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CARIBIC aircraft measurements of Eyjafjallajökull volcanic clouds in April/May 2010

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Abstract. The Civil Aircraft for the Regular Investigation of the Atmosphere Based on an Instrument Container (CARIBIC) project investigates physical and chemical processes in the Earth’s atmosphere using a Lufthansa Airbus long-distance passenger aircraft. After the beginning of the explosive eruption of the Eyjafjallajökull volcano on Iceland on 14 April 2010, the first CARIBIC volcano-specific measurement flight was carried out over the Baltic Sea and Southern Sweden on 20 April. Two more flights followed: one over Ireland and the Irish Sea on 16 May and the other over the Norwegian Sea on 19 May 2010. During these three special mission flights the CARIBIC container proved its merits as a comprehensive flying laboratory. The elemental composition of particles collected over the Baltic Sea during the first flight (20 April) indicated the presence of volcanic ash. Over Northern Ireland and the Irish Sea (16 May), the DOAS system detected SO₂ and BrO co-located with volcanic ash particles that increased the aerosol optical depth. Over the Norwegian Sea (19 May), the optical particle counter detected a strong increase of particles larger than 400 nm diameter in a region where ash clouds were predicted by aerosol dispersion models. Aerosol particle samples collected over the Irish Sea and the Norwegian Sea showed large relative enhancements of the elements silicon, iron, titanium and calcium. Non-methane hydrocarbon concentrations in whole air samples collected on 16 and 19 May 2010 showed a pattern of removal of several hydrocarbons that is typical for chlorine chemistry in the volcanic clouds. Comparisons of measured ash concentrations and simulations with the FLEXPART dispersion model demonstrate the difficulty of detailed volcanic ash dispersion modelling due to the large variability of the volcanic cloud sources, extent and patchiness as well as the thin ash layers formed in the volcanic clouds.

1 Introduction

The Eyjafjallajökull volcano (also known as Eyjafjöll or Eyjafjalla volcano) on the southern coast of Iceland (63.62° N, 19.63° W) has been dormant for nearly two centuries. Previous eruptions occurred around 920, in 1612 or 1613 and in 1821–1823 (Sigmundsson et al., 2010). The explosive part of the recent eruption began on 14 April 2010 and lasted with interruptions until 22 May 2010 (Sigmundsson et al., 2010). The contact of meltwater from the overlying glacier with the hot magma increased the strength and explosivity of the eruption during the first days (phreatomagmatic explosion, Zimanowski et al., 1997, 2003). The high explosive force was accompanied by the production of unusually fine ash particles with samples from the first two days showing 50%–70% of them being smaller than 100 µm (Sandozson, 2010; Taddeucci et al., 2011), 20% being smaller than 10 µm and 7% being smaller than 2.6 µm in diameter in ash samples collected close to the volcano (Gíslason and Alfredsson, 2010). The smallest ash particles (< 20 µm
diameter) were transported over long distances due to their slow gravitational settling whereas the larger particles fell out closer to the volcano (Ginoux, 2003; Schumann et al., 2011). Eruptions of the Eyjafjallajökull volcano typically produce relatively small volumes of ejected matter on the order of 0.1 km$^3$ (Sturkell et al., 2009). This also applies to the eruption in April/May 2010 (Gudmundsson et al., 2010); hence it was a Volcanic Eruption Index (VEI) 4 eruption (Newhall and Self, 1982; Jenkins, 2010). The eruption strongly affected European aviation through the prevailing weather situation with a high pressure system (anticyclone) south of Iceland both at the surface and at higher levels (Petersen, 2010). The south-eastward propagating winds over Iceland rapidly transported the emitted ash to Central Europe followed by consecutive re-circulation and trapping of the ash over Europe, thus leading to an unprecedented number of flight cancellations (Gertisser, 2010; Flentje et al., 2010).

When the ash clouds from the Eyjafjallajökull volcano reached Central Europe, a large number of stations started immediately measuring different aspects of the diluted volcanic clouds. Lidars and sun photometers were used to measure aerosol optical depth, backscatter ratios and ash mass concentrations over Hamburg, Leipzig, Munich and other EARLINET stations in Germany (Ansmann et al., 2010, 2011; Emeis et al., 2011; Gasteiger et al., 2011; Wiegner et al., 2011), southern Italy (Mona et al., 2011) and over Belsk in Poland (Pietruczuk et al., 2010). The ceilometer network of the German Weather Service measured the backscatter ratios at 36 stations in Germany (Flentje et al., 2010; Emeis et al., 2011). In addition, ozone sondes and in situ measurements taken at the Hohenpeißenberg observatory in Southern Germany, at the environmental research station Schneefernerhaus on the Zugspitze mountain in the German Alps and with an ultralight aircraft over Southern Germany delivered information about the presence, size, concentration and composition of the ash particles (Bukowiecki et al., 2011; Flentje et al., 2010; Emeis et al., 2011; Schäfer et al., 2011). Particle concentrations, size distributions and sulphur dioxide concentrations were also measured at the Swiss research station Jungfraujoch in the Alps (Bukowiecki et al., 2011). The Falcon research aircraft of the German Aerospace Center (DLR) conducted 17 research flights between 19 April and 18 May 2010 measuring the ash concentration, particle size, particle composition and optical properties, as well as concentrations of carbon monoxide (CO), ozone (O$_3$) and sulphur dioxide (SO$_2$) (Schumann et al., 2011). Also the French Service des Avions Français Instrumentés pour la Recherche En Environnement (SAFIRE) ATR 42 and Falcon20 aircrafts, the British Facility for Airborne Atmospheric Measurements (FAAM) BAe146 and Natural Environment Research Council (NERC) Dornier Do228 aircrafts, the Netherlands National Aerospace Laboratory (NLR) Citation II aircraft, the Swiss METAIR Dimona motor glider, the Spanish CASA 212 aircraft and a number of smaller aeroplanes conducted measurement flights over Europe during the 2010 Eyjafjallajökull eruption. Microwave weather radars were used as well to study the eruption (Marzano et al., 2011).

The Civil Aircraft for the Regular Investigation of the Atmosphere Based on an Instrument Container (CARIBIC) project investigates the physical and chemical processes in the Earth’s atmosphere using a Lufthansa Airbus A340-600 long-distance passenger aircraft in scheduled air service. This aircraft is equipped with a purpose-designed inlet system which is permanently mounted at the lower aircraft fuselage and which has sampling probes for trace gases, water vapour, total water and aerosol particles (Brenninkmeijer et al., 2007). In addition, the inlet system houses three miniature telescopes of a multi-axis differential optical absorption spectrometer (MAX-DOAS) system and a forward looking video camera. During routine operations, the CARIBIC container is installed once per month into the forward cargo compartment of the aircraft where it is connected to the inlet system. It then takes measurements during four consecutive routine passenger flights of the aircraft. Lufthansa has been supporting the CARIBIC project since 2004. Between May 2005 and December 2011, more than 260 measurement flights were carried out with the CARIBIC container (see http://www.caribic-atmospheric.com/). The original suite of instruments onboard the CARIBIC container has been described in detail by Brenninkmeijer et al. (2007), but has been upgraded since then (see Sect. 2).

CARIBIC has already measured effects of volcanic eruptions on atmospheric trace gases and aerosols on previous occasions. For instance, after the Kasatochi volcano erupted in 2008, clouds of SO$_2$ were found over Europe (Heue et al., 2010) and the change in elemental composition of lower stratospheric aerosol particles before and after this eruption was analysed (Martinsson et al., 2009).

The most relevant instruments for the investigation of the 2010 Eyjafjallajökull volcanic clouds are the new optical particle counter (OPC) briefly described here in Sect. 2.2, the particle sampler (Nguyen et al., 2006), the improved MAX-DOAS system for remote detection of trace gases (Dix et al., 2009) and the whole air sampler (Brenninkmeijer et al., 2007). The air samples are analysed after each flight sequence in the laboratory for greenhouse gases (Schuck et al., 2009) and a suite of non-methane hydrocarbons (Baker et al., 2010) and halocarbons (O’Sullivan, 2007).

Directly after the first closure of the air space above Germany, Lufthansa approached the CARIBIC team with the suggestion for a special volcano flight mission as information about the ash clouds was scarce. With a lead time of only two days, the CARIBIC measurement container was prepared for the first CARIBIC volcano mission, which took place over Northern Germany, the Baltic Sea and Southern Sweden on 20 April 2010. Two further volcano flights followed: one on 16 May over Ireland and the Irish Sea and the other on 19 May over the Norwegian Sea (Fig. 1).
The immediate goal of these special measurement flights was to collect as much information as possible regarding the number, size and concentration of the ash particles as well as their composition. The main hazard from volcanic ash clouds to jet aircraft arises from the high temperatures inside aircraft turbines which lead to melting of glassy volcanic particles. The melted ash can cover parts of the inside of the turbines and lead to a loss of power or even flame-out of the engines. The sharp volcanic ash particles combined with the high air speed of jet aircraft can lead to sand-blasting of the cockpit windows and even to completely opaque windows (e.g. Casadevall, 1993; Prata and Tupper, 2009). The ash particles can also clog aircraft openings like pitot tubes, corrupting speed and pressure readings, or air filters. In severe cases, corrosion by volcanic sulphuric acid can inflict damage to the aircraft fuselage and turbines (Casadevall, 1993). Assessment of such hazards and ultimately decisions about the closure of air space therefore require detailed knowledge of the ash cloud’s composition, particle sizes and mass concentrations.

This paper discusses the results from the three special mission CARIBIC volcano flights. It starts with an overview of the instruments inside the CARIBIC measurement container in Sect. 2, including a description of the new OPC deployed for the first time during the CARIBIC volcano measurement flights. The three flights are described in Sect. 3 while Sect. 4 summarises the results from the flights. Comparisons of the CARIBIC ash measurements with predictions from the NILU FLEXPART model are presented in Sect. 5. The different aspects of the measurement results from the CARIBIC volcano flights are discussed in Sect. 6. A short summary of this study is given in Sect. 7.

2 CARIBIC instruments

This section introduces the different instruments used to characterise the volcanic clouds encountered during the three CARIBIC Eyjafjallajökull flights. For a comprehensive overview of all instruments onboard the CARIBIC container, the reader is referred to Brenninkmeijer et al. (2007).

2.1 Aerosol particle inlet system

Ideally, measurements of micrometer-size particles or larger is carried out using wing-mounted free stream instruments. However mounting such large instruments on a commercial aircraft is not feasible. Consequently, the CARIBIC particle detection and sampling uses air collected via an aerosol inlet probe which consists of a diffuser tube fitted with a leading shroud. The air is transferred to the instruments in the container via 3.5–4.0 m long electropolished stainless steel tubing (Brenninkmeijer et al., 2007). Just upstream the aerosol instruments, after 3.2 m sampling line, a flow splitter removes the outer 20% of the sampling volume flow, and only the inner 80% (core flow) are used for the measurements. Thus the sampling line efficiency up to the flow splitter increases to more than 90% for particles larger than about 10 nm diameter, based on theoretical considerations. The particle losses in the individual sampling line sections downstream the flow splitter were calculated and corrected for using empirical equations (Kulkarni et al., 2011). In a similar manner, artifacts due to ash particle break-up at the inlet tip are reduced. The majority of artificial break-up particles should stay close to the inlet walls and for the measurements only the core flow at the centre line (less than 1% of the air entering the shroud) is used. However, recent studies indicated that (ice) particles hitting instruments or inlet tip surfaces can travel up to several centimetres in the direction perpendicular to the main flow direction (Korolev et al., 2011). Thus, biases in the size distribution measured by CARIBIC inside the volcano cloud are reduced, but can not be totally excluded or quantified. The CARIBIC aerosol inlet has a high particle transmission efficiency for the sub-micrometer particles, but for the super-micrometer particles, the transmission efficiency decreases. Based on empirical equations and experience with other inlet systems, an inlet transmission efficiency was estimated. It is above 60% for particles smaller than ~5 µm, but decreases strongly down to zero at about 10 µm. This inlet transmission efficiency is taken into account in the OPC measurements and in the extrapolation of the particle size distribution below, but nevertheless leads to uncertainty in the measured particle concentrations.

2.2 The new CARIBIC optical particle counter

During the CARIBIC container upgrade in autumn 2009, a new optical particle counter (OPC) was constructed by the Leibniz Institute for Tropospheric Research (IT, Leipzig) to measure the sub-micrometre aerosol particle size distribution. This OPC was flown for the first time during the CARIBIC volcano flights. A detailed description of the new OPC will be published by Weigelt (2012). The following section contains a short overview of the instrument, its characteristics and the OPC data analysis.

The small, lightweight and very robust KS-93 OPC from RION Co., Ltd. (Japan) was chosen as basis instrument for the CARIBIC OPC unit. Housed inside a 19" 5U (221 mm height) rack unit, a computer controlled gas flow system with two mass flow controllers (MKS, Germany) and a fully automated data acquisition (PXI, National Instruments) were arranged around the KS-93. To reduce errors in particle size classification due to laser field inhomogeneities, the measurement air is focused to the centre of the optics by sheathing the measurement air with particle-free air just upstream (10 cm) of the optics. This sheath air is filtered air drawn in from inside the CARIBIC container. Sensitivity studies indicated the best signal to noise ratio for a sheath air to measurement air ratio of 9:1.
Fig. 1. Flight tracks of the CARIBIC flights on 20 April 2010 (left), 16 May 2010 (middle) and 19 May 2010 (right) with indicated flight times. Circles mark the whole air glass flask sample locations where solid circles denote those samples that have a volcanic influence (as determined from the whole air samples and the backward trajectories). The orange highlighted segments of the flight tracks mark the integration times of those aerosol impactor samples which indicated volcanic origin of the sampled particles. Note the different geographical projection of the right map.

Table 1. Complex refractive index and density for an internal mixture of aerosol particles, based on volume mixing rules. The given volume fractions assume “typical” aerosol composition for those atmospheric regions where most of the CARIBIC measurements were conducted. The refractive indices for the different aerosol compounds are taken from Toon et al. (1976), Hummel et al. (1988), Krekov (1993), Bond and Bergstrom (2006) and Dick et al. (2007). Mixed complex refractive index and particle density are calculated using volume mixing rules.

<table>
<thead>
<tr>
<th>Region</th>
<th>Composition [volume fraction]</th>
<th>Mixed complex refractive index</th>
<th>Mixed particle density [g cm(^{-3})]</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mid-latitude lowermost stratosphere (LMS)</td>
<td>(\text{H}_2\text{SO}_4: 90%), Org: 10%, ((\text{NH}_4\text{)}_2\text{SO}_4: 0%), Soot: 0%</td>
<td>(n_{c,\text{total}} = 1.43 - 0.0001\text{i})</td>
<td>(\rho = 1.64)</td>
</tr>
<tr>
<td>Mid-latitude upper troposphere (UT)</td>
<td>(\text{H}_2\text{SO}_4: 44%), Org: 10%, ((\text{NH}_4\text{)}_2\text{SO}_4: 44%), Soot: 2%</td>
<td>(n_{c,\text{total}} = 1.48 - 0.0143\text{i})</td>
<td>(\rho = 1.72)</td>
</tr>
<tr>
<td>Tropical middle troposphere (MT)</td>
<td>(\text{H}_2\text{SO}_4: 19%), Org: 40%, ((\text{NH}_4\text{)}_2\text{SO}_4: 40%), Soot: 1%</td>
<td>(n_{c,\text{total}} = 1.48 - 0.0075\text{i})</td>
<td>(\rho = 1.63)</td>
</tr>
</tbody>
</table>
To avoid contamination by strongly polluted air around the airport, the OPC unit is set into stand-by mode by the CARIBIC master computer during takeoff and landing. In this stand-by mode the tubes inside the OPC unit are flushed with filtered air provided by a small diaphragm pump. When the aircraft reaches a pressure altitude of 700 hPa, the CARIBIC master computer sets the OPC unit into measurement mode. In this mode 15 cm$^3$ min$^{-1}$ of measurement air and 135 cm$^3$ min$^{-1}$ of sheath air are drawn through the KS-93 optics. The pressure inside the measurement cells changes with ambient pressure, but tests have shown that the KS-93 response is very stable in a wide pressure range of 200 hPa–1000 hPa. The signal of the KS-93, laser diode light ($\lambda = 830$ nm) scattered by the aerosol particles, is recorded with very high frequency (333 kHz) using a real-time PXI system from National Instruments, which allows to analyse even the form of individual particle pulses. Furthermore, the time resolution for the particle size distribution can be varied and chosen case-by-case during data analysis. For statistical reasons, three minutes are used in this study. All other data needed to calculate the particle concentration (volume flow, pressures and temperatures) are recorded with 10 Hz resolution.

For calibration of the OPC unit different kinds of aerosols encountered in the various CARIBIC flight regions have to be considered. Depending on their refractive indices, particles of identical particle diameter and shape cause different scattering signals. At mid-latitudes the CARIBIC aircraft flies quite often in lowermost stratospheric air (van Velthoven, 2012). For such air masses the particle composition is dominated by sulphuric acid (Murphy et al., 2007). In contrast, in the mid-latitude upper troposphere (UT) and tropical middle troposphere (MT), besides sulphuric acid, also ammonium sulphate, different organics (organic carbon), as well as soot (elemental carbon) become important (Dibb et al., 1999; Kojima et al., 2004; Schwarz et al., 2006; Froyd et al., 2009; Morgan et al., 2009; Pratt and Prather, 2010; Schwarz et al., 2010). Upper tropospheric particles are usually dominated by sulphurous and carbonaceous material (Nguyen et al., 2008; Martinsson et al., 2009).

To obtain a uniform and consistent OPC data analysis for the normal CARIBIC flights, a refractive index sensitivity study was carried out using three internal mixed aerosols representative of (a) the mid-latitude lowermost stratosphere (LMS), (b) the mid-latitude UT and (c) the tropical MT (Table 1). Using volume mixing rules for particle refractive index and density as well as a Mie scattering code for spherical particles, the OPC response curve was calculated for each of the three characteristic aerosol compositions. As an example, Fig. 2a shows the calibration curve obtained from laboratory measurement with latex and ammonium sulfate and transferred to the refractive index of mid-latitude upper tropospheric (UT) aerosol particles ($n = 1.48–0.0143i$, see Table 1) using a Mie scattering program. Using the three calibration curves and measured particle size distributions from a CARIBIC flight on 14 November 2010 from Frankfurt (Germany) to Johannesburg (South Africa), covering all three regions of interest, the total particle mass for each three minute measurement interval was calculated. On average, the derived total particle mass for the mid-latitude LMS aerosol calibration was 10.5 % lower and for the tropical MT aerosol calibration 8.3 % higher than the mass obtained from the mid-latitude UT aerosol calibration. Consequently, the systematic uncertainty of the average particle masses derived by using the CARIBIC OPC size distributions with one fixed average refractive index for all flight regions is in the order of ±10 %. Depending on the exact type of aerosol present, the error for single measurements may be larger.

After implementing the sheath air technique, the maximum counting efficiency of the OPC unit increased from ∼50 % (manufacturer value) to ∼89 % (see Fig 2b). The relatively large error bars represent mainly the volume flow uncertainties, as the OPC volume flow is obtained by subtracting two roughly equal mass flow controller readings. Like for the size calibration, all calibration points were transferred to mid-latitude UT aerosol.

For the special case of the Eyjafjallajökull volcanic ash particles, a separate calibration has been performed. Following Schumann et al. (2011), Bukowiecki et al. (2011), Kandler (personal communication, 2011) and Shoji et al. (1994), the OPC analysis was repeated with refractive indices of $n = 1.50–0.01i$, $n = 1.55–0.001i$ and $n = 1.60–0.0001i$ at the OPC wavelength of 830 nm. These values span the range of refractive indices that have been reported for ash from the Eyjafjallajökull (e.g. Schumann et al., 2011). The unknown refractive index is a major source of uncertainty in deriving ash particle masses from the OPC measurements but there are other potentially even larger sources of uncertainty as discussed below. The ash particle density was assumed to be $\rho = 2.65$ g cm$^{-3}$. Particle aggregates may have a lower density which would directly influence the derived ash particle mass concentrations. Because most volcano flight measurements were obtained at altitudes lower than the normal CARIBIC cruise altitudes, all data outside the ash clouds were analysed using the ammonium sulphate calibration ($n = 1.52–1.41 \times 10^{-7} i$, $\rho = 1.83$ g cm$^{-3}$). Furthermore, due to the different refractive indices in combination with saturation of the OPC photodiode, the upper detection limit is particle material dependent. For the mid-latitude UT aerosol, the ammonium sulphate aerosol and the three volcanic ash refractive indices, the upper detection limits in terms of particle diameter are 1.31 µm, 1.09 µm and 1.21/1.03/0.94 µm, respectively. For the volcanic ash calibrations, the upper detection limit of the OPC decreases with increasing real part (decreasing imaginary part) of the refractive index. All particle diameters measured by the OPC are derived from the amount of light scattered by the particle using Mie theory and assuming a spherical shape of the particles. The 50 % lower detection limit is calculated.
to be 143 nm, 139 nm and 139/138/137.4 nm in diameter for mid-latitude UT aerosol, ammonium sulphate and the three refractive indices of volcanic ash, respectively. Consequently, the differences in the response of the CARIBIC OPC to the particles inside and outside the volcano clouds are quite small simplifying the analysis and interpretation of the OPC measurements.

Even if the uppermost OPC size channel has a nominal upper diameter size limit of 0.94–1.21 µm for volcanic ash, larger ash particles are still counted in that size channel but their size cannot be resolved. On routine CARIBIC flights, this is not a problem as the air at cruising altitude contains only very few of these coarse mode particles (diameter > 1 µm). In the volcanic ash clouds however, the particle size distribution is enhanced in this size range and these particles contribute significantly to the total ash mass (Schumann et al., 2011; Stohl et al., 2011; Bukowiecki et al., 2011). To account for these large particles the individual particle number size distributions were fitted for diameters > 450 nm (but excluding the uppermost size channel) with an exponential function of the form $10^{c_0+c_1p}$, where $p$ is the particle size range in nanometres and $c_0$ and $c_1$ are the fit parameters. To improve the fit, only size channels with a count rate larger than $3 \times 10^{-2}$ particles cm$^{-3}$ are included in the fit because of the counting statistics. The exponential form of the fit is the simplest way of extending the size distribution without knowledge about the real size distribution. It is further supported by the size distributions found by Schumann et al. (2011, their Fig. 7) in Eyjafjallajökull volcanic clouds which show a continuous extension of the size distributions from particles below 1 µm to larger particles. An example for this fit is shown in Fig. 3 which shows the size distribution measured on 19 May 2011 at 11:14 UTC for $n = 1.50 - 0.01i$ with red bars and the linear fit with the blue line. This fit is then used to extend the OPC size range with additional “virtual” size channels (indicated by the magenta bars) until the number of particles is reached that the OPC has counted in its uppermost channel or the centre diameter of the virtual channel exceeds 10 µm. At a particle diameter of 5 µm the inlet efficiency has dropped to $\sim 60\%$ and for particles larger than 10 µm in diameter the inlet efficiency is zero, i.e. they are not collected by the inlet or are lost in the sampling lines to the OPC (see Sect. 2.1). If the extrapolation would require particles larger than 10 µm to explain the number of particles in the uppermost OPC channel, the slope $c_1$ of the extrapolated size distribution is increased iteratively until the sum

![Fig. 2. OPC size calibration (left panel) and counting efficiency (right panel) obtained from laboratory measurements with latex and ammonium sulfate. All calibration points were transferred to the refractive index of mid-latitude upper tropospheric (UT) aerosol particles ($n = 1.48 - 0.0143i$, see Table 1) using a Mie scattering program. The adapted fit function (green) is a combination of the two power function fits.](image1)

![Fig. 3. Example for the extrapolation procedure described in Sect. 2.2 for an OPC measurement inside the volcanic cloud on 19 May 2010 11:14 UTC and a refractive index of $n = 1.50 - 0.01i$. The red bars show the measured size distribution. The blue line shows the linear fit for particles > 450 µm while the magenta bars show the extrapolated “virtual size” channels. The orange and green bars show the extrapolated size distribution using the minimum and maximum slope as determined from all measured ash size distributions (see Sect. 4.3).](image2)
of the particles in the virtual size channels reaches the number of particles counted in the uppermost OPC channel. In the example in Fig. 3 this was not necessary and the largest “virtual” size channel is centred at 2.21 µm. Its value is calculated such that the sum of all “virtual” particles equals the particles in the uppermost OPC channel. In addition to the actual slope from the linear fit, the extrapolation is repeated for the maximum size encountered in the volcanic clouds for each refractive index (green bars) and a minimum slope of 0.0 (orange bars, see further explanation in Sect. 4.3). The particle mass is then calculated with the appropriate particle density for ammonium sulphate (background aerosol) or volcanic ash (volcanic cloud aerosol). Note that since the total particle number is fixed, the extrapolation using the smallest slope will extend to the largest particle sizes and hence give the highest particle masses. The unknown shape of the size distribution for particle diameters larger than ∼1.0 µm is one of the major sources of uncertainty for the derived aerosol mass concentrations.

2.3 Condensation particle counters and particle sampler

In addition to the OPC, the CARIBIC container equipment includes three condensation particle counters (CPC, modified TSI model 7610) with lower threshold diameters (50 % counting efficiency) of 4 nm, 12 nm and 18 nm, respectively, at 200 hPa operating pressure (Hermann and Wiedensohler, 2001). The upper detection limit of all CPCs is estimated to be about 2 µm in diameter.

Besides the four particle counting instruments, there is a particle sampler with 16 impactor chambers and an upstream cyclone separator covering the particle diameter range from 80 nm to 2 µm (50 % counting efficiencies, Nguyen et al., 2006). The sampler employs sampling substrates of polyimide film (0.2 µm thickness) which are analysed post flight at the University of Lund (Sweden) for the elemental composition of the aerosol particles. Particle Elastic Scattering Analysis (PESA) is used for the elements H, C, N and O, and Particle Induced X-ray Emission (PIXE) for the heavier elements, including Si, S, K, Ca, Ti, Mn, Fe and Ni (Nguyen and Martinsson, 2007).

2.4 The new high resolution whole air sampling system

The new whole air sampling system comprises a unit with two stainless steel bellows pumps, two units with 14 glass sampling flasks (2.7 l) each and a large unit with 88 stainless steel sampling cylinders (1.0 l). The latter were incorporated into the CARIBIC container in autumn 2009 and were flown for the first time during the CARIBIC volcano flights. Flasks and cylinders are filled to ∼4.5 bar according to a pre-defined schedule with regular sampling intervals during the flight. While the actual time required for filling the sampling flasks or steel cylinders depends on the ambient pressure and lies in the range of 30 s–90 s, the sampling interval is chosen to achieve a regular sampling over the entire flight. For the volcano flights, which were shorter than the regular CARIBIC flights, this meant a spacing of 8 min for the glass flask samples. These was the shortest possible interval including the necessary purging of the sampling containers prior to the actual sampling. The samples were analysed after each flight in the laboratory for the greenhouse gases carbon dioxide (CO2), methane (CH4), nitrous oxide (N2O) and sulphur hexafluoride (SF6) with a HP 6890 gas chromatograph equipped with a flame ionisation detector for CO2 and CH4 and an electron capture detector for N2O and SF6 (see details in Schuck et al., 2009). The precision of the greenhouse gas measurements are 0.08 %, 0.17 %, 0.15 % and 1.5 % for CO2, CH4, N2O and SF6, respectively. A suite of non-methane hydrocarbons (NMHCs) including ethane (C2H6), ethyne (C2H2), propane (C3H8), butanes (C4H10), pentanes (C5H12) and benzene (C6H6) were measured using cryogenic pre-concentration and focusing of the NMHCs in combination with a second HP 6890 gas chromatograph equipped with a flame ionisation detector (see details in Baker et al., 2010). This analysis gives a precision of better than 5 % and lower detection limits of 1 pptv–3 pptv.

2.5 Other instruments

The CARIBIC Multi-AXis Differential Optical Absorption Spectrometer (MAX-DOAS) system is connected to the three DOAS telescopes in the CARIBIC inlet system via three quartz fibre bundles. It observes the atmosphere under elevation angles of −82° (nadir), −10° and +10° relative to the horizon (for details see Dix et al., 2009; Heue et al., 2010). The DOAS system has also been upgraded in autumn 2009. The three new CTF60 spectrometers (omt – optische messtechnik, Germany) cover the wavelength range of 290 nm to 430 nm with a spectral resolution of 0.5 nm (Heue et al., 2010). During the CARIBIC volcano flights on 20 April and 16 May 2010, the nadir and the −10° DOAS channels gave a signal large enough for the derivation of SO2 slant column densities. On 16 May, BrO and O4 as proxy for the aerosol optical depth were retrieved in addition (see details in Heue et al., 2011). During the last volcano flight on 19 May 2010, the MAX-DOAS system unfortunately failed.

For the measurement of carbon monoxide (CO), a fast response vacuum UV resonance fluorescence instrument based on the Aero-Laser Model AL 5002 is employed. It measures CO via a resonance-fluorescence transition around 150 nm. The instrument has an excellent linearity and a precision of 3.5 ppb when the signal is integrated over 1 second. In-flight calibrations are performed every 25 min using a calibration air mixture (see details in Scharffe et al., 2012).

For ozone (O3), two different instruments are used. The absolute O3 mixing ratio is measured with a custom-made, light-weight and accurate dual-beam UV photometer with a time resolution of 4 s. A UV-LED at 255 nm
(bandwidth ~6 nm) is used as a light source. The total accuracy is ~2% or 1 ppbv, whatever is higher. In addition, a very fast and precise solid-state chemiluminescence detector measures O₃ with a measurement frequency of 10 Hz equivalent to a horizontal resolution of 30 m–40 m at normal cruising speeds. The absolute O₃ measurements of the UV photometer are used to calibrate the fast chemiluminescence data (for more details see Zahn et al., 2011).

The overall system control in the CARIBIC container is achieved through the CARIBIC master computer which communicates with all instruments via an internal bus system. It also records once per second the flight position, flight altitude, airspeed, outside air pressure, air temperature and wind speed received from the aircraft via its ARINC-428 bus system.

2.6 Meteorological information

The Royal Netherlands Meteorological Institute (KNMI) supports the CARIBIC project with trajectory calculations (up to 8-day backward and 2-day forward) and further meteorological data (including potential vorticity) from the European Centre for Medium Range Forecasts (ECMWF) for all flights (van Velthoven, 2012). The trajectory model used is the KNMI TRAIJKS model which applies the Petteressen integrating scheme using an integration step of 10 min (Scheele et al., 1996; Stohl et al., 2001). The meteorological input data is taken from the ECMWF with a vertical resolution of 90 levels (uppermost level at 0.01 hPa), 316 spherical harmonics for a horizontal resolution of less than 1° and a time step of 6 h between consecutive meteorological input fields.

2.7 FLEXPART ash dispersion simulation

FLEXPART is a widely used Lagrangian dispersion model in ongoing development at the Norwegian Institute for Air Research (NILU) that obtains its meteorological input data from the European Centre for Medium-Range Weather Forecasts (ECMWF). It simulates the long-range and mesoscale transport, diffusion, dry and wet deposition, and radioactive decay of various tracers (see detailed description in Stohl et al., 2005). A special model run was setup for the Eyjafjallajökull eruption using a volcanic ash tracer subject to dry deposition, wet deposition and gravitational settling but ignoring ash aggregation processes. This model run uses the ash source information and initial size distribution determined via inverse modelling by Stohl et al. (2011). The inverse modelling merged a priori information on the ash emission strength, sensitivity calculations with FLEXPART and satellite retrievals of total atmospheric columns of volcanic ash to obtain optimised time- and height-resolved volcanic ash emissions. Emissions and thus modelled ash dispersion patterns are well constrained by the satellite observations for strong ash clouds. The satellite measurement constraint is less good for ash clouds with lower ash loadings or for defining the volcanic cloud edges because of the limited sensitivity of the satellite retrievals. Thus, the model performance for the relatively weak clouds sampled by the CARIBIC aircraft may be not as good as for stronger ash clouds.

In the model run used for the comparison to the CARIBIC measurements 24 million volcanic ash particles were released in 22 size classes between 250 nm and 250 μm to obtain vertically resolved ash concentrations (Stohl et al., 2011). The gravitational settling of the particles was calculated using an ash particle density of 3.0 g cm⁻³. For a consistent comparison to the OPC mass concentrations, all FLEXPART ash concentrations shown here have been scaled to an ash particle density of 2.65 g cm⁻³ as used in the OPC analysis, i.e. the particle mass was decreased by 12%. The FLEXPART model output has a spatial resolution of 0.25 × 0.25°. The vertical resolution is 250 m from the ground up to 7 km altitude, 500 m up to 10 km altitude, 1000 m up to 13 km plus an additional stratospheric layer at 20 km. The ECMWF meteorological input data is available every three hours at a spatial resolution of 0.18 × 0.18° and 91 model levels (further details on the model simulations are available in Stohl et al., 2011). For comparison of FLEXPART predictions and CARIBIC aerosol measurements, vertical distributions of the simulated volcanic ash concentrations were extracted along the CARIBIC flight tracks.

3 CARIBIC volcano flights

The explosive phase of the 2010 eruption of the Eyjafjallajökull volcano began on 14 April 2010 and lead to the subsequent partial closure of European airspace. As insufficient information was available, Lufthansa offered the CARIBIC consortium the possibility of conducting a volcano measurement flight on 20 April (takeoff 13:47 UTC, landing 17:33 UTC) from Frankfurt airport (Germany), the home base of the Lufthansa CARIBIC aircraft. Heading northeastward from Frankfurt the flight track crossed North-Eastern Germany and continued over the Baltic Sea and the island of Gotland before turning northwest and passing Stockholm. Crossing Southern Sweden and Denmark the CARIBIC aircraft returned via Northern Germany to Frankfurt (left panel of Fig. 1). Between Frankfurt and Gotland island the aircraft descended and ascended repeatedly thus measuring ten vertical profiles between 3700 m and 8000 m with the aim to increase the chance of intercepting the ash cloud (see flight profile in the upper panel of Fig. 4). Another descent down to 6000 m followed around 16:45 UTC over the German Bight. Throughout this paper, all flight altitudes mentioned are pressure altitudes with respect to the International Standard Atmosphere (ISA, e.g. Airbus Customer Services, 2002) as reported by the aircraft via the ARINC-428 bus system.
As the volcanic eruption continued and the situation regarding air space closures remained unclear, a second CARIBIC flight from Frankfurt took place on 16 May 2010 (takeoff 08:08 UTC, landing 13:49 UTC). For this day, several volcanic ash dispersion models (see below) had predicted ash clouds over Northern Ireland and Scotland. Therefore the CARIBIC aircraft flew two south-north transects over Ireland and over the Irish Sea (middle panel of Fig. 1). Flying further north was not possible as the airspace was being closed just when the aircraft arrived there. The transfer flights to this region were carried out at cruise altitude around 12 km. During the south-north transects, the altitude was stepwise reduced from 7600 m to 3700 m (middle panel of Fig. 4).

The right panel of Fig. 1 shows the flight route of the third and final CARIBIC volcano flight which left Frankfurt on 19 May 2010 (takeoff 07:43 UTC, landing 15:38 UTC) and headed north over the Norwegian Sea to (75° N, 10° E) where the dispersion models had forecast volcanic ash clouds with areas exceeding 4 mg m\(^{-3}\) of ash which at that time was the limit for no-fly zones (European Commission, 2010). The transfer flights were again carried out at a cruise altitude of around 12 km to save time and fuel. Once at destination, the altitude was stepwise reduced from 5200 m to 3700 m while flying east to 15° E and from there turning south again. When leaving the area of forecast ash clouds, the aircraft climbed again to cruise altitude for the return flight (lower panel of Fig. 4). The stepwise descent at the end of this flight was due to a required holding pattern before landing in Frankfurt.

During flight planning for the three CARIBIC volcano flights, ash dispersion forecasts from several sources were used. The Volcanic Ash Advisory Centre (VAAC) London issued official Volcanic Ash Advisories for three altitude regions (surface to flight level (FL) 200 (20 000 ft), FL200–350 and FL350–550) and three steps for the maximum ash concentration of 200 µgm\(^{-3}\), 2 mgm\(^{-3}\) and 4 mgm\(^{-3}\), the latter defined as the absolute no-fly zone at that time by the civil aviation authorities (European Commission, 2010). Additional ash dispersion predictions from the UK MetOffice (which also issues the VAAC forecast) with finer concentration steps were obtained from the German Weather Service (DWD). Also ash dispersion forecasts from the EURAD model (University of Cologne) and the SILAM modelling framework (Finnish Meteorological Institute) were used. The Norwegian Institute for Air Research (NILU) offers a web interface for its FLEXPART dispersion model which produces plots with a vertical resolution of one kilometre. These plots were used to determine the best flight altitude pattern for intercepting the ash clouds. In addition to dispersion models, freely available satellite data for SO\(_2\) from the GOME2 instrument (http://sacs.aeronomie.be/nrt/index.php) and for atmospheric aerosols from the space lidar onboard the CALIPSO satellite (http://www-calipso.larc.nasa.gov/products/) were also taken into consideration to determine the most promising flight patterns.
The flight tracks in Fig. 1 and the flight altitude profiles in Fig. 4 also include the locations and altitudes of the whole air glass flask samples. Open circles mark samples that were not influenced by the volcano and hence constitute background conditions. Solid circles show samples for which the backward trajectories calculated at KNMI showed that the air had passed over Iceland at altitudes below 9 km, which was the maximum altitude the eruption reached during the relevant days according to Schumann et al. (2011, their Fig. 2). The parts of the flight tracks and flight profiles highlighted in orange mark the collection intervals of those aerosol particle samples for which the elemental composition clearly indicates a volcanic origin (see next section).

The transit times of the volcanic clouds, i.e. the age of the released volcanic ash, were estimated from the KNMI backward trajectory analysis. For each whole air sample 8-day backward trajectories were calculated starting at the sampling location. The mean transport time from the Eyjafjallajökull volcano to the CARIBIC sampling location was 15 h–29 h for the flight on 20 April, 34 h–53 h for 16 May and 19 h–24 h for the flight on 19 May 2010. The uncertainty of backward trajectories was assessed through a trajectory ensemble calculated by arbitrarily shifting starting point coordinates in latitude and longitude by ±0.4° and in pressure by ±3 %. If all of these 15 back trajectories stay close together, the trajectory is well defined. In contrast, if the 15 back trajectories separate after a short time the origin of the sampled air has a large uncertainty which makes it difficult to determine whether it had been in contact with the Eyjafjallajökull eruption or not. For this study, an air sample is considered to contain volcanic ash and gases if at least seven of the 15 back trajectories pass within 200 km from the volcano at an altitude of 9 km or less. The radius of 200 km is used to account for the horizontal uncertainty of the trajectories caused by imperfections in both the TRAJKS trajectory model and the ECMWF wind fields. The results from the trajectory analysis confirm the results obtained from the CARIBIC measurements in separating air masses that are influenced by the Eyjafjallajökull volcano from those that are not even though the calculations did not take into account sedimentation of volcanic ash, which depends on particle mass and constitutes an additional uncertainty.

4 Results

The following sections present the results from the three CARIBIC volcano flights in April and May 2010. The aerosol impactor samples indicated volcanic ash particles in the probed air masses through marked changes in the elemental composition for all three measurement flights as discussed below. However, for the first flight the signal was less clear than for the subsequent flights. Therefore the focus of this paper will be on the two subsequent flights in May 2010. Nevertheless, some useful results could also be derived from the flight on 20 April and are presented first.

4.1 20 April 2010: Baltic Sea

During this first CARIBIC volcano flight, the OPC found enhancements in particle mass concentrations (not shown) that coincided with air masses that the trajectory analysis traced back to the Eyjafjallajökull volcano (see filled dots in left panel of Fig. 1). Enhancements were found over North-Eastern Germany at an altitude of around 4 km, close to Gotland island at 3.5 km altitude and over North-Western Germany around 7 km. The ash particle mass concentrations determined as described in Sect. 2.2 in those air masses were in the range of 2.8 µg m\(^{-3}\)--115 µg m\(^{-3}\) (10\%--90 \% percentiles) with a median of 41 µg m\(^{-3}\) for the minimum slope used in the extrapolation. Using the maximum slope, the calculated ash mass concentrations were in the range of 1.8 µg m\(^{-3}\)--17 µg m\(^{-3}\) with a median of 6.5 µg m\(^{-3}\). All numbers are calculated over all values received using the three volcanic ash refractive indices. The highest value observed in the 180 s binning time was 277 µg m\(^{-3}\) for minimum slope (271 µg m\(^{-3}\) for maximum slope) at 14:27 UTC and 4.5 km altitude over North-Eastern Germany. For the non-volcanic aerosol measurements, the range was 0.8 µg m\(^{-3}\)--2.2 µg m\(^{-3}\) with a median of 1.4 µg m\(^{-3}\) for the linear extrapolation. All particle number and mass concentrations in this study are given for ambient pressure and temperature conditions.

For technical reasons, the aerosol sampler collected only one sample during the first volcano flight on 20 April 2010 (integration time 15:20–16:48 UTC; orange highlighted part of flight track in left panel of Fig. 1). This sample had an exceptionally high iron content of 2.4 wt. % of the total particle mass (see elemental composition of the samples listed in Table 2). In fact, this is the highest iron fraction ever measured in the CARIBIC project. The silicon content was 2.5 wt.% which is much larger than in the background aerosol samples collected during the other two flights most of which contained less than 0.5 wt.% of silicon. The low mass ratios of silicon (Si), potassium (K) and calcium (Ca) to iron (Fe) of 1.0, 0.19 and 0.20, respectively, confirm the high iron content of the sampled aerosol particles during this flight.

The whole air samples during the 20 April 2010 flight did not show any peculiarities that would point to a volcanic influence in the sampled air. The MAX-DOAS system measured a slight enhancement of SO\(_2\) close to Gotland island around 15:15 UTC. Unfortunately, the signal strength of the DOAS instrument was very low during this flight and the enhancement did not rise significantly over the signal noise level. The DOAS BrO signal did not show any increase. Also the in situ trace gas analysers did not show any peculiarities.


www.atmos-chem-phys.net/12/879/2012/
Table 2. Relative mass contents and mass ratios of those elements that were enhanced in the volcanic ash samples collected by the aerosol impactor sampler during the three CARIBIC volcano flights. The samples containing volcanic ash are marked in bold. Sample 8 on 20 April and sample 5 on 19 May probably represent a mixture of air with and without volcanic influence and are therefore shown in bold italics. The values derived from Sigmundsson et al. (2010) are means for their samples SRG 2b and SRG 5a collected on 15 April and 27 April 2010 in the vicinity of the Eyjafjallajökull.

<table>
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<th>volcanic ?</th>
<th>sample</th>
<th>Si</th>
<th>S</th>
<th>K</th>
<th>Ca</th>
<th>Fe</th>
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<th>S/Fe</th>
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<td>0.20</td>
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<td>0.20%</td>
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<td>0.13%</td>
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<td>1.5</td>
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<td>0.13%</td>
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<td>1.2%</td>
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</table>

4.2 16 May 2010: Ireland and Irish Sea

The CPC particle number concentrations at ambient pressure and temperature during the second CARIBIC volcano flight on 16 May are shown in the upper panel of Fig. 5 where volcanic cloud encounters are marked by arrows. They show an increase in the number of particles with diameters larger than 12 nm (red line) and larger than 18 nm (black line) from ∼1.5 × 10^3 particles cm^-3 in the background air up to 1.8 × 10^4 particles cm^-3 during 10:17–10:40 UTC when the aircraft turned around north of Ireland and descended into the volcanic cloud (see middle panels of Figs. 1 and 4). Unfortunately, the brand-new OPC, employed in airborne measurements for the first time during the CARIBIC volcano flights, did not work during this second flight. Therefore no continuous particle mass concentrations are available for this flight.

The aerosol impactor sampler was set to an integration time of 50 min during this flight and elemental analyses gave clear indications of volcanic ash for samples no. 4 (sampling interval 09:59–10:49 UTC) and no. 6 (sampling interval 11:38–12:27 UTC), see orange highlighted parts of the flight track in middle panel of Fig. 1 and Table 2). Both samples showed high silicon and iron fractions of 10.0 wt.% Si and 1.3 wt.% Fe for sample no. 4 and 9.1 wt.% Si and 2.1 wt.% Fe for sample no. 6, which is much higher than the fractions present in the non-volcanic samples (Si up to 0.5 wt.%, Fe up to 0.4 wt.%). Also the fractions of calcium (1.2 wt. % vs. 0.15 wt.%) and titanium (0.26 wt.% vs. 0.03 wt.%) are clearly enhanced in relation to the non-volcanic samples. For the two volcanic samples, the ratios of Si/Fe, K/Fe and Ca/Fe were 5.2 & 4.4, 0.42 & 0.44 and 0.59 & 0.56, respectively.

The MAX-DOAS system measured strong enhancements of SO2 (up to 49 ppb) and BrO (up to 6 ppt) during those parts of the flight on 16 May where the air was traced back to the volcano (see filled dots in Fig. 1). While the CARIBIC aircraft flew north over the Irish Sea it encountered once again air coming from the volcano (11:55–12:10 UTC) with a much smaller increase in SO2 and no increase in BrO. Also the CPCs detected again increased particle number concentrations, e.g. of the particles with diameters larger than 12 nm with 7 × 10^3 particles cm^-3. A detailed discussion of the MAX-DOAS measurements during this flight has been published in a companion article in this special issue by Heue et al. (2011).

The CO measurements during this flight are shown in the lower panel in Fig. 5 (black line). The background CO concentration outside the volcanic air is 120 ppbv, a usual background value for this region in May. When the aircraft descended into the volcanic cloud around 10:17 UTC, the CO concentration rose to 200 ppbv but shortly after returned to the background value although the aircraft was still inside the volcanic cloud according to the CPC measurements and the backward trajectories. A smaller increase to 155 ppbv was detected at 12:00 UTC when the aircraft measured the second volcanic cloud over the Irish Sea. The measured ozone concentrations (green line in lower panel of Fig. 5) did not show a significant change of the ozone inside the volcanic clouds.
Fig. 5. Measurements from the CARIBIC volcano flight on 16 May 2010 at the time of volcanic cloud interception (see arrows). The numbers close to the upper margin mark the whole air samples. Upper panel: particle number concentrations from the CPCs in different size ranges for nucleation mode particles (4 nm–12 nm diameter, blue), particles between 12 nm and 2 µm diameter (red) and particles between 18 nm and 2 µm diameter (black). Lower panel: carbon monoxide (CO, in black) and ozone (O$_3$, in green). Gaps in the time series are caused by calibrations (CO) or missing data (O$_3$).

Fig. 6. Selected non-methane hydrocarbons (NMHCs) and perchloroethylene (PCE) measurements in whole air samples collected on 16 May 2010. Shown are ethane (black, left scale), n-butane (magenta, right scale), benzene (green, right scale) and PCE (blue, right scale). The whole air sample numbers are printed close to the upper margin. Open symbols denote samples outside the volcanic clouds, filled samples are from within the volcanic clouds. The dashed lines indicate the mean background concentrations outside the volcanic clouds.
The whole air samples, analysed for a suite of non-methane hydrocarbons, showed a clear decrease of ethane, ethyne, propane, butanes and pentanes in those air samples which were traced back to the Eyjafjallajökull (samples no. 8–10 and no. 18–21, see filled symbols and numbers close to upper margin in Fig. 6) compared to background air samples (see open symbols and dashed lines indicating the mean background concentrations). Also the perchloroethylene (PCE) mixing ratios (shown in blue) are slightly lower inside the volcanic cloud than outside. Interestingly, benzene (shown in green) did not show such a decrease in the volcanic air samples. This depletion pattern was attributed to chlorine radical chemistry occurring inside the volcanic clouds (see detailed analysis in Baker et al., 2011). Using transport times from the backward trajectories, the estimated mean chlorine radical concentration during the transport to the British Isles was $1.3 - 6.4 \times 10^4 \text{Cl cm}^{-3}$ with a mean of $3.0 \times 10^4 \text{Cl cm}^{-3}$.

4.3 19 May 2010: Norwegian Sea

During the final CARIBIC volcano flight on 19 May 2010, the aircraft sampled between 10:15 UTC and 11:45 UTC in a region with predicted volcanic ash in the middle troposphere over the Norwegian Sea. Like during the previous flights, the aircraft approached and left this region flying at cruise altitude (see lower panel of Fig. 4). The OPC worked well during this flight and the inferred particle mass concentrations are shown in the upper panel of Fig. 7 (solid green and dotted blue lines, right scale) where volcanic cloud encounters are marked by arrows. The solid green lines are for the minimum slope while the dotted blue lines are for the maximum slope used in the extrapolation for particles larger than the upper detection limit of the OPC (see below and description of OPC analysis in Sect. 2.2). The OPC derived particle mass and number size distribution are used to distinguish background air from the volcanic clouds. The aerosol background is determined from the measurements during 10:37–10:59 UTC. During the volcanic ash encounter at 11:01–11:38 UTC, the
maximum fitted slopes are $+1.20/+1.28/+1.34$ for the three volcanic ash refractive indices. Based on the individual 180 s particle number size distributions, a constant extension of the size distribution (slope = 0.0) is assumed as the minimum slope during the volcanic cloud encounter. The size distribution measured at 11:14 UTC is shown as an example in Fig. 3 which also shows the extrapolation to “virtual” size channels for the slope from the linear fit (magenta bars) and for minimum and maximum slope (orange and green bars).

A smaller slope in the extrapolation extends the size distribution to larger particle diameters before the number of particles counted is reached. Therefore the derived particle mass is higher for the smaller slope (green solid lines in upper panel of Fig. 7) than for the larger slope (blue dotted lines) of the extrapolation. The highest particle masses are derived when using the smallest refractive index of $n = 1.50–0.01i$. There are two peaks in the OPC mass concentrations: a maxima of 58 $\mu$gm$^{-3}$ for minimum slope (40 $\mu$gm$^{-3}$ for maximum slope) at 11:14 UTC and 133 $\mu$gm$^{-3}$ (107 $\mu$gm$^{-3}$ for maximum slope) at 11:29 UTC (again all values given are for ambient pressure and temperature). A third much smaller increase was measured at 10:34 UTC with a mass concentration of 6.4 $\mu$gm$^{-3}$ (4.5 $\mu$gm$^{-3}$ for maximum slope) coinciding with a narrow peak in the CPC particle number concentrations. For a refractive index of $n = 1.60–0.0001i$, the corresponding values are 23 $\mu$gm$^{-3}$, 59 $\mu$gm$^{-3}$ and 2.3 $\mu$gm$^{-3}$ using the minimum slope in the extrapolation. The mean aerosol background is determined from the measurements during 10:37–10:59 UTC and found to be 0.3 $\mu$gm$^{-3}$–1.2 $\mu$gm$^{-3}$ (10 %–90 % percentiles) with a median of 0.5 $\mu$gm$^{-3}$ for the linear extrapolation.

The CPCs detected maxima in the number concentration of particles with diameters larger than 12 nm ($N_{12}$) and 18 nm of up to $1.6 \times 10^5$ particlescm$^{-3}$ (Fig. 7, red and black solid lines, left scale). For nucleation mode particles (blue line) there are maxima around 10:34 UTC and 11:15 UTC coinciding with the first two peaks in the OPC particle mass and the other CPC particle ranges, while for the last particle mass maximum the peak for the nucleation mode particles occurs at the beginning when the concentrations in the other two CPC particle size ranges are just starting to increase.

The measured particle mass size distributions averaged over the background and the volcanic ash periods of the flight on 19 May 2010 are shown in the upper panel of Fig. 8. The blue bars represent the mean background size distribution while the red and gray bars show the mean size distribution of the volcanic ash for the two extreme ash refractive indices. Error bars indicate the 1-σ variability during the two averaging periods. Inside the volcanic ash cloud, all size channels have higher particle masses than in the background air. But the most pronounced difference is found for particle diameters larger than 450 nm where the mass per size channel increases with particle size for the volcanic ash while it stays more or less constant for the background aerosol. The error bars for the uppermost size interval are calculated using the smallest slope for the extrapolation of the size distribution. The long bars at the uppermost size channel are calculated using the maximum slope as described above.

During the third CARIBIC volcano flight, only one aerosol sample showed volcanic particles (sample no. 6, pre-defined sampling interval 11:12–12:01 UTC, see Table 2). Mass fractions of 2.3 wt.% iron, 9.7 wt.% silicon, 0.87 wt.% calcium and 0.27 wt.% titanium were measured. As the aircraft left the ash cloud around 11:40 UTC, half of the sampling interval was in background air. Sample no. 5 collected earlier from 10:22–11:12 UTC is a mixture of background aerosols and volcanic ash which contained less silicon and less iron (e.g. K/Fe ratio 1.4 vs. 0.53 for the later sample no. 6).
The continuous measurements of CO (black line, left scale) and O₃ (green line, right scale) are shown in the lower panel of Fig. 7. During the flight section of interest between 10:15 UTC and 11:45 UTC, the CO concentration stays fairly constant at around 120 ppbv while the O₃ concentration is ~60 ppbv (a typical value for the mid-troposphere in May at 75° N, Fortuin and Kelder, 1998). After 11:45 UTC the aircraft climbed to cruise altitude and entered the lower stratosphere (according to ECMWF potential vorticity). This explains the high O₃ and low CO values at this time. During the first aerosol peak around 11:13 UT, there is only a very short and small increase in CO and a small decrease in O₃. However during the second aerosol peak around 11:28 UT, there are clearly two strong CO peaks of up to 179 ppbv (an increase of 60 ppbv or 50 % over the background).

The non-methane hydrocarbons for the 19 May 2010 flight showed similar systematic decreases as during the second volcano flight on 16 May and the volcanic signature was even more clear. The chlorine radical concentration estimated from whole air samples no. 17–25 (see filled symbols and numbers close to upper margin in Fig. 9) is \(2.0–5.7 \times 10^4\) Cl cm\(^{-3}\) (see detailed discussion in Baker et al., 2011). The MAX-DOAS system was not working during this flight so there is no information about the SO\(_2\) or BrO concentration available.
5 Comparison to the FLEXPART dispersion model

The FLEXPART model results (see Sect. 2.7) for the CARIBIC flight on 16 May 2010 are shown in the lower panel of Fig. 10. The total ash particle mass in all size classes is colour-coded in µg m⁻³ at ambient pressure and temperature. The CARIBIC flight altitude is indicated by the magenta line. Qualitatively the simulated volcanic aerosol concentration fits well to the CPC measurements on 16 May (upper panel of Fig. 10) with a strong peak around 10:20 UTC and a second smaller maximum around 12:00 UTC. The high CPC number densities at the end of the flight are due to low level clouds and tropospheric pollution while approaching Frankfurt airport. Since the OPC had not been working during this flight, the mass concentrations cannot be compared.

Notice that the two ash encounters simulated by FLEXPART for the CARIBIC flight on 16 May 2010 occurred in close proximity to each other and resulted from sampling the model output of a single simulated volcanic cloud, seen also by the mirrored appearance of the cloud in Fig. 10. This is the result of the aircraft turning north of Ireland around 10:15 UTC and flying back almost along the same route but at a lower altitude and shifted ~14 km to the east (see Figs. 1 and 4). The fact that the measurements observed volcanic ash only on the return leg shows that the aircraft sampled the western edge of the volcanic cloud, which was displaced slightly further to the west by FLEXPART.

The same kind of plot is shown in the lower panel of Fig. 11 for the third CARIBIC volcano flight on 19 May 2010. The large modelled ash concentration at 10:30–10:46 UTC was not measured with the CARIBIC OPC (green lines in upper panel of Fig. 11). Only the CPCs measured a very short peak around 10:34 UTC. Considering the vertical distribution, it seems that the CARIBIC aircraft missed this volcanic cloud because it flew just above it or around it. The simulated second ash encounter at 11:10–11:35 UTC fits in time to the volcanic ash observed with the CARIBIC OPC. The high OPC particle mass concentrations at the end of the flight are due to tropospheric pollution and low level clouds while flying a holding pattern north-east of Frankfurt airport and during the final approach.

The lower panel in Fig. 8 shows the simulated ash particle mass size distributions for the first (orange bars) and second (red bars) volcanic ash encounter on 19 May 2010. The error bars indicate the 1-σ variability during the ash encounters. The size distributions qualitatively agree with the measured OPC volcanic aerosol size distribution (red & gray bars in upper panel of Fig. 8). There is a strong increase for the size classes 250 nm, 450 nm and 750 nm, similar to the size distribution measured with the OPC. For particles with diameters above 12 µm (first ash encounter) and 14 µm (second ash encounter), the mass size distributions decrease by nearly two orders of magnitude. These particles were present in the ash emitted by Eyjafjallajökull but settled out of the ash cloud during transport (Stohl et al., 2011).

A direct comparison of simulated and measured particle mass concentrations on 19 May is shown in Fig. 12 for the flight section 09:30–12:00 UTC. The black solid line
shows the total volcanic aerosol concentration in all size classes from the FLEXPART simulations. The red solid line is restricted to the seven FLEXPART size classes between 250 nm and 4.5 µm that overlap with the maximum size range of the OPC. The black and red dashed lines are the FLEXPART results for the altitude bin below the CARIBIC flight altitude. The total aerosol concentrations derived from the OPC measurements using the smallest slope for the size distribution extrapolation are shown by the green solid lines. The blue dotted lines are calculated using the largest slope (see also discussion in Sect. 4.3). Comparing OPC measurement and FLEXPART simulation, the timing of the ash clouds seems to agree slightly better for the bin below the CARIBIC flight altitude (dashed red line) while the ash mass concentration agrees best in the actual altitude bin of the model (solid red line).

The FLEXPART simulation predicts a first ash encounter during 10:35–10:45 UTC with a maximum ash concentration of 379 µgm⁻³ (287 µgm⁻³ for particles smaller than 5 µm in diameter). At that time, the OPC only measured a very small increase in the uppermost channel as indicated by the green dashed line at 10:35 UTC. This probably indicates an ash cloud that is very variable with patches of higher concentrations and patches of ash-free air. A slight error of the modelled ash cloud position and cloud edges could explain that the difference between measurement and FLEXPART model results. Later both OPC and FLEXPART simulations indicate volcanic ash with maxima around 11:13 UTC and 11:28 UTC. While the timing is very similar in OPC measurement and FLEXPART simulation for these maxima, the ash mass concentrations are somewhat different but agree within their uncertainties. The FLEXPART maximum at 11:33 UTC is 104 µgm⁻³ (100 µgm⁻³ for particles smaller than 5 µm). The corresponding numbers for the OPC particle mass concentration are 133 µgm⁻³ (108 µgm⁻³ for maximum slope) and n = 1.50–0.01i. Using the altitude bin below the CARIBIC flight altitude (black and red dashed lines) improves the temporal agreement but worsens the quantitative agreement. Considering the uncertainties of the measured OPC mass concentrations and the FLEXPART simulations, this is a good agreement of FLEXPART model and OPC measurements.

6 Discussion

The three Eyjafjallajökull volcanic flights were the first flights with the new OPC system described in this article and the first flights with the improved DOAS spectrometers (see Heue et al., 2011). Most equipment functioned well, but because of the upgrade and extension of the CARIBIC container with new or improved instruments, there were gaps in the observations. Nevertheless, the CARIBIC aircraft conducted a comprehensive suite of measurements in volcanic ash clouds of variable age during the three flights which add some unique in situ observations to the multitude of measurements collected during the Eyjafjallajökull eruption in 2010.

6.1 Volcanic aerosol composition and concentrations

On 20 April 2010 the volcanic ash cloud had descended from its initial altitude of ∼7 km to around 3 km or below over Europe (Ansmann et al., 2010; Flentje et al., 2010). At these low altitudes the ash blended into the planetary boundary layer in which it is difficult to distinguish the normal boundary layer aerosol from volcanic ash particles. During the subsequent flights in May 2010, the volcanic clouds were found in the free troposphere well separated from the planetary boundary layer.
The particle impactor sampler collected a total of 13 samples during the three CARIBIC volcano flights (see Table 2). Four of the samples were found to have an elemental composition rich in silicon and iron and hence were similar to ash samples collected at the surface in Iceland (see supplement of Sigmundsson et al., 2010) and to precipitation samples collected on Hohenpeißenberg in southern Germany (Flentje et al., 2010). Of those four samples the highest iron fraction was found in the sample collected on 20 April 2010 over the Baltic Sea. Silicon was enhanced in that sample as well but not as much as in the volcanic samples from the later two flights which showed silicon fractions of up to 10.0 wt.%. Moreover the OPC observations (not shown) indicated enhanced particle concentrations only during the first part of the particle sampler integration time for the sample on 20 April. Hence, the particle sample was collected only partly in air loaded with volcanic ash but partly also in ash-free background air which did not have contact with the volcanic cloud. The aerosol elemental composition of the samples collected on 19 May 2010 over the Norwegian Sea is very similar to that found three days earlier over Northern Ireland and the Irish Sea but with a little more iron and less calcium.

Ash samples collected on the ground in the vicinity of the volcano (see details in the supplement to Sigmundsson et al. (2010)) have a similarly high Si/Fe ratio of 3.5 as was found in the CARIBIC volcanic particle samples but have even higher relative amounts of Si, Ca and Fe with 27 wt.%, 4.1 wt. % and 7.6 wt.%, respectively (see Table 2). The elemental composition of the aerosol samples together with the back trajectories clearly showed that the measured particles had their origin at the Eyjafjallajökull volcano on Iceland.

The decision to open or close airspace is based on measurements of the total ash mass concentration with a threshold of 4 mg m⁻³ below which safe aircraft operation is deemed possible (European Commission, 2010). The Eyjafjallajökull eruption produced massive amounts of ash particles, around half of them being smaller than 100 µm in diameter during the first days of the eruption and less later on after all the overlying ice had melted and the style of the eruption changed (Sanderson, 2010). However, single particles of 20 µm diameter have a sedimentation velocity of \( \sim 130 \text{mhour}^{-1} \) or \( 3.1 \text{kmday}^{-1} \) (Ginoux, 2003; Schumann et al., 2011). Aggregation of particles may even lead to faster settling while aerodynamic drag on irregular particles may lead to slower sedimentation velocities (Taddeucci et al., 2011; Sorem, 1982). Hence even though there are considerable uncertainties and a large variability of the injection height of the ash, probably most of the particles \( \sim 20 \mu m \) diameter were removed from the ash cloud before the CARIBIC aircraft measurements as mean transit times from emission to measurement were around 45 h for 16 May 2010 and around 20 h for 19 May. This is also confirmed by the simulated FLEXPART size distribution for 19 May in the lower panel of Fig. 8.

The optical particle counter (OPC) measures the particles size distribution up to a particle diameter of \( \sim 1 \mu m \) and an integral of larger particles up to the inlet cut-off (\( \sim 60 \% \) collection efficiency at 5 µm particle diameter). It therefore missed most of the larger particles of up to \( \sim 12 \mu m - 14 \mu m \) diameter that were still present according to the FLEXPART model even after long-range transport (lower panel in Fig. 8). Measurements with the DLR Falcon and at the Jungfraujoch research station in the Swiss Alps showed that there were indeed considerable amounts of particle mass in this size range (Schumann et al., 2011; Bukowiecki et al., 2011). Together with the unknown real size distribution for the particles larger than 1.0 µm in diameter, this means that the OPC probably underestimated the true ash mass concentrations present in the ash clouds encountered during the CARIBIC volcano flights. The change in the assumed volcanic ash refractive index moves the upper detection limit of the OPC to lower particle sizes for larger real part (smaller imaginary part) of the refractive index and correspondingly all channels are shifted to smaller sizes (see red vs. gray bars in upper panel in Fig. 8). This shift to smaller diameters is the reason for the shift to lower particle mass concentrations for \( n = 1.60-0.0001i \). At the same time it reduces the maximum volcanic ash mass concentration on 19 May 2010 from 133 µg m⁻³ for \( n = 1.50-0.01i \) to 59 µg m⁻³ for \( n = 1.60-0.0001i \). The difference at the smallest diameters is due to different counting efficiencies at the lower detection limit of the OPC but has no significant influence on the total particle mass. The results for the intermediate refractive index fall in the middle of the two other refractive indices. The three refractive indices were selected to span the whole range of possible values. The true particle mass concentrations were therefore probably somewhere within the range calculated using the lower and upper refractive indices.

The CPC particle concentrations for the flight on 16 May (upper panel of Fig. 5) indicate new particle formation (blue line shows nucleation mode particles) at the beginning of the first volcanic cloud encounter north of Ireland around 10:17 UTC and none for the volcanic cloud around 12:00 UTC. However without knowing such important parameters as the precursor gas concentrations or the available particle surface area, it is not possible to analyse this event in more detail.

For 19 May, the three size channels of the CPC particle concentrations show differences in the shape of the peaks around 10:34 UTC, 11:15 UTC and 11:30 UTC (see arrows), especially for the nucleation mode particles (blue line in upper panel of Fig. 7). The elevated nucleation mode particle concentration indicates that a few hours before sampling the first two volcanic clouds, some new particle formation had taken place despite the elevated OPC particle mass and hence the high available particle surface area. In the last intercepted cloud around 11:30 UTC, the particle surface area was probably too large and thus inhibited new particle formation. This explanation is supported by the anti-correlation of \( N_{12} \) (red
6.2 Trace gases in the volcanic clouds

The CO measurements showed increases of up to 80 ppb in some parts of the volcanic clouds and no increases in other parts where the CPC and OPC measurements clearly showed that the aircraft was still inside the volcanic cloud. This demonstrates the large variability of the volcanic clouds which is probably due to the changing emission characteristics combined with small-scale structures created by mixing and turbulence during the advection of the volcanic clouds from Iceland to the British Isles and the Norwegian Sea.

A plume of Iceland’s Hekla volcano was shown to contain up to 45 ppbv CO about 34 h after the eruption (Rose et al., 2006). The DLR Falcon found CO enhancements of up to 72 ppb in one Eyjafjallajökull ash cloud on 2 May 2010 but much less in most other observed volcanic clouds (Schumann et al., 2011). The CARIBIC measurements for the Eyjafjallajökull clouds were made far away from the volcano after 19 h–53 h of transport and mixing. Compared to these other measurements, the observed CO enhancements of up to 80 ppb are comparable but rather high while the CO variability agrees with the DLR Falcon measurements. Production of CO through the reaction of methane with chlorine radicals (Cl), shown to be present in the volcanic clouds, cannot explain the observed CO peaks. At the derived Cl concentrations, the transport time of up to two days would at most produce a few ppb of CO. As strongly enhanced hydroxyl radicals (OH) inside the volcanic clouds are found to be very unlikely (Baker et al., 2011), the production of CO through methane destruction by OH also fails to provide an explanation for the observed CO peaks. For the flight on 16 May 2010, the CO enhancement together with the indications for new particle formation from the CPC measurements imply that deep convection of boundary layer air at the volcano may have contributed to the enhanced CO concentrations.

During the second CARIBIC volcano flight on 16 May 2010, no significant ozone decreases were found during the CO peaks. On 19 May, two co-located O3 dips were found around 11:28 UTC (see lower panel of Fig. 7). However, considering the ozone variability before and after the encounter of volcanic air, it remains unclear whether this is due to O3 destruction in the volcanic clouds or just the background variability.

Vance et al. (2010) found a mean O3 destruction of 37% in Eyjafjallajökull clouds that was maintained for several days after the eruption. Even larger O3 depletions were found by the DLR Falcon (Schumann et al., 2011). Both findings are in agreement with model calculations by von Glasow (2010) and rapid O3 destruction in a volcanic cloud after chlorine and bromine activation in the stratosphere reported by Millard et al. (2006). In the model, the O3 loss is mostly due to bromine chemistry. The CARIBIC NMHC measurements inside the volcanic clouds could not identify bromine chemistry but instead showed clear indications of chlorine chemistry (Baker et al., 2011). The latter can also destroy O3 but did so in the model with less efficiency. Also there is much more O3 (60 ppb) than BrO (6 ppt). This may explain the absence of clear O3 losses inside the volcanic clouds measured during the CARIBIC flights. A detailed discussion of the MAX-DOAS SO2 and BrO measurements on 16 May and comparisons of MAX-DOAS and satellite measurements has been published in a companion article in this special issue by Heue et al. (2011).

The clearer volcanic signature in the NMHC depletions on 19 May 2010 compared to 16 May may be due to the shorter mean transport times of ~20 h for the third flight on 19 May compared to ~45 h for the second flight thus giving less opportunity for mixing with non-volcanic air. However, as the NMHC background values were nearly identical during these two flights and it seems highly unlikely that the volcano is an NMHC source, it could still be that the volcanic emission was stronger with more available chlorine for the later flight. A more detailed analysis of the NMHC depletions detection during the three CARIBIC volcano flights has been published in a separate article by Baker et al. (2011).

The partial mismatch of chemical influence (as indicated in the whole air samples) and ash particle detection during the flight on 16 May 2010 over Northern Ireland and on 19 May over the Norwegian Sea shows a partial separation of volcanic ash and volcanic gases, presumable already close to the volcano eruption column (Holasek et al., 1996), with subsequent somewhat different dispersion due to wind shear. This also means that glazing of aircraft turbines by melting ash and abrasion of aircraft windows does not necessarily occur in the same airspace where enhanced corrosion may occur due to sulphuric acid formed when volcanic SO2 reacts with atmospheric water. The separation of volcanic ash particles and volcanic gases is confirmed by satellite investigations of the Eyjafjallajökull eruption (Thomas and Prata, 2011).

6.3 Comparison to FLEXPART dispersion model

Although forecasts from the Volcanic Ash Advisory Centre (VAAC) in London as well as multiple other dispersion models were used for the flight route planning ahead of the flights, it appeared to be generally difficult to intercept parts of the diluted volcanic clouds, in particular in view of the limited vertical extent of the ash layers. The VAAC forecasts proved to be useful to find the general area of ash contamination while other models like the NILU FLEXPART model proved to be more useful to determine the flight altitude.
due to their higher vertical resolution. The comparisons of CARIBIC measurements and FLEXPART aerosol modelling show good agreement for some parts of the clouds while the position of the cloud edges was not always well simulated. This is an encouraging result given that the CARIBIC particle mass concentration measurements have their own set of uncertainties mainly due to the unknown refractive index and the restricted size range covered by the OPC (see Sect. 2.2).

This differences between CARIBIC measurements and FLEXPART ash dispersion modelling show that even with a well-constrained source term (see detailed description of the model run in Stohl et al., 2011) simulated volcanic clouds can be offset from real cloud locations due to errors still present in the source term, uncertainties in the simulated transport and ash removal processes. It also indicates the continued need for a safety zone around modelled ash clouds with concentrations exceeding thresholds considered safe for aviation, to allow for ash cloud position errors.

7 Conclusions

The CARIBIC observatory is designed for the regular surveillance of the atmosphere and the measurement equipment was not optimised for the detection and investigation of volcanic ash and gas clouds. However, the shear lack of information following the eruption of the Eyjafjallajökull in April 2010 lead to the decision to conduct three special CARIBIC flights on 20 April over the Baltic Sea and Sweden, on 16 May over Ireland and the Irish Sea and on 19 May 2010 over the Norwegian Sea. They proved that CARIBIC can investigate many different aspects of volcanic clouds (see also Heue et al., 2011; Baker et al., 2011; Martinsson et al., 2009). The CARIBIC container features a multitude of instruments for both in situ sampling and remote sensing as well as air and particle sampling for post-flight laboratory analyses.

Aerosol particles collected over the Baltic Sea on 20 April 2010 indicated the presence of volcanic ash through their elemental composition with an enhancement in silicon and a very high enhancement in iron, similar to ash samples collected on the ground close to the volcano. Even larger relative enhancements of silicon, titanium and calcium were found in particle samples collected over the Irish Sea on 16 May and the Norwegian Sea on 19 May showing that the aircraft really sampled inside volcanic clouds from the Eyjafjallajökull volcano. This is also supported by the calculated backward trajectories starting at the CARIBIC flight tracks as well as by the whole air samples and the particle counters. A comparison of the Eyjafjallajökull volcanic ash particle samples with other volcanic samples collected by CARIBIC will be published elsewhere (see Andersson and Martinsson, 2012).

The optical particle counter showed enhanced concentrations of particles with diameters larger than 400 nm on 19 May 2010 over the Norwegian Sea in regions where FLEXPART and other dispersion models had predicted the occurrence of volcanic ash from the Eyjafjallajökull volcano. A few aerosol mass concentration peaks were also found on 20 April. The highest aerosol mass concentration found was 277 μg m⁻³. The three size channels of the CPC provided signs of new particle formation in the volcanic clouds and suppression of new particle formation at the largest particle mass and hence particle surface concentrations. The comparison of FLEXPART simulations and CARIBIC particle mass measurements shows that for improving the ash dispersion model forecasts, it will be important to measure as close to the source as possible and with instruments that cover the entire size range of the ash particles.

On 16 May 2010, SO₂ clouds were found over Northern Ireland and the Irish Sea together with smaller enhancements of BrO. Non-methane hydrocarbon (NMHC) measurements in whole air samples collected on 16 May and 19 May showed a depletion pattern that was dominated by chlorine radical chemistry. The observed CO enhancements of up to 80 ppb were larger than expected from NMHC destruction as strongly enhanced hydroxyl concentrations could also be excluded from the NMHC measurements (Baker et al., 2011). No significant ozone depletions were found in the volcanic clouds.

Based on the CARIBIC observations, three key points should be taken into consideration for aircraft safety in the event of a volcanic eruption. First, agreement between observations and model predictions shows that models work well to gain an approximate location of volcanic ash. However, discrepancies indicate the need for a safety buffer zone around modelled ash clouds with high ash mass concentrations. Second, CARIBIC measurements indicate that areas of high concentrations of potentially corrosive volcanic gases (like SO₂, see Casadevall, 1993) are not always coincident with areas having enhanced particle densities (Thomas and Prata, 2011). Third, for a better understanding of volcanic clouds, comprehensive measurements as those with the CARIBIC container offer a detailed insight into their particle number and mass densities, trace gases and the chemistry inside these clouds.

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