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Low-Band MIMO Antenna for Smartphones with Robust Performance to User Interaction

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***Abstract*—This letter proposes a two-port MIMO smartphone antenna for frequency bands below 1 GHz, which is robust to user effects. The design is achieved by first analyzing the characteristic modes of a chassis that includes the large screen. Two modes predicted to be less affected by the user than other commonly used modes are selected. The modal currents and near-fields of the two desired modes then guide the design: The monopole-like mode introduced by the screen is tuned to resonance using shorting pins and selectively excited using the center feed location. The non-resonant loop mode is selectively excited for the first time by four inductive feeds added along the longer sides of the chassis, with proper phase shifts provided by a feeding network. The proposed antenna features isolation of above 19 dB and envelope correlation coefficient of below 0.12 in the considered scenarios. The measured bandwidth is above 15% for both ports, and the average radiation efficiency is 2 dB and 4.57 dB higher for two user scenarios with respect to a reference design. Moreover, no adaptive matching is needed as the impedance matching is robust to the user hand/head.**

***Index Terms*—Handset antennas, MIMO systems, user effect, characteristic modes, feeding network.**

I. Introduction

MULIPLE-input multiple-output (MIMO) antenna design for smartphones is very challenging, especially in frequency bands below 1 GHz (i.e., LTE low band), since sufficiently large bandwidth and low correlation are required for an electrically compact chassis [1]. Fortunately, characteristic mode analysis (CMA) can be used to design uncorrelated MIMO antennas of up to 30% bandwidths by using the chassis' modal properties to tune several modes and excite them [1]-[4].

Another challenging issue is that smartphone antennas are traditionally designed and characterized for free space (FS) operation, rather than actual use cases that involve the close proximity of user hands and head [5]. The high permittivity and high conductivity of the human tissue can result in severe detuning of the antenna and significant power absorption, respectively, which deteriorate antenna efficiency [6]. For instance, the effect of user hand on the operation of a single port antenna is presented in [7], indicating a 7–11 dB drop in antenna efficiency in a LTE low band, compared to FS. In a few studies on the performance of MIMO handsets in the low band [8]-[11], the proximity of the human body is shown to severely affect both efficiency and correlation of two-port MIMO antennas. Depending on the position of the index finger, the variation in mutual coupling of two-port MIMO antennas can be up to 10 dB [8]. In [9], the far-field patterns of a MIMO antenna is found to be more correlated when the head is in proximity. Another investigation in [10] found that the total efficiency can be as low as -19.1 dB, due to the absorption and mismatch by the user's hand and head, compared to -1.9 dB in FS. In [11], a user hand causes a 4 dB loss in the average total efficiency of a highly correlated MIMO antenna at 0.75 GHz.

Due to the aforementioned significant user effects on MIMO antenna performance, it is important to not only evaluate the performance of completed antenna prototypes in different user scenarios after the design stage [8]-[11], but to also account for user interactions at the design stage. For instance, some design techniques have been found to be effective for mitigating user effects in terminal antennas in LTE low band [12]-[23]. However, existing contributions focus on single-antenna design [12]-[20] and only a few consider MIMO antennas [21]-[23].

In [21], the least affected antenna of four identical elements located at four edges of the chassis is dynamically selected to overcome user effects. Using adaptive matching, significant capacity gains have been achieved in the presence of user by targeting low correlation [22]. However, these methods [21], [22] require complex adaptive circuits and the MIMO antennas utilize only the traditional fundamental dipole mode of the chassis, which is known to be vulnerable to user effects [19].

A comparison study in [23] reveals that the CMA-based MIMO terminal antennas are in general more robust to user effects in the low band, in terms of impedance matching and correlation. Moreover, it has been found that the excited modes of an antenna can substantially influence its user effects [18]-[20]. Since the antenna pattern is a linear combination of the far-fields of the characteristic modes (CMs) excited by the antenna, the user effects on a given CM can be found. In [19], it is observed that the characteristic far-field patterns with a null at the boresight are less affected by a user hand, by comparing the modal weighting coefficients of the antenna in FS with those in the hand grip. This result can be understood by the severe shadowing by the palm and fingers at the boresight. It is not uncommon in the literature to utilize far-field properties to guide antenna designs involving proximity of human tissue, due to the lack of consensus on a suitable near-field figure-of-merit [24]. Subsequently, this insight is applied using CMA to synthesize a desired antenna pattern consisting of several chassis modes [20]. However, the design is single-port and the achieved bandwidth is small (6%).

In this work, we extend this promising concept to design a robust two-port MIMO smartphone antenna in a systematic manner using CMA. The proposed design offers >15% bandwidth and <0.07 envelope correlation coefficient (ECC) in free space, in LTE low band. Since the screen-to-body ratio of smartphones is increasing nowadays [25]-[27], the two CMs

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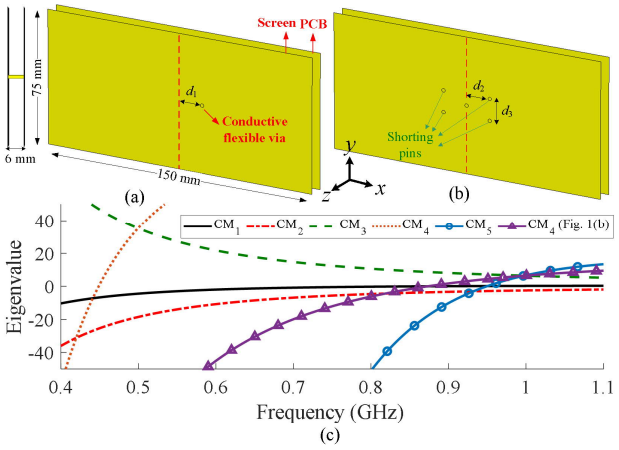

Fig. 1. (a) Geometry of the connected metal plates, (b) geometry with d_1 = 0 mm and 4-metal pins added (d_2 = 9 mm, d_3 = 5 mm), and (c) Eigenvalues λ_n of the modes of interest for the dual-plate model in (a) and (b). Eigenvalues of CM_1-CM_3, CM_5 are similar for (a) and (b).

utilized in the design are based on a chassis model that includes a large metal-backed screen. The two modes are selectively excited by the two ports, yielding the desired antenna patterns that have nulls at the boresight. Finally, the MIMO antenna is confirmed to be robust to two common user scenarios.

II. CMA of Connected Double Plate Model

Two connected parallel metal plates are used as a model for a large screen smartphone [28] (see Fig. 1(a)). As shown in the smartphone model, there is a conductive flexible via (represented by a conductive pin of 1 mm in diameter, located d_1 above the center of each plate) that connects the screen assembly to the PCB [25]-[27]. In this section, CMA is performed using 2019 Altair FEKO to explore the modes of this connected perfect electric conductor (PEC) double-plate model.

For the initial analysis, the screen size is set to be the same as the PCB size (see Fig. 1(a)). We denote the first mode (CM_1) as the longitudinal half-wave (0.5λ) dipole mode, the second one (CM_2) as the transversal 0.5λ-dipole mode and the third one (CM_3) as the loop mode (see Fig. 2(a)), all of which also exist in single-PCB models [1]. On the other hand, two new CMs (CM_4, CM_5) are found for the double-plate model in Fig. 1(a). The lowest-order mode (CM_4) is a monopole-like mode that is due to two connected plates and the fifth mode (CM_5) is a patch-like mode due to the added screen at the distance of h to the PCB (see Fig. 1(a)). According to the concept in [29], shorting pins can be introduced to shorten the current paths and hence increase the resonant frequency of the zeroth-order mode. Four shorting pins were introduced (see Fig. 1(b)) to increase the low resonant frequency (0.45 GHz) of CM_4 to the desired low LTE band [27]. The eigenvalues of CM_1-CM_5 for the models in Figs. 1(a) and 1(b) with the four shorting pins are shown in Fig. 1(c). The corresponding far field patterns are presented in Fig. 2(a). It is noted that apart from CM_4, the eigenvalues and far-fields of the four other modes are identical between the two models shown in Fig. 1. The reason is that the pins are located in the minimum near-field region of the four other modes (e.g. see Fig. 3(a) for that of CM_5). Most of the existing terminal antennas in LTE low band use the longitudinal 0.5λ-dipole mode (CM_1) and/or the transversal 0.5λ-dipole mode (CM_2)

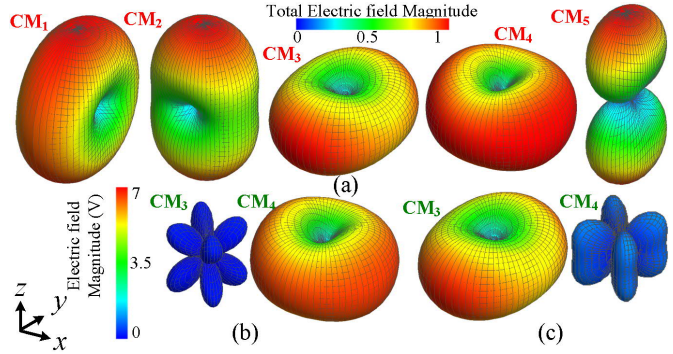

Fig. 2. (a) The normalized characteristic far-field patterns for CMs in Fig. 1(c), electric field magnitude of (b) theta and (c) phi components of CM_3 and CM_4.

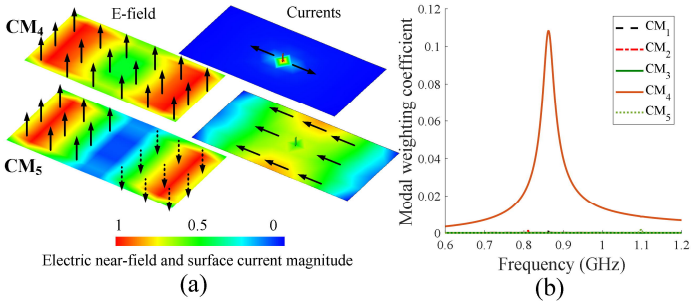

Fig. 3. (a) z-directed electric near-field in between two plates and modal current for CM_4 and CM_5, (b) modal weighting coefficient for the first port (P_1).

[1]-[17], [21]-[27]. The patterns of these dipole modes are omni-directional on the planes perpendicular to the PCB, i.e., yz- and xz-planes (see Fig. 2(a)). Similarly, a patch-like mode (CM_5) also has more directional patterns on the planes perpendicular to the PCB. If the terminal antenna utilizes these modes (CM_1, CM_2, CM_5), then the antenna will illuminate the user in both near- and far-fields and suffers more from user effects [19].

To reduce the user effect, an omni-directional pattern with radiation nulls in the boresight direction, i.e., along the positive and negative of z-axis, is preferred [20]. As shown in Fig. 2(a), CM_3 and CM_4 are good candidates for this goal as they provide the desired omni-directional pattern on the xy-plane, with the nulls along the z-axis. Despite having similar gain patterns, CM_3 and CM_4 are orthogonal. This due to polarization diversity (see Figs. 2(b) and 2(c)), with the phi and theta components being dominant for CM_3 and CM_4, respectively. Consequently, they are good candidates for implementing two orthogonal ports with less effects from user. Therefore, in contrary to previously reported multiport terminal antennas in the low band, the excitation of commonly used modes of CM_1, CM_2 and CM_5 are avoided in the proposed MIMO antenna (PMA).

III. Selective Excitation of CM_4 by Port 1 (P_1)

As explained in Section II, CM_4 is tuned to the desired band by adding several shorting pins. The next task is to excite this mode using P_1, and to prevent the same port from exciting other resonant modes. The characteristic electric field (E-field) distribution half-way between the two plates (i.e., 3 mm from either plate) and current distributions are shown for CM_4 and CM_5 in Fig. 3(a). The characteristic E-field of CM_4 is almost consistently in the z-direction in the volume between the plates. The E-field for CM_5 is in both positive (top half) and negative (bottom half) z-directions, with the minimum E-field occuring

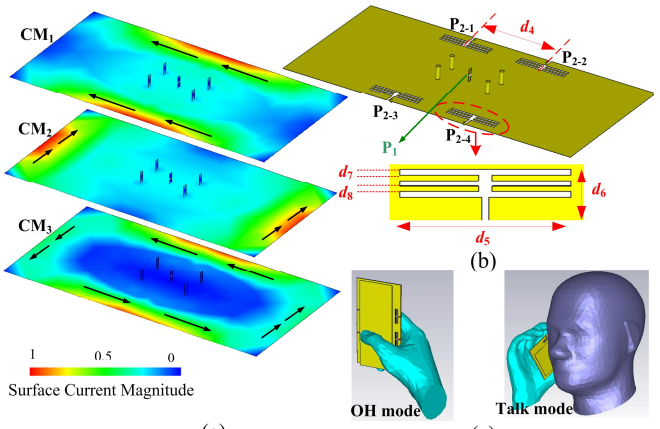

Fig. 4. (a) Surface current on PCB for CM_1-CM_3, (b) four added slots (ICE) with parameters on the PCB (d_4 = 40 mm, d_5 = 23 mm, d_6 = 10 mm, d_7 = d_8 = 1 mm). Screen is not shown for clarity, and (c) antenna in two user scenarios.

around the center. So the center feed (i.e., P_1 in Fig. 4(b)) can be used to prevent excitation of CM_5. This is the reason initially for setting $d_1 = 0$ in Fig. 1(b). Moreover, using this single feed should not excite CM_1, CM_2 and CM_3, as their currents are very small along the via between the plates, as shown in Fig. 4(a). This is different from the feeding consideration in [27], where the goal is to simultaneously excite CM_4 and CM_5. In contrast, the goal here is to selectively excite CM_4, to provide the desired omni-directional pattern on the *xy*-plane for P_1 in the low band, with the nulls along the *z*-axis.

The shorting pins are located around the feed in the center as shown in Fig. 1(b), instead of being placed in a single row [27]. The more symmetrical structure helps to prevent the excitation of undesired modes in the final design. The selective excitation of CM_4 was verified by using the modal weighting coefficients for P_1, shown in Fig. 3(b). The selective excitation strategy allows another CM with radiation nulls in the boresight (i.e., CM_3) to be used for the other port, and low correlation with the other port is guaranteed as long as that port does not excite CM_4.

IV. Selective Excitation of CM_3 by Port 2 (P_2)

CM_3 is a loop mode which also exists for a single chassis. CM_3 has long been recognized as an inherently non-resonant inductive mode [1], hence it has not been considered practical for antenna design. To our knowledge, this work represents the first time this mode is successfully utilized for MIMO terminal antenna design. The surface current distribution of CM_1-CM_3 on the PCB is shown in Fig. 4(a). The directions of the surface currents on the screen (not shown in Fig. 4(a)) are the same as those on the PCB for CM_1-CM_3, and the current is minimum on the conductive flexible via. To excite the loop-like surface currents of CM_3 on the PCB, four small inductive coupling elements (ICEs) are implemented symmetrically along the longer sides of the PCB, as depicted in Fig. 4(b). Four voltage ports (i.e., $P_{2\text{-}1}$-$P_{2\text{-}4}$ in Fig. 4(b)) are directly positioned across each of the ICEs. By this arrangement, the two shorter sides of the chassis can be used by other antenna elements to cover other bands. The configuration of the ICE in Fig. 4(b) is the cascaded version of that in [30], which is used to ease the matching of CM_3 across the band. The ICEs have no noticeable effect on the eigenvalues (Fig. 1(c)) and far-fields (Fig. 2(a)) of the CMs. To

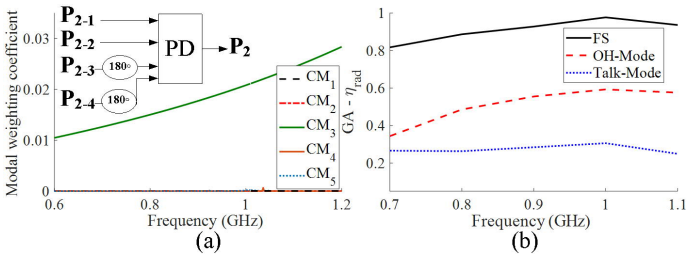

Fig. 5. (a) Modal weighting coefficient of the modes for P_2 and its four feeds with phase shift. A power divider (PD) is used. (b) GA- η_{rad} for three scenarios.

excite the desired modes, the correct relative amplitudes and phase shifts corresponding to the modal currents in Fig. 4(a) should be applied to $P_{2\text{-}1}$-$P_{2\text{-}4}$. Accordingly, $P_{2\text{-}1}$ and $P_{2\text{-}2}$ should be phase shifted by 180° relative to $P_{2\text{-}3}$ and $P_{2\text{-}4}$, as seen in the inset of Fig. 5(a). The modal weighting coefficients (see Figs. 5(a)) confirm the correct selective feeding of CM_3. If no phase shift is applied, then the ICEs will excite CM_1 and CM_5 instead, due to their in-phase currents at these feed positions.

To explain how CM_3 can be used by port 2 despite being non-resonant, it can be seen in Fig. 1(c) that the eigenvalue of the CM_3 at 0.9 GHz is about 8.68. However, due to the use of more coupling elements the modal excitation coefficient of CM_3 can be increased accordingly [31]. Therefore, efficient excitation of CM_3 by the feeds that are well-aligned to the modal properties has partly compensated for the relatively large eigenvalue of CM_3. Consequently, this work offers the new insight that additionally flexibility can be gained for antenna design using CMA by considering the feeding structure. Specifically, the possible use of a given CM not only depends on the magnitude of its eigenvalues, but also on how the feed can be designed to enhance the excitation of a CM to compensate for relatively large eigenvalues. This insight will allow more CMs to be chosen as candidate modes for antenna design.

V. User Effect and Simulated Results

The robustness of the proposed two-port design is evaluated in free space (FS) and two user scenarios (one-hand (OH) browse mode and talk mode [23], see Fig. 4(c)) using the time-domain solver of CST 2018. The radiation efficiencies of P_1 and P_2 are 94% and 91% in FS at 0.9 GHz, and they drop to 60% and 52%, respectively, in OH. The radiation efficiencies of the proposed design are less affected than the design with broadside pattern in [15] (1.94/2.4 dB drop for P_1/P_2 vs. 4 dB in [15]). The geometric average of radiation efficiencies (GA- η_{rad}) over the two ports as defined in [23] is shown in Fig. 5(b) for the PMA. Since [15] only considers a single-port antenna and a OH mode, better benchmarking can be obtained using the CMA-based two-port antenna evaluated in [23] (see Fig. 6(a)) as a reference MIMO antenna (RMA). The RMA uses modes with no null in the broadside for both ports (i.e., port 1 excites full-wave loop mode and port 2 excites the fundamental dipole mode). To ensure fair comparison, the positions of the coupling element and feeding lines in the chosen RMA are similar to those of the PMA. As can be seen in Fig. 6(b), the drop in the radiation efficiencies of the RMA are 2 dB and 4.57 dB higher than those of the PMA, in the OH mode and talk mode, respectively. Moreover, the matching efficiency [23] of the RMA is reduced more than that of the PMA in the two user scenarios (see Fig.

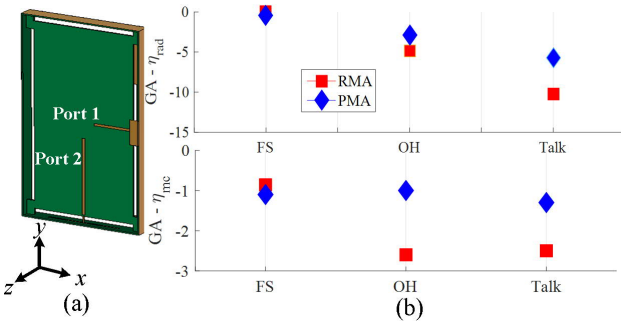

Fig. 6. (a) RMA (i.e. prototype 1 in [23]), (b) comparison of GA-η_{rad} and GA-η_{mc} over operating bands in three scenarios for RMA and PMA.

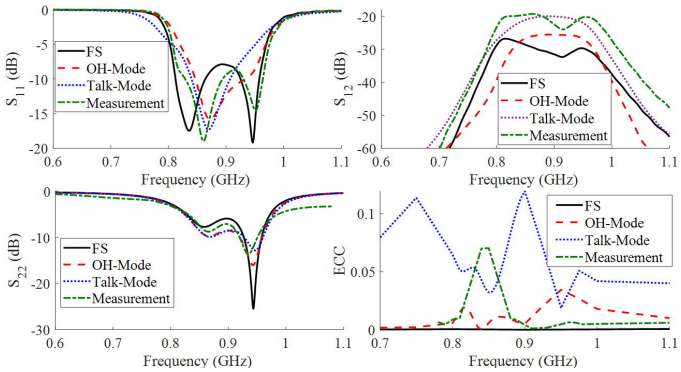

Fig. 7. S-parameters and ECC for three scenarios and measurement results.

6(b)) over 836-968 MHz. Figures 6(b) and 7 show that the S-parameters for the PMA is more stable with acceptable matching and isolation in different scenarios, indicating its robustness to user effects. The ECC is below 0.12 in all the scenarios (see Fig. 7). The slightly higher ECC in the talk mode is mainly due to the shadowing of the patterns by the user, which increases the similarity between the two patterns. It is noted that the comparison of η_{rad} of the individual ports also shows better performance for the PMA ports. Comparisons with other two-port antennas are also provided in Table I.

VI. Measured Results

In real implementation, microstrip lines are used to feed the ICEs instead of direct voltage ports (see Fig. 8). The ICEs are etched on the top side of the chassis, with matching elements placed on the substrate. The feeding network realized by microstrip lines is printed on the back side of the chassis. The matching elements shown in Fig. 8 are used to widen the bandwidth. The substrate used is Rogers RO4003C (thickness of 1.524 mm, relative permittivity of 3.38 and loss tangent of 0.0027). Three PDs with different phase shifts (PSs) are used in Fig. 8 to feed the ICEs. As all the ICEs are similar and distributed in a mirror symmetric manner, there is ideally no power dissipation in the PDs. The advantage of using PDs over T-divider, despite the earlier being more space consuming, is that the matching is more controllable due to the isolation between the PDs' ports [33], [34]. It is noted that the simple feeding networks in Fig. 8 are only intended to verify the operation of the PMA. In real implementation, the PD, PS and off-chip matching elements should be realized with compact integrated circuits for the sake of practicality and compactness, and optimized with respect to the active RF circuitry. The feeding network in Fig. 8 can be realized in any advanced multilayer technology [35].

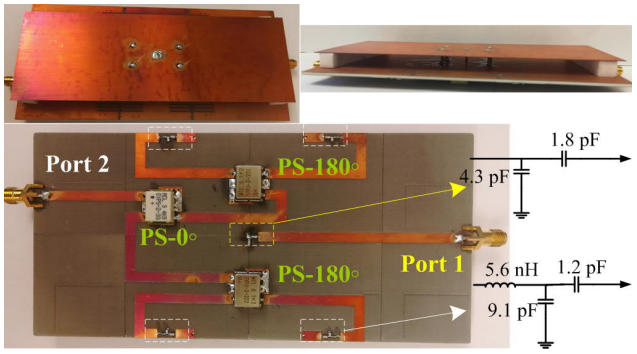

Fig. 8. Prototype of the PMA shown with different viewing angles.

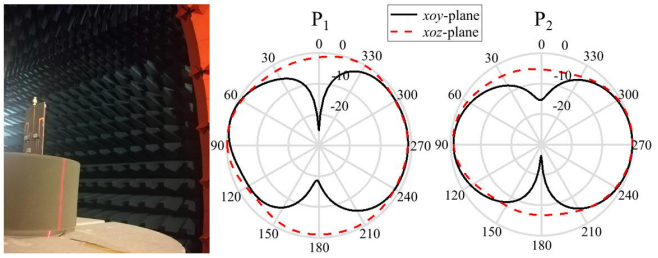

Fig. 9. Measured radiation patterns in two planes for two ports.

TABLE I
Comparison of the PMA and Two-Port Antennas in Low Band

Ref.	Common BW(MHz)	Ave. GA-η_{tot} (OH)	Ave. GA-η_{tot} (Talk)	Ave..ECC (OH/Talk)	Adaptive network
[21]*	750–960	-6.88 dB	-10.57 dB	0.13/0.17	Yes
[22]	815–875	-5.5 dB	N/A	0.03/NA	Yes
[23]**	824–894	-7.5 dB	-12.77 dB	0.03/0.15	No
[23]***	818–896	-4.5 dB	-14 dB	0.04/0.02	No
[32]	829-960	-6.59 dB	N/A	0.05/NA	No
[10]*	746 - 787	N/A	-14.6 dB*	NA/0.05	No
PMA	**836-968**	**-3.9 dB**	**-7 dB**	**0.02/0.06**	**No**

* Best prototypes (i.e. Antenna34 in [21], and P_2 in [10]), ** Prototype 1 (i.e., RMA), and *** Prototype 2 in [23]

For the final layout in Fig. 8, the size of the screen is slightly decreased to increase the potential bandwidth [36] of CM_3. The measured bandwidths are 18% (805-967 MHz) and 15% (836-968 MHz) for P_1 and P_2, respectively, which agree well with the simulation results (see Fig. 7). The measured isolation is over 19 dB. The average in-band total efficiencies are 81% and 68% (minimum of 65% and 63%) for P_1 and P_2, respectively. The lower total efficiency in P_2 is mainly due to the PD's loss in the feeding network of Fig. 8. The measured radiation patterns of the two ports (see Fig. 9) show that the radiation nulls are successfully retained at the boresight and backward directions as for CM_3 and CM_4 in Fig. 2. Finally, the ECC of the measured patterns (see Fig. 7) is below 0.07 in the operating band.

VII. Conclusion

Using the connected metal-backed screen and chassis model, two new modes are selected and excited for the first time using CMA, resulting in a two-port MIMO terminal antenna for LTE low band. The two ports are more robust in two user scenarios than a reference design, due to the selected modes having a desirable property. Therefore, the proposed antenna does not require any adaptive circuit to compensate for user effects.

Acknowledgment

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