

### Natural History of Open-Angle Glaucoma.

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### Abstract

- 2 **Objective**: This paper, based on the Early Manifest Glaucoma Trial (EMGT), provides
- 3 prospective natural history data on progression of glaucomatous field defects in three of the
- 4 most common glaucoma types.
- 5 **Design:** Cohort of EMGT patients randomized to the untreated control group and followed up
- 6 to the time of progression, when treatment could be initiated.
- 7 **Participants:** We evaluated 118 control patients: 46 with "high-tension" glaucoma (HTG), 57
- 8 with "normal tension" glaucoma (NTG) and 15 with pseudoexfoliation glaucoma (PEXG).
- 9 **Methods**: Visual fields were tested every 3 months with the Humphrey 30-2 Full Threshold
- 10 test program.
- 11 **Main Outcome Measures:** Linear regression analyses of the perimetric mean deviation
- 12 (MD) values were performed and the rate of progression defined as the regression coefficient
- in dB/year. Percentages of progressed eyes and time to progression were determined using
- 14 EMGT event-based predetermined progression criteria derived from Glaucoma Change
- 15 Probability Maps.
- 16 **Results**: The median and interquartile rates of visual function loss were -0.40 (1.05) dB/year
- overall, and were -0.46 (1.61) in HTG, -0.22 (0.65) in NTG and -1.13 (6.13) in PEXG. Thus,
- 18 inter-patient variability was large. Mean rates were considerably higher than medians: -1.08
- dB/yr overall, -1.31 in HTG, -0.36 in NTG and -3.13 in PEXG. Differences in median VF
- progression rates among groups were statistically significant (NTG vs. HTG, P=0.003; PEXG
- vs. non-PEXG, P<0.001). Progression was considerably and significantly faster in older than
- in younger patients (P=0.002). By 6-years, 68% of patients had progressed overall, 74% of
- 23 HTG, 56% of NTG, and 93% of PEXG patients (P=0.012). Median time to progression also
- 24 differed considerably among groups: 19.5 months in PEXG, 44.8 months in HTG, and
- 25 particularly 61.1 months in NTG (P<0.0001).

- 1 **Conclusions**: In this 6-year follow-up study, the median untreated rate-of-progression
- 2 corresponded to advancing from normal visual function to blindness in approximately 70
- 3 years, while based on the mean rate, visual function would show the same deterioration in
- 4 approximately 25 years. Large differences existed among patients, and also among different
- 5 glaucoma types with PEXG progressing considerably faster than POAG, and NTG
- 6 progressing at the lowest rate.

### Introduction

2 3 Data on the natural history of visual function deficits in glaucoma are very limited. This is 4 5 expected, since pressure-reducing therapy is usually instituted without delay in patients with 6 diagnosed glaucoma with visual field defects, except sometimes in glaucoma patients with 7 normal intraocular pressure (IOP). The only prospective published data are from patients from the Collaborative Normal Tension Glaucoma Study (CNTGS)<sup>1</sup>, and such data are lacking for 8 9 other types of glaucoma, which are even more common in clinical practice. 10 11 In addition to having great scientific interest, knowledge of the natural, untreated rate of 12 glaucoma progression would have definite clinical importance, e.g., to allow estimates of the 13 amount of damage created by delayed access to clinical care, or to decide upon test intervals 14 for glaucoma screening or follow-up intervals for patients with suspect glaucoma. It would 15 also be of value to know whether natural, untreated progression rates differ among groups of 16 open angle glaucoma patients with different diagnoses. 17 The Early Manifest Glaucoma Trial (EMGT)<sup>2</sup> is a randomized clinical trial to evaluate the 18 19 effectiveness of lowering intraocular pressure in open angle glaucoma. EMGT had an 20 untreated control group and is the only such trial including patients with the most common 21 clinical presentations of open angle glaucoma: 1. primary open angle glaucoma (POAG) with 22 elevated IOP ("high tension" glaucoma – HTG; IOP≥21 mmHg) or 2. POAG with normal IOP ("normal tension" glaucoma – NTG; IOP <21 mmHg) and 3. pseudoexfoliative glaucoma 23 (PEXG). The separation of POAG into HTG and NTG, using the traditional division of IOP 24

25

at 21 mmHg, is historical and arbitrary, since IOP is a continuum. Furthermore, HTG and

1 NTG have the same signs and type of damage and today, POAG is considered a single disease<sup>3</sup>. Nevertheless, the concepts of HTG and NTG are widely used in clinical care, and 2 3 POAG patients have different risks depending on IOP, with increasing IOP being associated 4 with larger risks. Pseudoexfoliation syndrome is common and a large percentage of glaucoma 5 patients in many parts of the world, e.g., Scandinavia, Finland, Greece, Russia, Turkey, India, parts of Africa and elsewhere, have PEXG.4 6 7 8 In EMGT half of patients were randomized to the untreated control group, therefore providing 9 a unique database to study the natural history of glaucoma. Similar data on natural history are 10 unlikely to become available, since several studies have demonstrated that IOP reduction is effective in glaucoma and ocular hypertension<sup>5, 6, 7</sup> This will make it difficult to follow 11 cohorts of glaucoma patients without treatment in the future. 12 13 The aim of this paper is to report on one of the originally formulated study aims of EMGT <sup>2</sup> – 14 15 to describe the natural history of the most common types of open angle glaucoma. 16 Material and methods 17 18 19 Design overview The study design of EMGT (NIH ClinicalTrials. gov identifier NCT00000132. Date of 20 registration: September 23, 1999) has been described in detail previously. Briefly, EMGT 21 22 included patients with newly diagnosed and untreated glaucoma with early to moderate 23 damage. Most patients were identified in a large population-based screening of 44,000 24 citizens in Malmö and Helsingborg, Sweden, aged 50-80 years. The study was approved by the Ethics Committee of the University of Lund, Sweden, and the Committee in Research 25

1	Involving Human Subjects of the State University of New York at Stony Brook, and all
2	patients provided written informed consent. Patients were randomized to treatment or to no
3	treatment and followed at 3 month intervals. Treatment status was unchanged as long as
4	definite progression did not occur. This progression outcome was defined as significant
5	worsening, either because of reproducible visual field deterioration (detected through
6	computerized visual field analysis, c.f. below), or increased cupping of the optic nerve head
7	(detected by masked grading at a Disc Photography Reading Center.)
8	
9	<u>Data analysis</u>
10	
11	Patient groups
12	The current report includes those 118 patients (94%) who were followed for at least six years
13	without treatment or progressed within 6 years, among 126 patients randomized to the
14	untreated control group. For the present analysis, data were included only until the patient
15	progressed, since treatment could be introduced at that time. Data were limited to 6 years of
16	follow-up to achieve balance between having a sufficiently long duration of follow-up and an
17	adequate sample size.
18	
19	Analyses were based on eligible eyes for the trial. For the one-fifth of patients with two
20	eligible eyes, the first eye to show progression was included in the analyses, or if no eye
21	progressed, the eye with largest field defects at baseline (i.e., worse mean deviation values, cf.
22	below).
23	
24	The data analyses were performed for the full patient group, and for each of the three
25	categories (HTG, NTG and PEXG). In EMGT, the median IOP among POAG patients was 21

1	mmHg. Therefore, the statistical comparison between HTG and NTG is based on a median
2	split, as is the comparison between older and younger patients.
3	
4	Assessment of visual field damage
5	In EMGT visual field testing was performed with the Humphrey Full Threshold algorithm
6	using the 30-2 test point pattern. Fields were obtained every 3 months, unless the
7	computerized analysis based on Glaucoma Change Probability Maps <sup>8</sup> indicated "tentative
8	progression".2 If so an extra field test was obtained after approximately one month to
9	determine whether or not the eye had reached "definite progression".
10	
11	In the current report the progression of visual field damage was analyzed in three different
12	ways:
13	
14	1. Rate of progression
15	We determined the rate of visual field progression in all study eyes. Here we used the mean
16	deviation index (MD) 9, 10 to quantify the amount of visual field damage and the rate of
17	progression. The MD index is used in several major glaucoma staging systems. 11, 12
18	The rate of progression was expressed by the slope of a simple linear regression analysis of
19	MD values over time. Since a normal eye has an MD $\approx$ 0 dB and a blind glaucoma eye has an
20	MD value $< \approx$ -25-30 dB, depending on age, an eye would progress from normal to blind in
21	about 25-30 years if the rate of progression was 1dB/year. With a more rapid progression rate
22	of 2.5 dB/year an eye would go from normal to blind in 10-12 years.
23	
24	Rates of progression were compared among the three diagnostic groups using analysis of
25	variance (general linear model procedure in SAS).

1 2 2. Progression versus non-progression 3 The number of eyes meeting EMGT visual field progression criteria was calculated. EMGT progression criteria have been thoroughly described and analyzed. 2 13 14 Progression was 4 determined using computer-assisted analyses in Glaucoma Change Probability Maps. <sup>8</sup> Each 5 6 follow-up visual field was compared on a point-by-point basis to a baseline formed by the 7 average of the last two baseline pre-randomization field tests. In the current study patients had 8 undergone at least two visual field tests before the baseline field. If a point in a follow-up 9 field showed a significant decrease of differential light sensitivity (at the p < 0.05 level), it 10 was flagged as progressing. EMGT progression requires the occurrence of such decreased 11 sensitivity in three consecutive tests at the same three or more test point locations, and is a sensitive and specific method to identify glaucomatous visual field worsening. <sup>14</sup> Proportions 12 of progressed patients in the patient groups were compared with chi-square statistics. 13 14 15 3. Time to progression 16 Time to progression was calculated and displayed in Kaplan-Meier curves. Significance of differences was tested with the Log-Rank test. 17 18 19 **Results** 20 21 Baseline characteristics of all study patients and of those in each category are shown in Table 1. IOP was naturally higher in HTG than NTG patients, while IOP of PEXG patients was 22 slightly lower than that of HTG patients. Patient age was similar among the three diagnostic 23

groups. Attrition was minimal – only 4 patients were lost to follow-up, except for deaths.

24

1 <u>1. Rate of progression</u>

- 2 **Table 2** presents both median and mean rates of progression, which followed the same pattern
- 3 and differed markedly among the three diagnostic groups. Distributions were negatively
- 4 skewed; therefore median rates were slower than mean rates, which were influenced by the
- 5 extreme values. Median rates were: for the overall group (median: -0.40 dB/year), in HTG (-
- 6 0.46 dB/year), NTG, (-0.22 dB/year), and PEXG (-1.13) (Table 2). The mean overall
- 7 progression rate was -1.08 dB/year (SD  $\pm 2.07$ ); in HTG it was -1.31 dB/year (SD  $\pm 1.93$ ), in
- 8 NTG it was about one-fourth of that, -0.36 dB/year (SD  $\pm 0.94$ ), and in PEXG the mean
- 9 progression rate was very fast -3.13 dB/year (SD  $\pm$ 3.69). These differences were statistically
- significant (NTG vs. HTG: p=0.003; PEXG vs. non-PEXG: p<0.0001) (Wilcoxon rank sum
- 11 test).

12

- 13 There were very large variations among patients (Fig 1). A large percentage of patients
- showed low rates of progression, but a considerable minority progressed rapidly. As seen in
- 15 Table 2, progression rates differed by age. They were significantly faster in older (median
- rate = -1.48 dB/year) than in younger (median rate = -0.60 dB/year) patients (p=0.0002; t-
- test), and the median progression rate in the 22 younger NTG patients was almost nil
- 18 (Wilcoxon rank sum test).
- 20 2. Progression versus non-progression
- By 6 years, 80 of the 118 eyes had shown glaucoma progression overall (68%). Progression
- was observed in 34/46 (74%) of HTG eyes, 32/57 (56%) of NTG eyes, and almost all of
- PEXG eyes, 14/15 (93%). Proportions differed significantly among groups (p=0.01) (chi-
- 24 square test).

1 3. Time to progression 2 Median time to progression was 42.8 months for the total group. Time to progression differed 3 significantly among diagnostic groups (Log-Rank test; p<0.0001) and was clearly shortest in PEXG and longer in HTG, and particularly in NTG. The large difference between diagnostic 4 5 groups is clear from Fig. 2. Median times to progression were 19.5 months in PEXG, 44.8 6 months in HTG and 61.1 months in NTG. 7 8 9 **Discussion** 10 11 We studied the natural history of glaucomatous visual field progression in a large group of 12 patients with open angle glaucoma, who had been randomized to no initial treatment in EMGT. After a follow-up time of 6 years, 80 of 118 (68%) had shown definite visual field 13 14 progression. Progression rate and thus time to progression varied considerably among HTG, 15 NTG and PEXG groups, and also among patients within each group. Rates of progression 16 were considerably higher in patients with HTG than NTG and highest in patients with PEXG. 17 18 The NTG progression rates found by us are similar to those published in the only available 19 paper on the subject. Even if rates of progression have been unknown, except for NTG, the higher progression rate in HTG must be considered to be in line with other results, since the 20 level of IOP has been shown to be an important factor for glaucoma progression <sup>15</sup> <sup>16</sup> <sup>17</sup> and 21 for development of glaucoma. 6, 18, 19 20 22 23

It is highly interesting that PEXG patients progressed so much more rapidly, and that the

differences were highly significant despite the small sample size for PEXG, particularly

24

1 considering that the mean baseline IOP value was similar in the PEXG and HTG groups. 2 Most ophthalmologists consider PEXG a more serious disease than HTG, but the explanation 3 has almost always been that PEX glaucoma patients on the average have higher IOP values 4 than POAG patients. The present analysis and our earlier analyses of risk factors for 5 progression in all EMGT patients, treated as well as untreated, instead indicate that pseudoexfoliation is a strong factor for disease progression independent of IOP. 16, 17 6 7 8 It is known that older age increases risk for development of glaucoma in patients with elevated IOP and for progression of glaucoma. 17, 19, 20 Nevertheless, the differences between 9 10 rates of progression in younger and older patients for both HTG and NTG are quite striking. 11 Further studies are needed to corroborate this finding. 12 13 The most important strength of the current report is that EMGT is the only prospective study 14 with an untreated control group including the most common groups of OAG patients, and no 15 on-going (or likely future) studies can provide the same data. The current natural history 16 results are thus the first on POAG with elevated IOP (HTG) and on PEXG. POAG is by far 17 the most common glaucoma diagnosis in clinical settings in Western countries, and PEXG is 18 also a very common clinical diagnosis in many countries. Prospective natural history data 19 have been published on NTG patients before, cf. above, but while NTG is common in population studies, these patients are often diagnosed late and are a minority in clinical 20 glaucoma care, except in Japan, where NTG is particularly common.<sup>21</sup> 21 22 Other strengths are the prospective study format, and the fact that patients had perimetric 23 experience prior to the study baseline, minimizing the perimetric learning 22-24 essential for 24 proper definition of baseline damage. The frequent visual field testing, every 3 months, and 25

1 the long follow-up made it possible to calculate rate of progression with good accuracy. 2 Patient recruitment, mainly through population screening, and excellent retention are 3 additional strong points. Since patients, and not eyes, were randomized to the untreated 4 control group analyzed here, there are also no confounding effects from treatment of the 5 fellow eve. 6 7 The general applicability of our results to early and moderate glaucoma of the three types 8 reported here should be at least moderately good. As mentioned above, the majority of 9 patients were recruited through a population-based design. While patients with IOP values 10 over 30 mmHg were ineligible, only 13% of all glaucoma patients had such screening 11 pressures in at least one eye. The great majority of eligible patients agreed to participate. 12 Almost all EMGT patients were of Caucasian origin, and the results may, therefore, not be, 13 applicable to patients with non-European ethnicity. 14 15 One issue to consider is that for ethical reasons, all patients could not be followed without 16 treatment after definite progression. We, therefore, do not know whether the natural history of 17 visual field progression is linear also over very long periods of follow-up. Since there are indications that higher age 17, 19, 20, 25 and more damage 17 are risk factors for progression, one 18 19 might instead argue that over long time periods the rate of progression ought to increase. Nevertheless, progression is usually linear in clinical settings, and several long-term studies 20 have concluded that in such settings linear disease progression best fit the observed data. <sup>26, 27</sup> 21 22 23 Our results may be compared to those of the very few other studies on glaucoma natural 24 history. The earlier study of natural history in normal-tension glaucoma patients (CNTGS) reported a mean progression of -0.39 dB/year<sup>1</sup>, which is very similar to the mean progression 25

1 rate of -0.36 in our NTG group. Thirty-three percent of CNTGS patients showed progression, considerably less than in the present study, where 56% of NTG patients showed definite 2 3 progression, but follow-up time was also considerably longer in the present study, and the 4 percentage of progressed eves of course increases with the length of follow-up. Also, time to 5 progression of the patients with NTG in the current study was in line with that reported in 6 CNTGS. Furthermore, the criteria for progression differed between CNTGS and EMGT. A 7 10-year follow-up study from St. Lucia reported increases in visual loss among 205 black 8 individuals with manifest or suspect glaucoma, who had remained untreated after being detected in a 1986-7 survey. 28 Less data are available for these patients than in our 9 10 prospectively followed cohort, and field results are reported in a different way using so-called AGIS scores<sup>29</sup>. Recently, untreated mean rates of progression have been estimated from cross-11 12 sectional data. These means, although not reporting data for HTG, NTG and PEXG separately, are of the same magnitude as the overall mean result in the current study.<sup>30</sup> 13 14 15 A striking feature of the results is the large inter-patient variability in rate of progression, not 16 only among, but also within the diagnostic groups. Thus individual progression rates cannot 17 be predicted, but must be determined with repeated visual field testing. We found that 18 glaucoma in a clear majority of patients progressed during the follow-up time, based on our 19 criteria. Additional patients must have had worsening glaucoma, but not enough to meet these progression criteria. While the latter criteria are strict, not much deterioration is needed in 20 21 most patients to ascertain definite progression; the average change of MD associated with progression was -1.83 dB in an earlier study involving the whole EMGT cohort <sup>13</sup>, and EMGT 22 23 criteria have been shown to be more sensitive than criteria used in two other large glaucoma trials comparing various modalities of treatment.<sup>14</sup> 24

Popu	llation screening for undetected open angle glaucoma is now seriously considered, and
our r	esults are very relevant for those 50% or more of glaucoma patients that are undiagnosed
in the	e Western world. 31-38 The present results also provide new, clinically important and
relev	ant information. Many of these untreated EMGT patients progressed only slowly, and
the m	nedian progression rate of the total patient group corresponds to progressing from a full
field	to blindness in approximately 70 years. Nevertheless, a substantial minority progressed
mucł	n more rapidly, and the mean progression rate seems more alarming, corresponding to
going	g from a full field to blindness in approximately 25 years. With that rate, and if the
diagr	nosis is made when half the visual field is already gone, the time to blindness would be
mere	ly a dozen years. We feel that the large difference between median and mean rates makes
it im	portant to report both; the median rates are suitable for demonstrating differences
betw	een groups, while the means and not the medians give an estimate of the total loss of
visua	al function over time in the patient cohort. The average NTG patient lost visual function
rathe	er slowly, at a quarter of the speed of average patients with HTG when mean progression
rates	are compared, and at half of the speed if medians are considered. Untreated PEXG
progi	ressed fast, even with rather low IOP values. This is of importance when protocols for
glauc	coma screening or case-finding are devised. Our findings might be used as an argument
to jus	stify use of broad-scale tonometry to identify individuals who might need glaucoma
treati	ment. The rapid deterioration in PEXG suggests that it may be advisable to always look
for si	igns of PEXG in screening and glaucoma case finding. The results of the current study
also j	provide a new and much needed benchmark to assess the success, or lack of success, of
diffe	rent treatment modalities for glaucoma.

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