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**DIANA - algorithmic improvements for analysis of data-independent acquisition MS data**

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**ABSTRACT**

**Motivation:** Data independent acquisition mass spectrometry has emerged as a reproducible and sensitive alternative in quantitative proteomics, where parsing the highly complex tandem mass spectra requires dedicated algorithms. Recently, targeted data extraction was proposed as a novel analysis strategy for this type of data, but it is important to further develop these concepts to provide quality-controlled, interference-adjusted and sensitive peptide quantification.

**Results:** We here present the algorithm DIANA and the classifier PyProphet, which are based on new probabilistic sub-scores to classify the chromatographic peaks in targeted data-independent acquisition data analysis. The algorithm is capable of providing accurate quantitative values and increased recall at a controlled false discovery rate, in a complex gold standard data set. Importantly, we further demonstrate increased confidence gained by the use of two complementary data-independent acquisition targeted analysis algorithms, as well as increased numbers of quantified peptide precursors in complex biological samples.

**Availability:** DIANA is implemented in scala and python and available as open source (Apache 2.0 license) or pre-compiled binaries from [http://quantitativeproteomics.org/diana](http://quantitativeproteomics.org/diana). PyProphet can be installed from PyPi ([https://pypi.python.org/pypi/pyprophet](https://pypi.python.org/pypi/pyprophet)).

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**1 INTRODUCTION**

Accurate and precise quantification of proteins is a critical component of life science and systems biology applications. The prevailing method for quantification of complete proteomes was until recently data-dependent acquisition (DDA), also referred to as shotgun mass spectrometry (MS). Shotgun MS can provide extensive maps of the measurable and expressed proteomes of a large numbers of organisms, tissues, organs and organelles. However, less than 50% of identified peptides are typically shared between two replicate shotgun MS injections (Tabb et al., 2010), requiring multiple injections of the same sample to reproducibly measure peptides in all samples (Liu et al., 2004; Vincent et al., 2013; Bailey et al., 2014). The limited analytical reproducibility observed in shotgun MS has fuelled the development of targeted MS strategies such as selected reaction monitoring (SRM), to increase reproducibility and specificity compared to shotgun MS (Wolf-Yadlin et al., 2007).

To perform targeted MS strategies requires a priori determined information on how to target a given peptide sequence. Such information typically consists of the peptide sequence, the preferred charge state, the empirical or predicted HPLC elution time, as well as the relative intensities and masses of the most prominent fragments. The construction of these MS assays requires a substantial effort, which has resulted in the assembly of public repositories of peptides and MS assays, to simplify further studies (Desiere et al., 2006; Picotti et al., 2008; Karlsson et al., 2012; Farrah et al., 2012). Although the targeted MS strategies such as SRM provides reproducible and accurate protein quantification, the throughput is normally limited to up to a few hundred peptides per injection (Waldemarson et al., 2012; Picotti et al., 2009), limiting the technique for whole proteome studies.

Data-independent acquisition MS (DIA-MS) was originally used to improve peptide identification rates (Purvine et al., 2003; Plumb et al., 2006; Panchaud et al., 2009), but lately workflows using DIA-MS combined with targeted data extraction have been described in attempts to combine the reproducibility of SRM with the throughput of shotgun MS (Weisbrod et al., 2012; Gillet et al., 2012; Egerton et al., 2013). Data acquisition in DIA-MS relies on deterministic splitting of the survey scan peptide-ion mass range into one or more subsets, followed by co-fragmentation of all precursor masses in one entire subset, while leaving the de-convolution of the peptide-ions in these complex MS\(^2\) spectra to the post-acquisition analysis. The acquisition method yields complete MS\(^2\)-retention time maps, compared to the discontinuous maps of shot-
Targeted extraction DIA-MS has the sensitivity, precision, reproducibility and dynamic range to allow for significant improvements in the proteome analysis of biological systems (Collins et al., 2013). However, the strategy’s data analysis needs further improvement, and currently only a few tools exist that are capable of large-scale robust targeted extraction DIA-MS analysis (Bernhardt et al., 2012; Egertson et al., 2013; Röst et al., 2014). The poor availability of data analysis tools limits robustness, as users are blindly exposed to any potential error in the particular algorithm used, and lowers sensitivity, as parts of the proteome might be unreachable due to the potential preferences of a given algorithm. For example, the combination of multiple algorithms in shotgun MS has been shown to increase the amount of peptide-spectrum matches with up to 50% compared to a single algorithm (Häkkinen et al., 2009; Jones et al., 2009; Nahnsen et al., 2011; Shteynberg et al., 2013).

We have previously described algorithms for detection of the correct signals in SRM chromatograms based on fragmentation patterns (Teleman et al., 2012), and we hypothesized that these concepts can further improve the targeted data analysis in DIA data, and also provide complementarity towards existing tools. Here we investigate this by combining the DIA-MS targeted analysis strategy with our previous efforts in SRM data analysis, and present a new algorithm and software for automated analysis of DIA-MS data. The algorithm, called DIANA, introduces a new concept for computing chromatographic peak sub-scores based on expected ratios between fragments, as well as a new interference-corrected measure of quantity. These factors, together with the new semi-supervised classification tool PyProphet, increase the amounts of peptide quantifications and enables more accurate quantifications in complex samples. Finally, we also demonstrate that DIANA is complementary to the previously published OpenSWATH software (Röst et al., 2014), and that the combination of results from the two engines can further improve on the confidence in and number of peptide quantifications.

2 METHODS

The DIANA analysis workflow has similarities to classical shotgun MS data analysis workflows. For each target peptide ion, chromatograms are extracted, followed by chromatogram peak detection and scoring by several sub-scores. The same procedure is applied to a large number of decoy peptide ions to allow for significance estimations, analogous to shotgun MS/MS database searching (Elias and Gygi, 2007). In addition, a number of retention time peptides are targeted using the same method, which are used to normalize retention times for the peptide ion assays in the current injection. Target and decoy peptide peaks are then subjected to a semi-supervised learner to merge the sub-scores into a final score, to select the best peak in each chromatogram, and to estimate false discovery rates (FDRs).

Input MS data to DIANA should be in mzML format (Martens et al., 2011) with optional MS-numpress (Teleman et al., 2014) and gzip compression. Apart from the raw MS data, three assay lists in TraML format (Deutsch et al., 2011) are required; one with target assays, one with decoy assays, and one with retention time normalization assays.

2.1 Targeted data extraction

DIANA is based on a targeted data analysis approach, which in turn requires targeted data extraction. During acquisition, the mass spectrometer systematically collects one MS1 spectrum followed by MS2 spectra of preselected subsets of the MS2 range (Fig 1A). For the targeted extraction of a peptide ion, DIANA relies on an MS assay consisting of a number of channels, describing the most prevalent fragments and the most prominent natural isotopes of the peptide (Fig. 1B). Chromatograms for each channel are extracted from the MS1 and relevant MS2 spectra, using a given window size and deconvolution function, to give a multi-channeled measurement of the targeted peptide ion (Fig 1C). The extracted chromatogram for a channel is here referred to as a trace, and all the traces for a peptide ion assay will be collectively called a peptide ion assay trace (Fig. 2).

2.2 Peak detection and initial scoring
Each trace under analysis is smoothed by taking the 2nd level Laplace 8-point wavelet decomposition, and a baseline is also calculated as the maximum of 1.0 and the median of a 20-point sliding window, resulting in a minimum value of 1. The smoothed trace is partitioned by its local minima, resulting in a number of peak candidates (Fig. 2A). These are considered further if the smoothed curve intensity at the apex (local maximum for the candidate) is larger than twice the baseline at the same time.

Peak candidates from the different channels in the peptide ion assay trace are then grouped if peak candidate apices are maximally 1 data point (Fig. 2A) off, and filtered to only leave peaks (Fig. 2A) with at least two fragment candidate peaks, or at least one fragment candidate peak that has a sufficiently large area (default cutoff 25.0).

Peaks are initially scored by four different scores – the fragment Markov ratio probability (FMRP), the fragment correlation score (FCS), the isotope Markov ratio probability (MRP) and the isotope correlation score (ICS). As indicated by the names, the scores represent two types of calculations (Markov ratio probability and correlation score) for two types of inputs (fragments and precursor isotope ratios).

### 2.3 Markov ratio probability

The Markov ratio probability (MRP) is here introduced as a type of p-value that can be calculated for sections in an n-channeled input, with the goal to find sections where the ratio between each pair of channels maintains a target value. In our case the channels and ratios are either peptide fragments and an empirical fragmentation pattern, or peptide isotopes and a natural isotope distribution. To calculate the MRP for a peak of width w data points, first all the pairwise ratio channels (Fig. 2B) between the channels are calculated as previously described (Telean et al., 2012), followed by the computation of a Boolean peak-validation object (Fig. 2C). This object consists of Boolean vectors of length w; the vectors \( v_i \), \( 0 <= i < n \), correspond to the input channels \( c_i \), and the vectors \( w_{ij} \), \( i < j <= n \) correspond to the ratio channels \( r_{ij} \), giving a total of m vectors, \( m = n + (n*(n-1))/2 \). The purpose of the peak-validation object is to specify in detail which data points in each channel and ratio channel that provide evidence of the target relationship. For a description of the population of the peak-validation object, see the Supplementary Methods.

With the peak-validation object, a p-value is calculated for each ratio channel using a two-state Markov model (see Suppl. Methods for motivation). The states represent agreement or non-agreement with the target ratio, and the likelihoods for the four-state transitions in the model are chosen by frequency counting of the measured state-transitions using all data points in the ratio channel that are inside any peak. If the peak has \( t \) of \( w \) data points in agreement with the target ratio in a ratio channel, the p-value is calculated as the likelihood of getting \( t \) or more data points in agreement given the above Markov model. These p-values are combined to one according to Kost and McDermott (Kost and McDermott, 2002), as they are calculated on pair-wise ratios and therefore dependent. This final p-value is the MRP.

### 2.4 Interference correction / signal estimation

The peak-validation object is a detailed map of the data-points in the channels believed to support the target ratios, but inversely also a map of possibly noisy data-points. These noisy data-points will heavily influence the reported quantity if some high-abundant alternative ion is causing the deviation. Therefore, for any data point that is not validated according to the peak-validation object, we calculate an estimated intensity as the average of all validated channels at that time, multiplied by the expected ratios. If this estimated intensity is less than half the measured one, the estimated intensity is used in place of the measured.

### 2.5 Correlation sub-score

As a complement to the MRP, a correlation sub-score is calculated using the corrected assay trace over the peak. The Pearson correlation between each pair of corrected traces is computed, and the correlation score is calculated as the mean of these correlations. The correlation score is calculated separately for the precursor isotope traces (ICS) and the fragment traces (FCS).

### 2.6 Retention time normalization

Once the first four sub-scores are calculated, the FMRP is used to select the best peak (lowest FMRP) in each decoy and retention time assay trace, and q-values are calculated using a simple non-parametric method (Käll et al., 2008). Retention time peptide peaks at q-value < 15% are selected. For these a linear regression is made for measured versus expected retention time. To correct for possible false positive identifications, peaks with residuals outside 3 standard deviations are discarded, and the linear regression is performed again on the remaining peaks. The linear transformation specified by the regression is used to map the expected (assay) retention times for all target- and decoy-assays to the specific chromatographic profile of this injection, and a retention time score is calculated as the absolute deviation of a peak apex from the adjusted expected retention time. This supports assay libraries with IRT retention times (Escher et al., 2012), although any linear retention time scale can be used.

### 2.7 Semi-supervised Classification with PyProphet

Using the above 5 sub-scores, decoy and target peaks are used to perform semi-supervised learning, using the new tool PyProphet. PyProphet is a Python reimplementation of mProphet (Reiter et al., 2011), using optimized C code and NumPy (http://numpy.org) calculations to decrease computation times and memory usage by several orders of magnitude for large input sets. Apart for optimizations, PyProphet also extends the original mProphet functionality with 1) multiple inner learners from SKLearn (Pedregosa et al., 2011) like stochastic gradient descent, logit and support vector machine (SVM), apart from the original linear discriminant analysis, 2) non-parametric and log-normal null distribution models, 3) q-value calculation according to Storey (Storey, 2002), and 4) traditional cross-validation. To account for the non-gaussian sub-score null distributions, DIANA uses a SVM with an rbf-kernel, and the non-parametric null model. DIANA uses a traditional cross-validation where all samples are used for learning, instead of the random sampling cross-validation used by mProphet and OpenSWATH.

### 2.8 Implementation

The software representing the DIANA algorithm is packaged into a set of stand-alone Java Virtual Machine 1.6 (JVM) command line applications. These can be run individually, or combined and scheduled using a small toolbox of python programs that is also provided. All software exists as self-contained binary packages, which can be downloaded from http://quantitativeproteomics.org/diana, and should be compatible with any operating system. PyProphet is a stand-alone Python tool, which can be installed from PyPi (https://pypi.python.org/pypi/pyprophet/0.9.1) or downloaded and compiled from source from https://github.com/fickludd/pyprophet. For installation of PyProphet we currently recommend a Linux environment.

### 2.9 Analysis of gold standard data set and Streptococcus pyogenes data set

Vendor data files and assay lists were obtained from (Röst et al., 2014). Decoys for the gold standard data set were generated by shuffling each
target peptide trice, giving 1026 decoy assays, while a random subsample of 3000 S. pyogenes peptide ions were shuffled once to give 3000 S. pyogenes decoy assays. Decoy generation was performed using an in-house tool called DecoyGenerator, which shuffles the peptide amino acid sequence randomly, but preserves the C-terminal amino acid. Data files were processed through the DIANA workflow, using an in-house MS-Numpress enabled Msconvert build (essentially performing equally to msconvert in current ProteoWizard builds (Chambers et al., 2012)). Chromatograms were extracted with a ±20 ppm uniform extraction window, using DianaExtractor, unless other window sizes are indicated. Apart from additionally using the 3 most abundant precursor isotopes, the extracted fragments and retention time peptides were as previously described (Röst et al., 2014). In PyProphet we used weighted classes, 10 iteration traditional cross-validation (xeval.type = split), all peptides were used in the cross-validation, and an rbfSVM inner learner with 1 GB cache size was used. The non-parametric null distribution was used with Storey FDR calculation (Storey, 2002), and mProphet (Reiter et al., 2011) statistics calculation and sampling. Applied data analysis was done using custom R scripts, mainly using reshape and ggplot2 packages.

3 RESULTS

Targeted data extraction for the analysis of complex DIA-MS data was recently demonstrated as a promising data analysis strategy. With the goal to further explore and improve this strategy, we have designed a new peak detection algorithm, as well as 4 new peak sub-scores – fragment Markov ratio probability (FMRP), fragment correlation score (FCS), isotope Markov ratio probability (IMRP) and isotope correlation score (ICS). Analogous to previous published work on SRM data analysis (Teleman et al., 2012), the sub-scores consider the data channels in a pair-wise manner to provide robustness toward interfering signals from non-targeted compounds. All sub-scores are used to calculate a q-value, which allows the user to determine the strength of the found evidence for a certain peptide, and to filter the results at a target FDR.

To evaluate DIANA performance, we used the gold standard water and yeast background datasets from the OpenSWATH publication (Röst et al., 2014). The gold standard data set consists of 342 detectable stable isotope labeled peptides, diluted in 10 concentrations from 1:1 to 1:512 in water and yeast lysate backgrounds, yielding 20 separate samples, analyzed in triplicates, resulting in 60 DIA-MS maps. Targeted extraction of the spiked-in peptide traces from the 60 DIA-MS maps generated 20520 extracted chromatograms, which were analyzed manually in the OpenSWATH publication (Röst et al., 2014).

3.1 Parameter optimization and quantity cal-
Before analyzing the 60 DIA-MS maps from the gold standard data set using DIANA, we selected a subset of 6 DIA-MS maps (yeast background, dilutions 1:2 to 1:64) to optimize the chromatogram extraction parameters. We tested extraction window sizes of ±5, ±10, ±20, ±40 and ±80 ppm from the theoretical mass, using either uniform or top-hat extraction profiles, resulting in 10 extracted chromatogram sets per map. Each chromatogram set was scored and classified using DIANA and the gold standard target and decoy assays, and evaluation was based on the amount of significant peptides at 1% FDR. The ±20 ppm uniform window resulted in the highest number of significant peptides in the more diluted samples (Suppl. Fig. 1), and was used for the rest of this study. Note that the selected extraction window shape and size should be close to optimal for any measurement using the same method on the same instrument. Other DIANA parameters (Suppl. Table 1 for full list) are treated as constants for MS methods similar to the used method, and do not need to be changed. For example, DIANA is robust with respect to the retention time mapping parameters (Suppl. Fig. 2,3).

Larger extraction windows could decrease the accuracy of quantification because of a higher risk of co-extraction of interfering compounds, but linear regression of measured versus theoretical quantities showed excellent linearity, with $r^2$ values for the interference-corrected extracted ion current (XIC) of > 0.92 for 75% of the peptides, as well as median slope of 0.89±0.32 (median ± std. dev.) and median intercept of -0.13±0.18 (Suppl. Fig. 4). DIANA reports three measures that can be used to represent the quantity of a peptide: the XIC of the measured fragments, the XIC of the measured precursor isotopes, and the interference-corrected XIC of the measured fragments. The interference-corrected XIC values gave higher $r^2$ values compared to the raw XICs of the fragments or the isotopes, and they also resulted in slopes and intercepts closer to their expected theoretical values (Suppl. Fig. 4). Therefore the interference corrected XIC is used as the measure of quantity throughout this paper.

### 3.2 DIANA compared to gold standard

To evaluate the performance of DIANA we analyzed the complete 60 DIA-MS gold standard data using the optimized parameters and original assays. The results were comparable to those from manual analysis performed previously (Röst et al., 2014). At a 1% FDR, DIANA detected 7004 out of 7689 manually detected peptides in water, and 4786 out of 5716 peptides in yeast, representing sensitivities of 91.1% and 83.7% respectively. A pseudo-roc curve of sensitivity versus false positive rate (FPR) results in an AUC of 0.92 (Fig. 3A). As the presumed correct peak in a few cases was not the highest scoring of the peaks for that assay, the sensitivity did not reach 1.0 even at the maximal score cutoff. The gold standard data set also enabled the evaluation of the quality of FDR estimations. DIANA estimated the true FDR according to the gold standard reasonably well, with exact estimation at 10% FDR, underestimation for lower FDRs and overestimation for higher FDRs (Fig. 3B).

For the purpose of hypothesis-driven quantitative experiments, the precision and accuracy of a method is equally important to classification power. Precision calculations for DIANA and manual analysis yielded similar coefficients of variation (CV) across the technical replicates, with median CVs of 14.3% and 13.5% in water, and 9.0% and 8.0% in yeast respectively (Fig. 3C). Orthogonally, reported quantities from DIANA are also as accurate as manual analysis, and closely follow the theoretical dilutions. In log-log scale, 95% of peptide dilutions have $r^2 > 0.96$ in both water and yeast (0.964 and 0.968 with DIANA, 0.972 and 0.960 with manual) (Fig. 3D). Apart from $r^2$, the slope and intercept of a linear regression can be used to evaluate quantification. We normalized peptide quantities by division of the most concentrated sample followed by $\log_2$ transform. As theoretical $\log_2$ concentrations were set to [-9, -8, ..., 0], slopes should theoretically be 1 and intercepts 0. Log-log scale intercepts had a median value of 0.18±0.25 and 0.02±0.10 (DIANA) compared to 0.19±0.25 and 0.03±0.11 (manual) for water and yeast backgrounds (Fig. 3E), while slopes were 1.20±0.46 and 1.01±0.22 (DIANA) compared to 1.26±0.45 and 0.98±0.24 (manual) (Fig. 3F). We conclude that DIANA is well fit for targeted analysis of DIA-MS data, with high sensitivity, and very accurate quantification.

### 3.3 DIANA compared to OpenSWATH

The novelty and utility of new algorithms should not only be evaluated compared to time-consuming gold standard manual analysis, but also by comparison with existing software. At a controlled FDR-level of 1%, DIANA reported similar peptide quantification results compared to the main existing software OpenSWATH (12174 vs. 11932) in the gold data set. This global trend was preserved across the entire dilution series in water, while the algo-

![Fig 4: True FDR and number of identifications when combining search engines for DIA-MS analysis. Peptide ions were either detected as the same peak by both engines (green), as different peaks by the two engines (red), exclusively by DIANA (blue) or OpenSWATH (yellow), or not at all (gray). A) True FDRs depending on detection status. Peptide ions selected at 1% FDR by both DIANA and OpenSWATH (green) had a true FDR of ≤0.5%, while the peptide ions exclusively quantified by only one engine (blue/yellow) had true FDRs of 20-27%. B) Number of peptides ions quantified, stratified by detection status. The two engines agreed on a majority of the peptide peaks, but there are still exclusive contributions from both engines in both backgrounds.](image-url)
Algorithms diverge in the yeast dilution, with DIANA performing better in the concentrated half, and OpenSWATH better in the diluted half (Fig. 4B and Suppl. Fig. 5).

The classification parameters precision and recall were used to compare the semi-supervised learning strategies of DIANA and OpenSWATH. Overall, the behavior over dilution in both parameters is similar, with a high precision (as forced by the target FDR of 1%), and a high recall that is declining with spiked peptide concentration (Suppl. Fig. 6). As indicated by the number of quantifications, the only difference lies in the recall of the yeast dilution series, where DIANA has a higher recall in the concentrated samples, while OpenSWATH has a higher recall in the diluted samples.

The corrected quantity measure of DIANA consistently yields minor increases in accuracy on peptides significantly and correctly detected by both engines, compared to the OpenSWATH quantity measure (Suppl. Fig. 7). Both DIANA (DI) and OpenSWATH (OS) had high performance, with 95% of peptide dilutions having an $r^2 > 0.966$ (DI) and $r^2 > 0.963$ (OS) in water, and $r^2 > 0.972$ (DI) and $r^2 > 0.969$ (OS) in yeast. Intercepts were 0.17±0.29 (DI) and 0.20±0.25 (OS) in water, and 0.02±0.10 (DI) and 0.028±0.10 (OS) in yeast. Finally, slopes of the regressions were 1.18±0.43 (DI) and 1.19±0.45 (OS) in water and 1.01±0.20 (DI) and 0.97±0.22 (OS) in yeast.

3.4 Combination of DIANA and OpenSWATH

Previous studies have reported that the successful combination of multiple search engines improves both the engines, compared to the OpenSWATH quantity measure (Suppl. Fig. 7). Both DIANA (DI) and OpenSWATH (OS) had high performance, with 95% of peptide dilutions having an $r^2 > 0.966$ (DI) and $r^2 > 0.963$ (OS) in water, and $r^2 > 0.972$ (DI) and $r^2 > 0.969$ (OS) in yeast. Intercepts were 0.17±0.29 (DI) and 0.20±0.25 (OS) in water, and 0.02±0.10 (DI) and 0.028±0.10 (OS) in yeast. Finally, slopes of the regressions were 1.18±0.43 (DI) and 1.19±0.45 (OS) in water and 1.01±0.20 (DI) and 0.97±0.22 (OS) in yeast.

3.5 Analysis of a bacterial lysate proteome using DIANA

To complement the strictly controlled setting of spiked-in synthetic peptides, we reanalyzed 4 MS injections of Streptococcus pyogenes grown with or without 10% human plasma from a previous study (Malmström et al., 2012), which was also used in the OpenSWATH manuscript (Röst et al., 2014). S. pyogenes is a major microbial pathogen, responsible for millions of cases of pharyngitis and 500,000 deaths annually (Carapetis et al., 2005). Apart from this very relevant reason for study, the bacterium’s proteome of 1,905 open reading frames makes it suitably complex for whole-proteome measurements. The data was evaluated using the preexisting assay library (Röst et al., 2014), generated from 10 shotgun MS measurements of fractions of the S. pyogenes proteome, consisting of 1,322 proteins represented by 20,027 proteotypic peptide precursors.

The reanalysis of the streptococcal lysates with DIANA yielded similar numbers of measured peptide ions at 1% FDR compared to OpenSWATH without inter-sample alignment, resulting in 38776 versus 38272 peptide ion identifications (Suppl. Fig 8). Together, 47467 identifications were reported as significant by at least one algorithm. Of these, 29397 (61%) peptide ion identifications could be considered high confidence because of consensus identification by the two algorithms (Fig. 5). In addition, DIANA identified 8713 (18%) peptide ions exclusively, while OpenSWATH added another 8353 (18%). The algorithms gave conflicting results for only 824 (1.7%) peptide ions. According to the gold standard analysis we would expect consensus identifications to have a true FDR of 0.5% and single identifications to have a true FDR of about 20-27%. However, as the number of single identifications is larger compared to consensus identifications in this dataset, true FDRs are likely to be closer to 1% if the FDR estimates of algorithms are correct. We conclude that the combination of two search engines improves the total number of detected peptide ions at 1% FDR but also importantly increases the confidence for the majority of the detected peptides.

DISCUSSION

The presented work demonstrates three advancements in targeted extraction DIA-MS analysis. First, the invention of a probabilistic score for fragmentation patterns is shown to give high analytical power in the complex bacterial and yeast backgrounds, which should be considered the minimal expected sample complexity for in-vivo or cell-line studies. Second, the adopted interference-corrected measure of quantity from our previous SRM work is shown to provide increased accuracy in quantification in the noisy DIA-MS data. Thirdly, we demonstrate the advance of combining.
two analysis tools for DIA-MS data processing. The combined output from DIANA and OpenSWATH generated both an increased number of identifications and considerably increased confidence in the peptides identified by both engines. The DIANA algorithm is very reliant on the peptide fragmentation pattern, both for scoring and interference correction. This is both a strength and a weakness. The advanced probabilistic score is very powerful as the probabilities are individually calculated based on the noise in each specific ratio channel, and this allowed us to rely completely on extracted chromatograms for the analysis. On the other hand, the algorithm depends on conserved fragmentation, and changes in instrument collision energy tuning or mass-dependent ion transmission could hinder detection of true peptides. Nevertheless, we have demonstrated powerful classification (AUC < 0.92) and accurate quantification (95% of peptides have $r^2 > 0.96$) of the new scoring software DIANA and classifier PyProphet in a gold standard data set. Further, even if performance is largely similar, DIANA is shown to improve performance in samples from bacterial whole cell lysates with sufficient amounts of true positives, compared to OpenSWATH.

The structure of DIANA and OpenSWATH are conceptually similar. The observed differences in performance between the engines can likely be explained by the detailed differences such as the different sub-scores or the exact chromatogram extraction or peak detection. We believe that further improvement of DIANA could be achieved by including something similar to OpenSWATH’s intensity and signal-to-noise sub-scores, as well as a preliminary score to initiate the semi-supervised learning better. The lack of such sub-scores could well explain DIANA’s lower sensitivity in samples with very few true positives.

In the performed gold standard and streptococcal lysate analysis, we demonstrate the usefulness of utilizing multiple analysis tools, to increase the confidence and amount of detected peptides. Increasing peptide identification rates and confidence using a combination of search engines is an attractive option, as it only requires computer hardware investments that are minor compared to instrument investment and maintenance costs. Being standard procedure in shotgun MS data analysis, we believe this study to validate the approach also in DIA-MS.

While shotgun MS data analysis is a mature field with tens of different tools available, research on the analysis of targeted analysis DIA has only begun. It can be anticipated that several powerful concepts for DIA analysis remain to be discovered. We believe DIANA demonstrates some such new analysis concepts, and their successful application to the complex task of detecting and quantifying peptide ions.

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REFERENCES


Supplementary Material

Contents
Supplementary Material ........................................................................................................... 1
Supplementary Methods ........................................................................................................... 2
  The two-state Markov model for channel ratios ................................................................. 2
  Algorithm for peak-validation object computation .......................................................... 3
Suppl. Fig. 1: Chromatogram extraction parameter optimization, number of peptides .......... 5
Suppl. Table 1: DIANA parameters ......................................................................................... 6
Suppl. Fig. 2: Number of significant peptides vs. rt mapping parameters ......................... 7
Suppl. Fig. 3: Number of significant peptides vs. retention time maps .............................. 8
Suppl. Fig. 4: Chromatogram extraction parameter optimization, quantification .............. 9
Suppl. Fig. 5: DIANA vs. OpenSWATH - Number of peptide quantifications across gold standard data set ..................................................................................................................... 10
Suppl. Fig. 6: DIANA vs. OpenSWATH – Recall and precision across gold standard data set .... 11
Suppl. Fig. 7: DIANA vs. OpenSWATH – Quantification accuracy ........................................ 12
Suppl. Fig. 8: DIANA vs. OpenSWATH – Peptide quantifications in Streptococcus pyogenes lysates 13
Supplementary Methods

The two-state Markov model for channel ratios

Initially we used a null-model where adjacent data points were independent, meaning that for each ratio only one p-value was calculated – the likelihood of a ratio data point fulfilling the target ratio

\[ p_{\text{ind}} = \frac{|r_t^{\text{agree with target}}|}{|r|} \]

The p-value for a ratio channel in a peak, where \( k \) out of \( n \) data points agreed with the target, was calculated as the likelihood of randomly getting at least \( k \) of \( n \) data points in agreement with the target from a uniformly null-distribution with p-value \( p_{\text{ind}} \). However, this model far overestimated the peak significances, since sequential ratio channel data points are not independent, because peptide signals in the primary channels are typically smooth.

In the used Markov state model, the last ratio data point is considered in determining p-values for the current ratio data point. This means that we estimate 4 p-values from the ratio channel, corresponding to the four combinations of previous and current ratio data points agreeing with the target ratio (ok) or not agreeing with the target ratio (nok)

\[
\begin{align*}
  p_{\text{ok,ok}} &= \frac{|r_{t-1}(\text{ok}) \cap r_t(\text{ok})|}{|r_{t-1}(\text{ok})|} \\
  p_{\text{ok,nok}} &= \frac{|r_{t-1}(\text{ok}) \cap r_t(\text{nok})|}{|r_{t-1}(\text{ok})|} \\
  p_{\text{nok,ok}} &= \frac{|r_{t-1}(\text{nok}) \cap r_t(\text{ok})|}{|r_{t-1}(\text{nok})|} \\
  p_{\text{nok,nok}} &= \frac{|r_{t-1}(\text{nok}) \cap r_t(\text{nok})|}{|r_{t-1}(\text{nok})|}
\end{align*}
\]

With this model, the p-value for a ratio channel in a peak, where \( k \) out of \( n \) data points agreed with the target, is calculated as the summed probabilities of all possible sequences of length \( n \) where at least \( k \) data points are in agreement with the target ratio, and where the probability of each sequence is calculated according to the empirical Markov model for the current ratio channel.

Lastly, we see that this modelling is necessary because typically \( p_{\text{ok,ok}} \neq p_{\text{nok,ok}} \) and \( p_{\text{ok,nok}} \neq p_{\text{nok,nok}} \), which is exactly why the naive independent model is inaccurate.
Algorithm for peak-validation object computation

// This is the algorithm that is used for populating the peak-validation object.
// The language used is pseudo-code, with syntax similar to c-style languages.

class ChannelValidation {
  ok: Array[Boolean]
  flag: Boolean
}

class GroupValidation {
  v: Array[ChannelValidity]
  w: Array[Array[ChannelValidity]]
}

q = Queue()
gv = GroupValidation()

for trace in peak:
  q.push(trace.channel)
  for t in trace: // t denotes the integer timePoint
    gv.v(trace.channel).ok(t) = True

while not q.empty():
  curr_i = q.pop()
  for i in 0 until numChannels:
    if i == curr_i:
      gv.w(i,i).flag = True
    else if not gv.w(curr_i, i).flag:
      ui = min(curr_i, i)
      di = max(curr_i, i)
      r = getRatio(ui, di)
      target = getMeanTargetRatio(ui, di)
      y = getSmoothedTrace(i)
      bl = getTraceBaseline(i)
      bl = bl.length
      for t in peak:
        if (r(t) < target * upperBound &&
            r(t) > target * lowerBound &&
            gv.v(curr_i).ok(t) &&
            y(t) > bl(t)):
          gv.v(i).ok(t) = True
          gv.w(ui, di).ok(t) = True
        else:
          gv.w(ui, di).ok(t) = False
          gv.w(curr_i, i).flag = True
          gv.w(i, curr_i).flag = True
          if gv.w(i, i).flag:
            q.push(i)

for i in 0 until numChannels:
  counts = Array(peak.width)
  for j in 0 until numChannels:
    if i != j:
      ui = min(i, j)
      di = max(i, j)
      for t in peak:
        if gv.w(ui, di).ok(t):
          counts(t) += 1

for t in peak:
  gv.v(i).ok(t) = counts(t) >= minRatioValidity // default 2

rvsSummed = gv.w.map(_.ok).transpose.map(_.count(_. == True))
vTotal = sum(rvsSummed)
temp = 2.0 / gv.w.length
ratio = vTotal / (gv.w.length * peak.width)
gv.corrStart = gv.start
gv.corrEnd = gv.end
while rvsSummed(gv.corrStart) * temp < ratio:
    gv.corrStart += 1
while rvsSummed(gv.corrEnd) * temp < ratio:
    gv.corrEnd -= 1
Suppl. Fig. 1: Chromatogram extraction parameter optimization, number of peptides

The number of quantified peptides at 1% FDR for the extraction windows sizes ±5, ±10, ±20, ±40 and ±80 ppm, and uniform of top-hat (triangular) deconvolution functions, measured in 6 gold standard data set injections (dilutions 1:2 – 1:64) in yeast background. The uniform ±20 ppm window was selected for further analysis.
**Suppl. Table 1: DIANA parameters**

Parameters used in DIANA. Most parameters were selected based on manual inspection of sample chromatograms or linear regressions. Only the extraction parameters were optimized based on quality of the end result, and these might be sensitive to changes in instrument precision and accuracy. Legend: dp = data points, std = standard deviation, chrom = chromatogram, regr = regression

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Suppl. Fig. 2: Number of significant peptides vs. rt mapping parameters

The number of quantified peptides at 1% FDR for the retention time mapping parameters of q-value 0.01, 0.02, 0.05, 0.1 and 0.2, and standard deviation cutoffs of x1, x2, x3, x4 and x5. Analysis is done in 11 gold standard data set injections (dilutions 1:4 – 1:128 in water and 1:4 – 1:64 in yeast), and displayed in summary (a) and stratified over injection (b). Extraction was done using uniform ±20 ppm windows. In this dataset, the single most important feature to achieve higher numbers of significant quantification is to use a n-std < 4. This analysis was performed after the main results of the paper, meaning that this was not known when selecting the default parameters of n-std=3 and q-val=0.15, and that these therefore are not biased / over-trained.
Suppl. Fig. 3: Number of significant peptides vs. retention time maps

The number of quantified peptides at 1% FDR in the same data set as in Suppl. Fig. 2, but as a function of the resulting retention time (RT) mapping functions. For each injection, the RT maps generated from the 25 RT parameter combinations are shown on the x-axis. The top left injection for example generated only 2 unique RT maps, while the middle right injection generated 6 unique RT maps. Each dot represents one RT parameter combination, and the y-axis denoted the number of detected peptides. Even in injection with many unique RT maps, these primarily fall into two groups as indicated by color (redness). Different slopes and different amounts of detected peptides characterize the two groups, with the high performance (red) group having consecutively larger amounts of detected peptides. The pre-selected RT parameters suggested for DIANA fall within the high performance group for all 11 injections.
Suppl. Fig. 4: Chromatogram extraction parameter optimization, quantification

Distributions of linear regression parameters for 342 gold standard data set peptides, measured in 6 gold standard data set injections (dilutions 1:2 – 1:64) in yeast background, and extracted using uniform ±5, ±10, ±20, ±40 or ±80 ppm windows. Three measures representing proxies for the peptide concentration are compared versus the theoretical concentrations, the sum of the raw fragment extracted ion current (XIC) (ms2 xic), the sum of the corrected fragment XIC (ms2 corrected xic), and the sum of the isotope XICs (ms1 xic). For all three measures the corrected XIC is the closest to the desired value of a Pearson correlation of 1.0, an intercept of 0.0, and a slope of 1.0.
Suppl. Fig. 5: DIANA vs. OpenSWATH - Number of peptide quantifications across gold standard data set

The number of peptide quantifications in the gold standard data set at 1% FDR for OpenSWATH and DIANA. Values are sums of the three replicates at each dilution, giving a theoretical maximum of 1026 quantifications.
Suppl. Fig. 6: DIANA vs. OpenSWATH – Recall and precision across gold standard data set

Recall and Precision in the gold standard data set at 1% FDR for OpenSWATH and DIANA. Values are calculated on the peptide quantifications level, giving a theoretical maximum of 1026 quantifications for any dilution. The 3 points per dilution represent the 3 replicate injections of each sample.
**Suppl. Fig. 7: DIANA vs. OpenSWATH – Quantification accuracy**

Distributions of linear regression parameters for 342 gold standard data set peptides, measured in all 60 gold standard data set injections (dilutions 1:1 – 1:512) in water and yeast background, and extracted using a uniform ±20 ppm window (DIANA) or a Top-Hat 0.05 Da windows (OpenSWATH). Used peaks were detected at 1% FDR by both algorithms, and validated by manual analysis, to ensure that we are only comparing quantification and not identification.
Suppl. Fig. 8: DIANA vs. OpenSWATH – Peptide quantifications in *Streptococcus pyogenes* lysates

The number of peptides quantified in streptococcus pyogenes lysates, grown with 0% or 10% plasma supplement. Bars represent DIANA results with 20 ppm extraction window, OpenSWATH results with 0.05 Da extraction window, and OpenSWATH results with 0.05 Da extraction window and an added post-processing step were only peptide ions detected in all samples are kept.