0;    r2:=0.0;
    s0:=0.0;    s1:=0.0;
  end
end;

```

```

{-----initialize}
Procedure initialize;
{ recognizes identifiers and initializes }
begin
  operation[xhelp]:= 'HELP';
  operation[xdisp]:= 'DISP';
  operation[xpar]:= 'PAR';
  operation[xrun]:= 'RUN';
  operation[xstop]:= 'STOP';
  operation[xexit]:= 'EXIT';
  operation[xstore]:= 'STORE';
  operation[xresultp]:= 'RESULTP';
  operation[xresultu]:= 'RESULTU';
  assignment[spole]:= 'POLE';
  assignment[stsamp]:= 'TSAMP';
  assignment[sdamp1]:= 'DAMPL';
  assignment[sdamph]:= 'DAMPH';
  assignment[snp]:= 'NP';
  assignment[snl]:= 'NI';
  assignment[slambda]:= 'LAMBDA';
  assignment[spol]:= 'PO';
  assignment[stal]:= 'TA';
  assignment[shhi]:= 'HHI';
  assignment[shlo]:= 'HLO';
  assignment[slolim]:= 'LOLIM';

```

```

assignment[shilim]:= 'HILIM ';;
assignment[snd]:= 'ND ';;
assignment[sns]:= 'NS ';;
assignment[snta]:= 'NTA ';;
assignment[sntb]:= 'NTB ';;
assignment[saoa]:= 'AOA ';;
assignment[sboa]:= 'BOA ';;
assignment[sbob]:= 'BOB ';;
assignment[sboc]:= 'BOC ';;
assignment[slog]:= 'LOG ';;
assignment[syrchan]:= 'YRCHAN ';;
assignment[sychan]:= 'YCHAN ';;
assignment[suchan]:= 'UCHAN ';;
assignment[soutchan]:= 'OUTCHAN ';;
with compar do begin
  initspecif;
  initestpar;
  initregpar;
  inituy;
  specif:=compar;
  newpar:=true
end;
end; { of initialize }

```

```

{-----skipblank}
Procedure skipblank;
begin
  repeat read(ch) until (ch<>blank) or eoln
end; { of skipblank }

```

```

{-----getident}
Procedure getident;
{ reads a identifier from the terminal }
begin
  for i:=1 to idlength do identifier[i]:=blank;
  skipblank;
  i:=1;
  while (ch)='A') and (ch<='Z') and (i<9) and not eoln do
    begin identifier[i]:=ch;
      i:=i+1;
      read(ch)
    end;
  if (ch)='A') and (ch<='Z') then identifier[i]:=ch
end; { of getident }

```

```

{-----take}
Procedure take(var rn:real);
{ reads a number if it is a real }
begin
  if ch=blank then read(rn);
  if (rn<-1000) or (rn>1000) then error(prierr) else read(ch);
  if ch<>',', then read(ch)
end; { of take }

```

```

{-----get}
Procedure get(var inn:integer);
{ reads a number if it is a integer }
begin
  if ch=blank then read(inn);
  if inn<0 then error(prierr) else read(ch);
  if ch<>',', then read(ch)

```

```

end; { of get }

{-----askboolean}
Procedure askboolean(var command:boolean);
{ reads a command if it is a boolean }
var comname:array[1..idlength] of char;
begin
  comname:=identifier;
  if ch=blank then getidnt;
  if identifier='TRUE' , then command:=true
  else command:=false;
  identifier:=comname
end; { of askboolean }

{-----par}
Procedure par;
{ updates parameters }
var pp:specificationtype;
begin
  if eoln then error(fewarg);
  pp:=compar;
  repeat getidnt;
  assignment[slastas]:=identifier;
  sas:=spole;
  while assignment[slas](>identifier do sas:=succ(sas);
  case sas of
    spole: take(pp.pole);
    stsamp: take(pp.tsamp);
    sdamp1: take(pp.damp1);
    sdamp2: take(pp.damp2);
    snp: get(pp.np);
    sni: take(pp.ni);
    slambda: take(pp.lambda);
    spo: take(pp.po);
    sta: take(pp.ta);
    shhi: take(pp.hhi);
    shlo: take(pp.hlo);
    slolim: take(pp.lolim);
    shilim: take(pp.hilim);
    snd: get(pp.nd);
    sns: get(pp.ns);
    snta: get(pp.nta);
    sntb: get(pp.ntb);
    saoa: take(pp.aoa);
    sboa: take(pp.boa);
    sbob: take(pp.bob);
    sboc: take(pp.boc);
    syrchan: get(pp.yrchan);
    sychan: get(pp.ychan);
    suchan: get(pp.uchan);
    soutchan: get(pp.outchan);
    slog: askboolean(pp.log);
    slastas: error(noname)
  end;
  writeln(identifier,'has been read')
until eoln;
newpar:=false;
compar:=pp;
with compar do begin
  initestpar;
end;

```



```

newpar:=true
end; { of par }

{-----disp}
Procedure disp;
{ displays current parameters }
var rr:real;

Procedure dataform(rr:real);
{ formats a real number }
var w:integer;
begin
  w:=rform(rr,6); write(rr:10:w)
end; { of dataform }

begin { disp }
  with compar do begin
    writeln(' The current parameters are:');
    write(' pole= '); dataform(pole); write(' ');
    write(' tsamp= '); dataform(tsamp); writeln;
    write(' nd= '); write(nd:6); write(' :7);
    write(' ns= '); writeln(ns:6);
    write(' np= '); write(np:6);
    write(' nta= '); writeln(nta:6);
    write(' ni= '); dataform(ni);
    write(' ntb= '); writeln(ntb:6);
    write(' lambda= '); dataform(lambda);
    write(' aoa= '); dataform(aoa);
    write(' po= '); dataform(po);
    write(' boa= '); dataform(boa);
    write(' ta= '); dataform(ta);
    write(' bob= '); dataform(bob);
    write(' hhi= '); dataform(hhi);
    write(' boc= '); dataform(boc);
    write(' hlo= '); dataform(hlo);
    write(' yrchan= '); writeln(yrchan:6);
    write(' damp= '); dataform(damp);
    write(' ychan= '); writeln(ychan:6);
    write(' damp1= '); dataform(damp1);
    write(' uchan= '); writeln(uchan:6);
    write(' hilim= '); dataform(hilim);
    write(' outchan= '); writeln(outchan:6);
    write(' lolim= '); dataform(lolim); write(' ');
    write(' log= '); writeln(' ',4,log);
  end
end; { of disp }

{-----buildfile}
Procedure buildfile;
{ stores data of input-output, estimated parameters and
regulator parameters in files respectively }
var outfile:file of char;
filename:array[1..14] of char;
len:integer;
begin
  with specif do
    if log=false then error(nolog) else begin
      read(filename); len:=1;
      rewrite(outfile,filename,'DAT',len);
      if len=-1 then error(illfile);
      with logpar do begin

```

```

for w:=1 to (ntb-nta) do begin
  if logdata then begin
    for i:=0 to 2 do write(outfile,loguy[i,w]:8:4);
    writeln(outfile)
  end;
  if logtheta then begin
    for i:=1 to n do write(outfile,logth[i,w]:8:4);
    writeln(outfile)
  end;
  if logreg then begin
    for i:=1 to m do write(outfile,logregpar[i,w]:8:4);
    writeln(outfile)
  end;
  end;
  close(outfile)
end
end
end; { of buildfile }
}-----stop}
Procedure stop;
begin
  period:=0; writeln(k:6,' data points have been collected. ')
end; { of stop }
}-----CODE OF OPCOM}
{ CODE OPCOM }
begin
  initialize;
  repeat
    write(' ');
  getident;
  operation{xlastop]:=identifier;
  xop:=xhelp;
  while operation[xop](>identifier do xop:=succ(xop);
  case xop of
    xhelp: help;
    xdisp: disp;
    xpar: par;
    xrun: period:=10;
    xstop: stop;
    xexit: begin logdata:=true;
             logtheta:=false;
             buildfile
           end;
    xresultp:begin logtheta:=true;
                  logdata:=false;
                  buildfile
                end;
    xresultu:begin logreg:=true;
                   logdata:=false;
                   buildfile
                 end;
    xlastop: error(noname)
  end;
999: readln
    until xop=xexit
end; { of opcom }

```

```
{=====CODE OF MAIN PROGRAM}
{ CODE MAIN PROGRAM }
Procedure foreground;external;

begin
  period:=0;
  clksave;
  schedule(foreground,period);
  opcom;
  clkrestore
end. { of main program }
```

```
PROGRAM SELFTUNER2(1);
```

```
{ Author   Zhou Z.Y. and Astrom K.J
  Data     Nov. 1980.
  References
```

```
  Astrom K.J. and Zhou (1981): Self-tuners with Automatic
  Adjustment of the Sampling period for processes
  with time delays.
```

```
  Zhou Z.Y. (1980) A microcomputer implementation of
  datalogging and recursive least squares estimation.
  Wittenmark B., Hagander P. and Gustavsson I. (1980):
  STUPID Implementation of a self-tuning PID-controller.
  Mattsson S.E.(1978):A simple real-time scheduler.
  report CODEN:LUTFD2/(TFRT-T156)/1-010/(1978).
```

The program performs on-line recursive least squares (RLS) or square root (SR) estimation and several regulator calculations (RC) for simple processes with time delay. All results can be stored in files if respective logging command is given.

The control algorithm based on explicit adaptive pole-zero-placement is described in Astrom (1979). The time delay is calculated from estimated parameters and the sampling period is adjusted automatically.

The program consists of these files:

```
RLS  -- estimator.
RC   -- regulator calculation.
FG   -- foreground program.
OPCOM -- operator communication and main program. }
```

```
{ GLOBAL DATA DECLARATIONS }
```

```
const n=4;
      m=7;
      l=180;
```

```
type specificationtype=record
  pole,tsamp,ta,ni,hhi,hlo,damp1,damph,lambda,po,
  lolim,hilim,aoa,boa,bob,boc:real;
  nd,ns,nta,ntb,np,yrchan,ychan,uchan,outchan:integer;
  log:boolean;
end;

estpartype=record
  th,fi:array[1..n] of real;
  pi: array[1..n,1..n] of real;
end;

regpartype=record
  t0,r1,r2,s0,s1:real;
end;

logpartype=record
  logth: array[1..n,1..1] of real;
  logregpar:array[1..m,1..1] of real;
  loguy: array[0..2,1..1] of real;
end;
```

```
var  specif,compar:specificationtype;
     estpar:estpartype;
     regpar:regpartype;
     logpar:logpartype;
     i,j,k,s,w,period:integer;
```

```
yr,yold,y,yold,u,u1,uold1,uold2,uold3,td,t:real;
yf,yfold,uf,ufold:real;
newpar:boolean;
delu:array[1..100] of real;

{ EXTERNAL PROCEDURE DECLARATION }

Function adin(chan:integer):real;external;
Function rform(r:real; size:integer):integer;external;
Procedure daout(chan:integer; value:real);external;
Procedure schedule(procedure FG; var period:integer);external;
Procedure clksave;external;
Procedure clkrestore;external;

{ END OF GLOBAL }
```

```

{=====estimation}
Procedure estimation(y:real; var estpar:estpartype);
{ The procedure RLS calculates a Recursive Least Squares
  estimation for a first order process and the differences
  between the filtered input and output signals are used.}
var r,e:real;
    fi1:array[1..n] of real;
begin
  with specif,estpar do begin
    yf:=-ta*yfold+y;
    uf:=-ta*ufold+u;
    r:=1;
    e:=yf-yfold;
    for i:=1 to n do begin
      fi1[i]:=0;
      for j:=1 to n do fi1[i]:=fi1[i]+p[i,j]*fi[j];
      r:=r+fi[i]*fi[i];
      e:=e-fi[i]*th[i]
    end;
    for i:=1 to n do
      th[i]:=th[i]+fi1[i]*e/r;
    for i:=1 to n do
      for j:=1 to n do begin
        p[i,j]:=p[i,j]-fi1[i]*fi1[j]/r;
        p[j,i]:=p[i,j];
        if i=j then p[i,j]:=p[i,j]/lambda;
      end;
    end;
  end;
end;

{=====regdesign}
Procedure regdesign(specif:specificationtype; estpar:estpartype;
  var regpar:regpartype);
{ The procedure RC designs a regulator according to Astrom's
  explicit adaptive pole-zero-placement algorithm for a first
  order process with a time delay.
  The inequalities imply two test values:
  tcomf=a*b1+b2 for test of common factor, and
  tdampz=-b2/b1 for test of well damped zero.
  var a1,b1,b2,b3,bmaxv,bminv,tsampd:real;
      bmax,bmin:integer;
      adjust:boolean;
{-----maxmin}
Procedure maxmin;
{ Determine the bmax and bmin from b1,b2 and b3. }
begin
  with specif,estpar do begin
    a1:=-th[1];      b1:=th[2];
    b2:=th[3];      b3:=th[4];
    if abs(b1)>abs(b2) then begin
      bmax:=1;      bminv:=b1;
      bmin:=2;      bminv:=b2;
    end
  else begin
    bmax:=2;      bmaxv:=b2;
    bmin:=1;      bminv:=b1;
  end;
  if abs(b3)>abs(bmax) then begin
    bmax:=3;      bmaxv:=b3;
  end;
end;

```

```

if abs(b3)<abs(bminv) then bmin:=3;
if bmin=1 then begin
  b1:=th[3];    b2:=th[4];
  end;
end;
end;

{-----calculator}
Procedure calculator;
{ Calculates the regulator parameters of Ts<Td and Ts>Td
  respectively, where Ts is the sample period and Td is
  the time delay of the controlled process. }
var p1,ps,a1,bs,w1,nsum:real;
begin
  with specif do begin
    a1:=1+a1;    bs:=b1+b2;
    p1:=-exp(-pole*tsamp);
    ps:=1+p1;
    w1:=p1*ta-a1;
    nsum:=(b1+b2)*(a1*b1+b2);
    with regpar do begin
      if bmin=1 then
        if abs(nsum)<abs(0.01*bmaxv*bmaxv) then begin
          t0:=ps/b1;
          r1:=ps+ta;
          r2:=0.0;
          s0:=(r1+ta*p1)/b1;
          s1:=0.0
        end
      else if (-b2)damp1*b1) and (-b2)damp1*b1) then begin
          t0:=ps/b1;
          r1:=ps+a1+ta+b2/b1;
          r2:=r1*b2/b1;
          s0:=(r1+a1*r1-a1+ta*p1)/b1;
          s1:=-a1*r1/b1
        end
      else begin
          t0:=ps/bs;
          r1:=a1+p1+ta;
          r2:=(b2*b2*(w1+a1*r1)+b1*b2*a1*r1)/nsum;
          s0:=(b2*(a1+a1*a1)*r1+a1*w1)+b1*(a1*a1*r1+a1*w1))/ns
          s1:=(b2*(-a1*a1*r1-a1*w1)-a1*a1*b1*r1)/nsum
        end;
      if bmin<>1 then
        if abs(nsum)<abs(0.01*bmaxv*bmaxv) then begin
          t0:=ps/b1;
          r1:=-ta*p1;
          r2:=0.0;
          s0:=(ps+ta-r1)/b1;
          s1:=0.0;
        end
      else if (-b2)damp1*b1) and (-b2)damp1*b1) then begin
          t0:=ps/b1;
          r1:=b2/b1;
          r2:=0.0;
          s0:=(a1+ta+p1)/b1;
          s1:=(ta*p1-a1)/b1;
        end
      else begin
          t0:=ps/bs;
          r1:=(a1+ta+p1)*b2*b2+(a1-ta*p1)*b1*b2)/nsum;

```

```

r2:=0.0;
s0:=(a1+ta+p1)-r1)/b1;
s1:=-a1*r1/b2;

```

```

end;
end;
end;

```

```

{-----modifytsamp}

```

```

Procedure modifytsamp;
{ Adjusts the sample period according to estimates. }

```

```

var tdi:real;
begin
  with specif do begin
    tdi:=(a1*b1+b2)/(a1*(b1+b2));
    if (tdi>0) and (a1>0) then begin
      td:=-tsamp*ln(tdi)/ln(a1);
      if bmin=1 then td:=td+tsamp;
      t:=-tsamp/ln(a1);
    end;
    tsamp:=tsamp;
    adjust:=false;
    if k=np then begin
      if (tsamp)(hhi*td) or (tsamp)(hlo*td) then
        tsamp:=(hhi+hlo)*td/2;
      adjust:=true;
    end;
    if k>np then begin
      if tsamp)(hhi*td) then tsamp:=tsamp-ni;
      if tsamp)(hlo*td) then tsamp:=tsamp+ni;
      if tsamp()>tsamp then adjust:=true;
    end;
  end;
end;

```

```

{-----modifyestpar}

```

```

Procedure modifyestpar;

```

```

var afa,bata,tmd:real;
    tdt,tt:integer;
begin
  with specif,estpar do begin
    afa:=ln(a1)/tsamp;
    bata:=(b1+b2)*ln(a1)/(tsamp*(1-a1));
    th[1]:=-exp(-afa*tsamp);
    tdt:=trunc(1000*td);
    tt:=trunc(1000*tsamp);
    tmd:=0.01*(tdt mod tt);
    b1:=bata*(1-exp(-afa*(tsamp-tmd)))/afa;
    b2:=-bata*th[1]*(exp(afa*tmd)-1)/afa;
    if bmin=1 then begin
      th[2]:=0.01;
      th[3]:=b1;
      th[4]:=b2;
    end
  end
else begin
  th[2]:=b1;
  th[3]:=b2;
  th[4]:=0.01;
end;
end;
end;
end;

```



```
{=====  
{ code of redesign }  
begin  
  with specif do begin  
    maxmin;  
    calculator;  
    modifytsamp;  
    if adjust then begin  
      modifyestpar;  
      newpar:=false;  
      with compar do tsamp:=tsampd;  
      newpar:=true;  
    end;  
  end;  
end;  
end;
```

APPENDIX C: PROGRAM LISTING 2.

```
PROGRAM SELFTUNER2(2);
```

```
{ Authors Zhou Z.Y. and Astrom K.J.
  Data    Dec. 1980.
  References
    Astrom K.J. and Zhou (1979): Self-tuners with Automatic
    Adjustment of the Sampling Period for Processes
    with Time delay.
    Zhou Z.Y.(1980):A microcomputer implementation of
    datalogging and recursive least squares estimatoion.
    Wittenmark B., Hagander and Gustavsson I. (1980):
    STUPID Implementation of a self-tuning PID-controller.
    Mattsson S.E.(1978):A simple real-time scheduler.
    report CODEN:LUTFD2/(TFRT-T156)/1-010/(1978).
```

The program performs on-line recursive least squares (RLS) or square root (SR) estimation and several regulator calculations (RC) for simple processes with time delay. All results can be stored in files if respective logging command is given. The control algorithm based on explicit adaptive pole-zero-placement is described in Astrom (1980). A group of estimates with two priods are used to sense the time delay of a controlled process and the sampling period is adjusted automatically.

```
The program consists of these files:
RLS  -- estimator.
RC   -- regulator calculation.
FG   -- foreground program.
OPCOM -- operator communication and main program. }
```

```
{ GLOBAL DATA DECLARATIONS }
```

```
const n=4;
      m=7;
      l=180;

type  specificationtype=record
      pole,tsamp,ta,ni,hhi,hlo,damp1,damph,lambda,po,
      lolim,hilim,aoa,boa,bob,boc:real;
      nd,ns,nta,ntb,np,yrchan,ychan,uchan,outchan:integer;
      log:boolean;
      end;
estpartype=record
      th,fi:array[1..2,1..n] of real;
      p:   array[1..2,1..n,1..n] of real;
      end;
regpartype=record
      t0,r1,r2,s0,s1:real;
      end;
logpartype=record
      logth:   array[1..n,1..l] of real;
      logregpar:array[1..m,1..l] of real;
      loguy:   array[0..2,1..l] of real;
      end;

var  specif,compar:specificationtype;
```

```

estpar:estpartype;
regpar:regpartype;
logpar:logpartype;
q,i,j,k,s,w,period:integer;
yr,yold1,y,yold1,u,u1,uold1,uold2,uold3:real;
yf,uf,yfold,ufold:array[1..2] of real;
bmin:array[1..2] of integer;
newpar,insample,desample:boolean;
delu:array[1..100] of real;
ss:array[1..100] of integer;

{ EXTERNAL PROCEDURE DECLARATION }

Function adin(chan:integer):real;external;
Function rform(r:real; size:integer):integer;external;
Procedure daout(chan:integer; value:real);external;
Procedure schedule(procedure FG; var period:integer);external;
Procedure clkrestore;external;

{ END OF GLOBAL }

```

```

Procedure estimation(y:real; var estpar:estpartype);
    external;
Procedure regdesign(specif:specificationtype;estpar:estpartype;
    var regpar:regpartype);external;
Procedure delayu;external;
{=====FOREGROUND}
Procedure foreground;
{ The procedure FG is organized as follows:
  Adin inputs yr(t) and y(t);
  Control calculates control signal u(t) from measurements
  and regulator parameters of given process;
  Daout outputs u(t);
  Estimation estimates the parameters of the process;
  Regdesign calculates the regulator parameters ;
  Display display parameters of estimation and regulator
  on screen;
  Logdata prepares data for store in files after running;
  delayu delays the output of control signal;
  Update updates input and output for next step.
}
{-----control}
Procedure control;
{ calculates control signals u(t) from measurements ( yr
  and y ), regulator parameters ( t0, s0, s1, r1 ) and
  integral compensation ub. }
var tpoly,spoly,rpoly:real;
begin
  with regpar,specif,estpar do begin
    if odd(k) then begin
      tpoly:=t0*yr+t0*ta*yold1;
      spoly:=s0*y+si*yold1;
      rpoly:=(1-r1)*uold1+(r1-r2)*uold2+r2*uold3;
      u1:=tpoly-spoly+rpoly;
      u:=u1;
      if u1<lolim then u:=lolim;
      if u1>hilim then u:=hilim;
    end
    else u:=uold1;
  end;
end; { of control }
{-----display}
Procedure display;
{ displays parameters of the process and the regulator
  on screen }
begin
  with specif,estpar,regpar do begin
    if sens then begin
      writeln('point',k);
      for q:=1 to 2 do begin
        for i:=1 to n do begin
          write(' '); write(th[q,i]:8:4)
        end;
        if bmin[q]=1 then if q=1 then
          write(' h(Td, h=',tsamp:8:4)
        else write(' 2h(Td, Tc=',2*tsamp:8:4)
        else if bmin[q]=3 then if q=1 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=4 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=5 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=6 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=7 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=8 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=9 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=10 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=11 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=12 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=13 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=14 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=15 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=16 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=17 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=18 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=19 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=20 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=21 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=22 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=23 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=24 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=25 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=26 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=27 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=28 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=29 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=30 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=31 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=32 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=33 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=34 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=35 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=36 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=37 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=38 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=39 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=40 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=41 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=42 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=43 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=44 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=45 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=46 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=47 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=48 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=49 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=50 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=51 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=52 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=53 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=54 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=55 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=56 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=57 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=58 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=59 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=60 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=61 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=62 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=63 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=64 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=65 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=66 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=67 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=68 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=69 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=70 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=71 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=72 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=73 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=74 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=75 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=76 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=77 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=78 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=79 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=80 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=81 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=82 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=83 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=84 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=85 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=86 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=87 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=88 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=89 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=90 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=91 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=92 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=93 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=94 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=95 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=96 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=97 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=98 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=99 then
          write(' h)Td, h=',tsamp:8:4)
        else if bmin[q]=100 then
          write(' h)Td, h=',tsamp:8:4)
      end;
    end;
  end;
end;

```

```

else write(' 2h>Td, Tc=',2*tsamp:8:4);
writeln;
end;
write(' '); write(t0:8:4);
write(' '); write(ta:8:4);
write(' '); write(r1:8:4);
write(' '); write(r2:8:4);
write(' '); write(s0:8:4);
write(' '); write(s1:8:4);
write(' '); write(u1:8:4);
write(' '); write(u:8:4);
writeln;
s:=0
end;
s:=s+1
end
end; { of display }
}-----logdata}

Procedure logdata;
{ prepares data and parameters from k=nta to k=ntb for
store in files after running }
begin
with logpar,estpar,regpar do begin
for i:=1 to n do logth[i,w]:=th[2,i];
loguy[0,w]:=yr; loguy[1,w]:=y;
loguy[2,w]:=u;
logregpar[1,w]:=t0; logregpar[2,w]:=r1;
logregpar[3,w]:=r2; logregpar[4,w]:=s0;
logregpar[5,w]:=s1; logregpar[6,w]:=u1;
logregpar[7,w]:=u; w:=w+1
end
end; { of logpar }
}-----update}

Procedure update;
{ updates input and output preparing for next step }
var ym,um:real;
begin
with estpar,specif do begin
fi[1,4]:=fi[1,3];
fi[1,3]:=fi[1,2];
fi[1,1]:=yfold[1]-yf[1];
fi[1,2]:=uf[1]-ufold[1];
yfold[1]:=yf[1];
ufold[1]:=uf[1];
if odd(k) then begin
fi[2,4]:=fi[2,3];
fi[2,3]:=fi[2,2];
fi[2,1]:=yfold[2]-yf[2];
fi[2,2]:=uf[2]-ufold[2];
yfold[2]:=yf[2];
ufold[2]:=uf[2];
yold1:=yr;
yold1:=y;
uold3:=uold2;
uold2:=uold1;
uold1:=u;
if insample then begin
for i:=1 to n do th[1,i]:=th[2,i];
th[2,i]:=-th[1,i]*th[1,1];

```

```

th[2,2]:=th[1,2];
th[2,3]:=-th[1,1]*th[1,3]-th[1,1]*th[1,1]*th[1,2]+th[1,3];
th[2,4]:=0.0;
insample:=false;
end;
if desample then begin
  for i:=1 to n do th[2,i]:=th[1,i];
  if th[2,1]<0 then th[1,1]:=-sqrt(-th[2,1]);
  th[1,2]:=0.0;
  th[1,3]:=th[2,2];
  th[1,4]:=(th[2,3]+th[1,1]*th[1,3])/(1-th[1,1]);
  desample:=false;
end;
end;
end;
end; { of update }
}-----CODE OF FOREGROUND}
{ CODE FOREGROUND }
begin
  with specif do begin
    yr:=adin(yrchan);
    y:= adin(ychan);
    control;
    daout(outchan,u);
    delayu;
    q:=1;
    estimation(y,estpar);
    if odd(k) then begin
      q:=2;
      estimation(y,estpar);
      regdesign(specif,estpar,regpar);
    end;
    display;
    if log then
      if (k)=nta) and (k)=(ntb) then logdata;
    update;
    k:=k+1;
    if newpar then begin
      specif:=compar;
      period:=round(50*tsamp);
      newpar:=false;
    end;
  end;
end; { of foreground }

```

```

PROGRAM SELFTUNER2(2);

{ Author   Zhou Z.Y.
  Data     Dec. 1980.
  References
  Astrom K.J. and Zhou (1979): Self-tuners with Automatic
  Adjustment of the Sampling Period for Processes
  with Time delay.
  Zhou Z.Y.(1980):A microcomputer implementation of
  datalogging and recursive least squares estimatoion.
  Wittenmark B., Hagander and Gustavsson I. (1980):
  STUPID Implementation of a self-tuning PID-controller.
  Mattsson S.E.(1978):A simple real-time scheduler.
  report CODEN:LUTFD2/(TFRT-T156)/1-010/(1978).

The program performs on-line recursive least squares
(RLS) or square root (SR) estimation and several
regulator calculations (RC) for simple processes with
time delay. All results can be stored in files if
respective logging command is given.
The control algorithm based on explicit adaptive
pole-zero-placement is described in Astrom (1980).
A group of estimates with two priods are used to sense
the time delay of a controlled process and the sampling
period is adjusted automatically.

The program consists of these files:
RLS  -- estimator.
RC   -- regulator calculation.
FG   -- foreground program.
OPCOM -- operator communication and main program.  }

{ GLOBAL DATA DECLARATIONS }

const n=4;
      m=7;
      l=180;

type specificationtype=record
  pole,tsamp,ta,ni,hhi,hlo,damp1,damph,lambda,po,
  lolim,hilim,aoa,boa,bob,boc:real;
  nd,ns,nta,ntb,np,yrchan,ychan,uchan,outchan:integer;
  log:boolean;
end;

estpartype=record
  th,fi:array[1..2,1..n] of real;
  p:   array[1..2,1..n,1..n] of real;
end;

regpartype=record
  t0,r1,r2,s0,s1:real;
end;

logpartype=record
  logth:   array[1..n,1..1] of real;
  logregar:array[1..m,1..1] of real;
  loguy:   array[0..2,1..1] of real;
end;

var  specif,compar:specificationtype;
     estpar:estpartype;
     regpar:regpartype;
     logpar:logpartype;

```

```

q,i,j,k,s,w,period:integer;
yr,yold1,y,yold1,u,u1,uold1,uold2,uold3:real;
yf,yf,yfold,ufold:array[1..2] of real;
bmin:array[1..2] of integer;
newpar,insample,desample:boolean;
delu:array[1..100] of real;
ss:array[1..100] of integer;

{ EXTERNAL PROCEDURE DECLARATION }

Function adin(chan:integer):real;external;
Function rform(r:real; size:integer):integer;external;
Procedure daout(chan:integer; value:real);external;
Procedure schedule(procedure FG; var period:integer);external;
Procedure clksave;external;
Procedure clkrestore;external;

{ END OF GLOBAL }

```



```

{=====OPCOM}
Procedure Opcom;

{ The procedure OPCOM is organized as follows:
  Initialize identifies and initializes;
  inittestpar initializes estimated parameters;
  initregpar initializes reglator parameters;
  inityu initializes input and output;
  initspecif gives specifications;
  desiredpol calculates desired polynomial.
  Help lists available commands.
  Par modifies parameters;
  getiden reads the identifiers;
  get reads parameter if it is a real;
  take reads parameter if it is a integer;
  askboolean reads command if it is a boolean;
  inittestpar
  desiredpol
  error gives a sensible error message if in error.
  Run runs the selftuner.
  Stop stops the selftuner.
  Disp display the current parameters.
  Store logs inputs and output.
  error
  Resultp logs estimated parameters.
  error
  Resultu logs reglator parameters.
  error
  Exit stops the whole program and exits it into computer.}

{ DECLARATIONS OF OPCOM }

label 999;
const idlength=8;
      blank=' ';
type identifier=array[1..idlength] of char;
      opindex=(xhelp,xpar,xrun,xstop,xdisp,xstore,xresultp,
               xresultu, xexit,xlastop);
      asindex=(spole,stsamp,sdamp,slambda,snp,snl,slambda,
               spo,sta,shhi,shlo,slolim,shilim,shnd,shns,snta,
               sntb,saoa,sboa,sbob,sboc,slog,syrchan,sychan,
               suchan,soutchan,slastas);
      errors=(fewarg,manyarg,noname,prierr,illfile,nolog);
      identifier=identifier;
      operation=array[opindex] of identifier;
      assignment=array[asindex] of identifier;
      xop:opindex;
      sas:asindex;
      ch: char;
      logdata,logtheta,logreg:boolean;

{ PROCEDURE FOR OPCOM }

{-----help}
Procedure help;
{ lists available commands and information on screen }
begin
for i:=1 to 5 do writeln(
writeln(' Simple Self-tuner');
writeln(

```

```

writeln('The available commands are as follows:');
writeln;
write(' :4,'DISP');          writeln(' :12,'STORE');
write(' :4,'PAR');          writeln(' :13,'RESULTP');
write(' :4,'RUN');         writeln(' :13,'RESULTU');
write(' :4,'STOP');        writeln(' :12,'EXIT');
writeln;
writeln('The parameters can be changed:');
writeln;
writeln(' :4,'POLE,TSAMP,TA,NI,HHI,HLO,LAMDA,PO,
ND,NS,NTA,NTB,NP,DAMPL,DAMPH,LOLIM,HILIM. ');
writeln;
writeln('The initial values can be assigned:');
writeln;
writeln(' :4,'AOA,BOA,BOB,BOC. ');
writeln;
writeln('The channels can be chosen:');
writeln;
writeln(' :4,'YRCHAN,YCHAN,UCHAN,OUTCHAN'); writeln
end; { of help }

{-----error}
Procedure error(err:errors);
  { gives a sensible error message }
begin
  case err of
    fewarg: writeln('too few arguments');
    manyarg: writeln('too many arguments');
    prierr: writeln('identifier','illegal value');
    noname:  writeln('identifier','illegal argument');
    nolog:  writeln('identifier','log do not be required');
    illfile: writeln('identifier','ill file');
  end;
  goto 999
end; { of error }

{-----initestpar}
Procedure initestpar;
  { initializes th, fi and p }
begin
  with compar,estpar do begin
    for q:=1 to 2 do
      for i:=1 to n do
        for j:=1 to n do
          if i=j then p[q,i,j]:=po else p[q,i,j]:=0.0;
        th[2,1]:=aoa;   th[2,2]:=boa;
        th[2,3]:=bob;   th[2,4]:=boc;
      end;
      insample:=false;  desample:=false;
      k:=1;             s:=1;
      w:=1;
    end; { of initestpar }
  end;

{-----inituy}
Procedure inituy;
  { initializes input and output }
begin
  with estpar do
    for q:=1 to 2 do
      for i:=1 to n do begin
        th[q,i]:=0.01;  fi[q,i]:=0.0;

```

```

end;
for i:=1 to 20 do delu[i]:=0.0;
yold1:=0.0; yold1:=0.0;
for q:=1 to 2 do begin
yfold[q]:=0.0; ufold[q]:=0.0;
end;
uold1:=0.0; uold2:=0.0;
uold3:=0.0;
for i:=1 to 100 do ss[i]:=0;
daout(0,0.0); daout(1,0.0)
end; { of inityu }

```

```
{-----initspecif}
```

```

Procedure initspecif;
{ assigns specification }
begin
with compar,estpar do begin
pole:=2; tsamp:=1.2;
ni:=0.001; np:=50;
lambda:=0.98; po:=100;
ta:=-0.05; hhi:=0.78;
hlo:=0.72; damp1:=0.2;
dampn:=0.9; lolim:=-1;
hilim:=1; nta:=1;
ntb:=180; ns:=50;
aoa:=-0.01; boa:=0.01;
bob:=0.01; boc:=0.01;
log:=false; yrchan:=0;
ychan:=1; uchan:=2;
outchan:=1; nd:=5;
end
end; { of initspecif }

```

```
{-----initregpar}
```

```

Procedure initregpar;
{ initializes regulator parameters }
begin
with regpar do begin
t0:=1.0; r2:=0.0;
r1:=0.0; s1:=0.0;
s0:=0.0;
end
end;

```

```
{-----initialize}
```

```

Procedure initialize;
{ recognizes identifiers and initializes }
begin
operation[xhelp]:= 'HELP';
operation[xdisp]:= 'DISP';
operation[xpar]:= 'PAR';
operation[xrun]:= 'RUN';
operation[xstop]:= 'STOP';
operation[xexit]:= 'EXIT';
operation[xstore]:= 'STORE';
operation[xresultp]:= 'RESULTP';
operation[xresultu]:= 'RESULTU';
assignment[spole]:= 'POLE';
assignment[stsamp]:= 'TSAMP';
assignment[sdamp1]:= 'DAMPL';
assignment[sdampn]:= 'DAMPN';

```

```

assignment[snp]:= 'NP ' ;
assignment[snl]:= 'NI ' ;
assignment[slambda]:= 'LAMBDA ' ;
assignment[spol]:= 'PO ' ;
assignment[sta]:= 'TA ' ;
assignment[shhi]:= 'HHI ' ;
assignment[shlo]:= 'HLO ' ;
assignment[slolim]:= 'LOLIM ' ;
assignment[shilim]:= 'HILIM ' ;
assignment[snd]:= 'ND ' ;
assignment[snl]:= 'NS ' ;
assignment[snta]:= 'NTA ' ;
assignment[sntb]:= 'NTB ' ;
assignment[saoa]:= 'AOA ' ;
assignment[sboa]:= 'BOA ' ;
assignment[sbob]:= 'BOB ' ;
assignment[sboc]:= 'BOC ' ;
assignment[slog]:= 'LOG ' ;
assignment[syrchan]:= 'YRCHAN ' ;
assignment[sychan]:= 'YCHAN ' ;
assignment[suchan]:= 'UCHAN ' ;
assignment[soutchan]:= 'OUTCHAN ' ;
with compar do begin
  initspecif;
  initestpar;
  initregpar;
  inity;
  specif:=compar;
  newpar:=true
end;
end; { of initialize }

```

```

{-----skipblank}
Procedure skipblank;
begin
  repeat read(ch) until (ch<>blank) or eoln
end; { of skipblank }

{-----getident}
Procedure getident;
{ reads a identifier from the terminal }
begin
  for i:=1 to idlength do identifier[i]:=blank;
  skipblank;
  i:=1;
  while (ch)='A') and (ch(='Z') and (i<9) and not eoln do
  begin identifier[i]:=ch;
    i:=i+1;
    read(ch)
  end;
  if (ch)='A') and (ch(='Z') then identifier[i]:=ch
end; { of getident }

{-----take}
Procedure take(var rn:real);
{ reads a number if it is a real }
begin
  if ch=blank then read(rn);
  if (rn<-1000) or (rn>1000) then error(prierr) else read(ch);
  if ch<>',', then read(ch)
end; { of take }

```

```

{-----get}
Procedure get(var inn:integer);
  { reads a number if it is a integer }
  begin
    if ch=blank then read(inn);
    if inn<0 then error(prierr) else read(ch);
    if ch<>',' then read(ch)
  end; { of get }
-----get}

{-----askboolean}
Procedure askboolean(var command:boolean);
  { reads a command if it is a boolean }
  var comname:array[1..idlength] of char;
  begin
    comname:=identifier;
    if ch=blank then getidenti;
    if identifier='TRUE' , then command:=true
    else command:=false;
    identifier:=comname
  end; { of askboolean }
-----askboolean}

{-----par}
Procedure par;
  { updates parameters }
  var pp:specificationtype;
  begin
    if eoln then error(fewarg);
    pp:=compar;
    repeat getidenti;
      assignment[slastas]:=identifier;
      sas:=spole;
    while assignment[sas]<>identifier do sas:=succ(sas);
    case sas of
      spole: take(pp.pole);
      stsamp: take(pp.tsamp);
      sdamp1: take(pp.damp1);
      sdamp2: take(pp.damp2);
      snp: get(pp.np);
      sni: take(pp.ni);
      slambda: take(pp.lambda);
      spo: take(pp.po);
      sta: take(pp.ta);
      shhi: take(pp.hhi);
      shlo: take(pp.hlo);
      slolim: take(pp.lolim);
      shilim: take(pp.hilim);
      snd: get(pp.nd);
      sns: get(pp.ns);
      snta: get(pp.nta);
      sntb: get(pp.ntb);
      saoa: take(pp.aoa);
      sboa: take(pp.boa);
      sbob: take(pp.bob);
      sboc: take(pp.boc);
      syrchan: get(pp.yrchan);
      sychan: get(pp.ychan);
      suchan: get(pp.uchan);
      soutchan: get(pp.outchan);
      slog: askboolean(pp.log);
      slastas: error(moname)
    end;
  end;
-----par}

```

```

end;
writeln(Identifier,' has been read')
until eoln;
newpar:=false;
compar:=pp;
with compar do begin
  initestpar;
end;
newpar:=true
end; { of par }

{-----disp}

Procedure disp;
  { displays current parameters }
var rr:real;

Procedure dataform(rr:real);
  { formats a real number }
var w:integer;
begin
  w:=rform(rr,6); write(rr:10:w)
end; { of dataform }

begin { disp }
with compar do begin
  writeln(' The current parameters are:');
  write(' pole= '); dataform(pole); write(' ');
  write(' tsamp= '); dataform(tsamp); writeln;
  write(' nd= '); write(nd:6); write(' ':7);
  write(' ns= '); writeln(ns:6);
  write(' np= '); write(np:6);
  write(' nta= '); writeln(nta:6);
  write(' ni= '); dataform(ni);
  write(' ntb= '); writeln(ntb:6);
  write(' lambda= '); dataform(lambda);
  write(' aoa= '); dataform(aoa);
  write(' po= '); dataform(po);
  write(' boa= '); dataform(boa);
  write(' ta= '); dataform(ta);
  write(' bob= '); dataform(bob);
  write(' hhi= '); dataform(hhi);
  write(' boc= '); dataform(boc);
  write(' hlo= '); dataform(hlo);
  write(' yrchan= '); writeln(yrchan:6);
  write(' damph= '); dataform(damp);
  write(' ychan= '); writeln(ychan:6);
  write(' damp1= '); dataform(damp1);
  write(' uchan= '); writeln(uchan:6);
  write(' hilim= '); dataform(hilim);
  write(' outchan= '); writeln(outchan:6);
  write(' lolim= '); dataform(lolim); write(' ');
  write(' log= '); writeln(' ',4,log);
end
end; { of disp }

{-----buildfile}

Procedure buildfile;
  { stores data of input-output, estimated parameters and
  regulator parameters in files respectively }
var outfile:file of char;
    filename:array[1..14] of char;

```

```

len:=integer;
begin
  with specif do
    if log=false then error(nolog) else begin
      read(filename); len:=1;
      rewrite(outfile,filename,'DAT',len);
      if len=-1 then error(illfile);
      with logpar do begin
        for wi:=1 to (ntb-nta) do begin
          if logdata then begin
            for i:=0 to 2 do write(outfile,logy[i,w]:8:4);
            writeln(outfile)
          end;
          if logtheta then begin
            for i:=1 to n do write(outfile,logth[i,w]:8:4);
            writeln(outfile)
          end;
          if logreg then begin
            for i:=1 to m do write(outfile,logregpar[i,w]:8:4);
            writeln(outfile)
          end;
          end;
          close(outfile)
        end
      end
    end; { of buildfile }
end; { of buildfile }

{-----stop}

Procedure stop;
begin
  period:=0; writeln(k:6,' data points have been collected. ');
end; { of stop }

{-----CODE OF OPCOM}

{ CODE OPCOM }

begin
  initialize;
  repeat
    write(' ');
  getident;
  operation{xlastop]:=identifier;
  xop:=xhelp;
  while operation[xop](>)identifier do xop:=succ(xop);
  case xop of
    xhelp: help;
    xdisp: disp;
    xpar: par;
    xrun: period:=10;
    xstop: stop;
    xexit: stop;
    xstore: begin logdata:=true;
              logtheta:=false;
              buildfile
            end;
    xresultp:begin logtheta:=true;
                  logdata:=false;
                  buildfile
                end;
    xresultu:begin logreg:=true;
                  logdata:=false;
                  logtheta:=false;

```

```
        buildfile
    end;
    xlastop: error(noname)
end;
999: readln
    until xop=xexit
end; { of opcom }

{=====CODE OF MAIN PROGRAM}
{ CODE MAIN PROGRAM }
Procedure foreground;external;

begin
    period:=0;
    clksave;
    schedule(foreground,period);
    opcom;
    clkrestore
end. { of main program }
```



```
PROGRAM SELFTUNER2(2);
```

```
{ Author   Zhou Z.Y.
  Data     Dec. 1980.
  References
```

```
  Astrom K.J. and Zhou (1979): Self-tuners with Automatic
  Adjustment of the Sampling Period for Processes
  with Time delay.
  Zhou Z.Y.(1980):A microcomputer implementation of
  datalogging and recursive least squares estimation.
  Wittenmark B., Hagander and Gustavsson I. (1980):
  STUPID Implementation of a self-tuning PID-controller.
  Mattsson S.E.(1978):A simple real-time scheduler.
  report CODEN:LUTFD2/(TFRT-T156)/1-010/(1978).
```

The program performs on-line recursive least squares (RLS) or square root (SR) estimation and several regulator calculations (RC) for simple processes with time delay. All results can be stored in files if respective logging command is given. The control algorithm based on explicit adaptive pole-zero-placement is described in Astrom (1980). A group of estimates with two priods are used to sense the time delay of a controlled process and the sampling period is adjusted automatically.

The program consists of these files:

```
RLS  -- estimator.
RC   -- regulator calculation.
FG   -- foreground program.
OPCOM -- operator communication and main program. }
```

```
{ GLOBAL DATA DECLARATIONS }
```

```
const n=4;
      m=7;
      l=180;
```

```
type specificationtype=record
  pole,tsamp,ta,ni,hhi,hlo,damp1,damph,lambda,po,
  lolim,hilim,aoa,boa,bob,boc:real;
  nd,ns,nta,ntb,np,yrchan,ychan,outhan,outhan:integer;
  log:boolean;
end;

estpartype=record
  th,fi:array[1..2,1..n] of real;
  p:   array[1..2,1..n,1..n] of real;
end;

regpartype=record
  t0,r1,r2,s0,s1:real;
end;

logpartype=record
  logth:   array[1..n,1..l] of real;
  logregpar:array[1..m,1..l] of real;
  loguy:   array[0..2,1..l] of real;
end;

var  specif,compar:specificationtype;
     estpar:estpartype;
     regpar:regpartype;
     logpar:logpartype;
```

```

q,i,j,k,s,w,period:integer;
Yr,Yold1,y,yold1,u,u1,uold1,uold2,uold3:real;
yf,yf,yfold,ufold:array[1..2] of real;
bmin:array[1..2] of integer;
newpar,insample,desample:boolean;
delu:array[1..100] of real;
ss:array[1..100] of integer;

{ EXTERNAL PROCEDURE DECLARATION }

Function  adin(chan:integer):real;external;
Function  rform(r:real; size:integer):integer;external;
Procedure daout(chan:integer; value:real);external;
Procedure schedule(procedure FG; var period:integer);external;
Procedure clksave;external;
Procedure clkrestore;external;

{ END OF GLOBAL }

```

```

{=====estimation}
Procedure estimation(y:real; var estpar:estpartype);
{ The procedure RLS calculates a Recursive Least Squares
  estimation for a first order process and the differences
  between the filtered input and output signals are used.}
var r,e:real;
    fi1:array[1..n] of real;
begin
  with specif,estpar do begin
    yf[q]:=-ta*yfold[q]+y;
    uff[q]:=-ta*uold[q]+u;
    r:=1;
    if q=1 then e:=yf[1]-yfold[1]
    else e:=yf[2]-yfold[2];
    for i:=1 to n do begin
      fi1[i]:=0;
      for j:=1 to n do fi1[i]:=fi1[i]+p[q,i,j]*fi[q,j];
      r:=r+fi[q,i]*fi1[i];
      e:=e-fi[q,i]*th[q,i]
    end;
    for i:=1 to n do
      th[q,i]:=th[q,i]+fi1[i]*e/r;
    for i:=1 to n do
      for j:=i to n do begin
        p[q,i,j]:=p[q,i,j]-fi1[i]*fi1[j]/r;
        p[q,j,i]:=p[q,i,j];
        if i=j then p[q,i,j]:=p[q,i,j]/lambda;
      end;
    end;
  end;
end;

{=====regdesign}
Procedure regdesign(specif:specificationtype; estpar:estpartype;
  var regpar:regpartype);
{ The procedure RC designs a regulator according to Astrom's
  explicit adaptive pole-zero-placement algorithm for a first
  order process with a time delay.
  The inequalities imply two test values:
  tcompf=a*b1+b2 for test of common factor, and
  tdampz=-b2/b1 for test of well damped zero.
  var a1,b1,b2,b3,bmaxv,bminv,tsampd:real;
      bmax:=integer;

{-----maxmin}
Procedure maxmini;
{ Determine the bmax and bmin from b1,b2 and b3. }
begin
  with specif,estpar do begin
    for q:=1 to 2 do begin
      a1:=-th[q,1];          b1:=th[q,2];
      b2:=th[q,3];          b3:=th[q,4];
      if abs(b1)>abs(b2) then begin
        bmax:=1;            bmaxv:=b1;
        bmin[q]:=2;        bminv:=b2;
      end
    else begin
      bmax:=2;            bmaxv:=b2;
      bmin[q]:=1;        bminv:=b1;
    end;
  end;
  if abs(b3)>abs(bmaxv) then begin
    bmax:=3;            bmaxv:=b3;
  end;
end;

```

```

end;
if abs(b3)<abs(bminv) then bmin[q]:=3;
if bmin[q]=1 then begin
  b1:=th[q,3];    b2:=th[q,4];
end;
end;
end;
end;
end;

{-----calculator}

Procedure calculator;
{ Calculates the regulator parameters of Ts<Td and Ts>Td
  respectively, where Ts is the sample period and Td is
  the time delay of the controlled process. }
var p1,ps,as1,bs,w1,nsnum:real;
begin
  with specif do begin
    as1:=1+a1;    bs:=b1+b2;
    p1:=-exp(-pole*tsamp);
    ps:=1+p1;
    w1:=p1*ta-a1;
    nsnum:=(b1+b2)*(a1*b1+b2);
  with regpar do begin
    if bmin[2]=1 then
      if abs(nsnum)<abs(0.01*bmaxv*bmaxv) then begin
        t0:=ps/b1;
        r1:=ps+ta;
        r2:=0.0;
        s0:=(r1+ta*p1)/b1;
        s1:=0.0
      end
    else if (-b2)damp1*b1) and (-b2<dampb1) then begin
      t0:=ps/b1;
      r1:=ps+a1+ta+b2/b1;
      r2:=r1*b2/b1;
      s0:=(r1+a1*r1-a1+ta*p1)/b1;
      s1:=-a1*r1/b1
    end
  else begin
    t0:=ps/bs;
    r1:=as1+p1+ta;
    r2:=(b2*b2*(w1+as1*r1)+b1*b2*a1*r1)/nsnum;
    s0:=(b2*((as1+a1*a1)*r1+as1*w1)+b1*(a1*as1*r1+a1*w1))/ns
    s1:=(b2*(-a1*as1*r1-a1*w1)-a1*a1*b1*r1)/nsnum
  end;
  if bmin[2]<>1 then
    if abs(nsnum)<abs(0.01*bmaxv*bmaxv) then begin
      t0:=ps/b1;
      r1:=-ta*p1;
      r2:=0.0;
      s0:=(ps+ta-r1)/b1;
      s1:=0.0;
    end
  else if (-b2)damp1*b1) and (-b2<dampb1) then begin
    t0:=ps/b1;
    r1:=b2/b1;
    r2:=0.0;
    s0:=(as1+ta+p1)/b1;
    s1:=(ta*p1-a1)/b1;
  end
  else begin

```

```

t0:=ps/bs;
r1:=(a1+ta+p1)*b2*b2+(a1-ta*p1)*b1*b2)/nsum;
r2:=0.0;
s0:=(a1+ta+p1)-r1)/b1;
s1:=-a1*r1/b2;

                                end;

                                end;
                                end;

{-----decision}

Procedure decision;
{ make a decision if the sampling period must be adjusted. }
var ssum:integer;
begin
  with specif do begin
    for i:=np downto 2 do ss[i]:=ss[i-1];
    if bmin[2]=1 then ss[1]:=1
    else if (bmin[1]=3) and (bmin[2]=3) then ss[1]:=-1
    else ss[1]:=0;
    ssum:=0;
    for i:=1 to np do ssum:=ssum+ss[i];
    if ssum=np then begin
      insamp:=true;  desamp:=false;
      tsamp:=2*tsamp;
      for i:=1 to np do ss[i]:=0;
      end else if ssum=-np then begin
        desamp:=true;  insamp:=false;
        tsamp:=tsamp/2;
        for i:=1 to np do ss[i]:=0;
        end;
      end;
    end;
  end;
}

{=====code of regdesign}
{ code of regdesign }
begin
  with specif do begin
    maxmin;
    calculator;
    decision;
    if (insamp or desamp) then begin
      newpar:=false;
      with compar do tsamp:=tsamp;
      newpar:=true;
    end;
  end;
end;
end;

```