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General:

- Expressions of directionality may have different information-carrying roles in different languages.
- This role, as perceived by the translator, is conveyed through both position and arrangement of such expressions in the translation.
- Directionality is a universal characteristic of language; ways to express this are not.
- Directionality can be evidenced in words or phrases with other unrelated semantic-syntactic functions.
- Deixis is an important factor when a decision is taken whether to include directionality or not.
- According to the markedness theory, location/stasis should be unmarked, and direction/dynamism marked. Consequently, not all languages may have the function-specific words to express directionality, but will evidence other means for expressing this quality.

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Link to the opening page of *ESPC*: <http://www.englund.lu.se/research/corpus/access.phtml>

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Automatic estimation of speaker age using CART

Susanne Schötz

This paper describes a small attempt to automatically estimate speaker age aimed at increasing the phonetic knowledge of age. Acoustic features were extracted from the four phonemes of the Swedish word /rɑ:sa/ 'collapse' produced by 428 adult Swedish speakers, and then used to build CARTs (Classification and Regression Trees) for prediction of age, age group and gender. Results showed that the CARTs used different strategies to estimate different phonemes, and that age predictors for /a:/ and /s/ performed best. The best CARTs made about 91% correct judgements for gender, about 72% for age group, while the correlation between biological and predicted age was about 0.45. When comparing these results to those of a previous study of human age perception, it was found that although humans and CARTs used similar cues, the human listeners were somewhat better at estimating age. More studies with a larger and more varied speech material are needed in further pursuit of a good automatic age estimator.

1. Introduction

Verbal human-computer communication distinguishes itself from human-to-human communication in many ways. One difference is that most systems fail to identify the speaker-specific or paralinguistic information present in every voice. Human listeners almost instantly recognize the gender, emotional state, attitude and state of health of a speaker. Even age is fairly well judged by listeners. If human-computer interfaces were able to capture some of these properties, man-machine communication would become more natural. Spoken dialog systems would be able to adapt to the gender, age and other speaker characteristics of the user, which could lead to increasing performance. This paper describes a small attempt to automatically predict one speaker-specific quality: age, using an important technique in pattern recognition: CART, and then comparing the results to age judgements of human listeners.

1.1 Background

While researchers agree that human listeners are able to judge speaker age to within ± 10 years, few computers have had a go at this task. One reason for this may be that it is far from easy. There are acoustic correlates to age in

every phonetic dimension, and their relative importance to age perception has still not been fully explored (Ptacek & Sander 1966, Hollien 1987, Linville 1987, Jacques & Rastatter 1990, Braun & Cerrato 1999, Schötz 2003).

Previous attempts to automatically estimate age include Minematsu, Sekiguchi & Hirose 2003, who carried out age perception tests with 30 listeners for some 400 male speakers, and then used two methods to model the speakers with GMMs (Gaussian Mixture Models). The first method modelled one speaker for each perceived age, and the second was based on the normal distributions of the age estimations. Tests of the models resulted in a correlation of about 0.9 between the automatic prediction and the judgements of human listeners.

A study of human perception of speaker age with resynthesized stimuli led to the conclusion that spectral features and segment duration seem more important than F_0 to age perception (Schötz 2004). In the same study, 30 listeners judged the exact age (in years) of 24 speakers from a single word. Significant correlations between biological and perceived age were found for the older speakers (0.825 for female, 0.944 for male speakers), but not for the younger ones (0.097 for female, 0.522 for male speakers). Reasons for this result may include the short word durations, misjudgements of atypical speakers (speakers who sound older or younger than their biological age; Schötz 2003), and the fact that the range of biological age was wider in the older group. The results found by Schötz 2004 will be used in the comparisons of human and automatic age estimations in the present study.

One of the most powerful methods in pattern recognition, besides HMMs (Hidden Markov Models) is CARTs (Classification And Regression Trees). CART is a technique that uses both statistical learning and expert knowledge to construct binary decision trees, formulated as a set of ordered yes-no questions about the features in the data. The best predictions based on the training data are stored in the leaf nodes of the CART. Its advantages over other pattern recognition methods include human-readable rules, compact storage, handling of incomplete and non-standard data structures, robustness to outliers and mislabelled data samples, and efficient prediction of categorical (classification) as well as continuous (regression) feature data (Huang, Acero & Hon 2001).

The CART method has been used to predict a number of phonetic qualities, including rules for allophones and prosodic features. For Swedish, Frid 2003 automatically modelled rules for segmental as well as prosodic qualities. His LTS (letter-to-sound) conversion rules for 78,125 words

resulted in 96.9% correct predictions for all letters. Frid also used CART learning to predict prosody both by letter and by whole-word patterns. Correct predictions were 88.6% for main stress, and 87.3% for word accent. Frid also had some success in predicting Swedish word accent and dialect.

In this paper, to separate the CART method from the actual trees, the term 'CART' will denote a single decision tree, while 'CARTs' will be used about more than one tree, and when referring to the method, the term will be used only in phrases, i.e. 'the CART method', or 'CART learning'.

1.2 Purpose and aim

The purpose of this study was to gain more knowledge about phonetic correlates to speaker age found in different types of phonemes, and to take a first step towards building an automatic predictor of age. Attempting to predict exact *age* (in years), *age group* (old or young) and *gender* (to be used as an input feature to age predictors) by means of a very tentative strategy, the aim was not to construct a state-of-the-art predictor, but rather to answer two questions and to test two hypotheses:

Questions:

1. Which features would an automatic predictor of adult speaker age need, which features seem to be the most important, and how do they correlate with the cues used by human listeners?
2. Could an automatic predictor of adult speaker age, constructed with an easily understandable method using a limited number of features and speech data, actually perform reasonably well, and if so – how would it compare to human perception of age described in an earlier study (Schötz 2004)?

Hypotheses

1. Automatic predictors use separate strategies (i.e. choice of features) for different segments, as many phoneme types (e.g. vowels, fricatives) contain different kinds of phonetic information
2. Gender is a good input feature for automatic prediction of adult speaker age, as men and women age differently (Schötz 2004).

2. Material

In order to be able to compare the results of this experiment with the study of human age perception (Schötz 2004), which was based on 24 elicitations of the single Swedish word *rasa* [r̥a:sə] 'collapse' produced by 24 speakers

from two villages in southern Sweden, and taken from the *Swedia 2000* speech database (Bruce et al. 1999), the same type of material was used here. It consisted of 2048 elicitations of *rasa* produced semi-spontaneously in isolation by 428 adult, equally many female and male speakers aged 17 to 84 years from 36 villages in southern Sweden (Götaland). Each speaker had contributed 3 to 14 elicitations of the word, and all were included to provide some within-speaker variation in the experiment. The words were normalized for intensity, just as in the human study.

Using a number of scripts (developed by Johan Frid, Dept. of Linguistics and Phonetics, Lund University) for the speech analysis tool *Praat* (www.praat.org), some of which were further adjusted to suit the purpose of this study, the material was prepared for the CART experiments. The first script used resynthesis of *rasa* and an alignment technique (Black et al. 2003, Malfrère & Dutoit 1997) to segment and transcribe all words into SAMPA (Speech Assessment Methods Phonetic Alphabet) – **rA:s a** – with fairly good accuracy. Automatic segmentation was preferred over manual in order to save time. Another *Praat* script extracted 51 acoustic features from each segment, including several measurements (mean, median, range and SD) of fundamental and formant frequencies (F_0 and F_1-F_5) as well as relative intensity, segment duration, HNR (Harmonics-to-Noise Ratio), spectral emphasis, spectral tilt and several measurements of jitter and shimmer. There were a number of reasons why the features were extracted for each segment instead of e.g. once every 10 ms, which would have given more precise measurements. As the phonetic information contained in separate phonemes varies, the CART is likely to use different features to predict the various segments in order to generate better trees. Another reason was to keep the data size at a reasonable pilot study level.

A description file containing all the feature names was created, and the extracted features were stored as vectors in two data files together with the following features:

- segment label (as different phonemes contain different acoustic information)
- biological age (in exact years, defined as a continuous feature, as not every age was represented in the training material)
- age group (a binary feature, where 'old' was stipulated as 42 years or older, 42 being the youngest age defined as 'old' in the *Swedia* database, and 'young' as younger than 42)
- gender (a binary feature, which might influence age prediction).

One file was used only as a test set for comparison with the human listener study. It contained only the same 24 speakers and words (24 words * 4 segments = 96 vectors) that had been used in the human perception study. The other file comprised the other 404 speakers (1924 words * 4 segments = 7696 vectors), and was further split into a training set (90% = 6157 vectors) and a test set (10% = 1539 vectors).

3. Method

The preferred method for this study would be straightforward and easy to use. Combining statistical learning with expert (human) knowledge, the CART technique could use features that quite easily compare to the cues used by the human listeners in Schötz 2004. In addition, the existence of a ready-to-use application successfully used in previous phonetic studies (Frid 2003) and the fact that the CART technique produces fairly human-readable trees, made the choice of method an easy one. The procedure for this limited time pilot study was somewhat tentative. Several problems were solved with similar methods to the ones used by Frid 2003 in his CART experiments.

3.1 Tools

In this study, *Wagon*, a CART implementation from the Edinburgh Speech Tools package, was used (Taylor et al. 1999). It consists of two separate applications: *wagon* for building the trees, and *wagon_test* for testing the trained trees with new data. *Wagon* supports discrete as well as continuous features in both input and output. It also contains a large number of options for controlling the tree-building processes, of which only the three options controlled in the present study will be briefly explained here. A more detailed description of the *Wagon* tree building algorithm and its control options is given in Taylor et al. 1999. The *stop* value was used for fine-tuning the tree to the training set; the lower the value (i.e. the number of vectors in a node before considering a split), the more fine-tuned and the larger the risk of an overtrained tree. If a low *stop* value is used, the overtrained tree can be pruned using the *held_out* option, where a subset is removed from the training set and then used for pruning to build smaller CARTs. All trees in this study were built with the *stepwise* option switched on, which instead of considering all features, looked for and incrementally used the individual best features in order to build smaller and more general trees, but at a larger computational cost.

3.2 Procedure

A number of test runs were carried out in search for the best decision trees for each feature. *Age* and *age group* were predicted both with and without gender as an input feature. *Gender* was then predicted using neither age nor age group as input features.

To reduce computation time, a subset of the data (489 words · 4 segments = 1956 vectors) was used in an initial search for the option values that would generate the best trees. The *stop* value was in turn set to 2, 3, 4, 5, 10, 20, 50 or 100, and the *held_out* value for pruning was varied with 0%, 10% or 20% of the data. These tests suggested that *stop* values of 3, 5 and 10 in combination with all three *held_out* values would generate the best prediction trees. In the remaining tests the options were restricted to these values.

Baselines were not easy to estimate, especially for *age*, as not every age was represented, and as the ages included in the training set were not equally distributed. Since there were 54 ages ranging from 17 to 84 in the data, a rough baseline for age might either be calculated as $1/54$ ($\approx 1.85\%$) or as $1/(84-17+1) = 1/68$ ($\approx 1.47\%$) but these values are neither comparable to the correlation between predicted and biological age nor do they account for predictions of speakers with ages not included in the set or out of range. Both *age group* and *gender* were binary features. Female speakers were found in 3928 out of the 7696 vectors, so while one possible baseline for *gender* would be 51.04% (3928/7696), another would be 50%, given an expected equal distribution in the population to be predicted. For *age group*, a rough baseline might be 50%, since there were equally many (3848) vectors for older as for younger speakers. However, since the range of biological age was 42 (distributed as 36 different ages) for the old group, but only 18 (every age from 17 to 35) for the young group, this is not really a representative value. Thus, the baselines suggested in the result tables below should only be regarded as rough estimates of the performance of a baseline predictor.

In the first actual test runs, the whole data set containing all segments was used. Then, additional tests using only the vectors of one segment at the time were run in order to get some idea of which of the phonemes contained the best information for age and gender prediction, i.e. generated the best trees, but also to find out if the CARTs used different features from different segments for prediction.

Finally, tests of the same words used in the study with human listeners were run using the best CARTs for each segment and the results were compared to the human results. The first (=best) features of the trees were

Table 1. Results from the best CARTs using the whole data set for the features *age*, *age group* and *gender*

continuous feature	prediction...	stop	held_out	correlation	baseline
age	...without gender	10	10	0.344	0.0185?
	...with gender	10	10	0.385	0.0185?
discrete feature	prediction...	stop	held_out	correct (%)	baseline (%)
	...without gender	10	10	65.37	50?
age group	...with gender	10	0	66.80	50?
	—	10	20	83.63	51.04?

compared to the cues used by the human listeners. The method and results of the study with human listeners is described in more detail in Schötz 2004.

4. Results

4.1 Tests with the whole data set

Control options and results (represented by *Wagon_test* as the correlation coefficient (*r*) between input and predicted feature for *age*, and by the percentage of correct predictions for *age group* and *gender*) for the best CARTs found with the whole data set (with all of the segments) are shown in Table 1.

The best predictions were achieved for *gender* (83.63% correct). For *age* and *age group*, the trees built with the input feature *gender* were only slightly better than the ones built without *gender* information.

4.2 Tests with one segment at a time

Table 2 shows the best prediction results for each segment. The best results for all features were obtained for the stressed vowel A: . Including *gender* as an input feature only marginally influenced the results of the trees. For A: , the best correlation between predicted and biological age was about 0.45, the best tree for *age group* predicted 72.14% correctly, and for *gender* this value was 90.62%.

Table 2. Results for the best CART predictions of *age*, *age group* and *gender* for each segment (best values in boldface, *stop/held_out* values within parentheses)

segment	age (without gender)	age (with gender)	age group (without gender)	age group (with gender)	gender
r	0.299 (10/10)	0.299 (5/20)	65.10% (5/20)	65.10% (5/20)	77.34% (10/20)
A:	0.446 (5/0)	0.454 (10/0)	72.14% (10/20)	72.14% (10/20)	90.62% (10/0)
s	0.406 (5/20)	0.393 (10/0)	64.06% (3/10)	64.84% (10/0)	80.99% (3/10)
a	0.273 (10/20)	0.286 (10/0)	63.28% (3/20)	63.28% (3/20)	87.50% (10/20)
baseline	0.0185?	0.0185?	50%?	50%?	51.04%?

Table 3. Top three features used by the best CARTs for each segment to predict age, age group and gender (m = mean, md = median, r = range, sd = standard deviation)

a) age (without gender)				b) age (with gender)					
a	r	A:	s	a	r	A:	s		
1 st	F ₃ (md)	F ₄ (m)	F ₁ (r)	F ₁ (r)	1 st	F ₃ (md)	F ₄ (md)	F ₁ (r)	F ₂ (md)
2 nd	F ₁ (r)	F ₅ (r)	F ₂ (r&m)	F ₄ (md)	2 nd	F ₂ (md&sd)	HNR	Int. (m)	shimmer
3 rd	F ₂ (m)	F ₂ (m)	F ₄ (m&md)	F ₅ (md)	3 rd	Int&F ₀ (m)	F ₂ (m)	F ₄ (m)	F ₀ (m&md)

c) age group (without gender)				d) age group (with gender)					
c	r	A:	s	d	r	A:	s		
1 st	F ₃ (m)	HNR	F ₁ (r)	F ₁ (r)	1 st	F ₃ (m)	HNR	F ₁ (sd&r)	F ₁ (r)
2 nd	F ₄ (r)	F ₁ (md)	F ₃ (m)	F ₂ (m)	2 nd	F ₄ (r)	F ₁ (md)	Int. (m)	F ₂ (m)
3 rd	F ₂ (md)	F ₀ (r)	F ₄ (md&r)	F ₅ (m)	3 rd	F ₂ (md)	F ₀ (r)	gender	F ₅ (m)

e) gender.				
e	r	A:	s	
1 st	F ₀ (md&m)	F ₀ (md)	F ₅ (md)	F ₀ (md&r)
2 nd	F ₁ (md)	F ₁ (m)	F ₀ (m)	F ₁ (md)
3 rd	Int (r)	F ₄ (md)	F ₂ (md)	F ₂ (md)

The features used in the first yes-no questions in the best CARTs for each segment are shown in Table 3. For *age*, questions about the formant frequencies dominated, but HNR, relative intensity (Int.), F₀, and shimmer were also used. Important cues for the *age group* CARTs were mainly F₁-F₅ and sometimes HNR, relative intensity, shimmer and gender. Often different features were used in the first questions when gender was included in the input features, than when it was excluded, and the feature gender was only used once in all of the first three questions. The trees for *gender* prediction depended on first questions about F₀ values, but also on questions about F₁, F₂, F₄, F₅ and relative intensity.

4.3 Comparisons of results by the CARTs and the human listeners

In Table 4 the mean estimated ages for the 24 speakers by the 30 human listeners in the study by Schötz 2004 were compared to the predictions of the best CART. Human estimations were better for 13 speakers, while the CART more accurately predicted 9 of the speakers. Two speakers were estimated equally well by both humans and the CART. Neither the human listeners nor the automatic predictor was considerably better than the other at judging the age of female or male speakers.

A comparison of the misjudgements (in years) made by the humans and the best CART is shown in Figure 1. The largest errors were made by the CART trying to predict the age of one young (aym1) and one old (aom2)

Table 4. Biological age and age estimations by human listeners and the CART for A: for the 24 speakers (closest estimations in boldface, speaker ID = village (a, s) + age group (o, y) + gender (m, w) + number (1-3))

spkr ID	syw1	sym2	sym1	syw3	aym1	aym2	ayw2	ayw3	aym3	sym3	syw2	ayw1
bio. age	18	20	22	24	27	27	28	28	29	29	30	31
human	36	49	39	27	43	28	30	24	41	34	45	35
CART	24	48	25	24	67	26	34	57	28	53	32	44

spkr ID	aom3	aow3	aow1	som1	aom1	som3	sow3	aom2	sow2	sow1	som2	aow2
bio. age	60	60	61	62	66	70	70	71	72	73	76	82
human	46	47	61	51	60	68	57	62	66	75	70	75
CART	72	70	55	73	45	51	65	26	64	65	48	64

male speaker. The mean absolute error for the CART predictions was 14.45 years, while the same figure for the human listeners was 8.89 years.

When comparing the features used by the CARTs to predict age with the acoustic correlates to the cues used in human listener study, several similarities were found. Spectral cues (e.g. formant frequencies) were dominant to F₀ for both humans and CARTs. However, the human study also found duration to be an important cue to age, while the CARTs did not use duration in their first questions.

5. Discussion and future research

The present study was a first attempt to build an automatic age estimator with the CART method to gain more phonetic knowledge about age. Although the CARTs did not predict age as well as humans, they still provided some interesting results, which point towards a number of problems yet to be

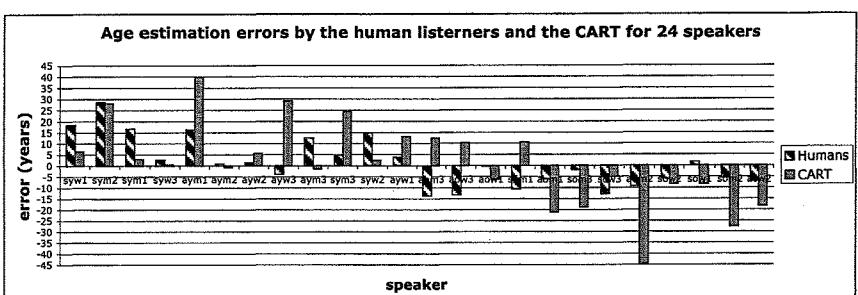


Figure 1. Deviation of the age estimations from biological age for human listeners (mean value) and the predictions made by the CART for the best segment of the tests (A:) for each speaker

solved in pursuit of a state-of-the-art predictor of speaker age. Some of these questions are discussed here along with a several other reflections and suggestions for future studies.

5.1 Reflections on the speech material and the method

Questions and suggestions related to the speech material include the choice of speakers, language and dialect, types of speech as well as the preparation of the material for the CART tests.

This study used only 428 speakers of southern Swedish dialects. Due to the aim of the *Swedia 2000* project to document only a younger and an older generation of adult speakers, not every biological age could be represented in the speech material. Although gender was evenly distributed, with 214 female and 214 male speakers, no speakers were under 17, over 84 or between 36 and 42 years old. Most younger speakers were between 20 and 33 years, and most older speakers between 55 and 77 years. This must have affected the CARTs. There was, however, a considerable dialectal variation present in the data, including variations of the Swedish grave word accent, as well as allophonic variation of the phonemes /r/ and unstressed /a/, with pronunciations from the central Swedish [rɑ:sa] to [rɑ:sa], [rɑ:sə] and even [wɑ:sə]. In future studies, the purpose of the predictor would determine how much and what kind of speech data is needed to build general enough trees, as more speakers, dialects and languages provide more between-speaker variability, and more types of speech from each speaker implies more within-speaker variation.

The right choice and combination of acoustic features are likely to build better CARTs. More and improved methods to automatically extract acoustic features, like better inverse-filtering techniques for laryngeal features, and ways to extract reliable values for LTAS (Long Time Average Spectra), formant bandwidths (B_1 – B_5) and amplitudes (L_1 – L_5) may also improve the trees. Other possible methods include building segment-independent predictors of age by extracting features at regular time intervals, e.g. every 10 ms. That the data for segment /a:/ generated better trees than the entire data set might have been caused by the small material and a possible mismatch in the training and test sets.

Features were extracted automatically in this study. Though timesaving when compared to manual feature extraction, one should always double check automatic methods to reduce the influence of outliers and artefacts.

This was done only to some extent in this study. One observed effect was the use of F_0 as an important feature to predict gender in the voiceless segment *s*.

Due to the small data size, one cannot be certain that the features used by the CARTs in this study actually mirror important age cues. More research with larger material is needed to determine this.

5.2 Comparing the tests with whole data set to the ones for each segment

The trees based on the whole data set did not perform as well as the ones that used only the segments *A:* or *s*. Most speech researchers agree that stressed vowels contain the most phonetic information, and the fact that the CARTs for *s* performed relatively well is in line with Schötz 2003, where it was found that the typical energy platform for [s] begins at higher frequencies for younger-sounding speakers. The segment *r* displayed a large allophonic variation among the speakers, which may explain the poor results of the CARTs for *r*. Segment durations may be another reason why the predictors for *A:* and *s* outperformed the ones for *r* and *a*. However, although *r* indeed was the shortest segment, the durations for *a* resembled those for *A:* and *s*, and none of the trees actually contained any early questions about duration. Future automatic predictors of age might use a technique to identify and extract only the longest segments containing the most acoustic information (e.g. stressed vowels and voiceless fricatives) from longer sequences of (spontaneous) speech and to base their predictions on them.

5.3 Comments on comparisons of CARTs with human age perception

It can be argued that the humans were better at predicting age than the CARTs, since the mean absolute error for the CART predictions was 14.45 years, but only 8.89 years for the human listeners. Such figures are hard to interpret for several reasons. How much did the outliers in the CART predictions influence the results? Is a machine that misjudges the age of speakers by approximately ± 14 years a good or a bad predictor, compared to human listeners, and compared to chance? These questions are not easily answered, especially not when the results are based on such a limited material. The goal when building an automatic age predictor would probably not be to get absolutely correct predictions, but rather to be able to place a speaker in 'her early twenties' or 'his mid-seventies'.

Although age cues for human listeners displayed similarities with the features used by the CARTs, this does not mean that humans and automatic predictors use similar strategies when estimating age. The features used by

the CARTs may, however, give some indication on where to look for acoustic correlates to the cues of human age perception.

5.4 Additional comments and reflections

Is there really any practical use for an automatic predictor of age? Why can't the system just ask the users about their age? There are at least two situations where this is difficult. One may occur in forensic situations, where objective age estimations of unknown potential suspects leaving a message on an answering machine may be of help. The other reason is more of a psychological or social nature. A number of users might be offended when asked how old they are. Not even computers should ask a lady about her age.

The experiences made in the present study might serve as a springboard for attempts to automatically predict other paralinguistic features with the CART method, leading to future improvements in speech and speaker recognition applications dealing with issues related to the personality of the user.

Automatic age estimators might also be helpful tools when trying to improve the naturalness of synthetic speech by including speaker-specific features in the synthetic voice. To synthesise speaker age, a CART for age prediction might be traversed from the leaf node of the desired age to the root of the tree, hereby adjusting the acoustic parameters of the synthetic voice.

Age is only one of many speaker-specific or paralinguistic qualities found in speech. In the future a combination of predictors for a number of such qualities, including age, gender, emotions, health, speaking style and even dialect may be of help in many speech and speaker recognition systems as well as in spoken dialog systems. Computers would then be able to interact more naturally with the user, e.g. comfort a sad user, encourage an insecure user and even get angry and refuse to help a rude user. But would we really like a computer to behave like ourselves? In which situations would it be acceptable for a spoken dialog system to behave like a human being, and in which would it be completely out of the question? These questions remain to be answered.

6. Conclusions

From the pilot experiments in this study the following tentative conclusions were drawn:

1. Which features to use in state-of-the art automatic age predictors remains unclear. However, important features for the CART method in this study

included formant frequencies, HNR and intensity, which is in line with human age perception, where spectral features are likely to dominate over F_0 , but with duration as another important cue.

2. The CARTs for prediction of age seemed to use different tree-building strategies (in terms of input features) for different phonemes.
3. It is possible to construct a CART age predictor for one single word based on automatically extracted acoustic feature data with a performance slightly worse than human listeners'.
4. Although gender was predicted with greater than 90% accuracy, information about gender did not considerably influence the age predictions in this study.
5. Studies with methods to extract more acoustic features (laryngeal features, LTAS, B_1-B_5 , L_1-L_5) and with larger more varied speech material are needed to further increase the phonetic knowledge about speaker age.

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Modelling the changing popularity of names

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The popularity of Norwegian first names 1880-2000 can be studied thanks to official Norwegian statistics. The most common curve shows a fast rise and slow fall, which can be approximated by a mathematical gamma frequency function. The curve presumably reflects the development of the parents' enthusiasm.

Introduction

The Norwegian statistical agency (*Statistisk sentralbyrå*) offers a data base on the Internet at www.ssb.no/emner/00/navn/, where the frequencies of several hundred first names from 1880-2000 are shown in diagrams. As has been noted before there is a certain recycling of names in Scandinavia and quite a few names e.g. *Martin*, *Kristian* and *Lars* in Sweden, *Kristine* and *Karoline* in Norway reappear after about 120 years (see publications by the Norwegian statistical agency, and Sigurd & Eeg-Olofsson 2004). Also interesting is the shape of the historical frequency diagrams as most of them display a fast frequency rise followed by a longer slow fall. Such a shape can be approximated and modelled by a frequency function based on the mathematical gamma distribution. We will illustrate typical frequency curves for names and show an approximating curve and gamma frequency function which fits the name *Sverre* well. With somewhat different parameters it should fit several other names and it allows us to predict the development of the popularity of a name.

The study of the developmental frequency patterns of names is interesting since the same patterns are likely to show in other fashion behaviour. Modelling the patterns is not only of linguistic and sociological interest but also of commercial interest as it makes it possible to predict the development of a fashion or the success of a new product.

Types of curves

The name curves generally look like hills with a rise and a fall which can be discerned although the whole hill is not always visible in the Norwegian diagrams which only include frequencies from 1880 to 2000, i.e. 120 years. In